

| Variable Name | MR Screen Name | Question Type | Question Text/Description | Code List | Routing |
|---------------|----------------|---------------|---|--|--|
| | | | <p>INTRODUCTION QUESTIONNAIRE SPECIFICATIONS</p> <p><u>CRITERIA</u> INTTYPE=ALL SPALIVE=ALL SEASON=ALL SPPROXY=SP or PROXY Other: N/A</p> <p><u>PLACEMENT</u> (Start of interview)</p> | | |
| | BOX IN1 | routing | GO TO INV1 - CARIVER. | | |
| CARIVER | INV1 | code one | Some of this interview will be recorded for quality control purposes. I'd like to continue now, unless you have any questions. | (01) RESPONDENT AGREES TO CONTINUE WITH RECORDING (02) RESPONDENT DOES NOT WANT TO BE RECORDED | (01) IN1AA - ATDOOR (02) INV2 - NOCARI |
| NOCARI | INV2 | no entry | That's fine. The interview will not be recorded. | | IN1AA - ATDOOR |
| ATDOOR | IN1AA | no entry | REVIEW WITH THE RESPONDENT THE FOLLOWING IMPORTANT FACTS FROM THE "AT-THE-DOOR" SHEET: All survey information will be kept private to the extent permitted by law, as prescribed by the Privacy Act of 1974. Medicare benefits will not be affected in any way by survey responses or participation. REFER TO THE "AT-THE-DOOR" SHEET IF THE RESPONDENT NEEDS ADDITIONAL REASSURANCE. | | IN2 - VERIFYSP |
| VERIFYSP | IN2 | yes/no | VERIFY THE SP'S NAME. IS THE SP'S NAME CORRECT AND COMPLETE? FIRST NAME: (SP'S FIRST NAME) MIDDLE INITIAL: (SP'S MIDDLE INITIAL) LAST NAME: (SP'S LAST NAME) | (01) YES (02) NO | (01) BOX IN1A (02) IN3 - ROSTFNAM |
| ROSTFNAM | IN3 | text | MAKE ALL NECESSARY CORRECTIONS TO THE SP'S NAME. | | IN3 - ROSTMINI |
| ROSTMINI | IN3 | text | MAKE ALL NECESSARY CORRECTIONS TO THE SP'S NAME. | | IN3 - ROSTLNAM |
| ROSTLNAM | IN3 | text | MAKE ALL NECESSARY CORRECTIONS TO THE SP'S NAME. | | BOX IN1A |
| SPAISTATUS | INS1 | code one | PLEASE INDICATE THE SP'S CURRENT STATUS. IF THE CASE IS A PROXY INTERVIEW AND YOU HAVEN'T TALKED ABOUT THE SP'S VITAL STATUS, PROBE AT THIS TIME ABOUT WHETHER THE SP IS ALIVE OR DECEASED AND WHERE THE SP IS LOCATED. WHEN WE REFER TO INSTITUTIONALIZED, WE ARE REFERRING TO THE MCBS DEFINITION OF A FACILITY. FOR THE FULL MCBS DEFINITION OF A FACILITY, CLICK F1. PLEASE REMEMBER THAT HOSPITALS ARE NOT FACILITIES UNDER THE MCBS DEFINITION SO SPS IN HOSPITALS SHOULD NOT BE CONSIDERED TO BE INSTITUTIONALIZED BELOW. IS THE SP CURRENTLY: | (01) ALIVE AND NOT INSTITUTIONALIZED (02) ALIVE AND INSTITUTIONALIZED (03) DECEASED - DIED IN COMMUNITY (04) DECEASED - DIED IN INSTITUTION | (01) BOX INS1 (02) INS2 - SPINSTMM (03) INS3 - SPDIEMM (04) INS2 - SPINSTMM |
| SPINSTMM | INS2 | date | What was the first date [since [REFERENCE DATE] that [SP]] [the [SP]] entered the facility? [EXPLAIN IF NECESSARY: By "facility" we mean a place that provides long term care. By "first date" we mean the earliest date that an SP the beneficiary enters any facility and does not enter a hospital or return home.] IF MORE THAN ONE DATE, ENTER THE EARLIEST. | (01) continuous answer (-8) Don't Know (-9) Refused | IN2 - SPINSTDD |
| SPINSTDD | INS2 | date | What was the first date since [since [REFERENCE DATE] that [SP]] [the [SP]] entered the facility? [EXPLAIN IF NECESSARY: By "facility" we mean a place that provides long term care. By "first date" we mean the earliest date that an SP the beneficiary enters any facility and does not enter a hospital or return home.] IF MORE THAN ONE DATE, ENTER THE EARLIEST. | (01) continuous answer (-8) Don't Know (-9) Refused | SPINSTYY |

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| SPINSTYY | INS2 | date | What was the first date since [since [REFERENCE DATE] that [SP]] [the [SP]] entered the facility? [EXPLAIN IF NECESSARY: By "facility" we mean a place that provides long term care. By "first date" we mean the earliest date that an SP the beneficiary enters any facility and does not enter a hospital or return home.] IF MORE THAN ONE DATE, ENTER THE EARLIEST. | (01) continuous answer | BOX INSA |
| | BOX INSA | routing | IF (INS1 - SPAISTATUS = 4/DeceasedInInstitute) GO TO INS3 - SPDIEMM. ELSE GO TO BOX INSA1. | | |
| SPDIEMM | INS3 | date | On what date did (SP) die? | (01) continuous answer (-8) Don't Know (-9) Refused | (01) INS3 - SPDIEMM (-8) INS3 - SPDIEMM (-9) INS3 - SPDIEMM |
| SPDIEMM | INS3 | date | On what date did (SP) die? | (01) continuous answer (-8) Don't Know (-9) Refused | (01) INS3 - SPDIEMM (-8) INS3 - SPDIEMM (-9) INS3 - SPDIEMM |
| SPDIEDD | INS3 | date | On what date did (SP) die? | (01) continuous answer (-8) Don't Know (-9) Refused | (01) INS3 - SPDIEDD (-8) INS3 - SPDIEDD (-9) INS3 - SPDIEDD |
| SPDIEYY | INS3 | date | On what date did (SP) die? | (01) continuous answer (-8) Don't Know (-9) Refused | (01) INS3 - SPDIEYY (-8) INS3 - SPDIEYY (-9) INS3 - SPDIEYY |
| | BOX INSA1 | routing | IF (SPDIEMM IN(-8,-9) OR SPDIEYY IN(-8,-9) THEN GO TO INS3B-INTHANK ELSE IF (INS1 - SPAISTATUS = 3/Deceased) AND (INTTYPE in(3,7,10)) AND (VIEW_MCBSCOMM_PRELOAD.NEWLY_ELIGIBLE=0) AND (SP'S DATE OF DEATH ENTERED AT INS3 IS BEFORE JANUARY 1 OF THE CURRENT YEAR), GO TO INS3A - DEASDATE. ELSE IF (INS1 - SPAISTATUS = 4/DeceasedInInstitute) AND (INTTYPE in(7,10)) AND (SP'S DATE OF INSTITUTIONALIZATION ENTERED AT INS2 AND SP'S DATE OF DEATH ENTERED AT INS3 ARE BOTH PRIOR TO JANUARY 1 OF CURRENT YEAR) AND (VIEW_MCBSCOMM_PRELOAD.NEWLY_ELIGIBLE=0), GO TO INS3A - DEASDATE. ELSE IF (INS1 - SPAISTATUS = 4/DeceasedInInstitute) AND (INTTYPE in(7,10)) AND (SP'S DATE OF INSTITUTIONALIZATION ENTERED AT INS2 IS PRIOR TO JANUARY 1 OF CURRENT YEAR) AND (SP'S DATE OF DEATH ENTERED AT INS3 IS ON OR AFTER JANUARY 1 OF CURRENT YEAR) AND (VIEW_MCBSCOMM_PRELOAD.NEWLY_ELIGIBLE=0), GO TO INS3A1 - INSTDATE. ELSE IF (INS1 - SPAISTATUS = 2/AliveAndInstitute) AND (INTTYPE in(7,10)) AND (SP'S DATE OF INSTITUTIONALIZATION ENTERED AT INS2 IS BEFORE JANUARY 1 OF THE CURRENT YEAR) AND (VIEW_MCBSCOMM_PRELOAD.NEWLY_ELIGIBLE=0), GO TO INS3A1 - INSTDATE. ELSE IF (INS1-SPAISTATUS = 2/AliveAndInstitute) AND INTTYPE = 3, GO TO INS3B-INTHANK ELSE IF (INS1-SPAISTATUS = 3/Deceased) AND INTTYPE = 3 AND SP'S DATE OF DEATH ENTERED AT INS3 IS PRIOR TO JANUARY 1, GO TO INS3A-DEASDATE ELSE IF (INS1-SPAISTATUS = 4/DeceasedInInstitute) AND INTTYPE = 3, GO TO INS3B-INTHANK ELSE GO TO BOX INS1. | | (01) BOX INSA1 (-8) BOX INSA1 (-9) BOX INSA1 |
| DEASDATE | INS3A | no entry | YOU HAVE ENTERED THAT THE SP, (SP), DIED BEFORE JANUARY 1ST OF [CURRENT YEAR]. IF THIS IS NOT CORRECT, GO TO THE PREVIOUS PAGE AND ENTER THE CORRECT DATE AT INS3. IF THIS IS CORRECT, YOU WILL NOT BE CONDUCTING THE COMMUNITY INTERVIEW WITH THE RESPONDENT. GO TO THE NEXT PAGE TO END THE INTERVIEW. | | INS3B - INTHANK |
| INSTDATE | INS3A1 | no entry | YOU HAVE ENTERED THAT THE SP, (SP), WAS INSTITUTIONALIZED BEFORE JANUARY 1ST OF [CURRENT YEAR]. IF THIS IS NOT CORRECT, GO TO THE PREVIOUS PAGE AND ENTER THE CORRECT DATE AT INS2. IF THIS IS CORRECT, YOU WILL NOT BE CONDUCTING THE COMMUNITY INTERVIEW WITH THE RESPONDENT. AFTER CLICKING "NEXT PAGE", YOU WILL RETURN TO CM-FIELD. PLEASE FILL OUT A RECORD OF CALL AND CODE THIS CASE AS A "NO COM PROXY- GO TO FAC" . PLEASE COLLECT ANY KNOWN FACILITY CONTACT INFORMATION AND DISCUSS THE CASE WITH YOUR FIELD MANAGER. | | INS3B - INTHANK |
| INTHANK | INS3B | no entry | I would like to thank you for your time and cooperation during this interview. We may be contacting you in the future for further information. | | BOX INSB1 |
| | BOX INS1 | routing | IF SP IS DECEASED OR INSTITUTIONALIZED, SET RESPONDENT TO PROXY AND GO TO IN4A - PERSON_PROXY. ELSE GO TO IN4 - SPPROXY. | | |
| SPPROXY | IN4 | code one | WILL THIS INTERVIEW BE CONDUCTED WITH THE SAMPLE PERSON OR WITH A PROXY? | (01) SAMPLE PERSON (02) PROXY | (01) BOX INS5 (02) IN4A - PERSON_PROXY |

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| PERSON_PROXY | IN4A | roster | SELECT OR ADD THE NAME/RELATIONSHIP OF THE PROXY TO THE SP FOR THIS INTERVIEW. SELECT OR ADD ONLY ONE PERSON. | DISPLAY PERSON ROSTER AS RESPONSE OPTIONS: 1. [PERSON 1] 2. [PERSON 2] ... (01-N) LIST ALL PERSONS AS RESPONSE OPTIONS (N+1) ADD ANOTHER DISPLAY: 1 First Name Display ROST.ROSTFNAM. 2 Last Name Display ROST.ROSTLNAM. 3 Relationship to SP Display relationship: If ROST.ROSTREL=91/OtherRelative or 92/OtherNon-Relative, display ROST.ROSTREOS. Else display ROST.ROSTREL relationship. | (01-N) BOX INS2AA (N+1) IN4A-ROSTFNAM IF EXISTING PERSON SELECTED, GO TO BOX INS2AA. ELSE IF "ADD ANOTHER" SELECTED, GO TO IN4A-ROSTFNAM. |
| ROSTFNAM | IN4A | text | [What is the name of the person and relationship to (SP)?] | (01) continuous answer | IN4A-ROSTLNAM |
| ROSTLNAM | IN4A | text | [What is the name of the person and relationship to (SP)?] | (01) continuous answer | IN4A-ROSTREL |
| ROSTREL | IN4A | code one | [What is the name of the person and relationship to (SP)?] | (02) SPOUSE (03) SON (04) DAUGHTER (05) BROTHER (06) SISTER (07) FATHER (08) MOTHER (09) SON-IN-LAW (10) DAUGHTER-IN-LAW (11) GRANDSON (12) GRANDDAUGHTER (13) NEPHEW (14) NIECE (51) FRIEND/NEIGHBOR (52) BOARDER (53) NURSE/NURSE'S AIDE (54) LEGAL/FINANCIAL OFFICER (55) GUARDIAN (56) PARTNER (57) ROOMMATE (91) OTHER (-8) Don't Know (-9) Refused | (01) DO NOT DISPLAY (02) BOX INS2AA (03) BOX INS2AA (04) BOX INS2AA (05) BOX INS2AA (06) BOX INS2AA (07) BOX INS2AA (08) BOX INS2AA (09) BOX INS2AA (10) BOX INS2AA (11) BOX INS2AA (12) BOX INS2AA (13) BOX INS2AA (14) BOX INS2AA (50) DO NOT DISPLAY (51) BOX INS2AA (52) BOX INS2AA (53) BOX INS2AA (54) BOX INS2AA (55) BOX INS2AA (56) BOX INS2AA (57) BOX INS2AA (91) IN4AROSTREOS - ROSTREOS (-8) BOX INS2AA (-9) BOX INS2AA |
| ROSTREOS | IN4AROSTREOS | verbatim text | [What is the name of the person and relationship to (SP)?] | (01) continuous reponse (-8) Don't Know (-9) Refused | BOX INS2AA |
| | BOX INS2AA | routing | IF PERSON IS ADDED AT IN4A, GO TO BOX INS2A-1. ELSE GO TO IN5 - VRFYPROX. | | |
| VRFYPROX | IN5 | yes/no | I would like to verify your name and relationship to (SP). I have you listed as [READ NAME AND RELATIONSHIP LISTED BELOW]. Is that correct? IF RELATIONSHIP IS MISSING, SELECT "NO" AND PROBE FOR RELATIONSHIP ON THE NEXT SCREEN. FIRST NAME: (PROXY'S FIRST NAME) LAST NAME: (PROXY'S LAST NAME) RELATIONSHIP: (PROXY'S RELATIONSHIP TO SP) | (01) YES (02) NO | (01) BOX INS2A-1 (02) IN6 - ROSTFNAM |
| ROSTFNAM | IN6 | text | [What is your correct name and relationship to (SP)?] | (01) continuous answer | IN6 - ROSTLNAM |
| ROSTLNAM | IN6 | text | [What is your correct name and relationship to (SP)?] | (01) continuous answer | IN6 - ROSTREL |

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| ROSTREL | IN6 | code one | [What is your correct name and relationship to (SP)?] | (02) SPOUSE (03) SON (04) DAUGHTER (05) BROTHER (06) SISTER (07) FATHER (08) MOTHER (09) SON-IN-LAW (10) DAUGHTER-IN-LAW (11) GRANDSON (12) GRANDDAUGHTER (13) NEPHEW (14) NIECE (51) FRIEND/NEIGHBOR (52) BOARDER (53) NURSE/NURSE'S AIDE (54) LEGAL/FINANCIAL OFFICER (55) GUARDIAN (56) PARTNER (57) ROOMMATE (91) OTHER (-8) Don't Know (-9) Refused | (01) DO NOT DISPLAY (02) BOX INS2A-1 (03) BOX INS2A-1 (04) BOX INS2A-1 (05) BOX INS2A-1 (06) BOX INS2A-1 (07) BOX INS2A-1 (08) BOX INS2A-1 (09) BOX INS2A-1 (10) BOX INS2A-1 (11) BOX INS2A-1 (12) BOX INS2A-1 (13) BOX INS2A-1 (14) BOX INS2A-1 (51) BOX INS2A-1 (52) BOX INS2A-1 (53) BOX INS2A-1 (54) BOX INS2A-1 (55) BOX INS2A-1 (56) BOX INS2A-1 (57) BOX INS2A-1 (91) IN6 - ROSTREOS (-8) BOX INS2A-1 (-9) BOX INS2A-1 |
| ROSTREOS | IN6 | verbatim text | What is your correct name and relationship to (SP)? | (01) continuous reponse (-8) Don't Know (-9) Refused | BOX INS2A-1 |
| | BOX INS2A-1 | routing | IF SP IS INSTITUTIONALIZED (SPALIVE = 2), SET REASON WHY RESPONDENT IS PROXY TO "SP IS INSTITUTIONALIZED" (WHYPROXY = 07) AND GO TO BOX INS3. ELSE IF SP IS DECEASED (SPALIVE = 3), SET REASON WHY RESPONDENT IS PROXY TO "SP IS DECEASED" (WHYPROXY = 06) AND GO TO BOX INS3. ELSE GO TO IN6A - WHYPROXY. | | |
| WHYPROXY | IN6A | code one | WHAT IS THE <u>MAIN</u> REASON THAT A PROXY RESPONDENT NECESSARY? | (01) SP NOT CAPABLE PHYSICALLY/SICK/BLIND/CAN'T SPEAK/HEAR (02) SP NOT CAPABLE MENTALLY/POOR MEMORY/PSYCHIATRIC DISORDER (03) SP UNABLE TO PROVIDE INFORMATION REGARDING MEDICAL RECORDS (04) SP IN HOSPITAL (05) LANGUAGE PROBLEM (06) SP IS DECEASED (07) SP IS INSTITUTIONALIZED (08) SP NOT AVAILABLE THIS ROUND (09) AUTHORIZED PROXY MUST ANSWER QUESTIONS FOR SP (CODE REASON WHY) (91) OTHER | (01) BOX INS2B (02) BOX INS2B (03) BOX INS2B (04) BOX INS2B (05) BOX INS2B (06) BOX INS2B (07) BOX INS2B (08) BOX INS2B (09) BOX INS2B (91) IN6A - PNSPOS |
| PNSPOS | IN6A | verbatim text | OTHER (SPECIFY) | (01) continuous response | BOX INS3 |
| | BOX INS2B | routing | IF RESPONSE TO IN6a - WHYPROXY ONLY INCLUDES 9/CodeReasonWhy, GO TO IN6B - PNSPVB. ELSE GO TO BOX INS3. | | |
| PNSPVB | IN6B | verbatim text | BRIEFLY EXPLAIN WHY PROXY MUST ANSWER QUESTIONS. | | BOX INS3 |
| | BOX INS3 | routing | IF SP IS IN THE SUPPLEMENTAL SAMPLE AND IN6A - WHYPROXY = 6/SPisDeceased, GO TO IN6B1 - SUPPDIED. ELSE IF SP IS IN THE SUPPLEMENTAL SAMPLE AND IN6A - WHYPROXY = 7/SPisInstitute, GO TO IN6B2 - SUPPINST. ELSE GO TO BOX INS5. | | |
| SUPPDIED | IN6B1 | no entry | YOU HAVE ENTERED THAT THE SP, (SP), IS DECEASED. IF THIS IS NOT CORRECT, GO TO THE PREVIOUS PAGE AND CORRECT YOUR RESPONSE AT IN6A. | | BOX IN6 |
| SUPPINST | IN6B2 | no entry | YOU HAVE ENTERED THAT THE SP, (SP), IS INSTITUTIONALIZED. IF THIS IS NOT CORRECT, GO TO THE PREVIOUS PAGE AND CORRECT YOUR RESPONSE AT IN6A. | | BOX IN6 |
| | BOX INS5 | routing | IF (SP IS IN THE SUPPLEMENTAL SAMPLE) OR (SP IS NEW FROM FACILITY), GO TO IN8 - CHEKBRTH. ELSE IF IT'S A FALL ROUND, GO TO BOX IN6. ELSE GO TO BOX IN8. | | |
| CHEKBRTH | IN8 | yes/no | I have [your/(SP's)] date of birth listed as (CMS BIRTH DATE). Is that correct? | (01) YES (02) NO (-8) DON'T KNOW (-9) REFUSED | (01) IN10 - CHECKAGE (02) IN9 - HHDOBMM (-8) IN11 - ROSTSEX (-9) IN11 - ROSTSEX |
| HHDOBMM | IN9 | date | What is [your/(SP's)] date of birth? | (01) continuous answer (-8) Don't Know (-9) Refused | IN9 - HHDOBDD |
| HHDOBDD | IN9 | date | What is [your/(SP's)] date of birth? | (01) continuous answer (-8) Don't Know (-9) Refused | IN9 - HHDOBY |
| HHDOBY | IN9 | date | What is [your/(SP's)] date of birth? | (01) continuous answer (-8) Don't Know (-9) Refused | BOX IN3A |
| | BOX IN3A | routing | IF SP'S DATE OF BIRTH MONTH, DAY OR YEAR COLLECTED AT IN9 = DK OR RF, GO TO IN11 - ROSTSEX. ELSE GO TO IN10 - CHECKAGE. | | |

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| CHECKAGE | IN10 | yes/no | That makes [you/(SP)] (AGE) today. Is that correct? | (01) YES (02) NO | (01) IN11 - ROSTSEX (02) IN9 - HHDOBMM |
| ROSTSEX | IN11 | code one | THE SP IS LISTED AS A (MALE/FEMALE). IF SEX IS OBVIOUS, CODE BELOW WITHOUT ASKING. IF SEX IS NOT OBVIOUS, ASK: [Are you/ls (SP)] male or female? | (01) MALE (02) FEMALE | BOX IN4 |
| | BOX IN4 | routing | IF NOT MISSING GENDER FROM CMS FILES AND SP'S GENDER FROM CMS DOES NOT MATCH GENDER ENTERED AT IN11 - ROSTSEX, GO TO IN12 - CHNGSEX. ELSE GO TO BOX IN6. | | |
| CHNGSEX | IN12 | yes/no | YOU JUST CHANGED SP'S SEX FROM (MALE/FEMALE) TO (FEMALE/MALE). DID YOU INTEND TO DO THAT? | (01) YES (02) NO | (01) BOX IN6 (02) IN11 - ROSTSEX |
| | BOX IN6 | routing | IF SP'S AGE IS > 16, DK OR RF, GO TO IN13 - SPMARSTA. ELSE GO TO BOX IN8. | | |
| SPMARSTA | IN13 | code one | [Are you/ls (SP)/Was (SP)] married, widowed, divorced, separated, or never married? | (01) MARRIED (02) WIDOWED (03) DIVORCED (04) SEPARATED (05) NEVER MARRIED (-8) Don't Know (-9) Refused | BOX IN7 |
| | BOX IN7 | routing | IF (SP IS IN THE SUPPLEMENTAL SAMPLE) OR (SP IS NEW FROM FACILITY), GO TO IN14 - SPCHNLNM. ELSE GO TO BOX IN8. | | |
| SPCHNLNM | IN14 | numeric | Including natural, adopted, and stepchildren, how many living children [did (SP)/does (SP)/do you] have? | (01) continuous answer (-8) Don't Know (-9) Refused | BOX IN8 |
| | BOX IN8 | routing | IF INTTYPE in(C001, C002, C003, C004, C005, C006, C007, C010), GO TO ENS. | | |
| | BOX INSB1 | routing | GO TO END1 - INTLANG. | | END1 - INTLANG. |