

Solid Minerals Production and Royalty Report Instructions – Page One

Customer Name: The company or individual responsible for the mining operation.

Customer Number: A five-character alphanumeric code assigned by ONRR and beginning with MA. Previously identified as the Payor Code.

Customer Document ID: An alphanumeric code of up to 12 characters created by the customer uniquely identifying this Form ONRR-4430 from all others forms previously submitted by the customer. Previously identified as the “3A” number on the Form ONRR-2014.

Mine Name: The name of the mining operation.

Business Unit: Circle *Federal/State/Fee* if your mining operation encompasses any Federal leases. Circle *Indian/State/Fee* if your mining operation encompasses any Indian leases. Do not circle both.

Payment Due:

Original Data Total: The sum of the *Mine Data Summary Total* and *Remote Data Summary Total* on page three.

Other Financial Obligations Total: The *Other Financial Obligations Detail Total* found on page three.

Value and Volume Adjustment Total: Add the totals of *Federal or Indian Royalty Net Change for Summary of Changes For the Mine* on page four and *Summary of Changes for the Remote* on page five.

Credit Amount: An amount that can be credited back to the customer as authorized by ONRR. Subtract it from the subtotal of payments due to determine the grand total.

Credit ID: Previously assigned by ONRR when a customer is authorized a credit. You must complete this field if you complete the *Credit Amount* field.

Grand Total: Add the above Totals and subtract the Credit Amount.

Payment Method: Mark the method that describes how you are making the payment that accompanies this month's form.

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Original Data Lease Level Detail:

Lease Number: Use the appropriate table on page two dependent on whether you report on a Federal, Indian, State or Fee lease. Use a second page two if you report on more than two Federal or Indian Leases, or more than one State or Fee lease. Use the agency-assigned lease number. (It is no longer necessary to use the ONRR-converted number.)

Sales Month/Year: The month and year the product was produced and/or sold from this lease.

Sales Point: The physical location your product is considered sold or title transfers.

Gross proceeds: All payments or other consideration received from the purchaser for the sale of the product for this sales month and lease/product combination.

Allowed Transportation Cost: The authorized transportation costs for this sales month and lease/product combination. Allowed transportation costs may include the reasonable actual costs to move the product from the mine to a remote location prior to sale. You must first complete Form ONRR-4293, Coal Transportation Allowance Report, if you deduct transportation costs from coal mined on Indian lands.

Allowed Processing Cost: The authorized coal washing costs for this sales month and lease/product combination. Only report the washing costs for the coal you sold this sales month. Allowed washing costs are the reasonable actual costs to wash coal prior to sale. You must first complete Form ONRR-4292, Coal Washing Allowance Report, if you deduct transportation costs from coal mined on Indian lands.

Royalty Rate: The royalty rate as specified in the lease terms. For cents-per-ton lease terms provide the cents or dollars and the unit measure. Do not complete this field on the State or Fee lease number tables.

Royalty Payment Due: Subtract any applicable *Allowed Transportation Cost* or *Allowed Processing Cost* from the *Gross Proceeds*. Multiply the difference by the *Royalty Rate*. Do not complete this field on the State or Fee lease number tables.

Product: A product mined from this lease. Complete a separate Lease Level Detail table for each product produced or sold from a lease.

Beginning Inventory: The product inventory at the mine or remote location site on the first day of the sales month. This quantity MUST equal the ending inventory for this product for the prior sales month.

Inventory/Volume Adjustment: The net change to the beginning inventory if you determine an adjustment is necessary to maintain the accuracy of the stockpile.

Units Produced: The number of units mined from the lease, produced at the wash plant, or received at remote storage during the sales month.

Units Transferred: The number of units transferred to a remote storage or wash plant (if the wash plant is remote from the mine) during the sales month.

Production Available For Sale: The *Beginning Inventory* plus or minus *the Inventory/Volume Adjustment* plus the *Units Produced*.

Units Sold: The number of units sold from this Sales Point for this lease for this Sales month.

Ending Inventory: The *Production Available For Sale* minus the *Units Transferred* minus the *Units Sold*. This figure must equal the Beginning Inventory for this Lease/Product/Sales Point combination for the next immediate Sales Month.

Comments: Any additional information you feel will be helpful in processing this report.

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Mine Data Summary:

Sales Month/Year: The month and year the product was produced and/or sold from the mining operation.

Product: A product mined from this lease.

Units Produced: The units mined from this mining operation, and all clean coal produced from an on-site wash plant, during the sales month. This number must equal the sum of all the *Units Produced* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as the mine.

Units Sold: The units sold from this mining operation during the sales month. This number must equal the sum of all the *Units Sold* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as the mine.

Gross Proceeds: The gross proceeds from the entire mining operation during the sales month. This number must equal the sum of all the *Gross Proceeds* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as the mine.

Total Allowed Cost: The total allowed transportation and processing costs for the entire mining operation during the sales month. This number must equal the sum of all the *Allowed Transportation Cost* and *Allowed Processing Cost* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as the mine.

Royalty Payment: The sum of all the *Royalty Payment Due* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as the mine (Federal or Indian leases only).

Remote Data Summary:

Sales Month/Year: The month and year the product was sold from a remote storage or remote wash plant.

Product: A product sold from a remote storage or remote wash plant.

Units Produced: The units transferred to a remote storage or remote wash plant during the sales month. This number must equal the sum of all the *Units Produced* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as a remote storage or wash plant.

Units Sold: The units sold from a remote storage or remote wash plant during the sales month. This number must equal the sum of all the *Units Sold* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as a remote storage or remote wash plant.

Gross Proceeds: The gross proceeds realized from a remote storage or remote wash plant during the sales month. This number must equal the sum of all the *Gross Proceeds* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as a remote storage or remote wash plant.

Total Allowed Cost: The total allowed transportation and processing costs for a remote storage or remote wash plant during the sales month. This number must equal the sum of all the *Allowed Transportation Cost* and *Allowed Processing Cost* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as a remote storage or remote wash plant.

Royalty Payment: The sum of all the *Royalty Payment Due* fields for this product on the Original Data Lease Level Detail page (page two) when the *Sales Point* field is identified as a remote storage or remote wash plant (Federal or Indian leases only).

Other Financial Obligations Detail:

Obligation Due Date: The date by which you must pay this obligation as per lease terms or Federal regulations.

Lease Number: The Federal or Indian lease number on which you owe this financial obligation.

Obligation Type: Enter one of the following; Rent, Recoupable Rent, Advance Royalty, Minimum Royalty, Deferred Bonus, Minimum Royalty (payable in advance), Rent Recoupment, Indian Recoupable Balance, Contract Settlement payment, or ONRR Settlement Agreement.

Obligation Amount: The financial amount due.

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Complete this page only if you are making mine value or volume adjustments to prior submitted sales months. If you make a volume adjustment that affects future months, you must adjust all months affected by the initial adjustment.

Summary of Changes for the Mine: This table sums all the changes for each product/sales month combination for the mine (and coal washed at the mine) as detailed in the table below on page four.

Sales Month/Year: The month and year to which you are making a value or volume adjustment. Make adjustments to no more than four sales months on each page four.

Product: The product to which you are applying the adjustment.

ONRR/Company Initiated?: Mark "ONRR" if the Office of Natural Resources Revenue ordered this adjustment. Mark "Company" if you or your company initiated the adjustment.

Interest Bearing: Mark "yes" if this is a value adjustment that will be defined as a late payment. Mark "no" if this is a change that will not accrue interest.

Interest From Date: If you marked the prior field "yes", enter the date the adjustment was effective.

Gross Proceeds Net Change: The net positive or negative difference from the *Gross Proceeds* amount completed as Original Data for this Sales Month and mine.

Transportation Cost Net Change: The net positive or negative difference from the *Allowed Transportation Cost* amount completed as Original Data for this Sales Month and mine.

Processing Cost Net Change: The net positive or negative difference from the *Allowed Processing Cost* amount completed as Original Data for this Sales Month and mine.

Units Produced Net Change: The net positive or negative difference from the *Units Produced* amount completed as Original Data for this Sales Month and mine.

Units Transferred Net Change: The net positive or negative difference from the *Units Transferred* amount completed as Original Data for this Sales Month and mine.

Units Sold Net Change: The net positive or negative difference from the *Units Sold* amount completed as Original Data for this Sales Month and mine.

Federal or Indian Royalty Net Change: The net positive or negative difference from the *Royalty Payment* amount completed as Original Data for this Sales Month and mine.

Above Changes for Mine Affect These Leases and Properties:

Sales Month/Year: The month and year to which you are making a value or volume adjustment.

Product: The product to which you are applying the adjustment.

Federal or State Lease Number or Fee Property: The lease or property number to which this adjustment applies.

Gross Proceeds Net Change: The net positive or negative difference from the *Gross Proceeds* amount completed as Original Data for this Sales Month and lease.

Transportation Cost Net Change: The net positive or negative difference from the *Allowed Transportation Cost* amount completed as Original Data for this Sales Month and lease.

Processing Cost Net Change: The net positive or negative difference from the *Allowed Processing Cost* amount completed as Original Data for this Sales Month and lease.

Units Produced Net Change: The net positive or negative difference from the *Units Produced* amount completed as Original Data for this Sales Month and lease.

Units Transferred Net Change: The net positive or negative difference from the *Units Transferred* amount completed as Original Data for this Sales Month and lease.

Units Sold Net Change: The net positive or negative difference from the *Units Sold* amount completed as Original Data for this Sales Month and lease.

Federal or Indian Royalty Net Change: The net positive or negative difference from the *Royalty Payment Due* amount completed as Original Data for this Sales Month and lease.

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Complete this page only if you are making remote value or volume adjustments to prior submitted sales months. If you make a volume adjustment that affects future months, you must adjust all months affected by the initial adjustment.

Summary of Changes for the Remote: This table sums all the changes for each product/sales month combination for the remote storage or remote wash plant as detailed in the table below on page five.

Sales Month/Year: The month and year to which you are making a value or volume adjustment. Make adjustments to no more than four sales months on each page five.

Product: The product to which you are applying the adjustment.

ONRR/Company Initiated?: Mark "ONRR" if the Office of Natural Resources Revenue ordered this adjustment. Mark "Company" if you or your company initiated the adjustment.

Interest Bearing: Mark "yes" if this is a value adjustment that will be defined as a late payment. Mark "no" if this is a change that will not accrue interest.

Interest From Date: If you marked the prior field "yes", enter the date the adjustment was effective.

Gross Proceeds Net Change: The net positive or negative difference from the *Gross Proceeds* amount completed as Original Data for this Sales Month and remote storage or remote wash plant.

Transportation Cost Net Change: The net positive or negative difference from the *Allowed Transportation Cost* amount completed as Original Data for this Sales Month and remote storage or remote wash plant.

Processing Cost Net Change: The net positive or negative difference from the *Allowed Processing Cost* amount completed as Original Data for this Sales Month and remote storage or remote wash plant.

Units Produced Net Change: The net positive or negative difference from the *Units Produced* amount completed as Original Data for this Sales Month and remote storage or remote wash plant.

Units Transferred Net Change: The net positive or negative difference from the *Units Transferred* amount completed as Original Data for this Sales Month and remote storage or remote wash plant.

Units Sold Net Change: The net positive or negative difference from the *Units Sold* amount completed as Original Data for this Sales Month and remote storage or remote wash plant.

Federal or Indian Royalty Net Change: The net positive or negative difference from the *Royalty Payment* amount completed as Original Data for this Sales Month and remote storage or remote wash plant.

Above Changes for Remote Affect These Leases and Properties:

Sales Month/Year: The month and year to which you are making a value or volume adjustment.

Product: The product to which you are applying the adjustment.

Federal or State Lease Number or Fee Property: The lease or property number to which this adjustment applies.

Gross Proceeds Net Change: The net positive or negative difference from the *Gross Proceeds* amount completed as Original Data for this Sales Month and lease.

Transportation Cost Net Change: The net positive or negative difference from the *Allowed Transportation Cost* amount completed as Original Data for this Sales Month and lease.

Processing Cost Net Change: The net positive or negative difference from the *Allowed Processing Cost* amount completed as Original Data for this Sales Month and lease.

Units Produced Net Change: The net positive or negative difference from the *Units Produced* amount completed as Original Data for this Sales Month and lease.

Units Transferred Net Change: The net positive or negative difference from the *Units Transferred* amount completed as Original Data for this Sales Month and lease.

Units Sold Net Change: The net positive or negative difference from the *Units Sold* amount completed as Original Data for this Sales Month and lease.

Federal or Indian Royalty Net Change: The net positive or negative difference from the *Royalty Payment Due* amount completed as Original Data for this Sales Month and lease.