

***Pell for Students who are Incarcerated Experimental Site  
(Second Chance Pell)***

**Overview**

Through the Pell for Students who are Incarcerated experiment (also known as “Second Chance Pell”), the Department of Education (the Department) will provide a limited waiver of the statutory ban on individuals incarcerated in Federal or State penal institutions (penal institutions) from receiving Federal Pell Grants to pay for postsecondary education and/or training programs (see section 401(b)(6) of the Higher Education Act of 1965, as amended (HEA)). The Department will select a limited number of postsecondary educational institutions (institutions) to participate in this experiment. This information collection instrument will collect information that will be used by the Department in selecting a diverse set of qualified institutions for participation in the experiment.

Please provide thorough responses, and documentation if available, for each of the prompts below.

*Note: Several of the items below ask for information at the program level. If the response to an item is the same for all programs, one response is sufficient. Otherwise, please indicate the differing information for each program offered by the institution.*

**I. Program Information**

**a. Program Description**

- i. How many postsecondary education and/or training programs will your institution offer to incarcerated students under this experiment?
- ii. Provide the name and a detailed description of each postsecondary education and/or training program your institution will offer under this experiment. The program description must address the following:
  1. Whether the proposed program is currently being offered by your institution, and if so, is it currently being offered to students who are incarcerated in federal or state penal institutions?
  2. The published length of the program in weeks and in clock or credit hours;
  3. The mode(s) of instruction (i.e. classroom-based, correspondence, distance, etc.), the proportion of time devoted to each of mode of instruction, as well how that mode is expected to operate, any applicable materials and technology provided or utilized;
  4. A list of required and elective courses that will be offered as part of the program;
  5. The postsecondary credential(s) that will be awarded upon completion of the program;
  6. If applicable, the specific recognized occupation for which the program prepares students,
- iii. For career-oriented training programs, provide relevant data and evidence indicating how the program aligns with high-demand or high-growth occupations, local and regional employment trends. Data can include labor market information (LMI), evidence of employer

demand, industry and/or current or expected job demand growth, documentation from the Department of Labor, evidence of employer partnerships etc.

- iv. Provide an assurance that there are no Federal, State and/or local legal barriers to the incarcerated students finding employment in the field for which they are to be trained while incarcerated, including for any work-release programs, or upon release.
- v. Describe how students' readiness for postsecondary coursework will be determined and what, if any, remedial or supplementary instructional services will be provided to ensure the incarcerated students served will be able to benefit from the postsecondary education and/or training provided.
- vi. Describe how the institution, in collaboration with a federal or state penal institution, will provide academic and career guidance as well as transition services to incarcerated students in order to support successful community reentry.
- vii. Describe whether any portion of the program is designed to only be completed after the student is released from the penal institution.
- viii. Describe the academic options available to students if they are not able to complete the program while incarcerated. For example:
  1. Will students have the opportunity to continue their enrollment in the academic program at your institution?
  2. Will students have the opportunity to continue their enrollment in another academic program at your institution?
  3. Will students be able to transfer their earned credits to another postsecondary institution? What, if any, additional steps would students have to take in order to receive transfer credit?
- ix. Describe the financial options available to students if Federal Pell Grants made available through this experiment do not cover the total cost of a program in any given award year. For example:
  1. What State-based student aid would incarcerated students be eligible to receive?
  2. For students released prior to program completion, describe the any State-based student aid available to meet the post-release costs of program completion.
  3. What institution-based student aid would incarcerated students be eligible to receive?
  4. For students released prior to program completion, describe any institution-based student aid available to meet the post-release costs of program completion.
- x. Indicate whether and how the credentials of faculty teaching incarcerated students under this experiment will differ from the credentials of faculty teaching non-incarcerated students in comparable programs offered by the institution.

b. Student Admission and Support Services

- i. Explain whether potential students will complete the Free Application for Federal Student Aid (FAFSA) online or by using a paper FAFSA.
- ii. Describe any counseling or guidance that the educational institution and/or penal institution will offer to potential students to assist them in completing the FAFSA.
- iii. Describe the method or criteria the institution will use to determine which incarcerated students will be admitted into the program.
- iv. Describe any institutional policies that may limit the participation of otherwise qualified students (i.e. class size limitations, entrance examinations, etc.).
- v. If applicable, list any community-based organizations, non-profit organizations, and/or other entities with which you will collaborate to offer support services to potential students.

c. Program Costs

- i. Describe how tuition and fees will be assessed to students enrolled in the program, including how the amount of charges will be calculated.
- ii. Estimate the costs of books and other academic supplies that will be charged to the student.
- iii. If your institution currently offers postsecondary education at a penal institution, explain specifically how your institution will use Pell Grant funds made available to eligible students under this experiment to supplement, not supplant, existing investments in current prison-based postsecondary education initiatives by the postsecondary institution, the penal institution, or outside sources.

II. Penal Institution Information

- a. Identify the penal institution(s) where your institution will be offering one or more postsecondary education and/or training programs under this experiment.
- b. Provide an assurance, such as a joint letter, contract, or a memorandum of understanding, indicating that the relevant correctional authority has agreed to enter into a partnership for the purposes of this experiment. Please specify the name and title of the highest correctional agency official with whom the institution has reached agreement.
- c. Describe how the penal institution(s) will support the implementation of newly-formed or newly-expanded postsecondary programs under this experiment. Please include information about additional necessary security, staffing, facility space, and budget changes as well as other additional necessary accommodations.

- d. Describe any policies that the participating penal institution(s) has in place that may limit the participation of otherwise Pell Grant eligible students.

### III. Student Information

- a. For existing postsecondary education and/or training programs in penal institutions, indicate how many students are currently enrolled.
- b. For each proposed program, provide an estimate of the total number of incarcerated students to be enrolled in each program included in this experiment for the initial award year and for each of the subsequent three award years.
- c. Of the total number of students expected to enroll in each program, estimate the number who may qualify for Federal Pell Grants in the initial award year and for each of the subsequent three award years.
- d. For existing postsecondary education and/or training programs in penal institutions, estimate the number of currently enrolled students who may be eligible to receive Federal Pell Grants in the initial award year and for each of the subsequent three award years.

#### PRA Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1845-0139. Public reporting burden for this collection of information is estimated to average 75 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain a benefit (487A(b) of the Higher Education Act of 1965, as amended). If you have comments or concerns regarding the status of your individual submission of this information, please contact Experimental Sites at [experimentalsites@ed.gov](mailto:experimentalsites@ed.gov) directly.