


<b>CHARTER HALIBUT LIMITED ACCESS PROGRAM</b>	<b>Application for ANNUAL REGISTRATION CHARTER HALIBUT PERMIT (CHP)</b>	U.S. Dept. of Commerce/NOAA National Marine Fisheries Service (NMFS) Restricted Access Management Program (RAM) P.O. Box 21668 Juneau, AK 99802-1668 (800) 304-4846 toll free / 586-7202 in Juneau (907) 586-7354 fax	
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**BLOCK A - PERMIT HOLDER INFORMATION**

1. Name of Permit Holder ( <i>as it appears on the CHP</i> ):		2. NMFS Person ID(s):
3. Name of Business ( <i>as it appears on the CHP</i> ):		
4. Permanent Business Mailing Address:	5. Temporary Business Mailing Address ( <i>if applicable</i> ):	
6. Business Telephone Number:	7. Business Fax Number:	8. Business E-mail Address:

**BLOCK B - IDENTIFICATION OF CHARTER HALIBUT PERMIT TO BE REGISTERED**

1. List the charter halibut permit being registered that is held by the applicant listed in Block A, and answer the following question: During the <b>previous fishing year</b> , did you receive any monetary compensation for allowing another person to use the permit?	
<b>Charter Halibut Permit Number:</b>  	<b>Financial Compensation Received?</b> Yes [ <input type="checkbox"/> ]      No [ <input type="checkbox"/> ]

**BLOCK C – OWNERSHIP DOCUMENTATION**

Provide the names and percentage ownership of each person or entity that holds the Charter Halibut Permit identified. List the “first level Owners” and, if any of those owners are themselves businesses, list each business and all its owners, and so on down each “level,” until all individuals in the ownership “chain” are identified. Attach additional sheets if necessary. See instructions for example.

**Note:** If ownership of a CHP changes such that a new owner is added, the permit may be considered invalid and, if transferable, will need to be transferred to another person. See Federal regulations at 50 CFR 300.67 for permit ownership limitations and transfer considerations.

Name	% Ownership	Name	% Ownership

**BLOCK D – IDENTIFICATION OF AFFILIATION**

Provide the names of the persons with whom the applicant is affiliated at a 10 percent or greater level of ownership or control. You do not need to repeat owner names provided in Block C.

Duplicate this block as necessary to display all of the persons with whom the holder of the license listed in Block B.

**Affiliation** means a relationship between two or more entities in which one directly or indirectly owns or controls a 10% or greater interest in, or otherwise controls, another; or a third entity directly or indirectly owns or controls a 10% or greater interest in, or otherwise controls, both.

Name of Affiliate	% Interest	Name of Affiliate	% Interest

**BLOCK E – SIGNATURE AND DECLARATION OF PERMIT HOLDER**

Under penalty of perjury, I hereby declare that I have examined this application, and the information presented herein is true, accurate, and complete to the best of my knowledge and belief.

1. Signature of Permit Holder or Authorized Representative:	2. Date:
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3. Printed Name of Permit Holder or Authorized Representative:
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### ***PUBLIC REPORTING BURDEN STATEMENT***

Public reporting burden for this collection of information is estimated to average fifteen minutes per response, including the time for reviewing the instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to Assistant Regional Administrator, Sustainable Fisheries Division, NOAA, NMFS Alaska Region, P.O. Box 21668, Juneau, AK 99802-1668.

### ***ADDITIONAL INFORMATION***

Before completing this form please note the following: 1) Notwithstanding any other provisions of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information, subject to the requirements of the Paperwork Reduction Act, unless the form displays a currently valid OMB control number; 2) This information is used to implement the Charter Halibut Limited Access Program (CHLAP) for International Pacific Halibut Commission regulatory areas 2C and 3A; 3) Federal law and regulations require and authorize NMFS to manage charter halibut programs in Alaska; 4) Submission of this information is mandatory for any entity registering a charter halibut permit; 5) This information is used to monitor the CHLAP under the Northern Pacific Halibut Act of 1982 and the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 *et seq.*; 6) All information collections by NMFS, Alaska Region, are protected under confidentiality provisions of section 402(b) of the Magnuson-Stevens Act as amended in 2006 (16 U.S.C. 1801, *et seq.*) and under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics.

### ***PRIVACY ACT STATEMENT***

**Authority:** The collection of this information is authorized under the Northern Pacific Halibut Act of 1982 and the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 *et seq.*

**Purpose:** In order to manage U.S. fisheries, the NOAA National Marine Fisheries Service (NMFS) requires the use of permits or registrations by participants in the United States. A charter halibut permit (CHP) is required for vessel operators participating in the guided sport fishery for Pacific halibut (charter halibut fishery) in International Pacific Halibut Commission regulatory areas 2C (Southeast Alaska) and 3A (Southcentral Alaska). This annual registration of CHPs will improve the enforcement of CHP transfer limitations and ownership caps, as well as provide additional information to NMFS and the North Pacific Fishery Management Council on any changes in CHP ownership and participation.

**Routine Uses:** The Department will use this information to identify fishery participants and to determine transfer eligibility. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the [Privacy Act System of Records Notice COMMERCE/NOAA-19](#), Permits and Registrations for the United States Federally Regulated Fisheries.

**Disclosure:** Furnishing this information is required to register a CHP under the Charter Halibut Limited Access Program. Failure to provide complete and accurate information will result in a denial to issue a valid CHP.

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**Instructions For  
APPLICATION FOR ANNUAL REGISTRATION OF CHARTER HALIBUT PERMIT (CHP)**

***GENERAL INFORMATION***

All Charter Halibut Permits must be registered annually.

When completed, submit application and attachments

- ◆ By mail to: **NMFS Alaska Region  
Restricted Access Management (RAM)  
P.O. Box 21668  
Juneau, Alaska 99802-1668**
  
- ◆ or deliver to: **709 West 9<sup>th</sup> Street, Room 713  
Juneau, AK 99801**
  
- ◆ or facsimile to: **(907) 586-7354**

**Please allow at least ten working days for your application to be processed.** Items will be sent by first class mail, unless you provide alternate instructions **and** include a prepaid mailer with appropriate postage or corporate account number for express delivery.

- ◆ It is important that all blocks are completed and attachments provided. Failure to answer any of the questions or provide any of the required documents could result in delays in the processing of your registration request and issuance of a valid CHP.
  
- ◆ Direct any questions you may have to NMFS, RAM at 1-800-304-4846 (option 2) or 907-586-7202 (option 2).

***COMPLETING THE APPLICATION***

**BLOCK A – PERMIT HOLDER INFORMATION**

1. Enter the full name(s) of current CHP holder(s) as appears on the CHP.
2. Enter NMFS Person ID(s) of the CHP holder
3. Enter the name of the charter halibut business as it appears on the CHP
4. Enter the permanent business mailing address (*including city, state, and zip code*).
5. Enter the temporary business mailing address (*include city, state, and zip code*), if you would like your permits sent somewhere other than to your permanent address.
- 6-7. Enter the business telephone and fax numbers, including area code.
8. Enter the business e-mail address.

**BLOCK B – IDENTIFICATION OF CHARTER HALIBUT PERMIT(S) TO BE REGISTERED**

1. Identify the charter halibut permit being registered that is held by the applicant listed in Block A. Answer the following question: During the previous fishing year, did you receive any monetary compensation for allowing another person to use the permit? YES or NO

## BLOCK C – OWNERSHIP DOCUMENTATION

Provide the CHP number, names of all persons, and the percentage ownership of each person or entity that holds the CHP listed in Block B. List the “first level Owners” of the permits. If any of those owners are themselves businesses, list each business and all its owners, and so on down each “level,” until all individuals in the ownership “chain” are identified. **Attach** additional sheets if necessary

Information should be provided to the individual level. See example below:

Name of Owner	% Interest
Joe Charter	25%
Alice Charter	25%
CHP Family Holdings, Inc.	50%
A. CHP Holder	25% (of 50%)
B. CHP Holder	25% (of 50%)
C. CHP Holder	25% (of 50%)
D. CHP Holder	25% (of 50%)

## BLOCK D – IDENTIFICATION OF AFFILIATION

Provide the names of the persons and percent interest with whom the applicant is affiliated at a 10 percent or greater level of ownership or control. You do not need to repeat owner names provided in Block C.

Duplicate this block as necessary to display all of the persons with whom the permit holder is affiliated.

### ***Affiliation***

means a relationship between two or more entities in which one directly or indirectly owns or controls a 10 percent or greater interest in, or otherwise controls, another; or a third entity directly or indirectly owns or controls a 10 percent or greater interest in, or otherwise controls, both.

### ***Indirect Interest***

is one that passes through one or more intermediate entities. An entity's percentage of indirect interest is equal to the entity's percentage of direct interest in an intermediate entity multiplied by the intermediate entity's percentage of direct, or indirect, interest in the applicant.

### ***Control*** includes:

- ◆ Ownership of more than 10% of the entity;
- ◆ The right to direct the business of the entity;
- ◆ The right to limit the actions of or replace the chief executive officer, a majority of the board of directors, any general partner, or any person serving in a management capacity of the entity; or
- ◆ The right to direct the operation of the applicant’s business.

The term "control" **does not include** the right to simply participate in the above actions.

## BLOCK E – SIGNATURE AND DECLARATION OF PERMIT HOLDER

Certify with signature and enter printed name and date signed. If completed by authorized representative, **attach** authorization.