Washington, DC 20530

## Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

### INSTRUCTION SHEET-READ CAREFULLY

- 1. *Use.* All persons required to register under this Act shall use this form in submitting the information required by Section 2(a).
- 2. Read Act and Rules. Registrant should carefully read the Act and the Rules thereunder before completing this form.
- 3. *Answer*. Unless otherwise specifically instructed in this form, a registrant shall answer every item on this form. Whenever the item is inapplicable or the appropriate response to an item is "none", an express statement to that effect shall be made.
- 4. *Attachments*. Inserts and riders of less than full page size shall not be used. Whenever insufficient space is provided for response to any item, reference shall be made in such space to a full insert page or pages on which the item number and inquiry shall be restated and a complete answer given.
- 5. *Filing.* The completed statement, including all exhibits, shall be filed in electronic form with the Registration Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice at <a href="https://www.fara.gov">https://www.fara.gov</a>. The statement must be filed in accordance with 28 U.S.C. § 1746. A copy should be retained by the registrant.
- 6. Filing Fee. The filing of this document requires the payment of a filing fee for each listed foreign principal as set forth in Rule 5(d)(1), 28 C.F.R. § 5.5(d)(1).
- 7. Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. Statements are also available online at the Registration Unit's webpage: <a href="https://www.fara.gov">https://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="https://www.fara.gov">https://www.fara.gov</a>.
- 8. Public Reporting Burden. Public reporting burden for this collection of information is estimated to average 1.375 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

Note: *Omit this instruction sheet when filing this Statement.* 

Washington, DC 20530

## OMB No. 1124-0001; Expires April 30, 2020

### **Registration Statement**

Pursuant to the Foreign Agents Registration Act of 1938, as amended

# I--REGISTRANT 1. Name of Registrant 2. Registration No. (To Be Assigned By the FARA Registration Unit) 3. Principal Business Address 4. If the registrant is an individual, furnish the following information: (a) Residence address(es) (b) Other business address(es), if any (c) Nationality (d) Year of birth (e) Present citizenship (f) If present citizenship not acquired by birth, state when, where and how acquired (g) Occupation 5. If the registrant is not an individual, furnish the following information: (a) Type of organization: Committee $\square$ Association Partnership Voluntary group Corporation Other (specify) (b) Date and place of organization (c) Address of principal office (d) Name of person in charge (e) Locations of branch or local offices (f) If a membership organization, give number of members

(g)	List all partners, officers, directors or persons performing the functions of an officer or director of the registrant.			
	Name	Residence Address(es)	Position	Nationality
(h)	Which of the above named p	ersons renders services directly in fu	ortherance of the interests of	of any of the foreign principals?
(i)	i) Describe the nature of the registrant's regular business or activity.			
(i)	Give a complete statement o	f the ownership and control of the re	aistront	
(j)	Give a complete statement o	i the ownership and control of the re	gisti aiit.	
6 Lie	t all employees who render se	rvices to the registrant directly in fu	therance of the interests of	f any of the foreign principals in
6. List all employees who render services to the registrant directly in furtherance of the interests of any of the foreign principals in other than a clerical, secretarial, or in a related or similar capacity.				
Na	me	Residence Address(	es)	Nature of Services

IIFOREIGN PRINCIPAL					
7. List every foreign principal <sup>1</sup> for w Foreign Principal	hom the registrant is acting or has	gistrant is acting or has agreed to act.			
roreigh Frincipal		Principal Address(e	(5)		
	IIIACTIV	ITIES			
8. In addition to the activities described in any Exhibit B to this statement, will you engage or are you engaging now in activity on your own behalf which benefits any or all of your foreign principals?  Yes \( \subseteq \text{No} \subseteq \text{No} \subseteq \text{If yes, describe fully.} \)					
	IVFINANCIAL IN	FORMATION			
9. (a) <b>RECEIPTS-MONIES</b> During the period beginning 60 days prior to the date of your obligation to register <sup>2</sup> to the time of filing this statement, did you receive from any foreign principal named in Item 7 any contribution, income, or money either as compensation or for disbursement or otherwise? Yes \( \subsetential \) No \( \subseteq \)					
If yes, set forth below in the requ	If yes, set forth below in the required detail and separately for each such foreign principal an account of such monies. <sup>3</sup>				
Foreign Principal	Date Received	Purpose	Amount		
			Total		

<sup>1</sup> The term "foreign principal," as defined in Section 1(b) of the Act, includes a foreign government, foreign political party, foreign organization, foreign individual and, for the purpose of registration, an organization or an individual any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual.

 $<sup>2\,</sup>$  An agent must register within ten days of becoming an agent, and before acting as such.

<sup>3</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. There is no printed form for this exhibit. (See Rule 201(e), 28 C.F.R. § 5.201(e)).

	(b) <b>RECEIPTS-THINGS OF VALUE</b> During the period beginning 60 days prior to the date of your obligation to register <sup>4</sup> to the time of filing this statement, did you receive from any foreign principal named in Item 7 anything of value <sup>5</sup> other than money, either as compensation, or for disbursement, or otherwise? Yes □ No □				
	If yes, furnish the fol	lowing information:			
	Foreign Principal	Date F	Received	Thing of Value	Purpose
10.	(a) DISBURSEMEN	T-MONIES			
	During the period beginning 60 days prior to the date of your obligation to register <sup>6</sup> to the time of filing this statement, did you spend or disburse any money in furtherance of or in connection with your activities on behalf of any foreign principal named in Item 7?  Yes  No				
	If yes, set forth below in the required detail and separately for each such foreign principal named including monies transmitted, if any, to each foreign principal.				
	Date	To V	Whom	Purpose	Amount
	(b) <b>DISBURSEMENTS-THINGS OF VALUE</b> During the period beginning 60 days prior to the date of your obligation to register <sup>7</sup> to the time of filing this statement, did you dispose of any thing of value <sup>8</sup> other than money in furtherance of or in connection with your activities on behalf of any foreign principal named in Item 7? Yes □ No □  If yes, furnish the following information:				
	Date	Recipient	Foreign Principal	Thing of Value	Purpose
	(c) <b>DISBURSEMENTS-POLITICAL CONTRIBUTIONS</b> During the period beginning 60 days prior to the date of your obligation to register <sup>9</sup> to the time of filing this statement, did you, the registrant, or any short form registrant, make any contribution of money or other thing of value from your own funds and on your own behalf in connection with an election to any political office or in connection with any primary election, convention, or caucus held to select candidates for any political office?  Yes \( \sum \) No \( \sum \)				
	If yes, furnish the fol	lowing information:			
	Date Amo	unt or Thing of Value	Political Organizatio	n or Candidate	Location of Event

<sup>4, 6, 7</sup> and 9 See Footnote 2, on page 3.
5 and 8 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks", and the like.

# V--INFORMATIONAL MATERIALS 10

11. Will the activities of the registrant on behalf of any foreig materials? Yes ☐ No ☐	n principal include the preparation or dissemination of informational
IF YES, RESPOND TO THE REMAINING ITEMS IN T	THIS SECTION V.
12. Identify each such foreign principal.	
13. Has a budget been established or specified sum of money informational materials? Yes □	allocated to finance your activities in preparing or disseminating No $\square$
If yes, identify each such foreign principal, specify amour	nt and for what period of time.
14. Will any public relations firms or publicity agents particip  Yes □ No □	pate in the preparation or dissemination of such informational materials
If yes, furnish the names and addresses of such persons or	firms.
1	
15. Activities in preparing or disseminating informational ma	terials will include the use of the following:
☐ Radio or TV broadcasts ☐ Magazine or newspaper	☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☐ Press releases	☐ Pamphlets or other publications ☐ Lectures or speeches
Other (specify)	
Electronic Communications  □ Email □ Website URL(s):	
☐ Social media website URL(s):	
Other (specify)	
16. Informational materials will be disseminated among the fo	ollowing groups:
☐ Public officials	Civic groups or associations
☐ Legislators	Libraries
<ul><li>☐ Government agencies</li><li>☐ Newspapers</li></ul>	<ul><li>☐ Educational groups</li><li>☐ Nationality groups</li></ul>
☐ Editors	Other (specify)
17. Indicate language to be used in the informational material  English	s:  Other (specify)

<sup>10</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

### VI--EXHIBITS AND ATTACHMENTS

- 18. (a) The following described exhibits shall be filed with an initial registration statement:
  - Exhibit A- This exhibit, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal named in Item 7.
  - Exhibit B- This exhibit, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.
  - (b) An Exhibit C shall be filed when applicable. This exhibit, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530. (See Rule 201(c) and (d)).
  - (c) An Exhibit D shall be filed when applicable. This exhibit, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal. (See Rule 201 (e)).

#### VII--EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)	(Print or type name under each signature or provide electronic signa	(Print or type name under each signature or provide electronic signature <sup>11</sup> )		
		_		
		_		
	<del></del>	_		

<sup>11</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.