

SUPPORTING STATEMENT FOR APPROVAL

A. Justification

1. Legal authority for this information collection is found in the Better Utilization of Investments Leading to Development Act of 2018 (the “BUILD Act”), Public Law 115-254.
2. DFC-009 Aligned Capital Investor Screener is a document used to screen potential investors interested in participating in the U.S. Development Finance Corporation’s (“DFC”) Aligned Capital Program and, if they qualify, to place their information in the program. The Aligned Capital Program is designed to align development finance with other capital, including philanthropic, socially responsible and impact investment, to enable effective deployment of that capital towards projects in the countries and sectors in which the agency works. In order to participate, investors must meet the specified criteria.
3. This form is completed with the use of a web-based application. This provides a secure and efficient means for applicants to transmit the required information to the agency.
4. The information collected is not duplicated elsewhere. The information is not already available in any other electronic form and would not be requested by another federal agency or lending institution since it is applicable solely to the Aligned Capital Program.
5. The parties completing this form are participating in an optional program. The same questions are asked of all applicants and require only brief answers.
6. The Aligned Capital Program is an automated system that matches potential investors with potential projects in need of investment. It would not be possible to implement this program without a form to collect the information.
7. No special circumstances exist that require the information collection to be conducted in a manner inconsistent with the guidelines of the Paperwork Reduction Act and OMB's implementing regulations.
8. The first Federal Register Notice was published on March 22, 2019 (84 FR 10843). The agency received public comments. The agency did not make edits to the information collection pursuant to the public comments received. The second Federal Register Notice was published on June 21, 2019 (84 FR 29249).
9. No payments and gifts are offered to respondents.

10. When trade secrets or confidential commercial or financial information are submitted to the agency in this collection, they will be held in confidence to the extent permitted by applicable law including the Freedom of Information Act (“FOIA”) at 5 U.S.C. section 552(b)(4) and the agency’s implementing regulations at 22 C.F.R. Part 706.
11. Not applicable. None of the questions on this form are of a sensitive nature.
12. The estimated burden of collecting this information is as follows:
50 respondents
x 1 per respondent
=50 total annual responses
x (0.33 hours per average respondent)
=16.5 respondent hours
x \$75/hour for personnel, record-keeping, overhead
\$1,237.50 total cost to respondents
13. The estimated annualized cost to respondents is as follows:
(a) Total capital and start-up costs: \$0
(b) Total operation and maintenance costs: \$0.
All costs associated with the form are part of the normal cost of doing business. Since all submissions will be electronic and stored on DFC’s secure servers, there will be no postage or storage costs.
14. The estimated annualized cost to the Federal government is \$0. The responses to the Aligned Capital Investor Screener are matched with responses to the Aligned Capital Investee Opt-In (See DFC-010) by an automatic computer program. The responses will not be reviewed by DFC personnel.
15. This is a new information collection.
16. Not applicable. Information provided in the application is not published.
17. Not applicable. The OMB expiration date will be printed on each form.
18. Not applicable. No exceptions are being requested on the certification statement.