

IC No.	Information collection instrument	Estimated time for response (hours)	Estimated annual responses	Estimated annual burden hours	Rate (\$/hr)	Respondent cost
		(a)	(b)	(a) × (b) = (c)	(d)	(c) × (d) = (e)
1 .....	Recordkeeping Maintenance & Disclosure (includes advertisements, disclosure requirements relating to soliciting professional employment, notifications by non-attorney practitioner of inadvertently sent documents, and financial books and records such as trust accounts, fiduciary accounts, and operating accounts).	1	10,825	10,825	\$284	\$3,074,300
2 .....	Recordkeeping Maintenance Regarding Practitioners Under Suspension or Exclusion from the USPTO.	20	40	800	438	350,400
3 .....	Complaint/Violation Reporting .....	3	200	600	303	181,800
Total .....	.....	.....	11,065	12,225	.....	3,606,500

*Estimated Total Annual (Non-hour) Respondent Cost Burden:* \$1,244.35. There are no capital start-up or maintenance costs as well as no filing fees associated with this information collection. Any recordkeeping costs have been factored into the estimated hourly cost burden. There are, however, postage costs associated with this collection.

Customers may incur postage costs when submitting the items covered by this collection to the USPTO by mail. The USPTO expects that 20 percent of the Recordkeeping Maintenance & Disclosure item; 0 percent of the Recordkeeping Maintenance Regarding Practitioners Under Suspension or Exclusion item; and 25 percent of the Complaint/Violation Reporting item will be submitted electronically. The USPTO further expects that of the non-electronic submissions for this collection, 1 percent of each item's total responses will be submitted by hand delivery and the rest will be submitted by mail, for a total of 187 mailed submissions.

The average first-class USPS postage cost for a one-pound mailed submission in a flat-rate envelope and a three-pound mailed submission in a small flat-rate box are \$6.55 and \$7.05, respectively. 25 percent of the mailed Recordkeeping Maintenance & Disclosure items are expected to require the more expensive flat-rate box mailing option, while all other items are expected to be mailed using the flat-rate envelope. Using these numbers, the USPTO estimates that the postage costs for the mailed submissions in this collection will total \$1,244.35.

Therefore, the USPTO estimates that the total annual (non-hour) cost burden

for this collection, in the form of postage costs, is \$1,244.35 per year.

#### IV. Request for Comments

Comments are invited on:

(a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility;

(b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information, including the validity of the methodology and assumptions used;

(c) ways to enhance the quality, utility, and clarity of the information to be collected; and

(d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

Comments submitted in response to this notice will be summarized or included in the request for OMB approval of this information collection; they will also become a matter of public record.

#### Marcie Lovett,

Director, Records and Information Governance Division, Office of the Chief Administrative Officer, United States Patent and Trademark Office.

[FR Doc. 2018-26846 Filed 12-11-18; 8:45 am]

**BILLING CODE 3510-16-P**

## COMMODITY FUTURES TRADING COMMISSION

### Agency Information Collection Activities Under OMB Review

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice.

**SUMMARY:** In compliance with the Paperwork Reduction Act of 1995 (PRA), this notice announces that the Information Collection Request ("ICR") abstracted below has been forwarded to the Office of Management and Budget ("OMB") for review and comment. The ICR describes the nature of the information collection and its expected costs and burdens.

**DATES:** Comments must be submitted on or before January 11, 2019.

**ADDRESSES:** Comments regarding the burden estimate or any other aspect of the information collection, including suggestions for reducing the burden, may be submitted directly to the Office of Information and Regulatory Affairs ("OIRA") in OMB within 30 days of publication of this notice by either of the methods specified below. Please identify the comments by "OMB Control Numbers 3038-0023 and 3038-0072."

- *By email addressed to:* [OIRASubmissions@omb.eop.gov](mailto:OIRASubmissions@omb.eop.gov); or
- *By mail addressed to:* Office of Information and Regulatory Affairs, Office of Management and Budget, Attention Desk Officer for the Commodity Futures Trading Commission, 725 17th Street NW, Washington, DC 20503.

A copy of all comments submitted to OIRA should be sent to the Commodity Futures Trading Commission ("Commission") by any of the following methods. The copies should refer to

“OMB Control Numbers 3038–0023 and 3038–0072.”

- *By mail addressed to:* Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW, Washington, DC 20581;

- By Hand Delivery/Courier to the same address; or

- Through the Commission’s website at <http://comments.cftc.gov>. Please follow the instructions for submitting comments through the website.

Please submit your comments using only one method. All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <http://www.cftc.gov>. You should submit only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission’s regulations.<sup>1</sup> The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of your submission from <http://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted or removed that contain comments on the merits of the ICR will be retained in the public comment file and will be considered as required under the Administrative Procedure Act and other applicable laws, and may be accessible under the Freedom of Information Act.

A copy of the supporting statements for the collections of information discussed herein may be obtained by visiting <http://RegInfo.gov>.

**FOR FURTHER INFORMATION CONTACT:**

Christopher W. Cummings, Special Counsel, (202) 418–5445, [ccummings@cftc.gov](mailto:ccummings@cftc.gov), Division of Swap Dealer and Intermediary Oversight, Commodity Futures Trading Commission, 1155 21st Street NW, Washington, DC 20581, and refer to OMB Control Numbers 3038–0023 and 3038–0072.

**SUPPLEMENTARY INFORMATION:**

*Titles:* Registration Under the Commodity Exchange Act (OMB control number 3038–0023); Registration of Swap Dealers and Major Swap Participants (OMB control number 3038–0072). This is a request for extension and revision of these

currently approved information collections.

*Abstract:* In *Adoption of Revised Registration Form 8–R*, 82 FR 19665 (Apr. 28, 2017), the Commission published a revised version of Commission Form 8–R. The 8–R is the application form that individuals must use to register with the Commission as an associated person, floor broker, floor trader, floor trader order enterer, or to be listed as a principal of a registrant. Separately, in *Agency Information Collection Activities: Proposed Collection Revision, Comment Request: Adoption of Revised Registration Form 8–R and Cancellation of Form 3–R*, 82 FR 19663 (Apr. 28, 2017) (“60-Day Notice”), the Commission addressed the PRA implications of the revisions to Form 8–R. The 60-Day Notice also addressed the PRA implications associated with formally cancelling Commission Form 3–R, a separate registration form that became obsolete after its function became available online and the Commission stopped requiring its use. As indicated above, Form 8–R is covered by two OMB control numbers. OMB control number 3038–0023 applies to Form 8–R in connection with registering as a floor broker or floor trader, or registering as an associated person of, or being listed as a principal of, a futures commission merchant, retail foreign exchange dealer, introducing broker, commodity trading advisor, commodity pool operator, floor trader firm or leverage transaction merchant.<sup>2</sup> OMB control number 3038–0072 applies to Form 8–R in connection with applying to be listed as a principal of a swap dealer or major swap participant.<sup>3</sup> In connection with the revision of Form 8–R, the Commission is amending collections 3038–0023 and 3038–0072 to reflect a modest increase in the information collection burdens associated with the new version of Form 8–R. The Commission did not amend Form 7–R or its current burden, which is included as part of the overall burden estimates

<sup>2</sup> OMB control number 3038–0023 also covers Commission Forms 7–R, 7–W and 8–T in connection with various registration activities involving floor brokers, floor traders, futures commission merchants, retail foreign exchange dealers, introducing brokers, commodity trading advisors, commodity pool operators, floor trader firms or leverage transaction merchants, and their principals and associated persons, as applicable. Forms 7–R, 7–W and 8–T were not amended in connection with the revision of Form 8–R.

<sup>3</sup> OMB control number 3038–0072 also covers Commission Forms 7–R, 7–W and 8–T in connection with various registration activities involving swap dealers and major swap participants, and principals thereof. Forms 7–R, 7–W and 8–T were not amended in connection with the revision of Form 8–R.

of collections 3038–0023 and 3038–0072.

**I. Commission Form 8–R**

The Commission made three substantive changes to the Form 8–R that increased the existing information collection burden under the PRA: Two new questions were added to the “Fingerprint Card Information” section; a new question was added to the section titled “Disciplinary Information—Regulatory Disclosures;” and, lastly, one of the questions in the section titled “Disciplinary Information—Financial Disclosures” was expanded. Additionally, the revised Form 8–R also contains several other substantive modifications, as well as numerous grammatical, organizational and formatting changes.<sup>4</sup> These other changes, whether considered individually or in aggregate, do not alter the information collection burdens for Form 8–R. All of the changes to Commission Form 8–R that are summarized above are discussed in detail in the 60-Day Notice.

The collections of information related to Form 8–R were previously approved by OMB in accordance with the PRA under OMB control numbers 3038–0023 and 3038–0072. In the 60-Day Notice, the Commission addressed the PRA implications of the changes to Commission Form 8–R. Specifically, the Commission estimated that the three substantive changes to Form 8–R discussed above together add 0.1 hours to the existing information collection burden associated with Form 8–R, currently 0.8 hours, resulting in a new collection burden of 0.9 hours for Form 8–R, subject to the additional change to the collection burden discussed below. The information requested in Form 8–R is necessary to assess the applicant’s fitness to engage in business as a derivatives professional, subject to regulation and oversight by the Commission. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. As noted below, the Commission requested comments on the changes to Form 8–R.

<sup>4</sup> The Commission has recently made a series of additional non-substantive changes to Form 8–R. The FBI Privacy Act Statement and the Noncriminal Justice Applicant’s Privacy Rights Disclosure are added to Form 8–R. Both sets of disclosures are related to the preexisting requirement that applicants have their fingerprints taken in connection with registration. Also, there are several grammatical changes and changes to specific verbiage, none of which are substantive.

<sup>1</sup> 17 CFR 145.9.

## II. Commission Form 3-R

Firms and individuals historically were required to use Form 3-R to update or change the registration information that they previously supplied on either a Form 7-R or 8-R. The Commission no longer requires firms and individuals to use Form 3-R. Updating registration information is now accomplished online, directly via electronic versions of Commission Forms 7-R or 8-R. In light of the obsolescence of Form 3-R, the Commission proposed in the 60-Day Notice to formally cancel the 3-R for PRA purposes, and to reassign to Forms 7-R and 8-R the information collection burdens that had been associated with Form 3-R.

The collection of information related to Form 3-R was previously approved by OMB in accordance with the PRA under OMB control number 3038-0023. The information collection burden associated with Form 3-R is 0.1 hours. In reassigning that burden to Forms 7-R and 8-R, the Commission believes that an additional 0.1 hours should be assigned to the Form 7-R and 8-R.<sup>5</sup> The reassignment of the information collection burden for the Form 3-R simply reallocates a portion of the existing information collection burden within OMB control number 3038-0023, but it does not increase or decrease the total information collection burden under that control number. Accordingly, the information collection burden for Form 8-R under OMB control number 3038-0023 is 1.0 hour, which consists of the previous estimate of 0.8 hours, plus an additional 0.1 hours associated with the amendments to the 8-R, and plus an additional 0.1 hours associated with the functionality that previously could be attributed to Form 3-R.

OMB control number 3038-0072 previously did not account for the burden hours associated with Form 3-R. The information collection burden for Form 8-R under OMB control number 3038-0072 should be modified to address the fact that the functionality of former Form 3-R now exists in the electronic version of Form 8-R. The Commission believes that the burden hours for Form 8-R should be increased by 0.1 hours under OMB control number 3038-0072 as well. Accordingly, the information collection burden for Form 8-R under OMB control number 3038-0072 is 1.0 hour,

<sup>5</sup> The Commission anticipates revising its Form 7-R in the immediate future. The PRA implications of the amendments to Form 7-R for OMB control numbers 3038-0023 and 3038-0072, including accounting for burden hours associated with former Form 3-R, will be addressed at that time.

which consists of the previous estimate of 0.8 hours, plus an additional 0.1 hours associated with the amendments to the 8-R, and plus an additional 0.1 hours associated with the functionality that previously could be attributed to Form 3-R.

## III. Comments

In the 60-Day Notice, the Commission requested comments on, among other things, its estimates regarding the modified information collection burdens associated with the amendments to Form 8-R and the cancellation of Form 3-R. The Commission did not receive any comments that addressed any of its estimates or any other aspect of the information collection.

*Burden statement:* As explained above, the Commission believes that the revisions to Form 8-R increase the information collection burdens for that form under OMB control numbers 3038-0023 and 3038-0072. Additionally, the Commission believes that reassigning to Form 8-R the information collection burden formerly associated with Commission Form 3-R reallocates the existing information collection burdens within OMB control number 3038-0023, and therefore does not alter the total information collection burden under that control number. Lastly, the Commission believes that updating the information collection burden under OMB control number 3038-0072 to account for the fact that the functionality of former Form 3-R is included in Form 8-R, will cause an additional modest increase in the information collection burden under that control number.

The Commission estimates the burden of this collection of information under OMB control number 3038-0023 to be:

*Respondents/affected entities:* Users of Form 8-R, specifically (i) associated persons of futures commission merchants, retail foreign exchange dealers, introducing brokers, commodity trading advisors, commodity pool operators, and leverage transaction merchants; (ii) principals of futures commission merchants, retail foreign exchange dealers, introducing brokers, commodity trading advisors, commodity pool operators, floor trader firms, or leverage transaction merchants; (iii) floor brokers; (iv) floor traders; and (v) floor trader order enterers.

*Estimated number of respondents:* 78,055.<sup>6</sup>

<sup>6</sup> The revisions to Form 8-R do not change the estimated number of respondents. However, regarding the estimated number of respondents for OMB Control No. 3038-0023, the estimate in the

*Estimated total annual burden on respondents:* 7,735 hours.<sup>7</sup>

*Frequency of collection:* Periodically. There are no capital costs or operating and maintenance costs associated with this collection.

The Commission estimates the burden of this collection of information under OMB control number 3038-0072 to be:

*Respondents/affected entities:* Users of Form 8-R, specifically principals of swap dealers and of major swap participants.

*Estimated number of respondents:* 772.<sup>8</sup>

*Estimated total annual burden on respondents:* 672 hours.<sup>9</sup>

60-Day Notice incorrectly stated that there were 78,109 respondents, which was the total number of responses. The correct estimate at that time was 77,857. An additional 198 respondents were added under ICR Ref. No. 201604-3038-003, related to changes made by the Alternative to Fingerprinting Requirement For Foreign Natural Persons final rule. As a result, the total estimated number of respondents currently is 78,055.

<sup>7</sup> Regarding the estimated total annual burden hours on respondents, the estimate in 60-Day Notice was 7,210, which consisted of the previous burden of 7,030 (from ICR Ref. No. 201412-3038-002), which was temporarily rounded down to 7,000 for the purposes of calculating the burden associated with Form 8-R, plus an additional 210 hours attributable to the revisions to Form 8-R. The 30-day notice eliminates the temporary rounding utilized in the 60-day notice and adds the 30 burden hours back into the total. The 30-day notice also includes an additional 495 hours that were added to the collection under ICR Ref. No. 201604-3038-003, related to changes made by the Alternative to Fingerprinting Requirement For Foreign Natural Persons final rule. As a result, the estimated total annual burden on respondents currently is 7,735. This estimate includes the collection burdens associated with Forms 7-R, 7-W, 8-R and 8-T, based on the historical practice of the Commission of addressing the burden estimates in aggregate, rather than separately on a form-by-form basis, for all of the registration forms: Forms 7-R, 7-W, 8-R, and 8-W.

<sup>8</sup> Regarding the estimated number of respondents for OMB Control No. 3038-0072, the estimate in 60-Day Notice was 770. An additional 2 respondents were added to the collection under ICR Ref. No. 201603-3038-006, related to changes made by the Alternative to Fingerprinting Requirement For Foreign Natural Persons final rule. As a result, the total estimated number of respondents currently is 772. The revisions to Form 8-R do not change the estimated number of respondents.

<sup>9</sup> Regarding the estimated total annual burden on respondents, the estimate in 60-Day Notice was 648, which consisted of the previous burden of 629 (from ICR Ref. No. 201512-3038-015), plus an additional 19 hours attributable to the revisions to Form 8-R. An additional 5 hours were added under ICR Ref. No. 201603-3038-006, related to changes made by the Alternative to Fingerprinting Requirement For Foreign Natural Persons final rule. Also, an additional 19 hours are being added to account for the functionality in the Form 8-R that previously could have been attributed to the now-obsolete Form 3-R. As a result, the estimated total annual burden on respondents currently is 672. This estimate includes the collection burdens associated with Forms 7-R, 7-W, 8-R and 8-T, based on the historical practice of the Commission of addressing the burden estimates in aggregate, rather than separately on a form-by-form basis, for

Continued

*Frequency of collection:* Periodically.

There are no capital costs or operating and maintenance costs associated with this collection.

(Authority: 44 U.S.C. 3501 *et seq.*)

Dated: December 6, 2018.

**Robert Sidman,**

*Deputy Secretary of the Commission.*

[FR Doc. 2018-26848 Filed 12-11-18; 8:45 am]

BILLING CODE 6351-01-P

## CORPORATION FOR NATIONAL AND COMMUNITY SERVICE

### Agency Information Collection Activities; Submission to the Office of Management and Budget for Review and Approval; Comment Request; AmeriCorps Member Application; Proposed Information Collection; Comment Request

**AGENCY:** Corporation for National and Community Service.

**ACTION:** Notice.

**SUMMARY:** The Corporation for National and Community Service (CNCS) has submitted a public information collection request (ICR) entitled AmeriCorps Member Application for review and approval in accordance with the Paperwork Reduction Act.

**DATES:** Comments may be submitted, identified by the title of the information collection activity, by January 11, 2019.

**ADDRESSES:** Comments may be submitted, identified by the title of the information collection activity, to the Office of Information and Regulatory Affairs, Attn: Ms. Sharon Mar, OMB Desk Officer for the Corporation for National and Community Service, by any of the following two methods within 30 days from the date of publication in the **Federal Register**:

(1) By fax to: 202-395-6974, Attention: Ms. Sharon Mar, OMB Desk Officer for the Corporation for National and Community Service; or

(2) By email to: [smar@omb.eop.gov](mailto:smar@omb.eop.gov).

#### FOR FURTHER INFORMATION CONTACT:

Copies of this ICR, with applicable supporting documentation, may be obtained by calling the Corporation for National and Community Service, Erin Dahlin, at 202-606-6931 or by email to [edahlin@cns.gov](mailto:edahlin@cns.gov). Individuals who use a telecommunications device for the deaf (TTY-TDD) may call 1-800-833-3722 between 8:00 a.m. and 8:00 p.m. Eastern Time, Monday through Friday.

**SUPPLEMENTARY INFORMATION:** The OMB is particularly interested in comments which:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of CNCS, including whether the information will have practical utility;
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions;
- Propose ways to enhance the quality, utility, and clarity of the information to be collected; and
- Propose ways to minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated, electronic, mechanical, or other technological collection techniques or other forms of information technology.

#### Comments

A 60-day Notice requesting public comment was published in the **Federal Register** on October 2, 2018 at 83 FR 49550. This comment period ended December 3, 2018. No public comments were received from this Notice.

*Title of Collection:* AmeriCorps Member Application.

*OMB Control Number:* 3045-0054.  
*Type of Review:* Renewal.

*Respondents/Affected Public:* Individuals.

*Total Estimated Number of Annual Responses:* 250,000.

*Total Estimated Number of Annual Burden Hours:* 281,250.

*Abstract:* Currently, CNCS is soliciting comments concerning its proposed renewal of the AmeriCorps Member Application Form. Applicants will respond to the questions included in this ICR in order to apply to serve as AmeriCorps members. CNCS also seeks to continue using the current application until the revised application is approved by OMB. The current application is due to expire on December 31, 2018.

Dated: November 26, 2018.

**Erin Dahlin,**

*Acting Chief of Program Operations.*

[FR Doc. 2018-26905 Filed 12-11-18; 8:45 am]

BILLING CODE 6050-28-P

## DEPARTMENT OF DEFENSE

### Defense Acquisition Regulations System

[Docket DARS-2018-0057]

RIN 0750-AK36

### Defense Federal Acquisition Regulation Supplement: Contract Financing (DFARS Case 2019-D001); Public Meetings

**AGENCY:** Defense Acquisition Regulations System, Department of Defense (DoD).

**ACTION:** Announcement of public meetings.

**SUMMARY:** DoD is hosting several public meetings to obtain views of experts and interested parties in Government and the private sector regarding revising policies and procedures for contract financing, performance incentives, and associated regulations for DoD contracts.

#### DATES:

*Public Meeting Dates:* The public meetings will be held on the following dates:

- January 10, 2019, from 9:00 a.m. to 12:00 p.m., EST.
- January 22, 2019, from 1:30 p.m. to 4:30 p.m., EST.
- February 19, 2019, from 1:00 p.m. to 4:00 p.m., EST.

*Registration Dates:* Registration to attend the public meetings must be received no later than close of business on the following dates:

- January 3, 2019, for the meeting on January 10th.
- January 15, 2019, for the meeting on January 22nd.
- February 12, 2019, for the meeting on February 19th.

Information on how to register for the public meetings is provided in the **SUPPLEMENTARY INFORMATION** section of this notice.

**ADDRESSES:** The three public meetings will be held in the Mark Center Auditorium, 4800 Mark Center Drive, Alexandria, VA 22350-3603. The Mark Center Auditorium is located on level B-1 of the building.

**FOR FURTHER INFORMATION CONTACT:** Ms. Amy Williams, DPC/DARS, at 571-372-6106.

**SUPPLEMENTARY INFORMATION:** DoD is hosting several public meetings to obtain views of experts and interested parties in Government and the private sector regarding revising policies and procedures for contract financing, performance incentives, and associated regulations for DoD contracts.

*Registration:* To ensure adequate room accommodations and to facilitate