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| Business Entity ID Number | For PSD Use Only | PSD Bar Code Only |
|---------------------------|------------------|-------------------|

U.S. Department of Agriculture
 Agricultural Marketing Service
 Fair Trade Practices Program
 Packers and Stockyards Division

STATEMENT OF ASSETS, LIABILITIES, AND EQUITY SPECIAL REPORT

Instructions: If an item's value is zero, enter "0". If an item does not apply, enter "NA". If a line does not apply, enter "N/A". Return completed form to the appropriate P&SP regional office. See separate instructions for complete information about this report.

GENERAL INFORMATION - SECTION 1

| | | | | |
|-----|-----------------------------|-----|----------------|--|
| 101 | Reporting Date (mm/dd/yyyy) | | | |
| 102 | Entity Name | | | |
| 103 | Trade Name/d.b.a. | | | |
| 104 | Mailing Address | | | |
| 105 | City, State, Zip + 4 | | | |
| 106 | Operating Address | | | |
| 107 | City, State, Zip+4 | | | |
| 108 | Contact Name | | | |
| 109 | Contact Telephone | 111 | E-Mail Address | |
| 110 | Fax | 112 | Web Address | |

ASSETS, LIABILITIES, AND EQUITY - SECTION 2

ASSETS

| | | | | |
|---|------------|-----------|--|--|
| Current Assets: | | | | |
| Cash (Enter overdrafts on line 223) | 201 | \$ | | |
| Overage in Custodial Bank Account (Enter shortage on line 224) | 202 | \$ | | |
| Current Cash Equivalents (CD's, Savings, etc.) | 203 | \$ | | |
| Trade Accounts Receivable-Net (Due in 1 year or less): | | | | |
| Owners, Officers, and Affiliated Companies | 204 | \$ | | |
| All Others (Sources Not Related to Company) | 205 | \$ | | |
| Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total of lines 204 and 205) | 206 | \$ | | |
| Inventory: | | | | |
| Livestock Inventory | 207 | \$ | | |
| Meat and Meat Products Inventory | 208 | \$ | | |
| Other Inventory | 209 | \$ | | |
| Total Inventories (Total lines 207, 208 and 209) | 210 | \$ | | |
| Notes and Loans Receivable (Due in 1 year or less): | | | | |
| Owners, Officers, and Related Entities | 211 | \$ | | |
| Others (Sources Not Related to Company) | 212 | \$ | | |
| Total Notes and Loans Receivable (Due in 1 year or less) (Total lines 211 and 212) | 213 | \$ | | |
| Advances Made on Livestock, Meat and Meat Products | 214 | \$ | | |
| Other Current Assets | 215 | \$ | | |
| Total Current Assets (Total lines 201, 202, 203, 206, 210, 213, 214, and 215) | 216 | \$ | | |
| Property, Plant, & Equipment (Net of depreciation and amortization) | 217 | \$ | | |
| Other Assets: | | | | |
| Investments in subsidiaries and affiliated companies | 218 | \$ | | |
| Due from Owners, Officers, and Related Entities (Due in 1 year or more) | 219 | \$ | | |
| Other Assets | 220 | \$ | | |
| Total Other Assets (Total lines 218, 219, and 220) | 221 | \$ | | |
| TOTAL ASSETS (Total lines 216, 217, and 221) | 222 | \$ | | |

LIABILITIES

| | | | |
|---|-----|----|--------------|
| Current Liabilities: | | | |
| Overdraft | 223 | \$ | _____ |
| Shortage in Custodial Bank Account | 224 | \$ | _____ |
| Trade Account Payables | | | |
| Livestock Payables | 225 | \$ | _____ |
| Meat and Meat Products Payables | 226 | \$ | _____ |
| Other Payables to Owners, Officers, and Related Entities | 227 | \$ | _____ |
| Other Payables (Sources Not Related to Company) | 228 | \$ | _____ |
| Total Trade Account Payables (Total lines 225, 226, 227, and 228) | 229 | \$ | _____ |
| Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less) | | | |
| Owners, Officers, and Related Entities | 230 | \$ | _____ |
| Others (Sources Not Related to Company) | 231 | \$ | _____ |
| Total Current Maturities of Long-Term Debt (Total lines 230 and 231) | 232 | \$ | _____ |
| Other Current Liabilities | 233 | \$ | _____ |
| Total Current Liabilities (Total lines 223, 224, 229, 232, and 233) | | | 234 \$ _____ |
| Other Liabilities: | | | |
| Long -Term Debt, Less Current Maturities: (Due in 1 year or more) | | | |
| Owners, Officers, and Related Entities | 235 | \$ | _____ |
| Others (Sources Not Related to Company) | 236 | \$ | _____ |
| Total Long-Term Debt, Less Current Maturities (Total lines 235 and 236) | 237 | \$ | _____ |
| All Other Liabilities | 238 | \$ | _____ |
| TOTAL LIABILITIES (Total of lines 234, 237 and 238) | | | 239 \$ _____ |
| EQUITY | | | |
| Owner's Equity (Unincorporated) | 240 | \$ | _____ |
| OR | | | |
| Stock Issued (Incorporated) | 241 | \$ | _____ |
| Additional paid-in capital | 242 | \$ | _____ |
| Retained Earnings | 243 | \$ | _____ |
| TOTAL EQUITY (Total lines 240 through 243) | | | 244 \$ _____ |
| TOTAL LIABILITIES AND EQUITY (should equal line 222) | | | 245 \$ _____ |

FINANCING INFORMATION - SECTION 3

301 Is the entity liable for any contingent liabilities? Yes No If Yes, give brief explanation on line 302.

302 _____

303 At the end of the reporting period, were Accounts Receivable and/or Inventories, or any part thereof, pledged or assigned as collateral under a financing or loan agreement? Yes No

If the answer to 303 is "Yes", complete lines 304 through 308 for each financial lending institution. Attach additional sheets if more than one.

| | | |
|-----|-----------------------------|--|
| 304 | Name of Lending Institution | |
| 305 | Mailing Address | |
| 306 | City, State, Zip +4 | |
| 307 | Contact Name | |
| 308 | Contact Telephone Number | |

- 309 Are Inventories collateralized under the agreement? Yes No
- 310 Are Accounts Receivable collateralized under the agreement? Yes No
- 311 Enter borrowing limit under the agreement \$ _____
- 312 Enter borrowing payable as of the end of the reporting period \$ _____
- At any time during the year, Have you been notified by your financial institution you were in violation of any covenants of your financial agreement? Yes No
- 313 Yes No
- 314 If so notified, have you been provided a waiver from your financial institution? Yes No
- 315 Are any company owners, directors, officers or stockholders named as a guarantor under the agreement? Yes No
- 316 If company is a subsidiary of another, is the Parent Company named as a guarantor under the agreement? Yes No

Restrictions or covenants under financing or loan agreement

- 317 Minimum cash balance to be maintained on deposit \$ _____
- 318 Minimum working capital to be maintained. \$ _____

319 Other (describe) _____

Livestock Trust Ratio Calculation

Reference the instruction sheet in calculating your Livestock Trust ratio

320 Calculated Trust Ratio _____

CERTIFICATION - SECTION 4

Under the Packers and Stockyards Act any person who willfully makes or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment.

With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report.

| | | |
|------------------|----------|---------------|
| 401 Print Name | | 402 Signature |
| 403 Phone Number | 404 Date | 405 Title |

Submitted information is confidential (9 CFR 201.96). Failure to report will result in forfeiture to the United States \$559 per day until report receipt Section 10 of the FTC Act made applicable by Section 402 of the Act (7 U.S.C 222). Your response to this form is required under the Packers and Stockyards Act of 1921, as amended and supplemented (9 CFR 201.97 and 7 U.S.C. 181-229)

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0581-0308. The time required to complete this information collection is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

In accordance with Federal law and U.S. Department of Agriculture (USDA) civil rights regulations and policies, this institution is prohibited from discriminating on the basis of race, color, national origin, sex, age, disability, and reprisal or retaliation for prior civil rights activity. (Not all prohibited bases apply to all programs.) Persons with disabilities who require alternative means of communication for program information (e.g., Braille, large print, audiotape, American Sign Language, etc.) should contact the responsible State or local Agency that administers the program or USDA’s TARGET Center at (202) 720-2600 (voice and TTY) or contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information is also available in languages other than English.

To file a complaint alleging discrimination, complete the USDA Program Discrimination Complaint Form, AD-3027, found online at http://www.ascr.usda.gov/complaint_filing_cust.html, or at any USDA office or write a letter addressed to USDA and provide in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed form or letter to USDA by: (a) mail: U.S. Department of Agriculture, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, SW, Washington, D.C. 20250-9410; (b) fax: (202) 690-7442; or (c) email: program.intake@usda.gov.

**Instructions to Complete
Statement of Assets, Liabilities, and
Equity Special Report
Form PSD 7002**

As an individual, partnership, corporation, or association engaged in business as a market agency selling livestock on a commission basis, you are required to file a special report with the Packers and Stockyards Division (PSD) on Form PSD 7002, Statement of Assets, Liabilities, an Equity Special Report.

You must file the form by the date shown in the letter notifying you to file the special report. You may be fined \$559 for each day the report remains delinquent. If space provided for any item is not sufficient, attach additional pages containing the information and make reference to the line number on the form. **All information must be completed on this form in its entirety. Failure to do so will result in the form being returned incomplete.**

Submit the completed form to the regional office of the Packers and Stockyards Division as listed below. The states, provinces, and territories covered by each regional office are listed below the regional office’s address.

| Regional Offices of the Packers and Stockyards Division Agricultural Marketing Service, Fair Trade Practices Program | | |
|--|---|--|
| Atlanta Regional Office 75 Ted Turner Drive SW, Ste 230 Atlanta, GA 30303-3308 Telephone: (404) 562-5840 FAX: (404) 562-5848 E-mail: PSDAtlantaGA@usda.gov | Denver Regional Office 3950 Lewiston St., Suite 200 Aurora, CO 80011-1556 Telephone: (303) 375-4240 FAX: (303) 371-4609 E-mail: PSDDenverCO@usda.gov | Des Moines Regional Office 210 Walnut Street, Room 317 Des Moines, IA 50309-2110 Telephone: (515) 323-2579 FAX: (515) 323-2590 E-mail: PSDDesMoinesIA@usda.gov |
| States Covered | States Covered | States Covered |
| AL, AR, CT, DC, DE, FL, GA, LA, MA, MD, ME, MS, NC, NH, NJ, NL, NY, PA, PR, QC, RI, SC, TN, VA, VT, WV | AB, AK, AZ, BC, CA, CO, HI, ID, KS, MT, NM, NV, OK, OR, SK, TX, UT, WA, WY | IA, IL, IN, KY, MB, MI, , MN, MO, ND, NE, OH, ON, SD, WI |

If you have any questions about the form or completing the form, please contact the regional office of the Packers and Stockyards Division that covers your state, province, or territory, as listed above.

SECTION-BY-SECTION INSTRUCTIONS

FORM HEADER –BUSINESS ENTITY ID

Business Entity ID should be completed using information provided by PSD. If the information is not already completed on the form, please contact your Regional PSD office listed above to obtain the number.

| Line No. | Subject | Instruction |
|--|--|---|
| GENERAL INFORMATION - SECTION | | |
| 101 a and b | Reporting Date (mm/dd/yyyy) | Enter the assets, liabilities and equity analysis date. This is the date required in the letter provided by PSD. |
| 102 | Entity Name | Enter the name under which you are registered with PSD. |
| 103 | Trade Name/d.b.a | Enter the trade name under which you operate. This is the name the business uses, if applicable. If you do not operate with a "Trade Name", enter "N/A". |
| 104 and 105 | Mailing Address | Enter your mailing address. Enter street, city, state, and zip+4 code. This is the address where all correspondence from the PSD should be sent. |
| 106 and 107 | Operating Address | Enter the physical location of your operating address. Enter street, city, state, and zip +4 code. This is the address where you conduct your business services. |
| 108 | Contact Name | Enter the name of the person to be contacted regarding questions on this report. |
| 109 | Contact Telephone Number | Enter the telephone number where the contact may be reached. |
| 110 | Fax Number | Enter the Fax number used by the entity. |
| 111 | E-Mail Address | Enter the entity's e-mail address. |
| 112 | Web Site Address (if applicable) | Enter the complete Web site address the business operates. For example: www.WebSiteName@domain.com |
| STATEMENT OF ASSETS, LIABILITIES AND EQUITY – SECTION 2 | | |
| 201 | Cash | Enter the total book balances of all bank accounts. An overdraft should be reported on line 223. |
| 202 | Custodial Account Overage | Enter the dollar amount of overage from analysis of Custodial Account. (A shortage should be reported on line 224.) |
| 203 | Cash Equivalents | Enter the dollar value of any current cash investments (savings accounts, securities, CD's, etc.). Include only those marketable securities that represent the cash available for current operations and not otherwise pledged as security. |
| 204 | Accounts Receivables from Owners, Officers, and Affiliated Companies | Enter the dollar amounts owed by owners, officers, or affiliated companies as a result of delivering goods or services, and extending credit in the ordinary course of business. |
| 205 | Accounts Receivables from All Others | Enter the dollar amounts owed by customers as a result of delivering goods or services, and extending credit in the ordinary course of business. |
| 206 | Total Trade Accounts Receivable-Net (Due in 1 year or less) | Enter the sum total of lines 204 and 205. |
| 207 | Livestock Inventory | Enter the dollar amount of livestock held for the purpose of sale to customers. |
| 208 | Meat and Meat Products Inventory | Enter the dollar amount of meat and meat food products inventory. |

| Line No. | Subject | Instruction |
|-----------------|--|---|
| 209 | Other Inventory | Enter any merchandise, raw materials, work in process, finished goods, operating supplies and ordinary maintenance materials and parts other than livestock, to be consumed in the business during the next year. |
| 210 | Total Inventories | Enter the sum total of lines 207, 208 and 209. |
| 211 | Notes Receivable from Owners Officers, Related Entities | Enter the total dollar value owed to the company by owners, officers, or related entities that is due in 1 year or less. |
| 212 | Notes Receivable from All Others | Enter the total dollar value owed to the company by other than owners, officers or related entities that is due in 1 year or less. |
| 213 | Total Notes and Loans Receivable (Due in 1 year or less) | Enter the sum total of lines 211 and 212. |
| 214 | Advances on Livestock and Meat | Enter the dollar value of cash advances given for the purchase of livestock, meat, and meat products. |
| 215 | Other Current Assets | Enter the dollar value of prepaid expenses and other assets expected to be converted to cash or sold or consumed during the next year. |
| 216 | Total Current Assets | Enter the sum total of lines 201, 202, 203, 206, 210, 213, 214, and 215. |
| 217 | Plant, Property and Equipment | Enter the dollar value of land, buildings, plant, equipment and amortizable assets less accumulated depreciation and amortization. |
| 218 | Investments in subsidiaries and related companies | Enter the total dollar value of any investments in subsidiaries and related companies. |
| 219 | Due from owners, officers and related entities | Enter the dollar value due from owners, officers, and related entities that is expected to be received in more than 1 year from the reporting date of this report. |
| 220 | Other Assets | Enter the total dollar value of all others assets expected to be converted to cash, or sold or consumed in more than 1 year from the reporting date of this report. |
| 221 | Total Other Assets | Enter the sum total of lines 218, 219, and 220. |
| 222 | Total Assets | Enter the sum total of lines 216, 217, and 221. |
| 223 | Overdraft | Enter the total overdraft balances of all bank accounts, except custodial accounts. |
| 224 | Custodial Account Shortage | Enter the dollar amount of shortage from the Custodial Account analysis. |
| 225 | Livestock Payables | Enter the total dollar amount you owe for livestock. |
| 226 | Meat and Meat Products | Enter the total dollar amount you owe for meat and meat products |
| 227 | Other Payables to Owners, Officers, and Related Entities | Enter the total dollar amount you owe to owners, officers, and related entities, for other than livestock. |
| 228 | Other Payables | Enter the total dollar amount you owe to all vendors for other than livestock. |

| Line No. | Subject | Instruction |
|--|--|---|
| 229 | Total Payables | Enter the sum total of lines 225, 226, 227, and 228. |
| 230 | Current Maturities of Long-Term Debt related to Owners, Officers and Related Entities | Enter dollar amount of notes and loans due by you to owners, officers, and related entities in 1 year or less. |
| 231 | Current Maturities of Long-Term Debt related to Others | Enter the dollar amount of notes and loans due by you to other than owners, officers, and related entities in 1 year or less. |
| 232 | Total Current Maturities of Long Term Debt | Enter the sum total of lines 230 and 231. |
| 233 | Other Current Liabilities | Enter the total dollar value of any current liabilities due within 1 year of the reporting date of this report. |
| 234 | Total Current Liabilities | Enter the sum total of lines 223, 224, 229, 232, and 233. |
| 235 | Long-Term Debt, less Current Maturities related to Owners, Officers, and Related Entities | Enter the total dollar amount of notes, mortgages, bonds, and installments that are payable to owners, officers, and related entities after 1 year. |
| 236 | Long-Term Debt, less Current Maturities related to Others (Sources Not Related to Company) | Enter the total dollar amount of notes, mortgages, bonds, and installments that are payable to other than owners, officers, and related entities after 1 year. |
| 237 | Total Long-Term Debt, Less Current Maturities | Enter the sum total of lines 235 and 236. |
| 238 | All Other Liabilities | Enter all other liabilities owed after 1 year. |
| 239 | Total Liabilities | Enter the sum total of lines 234, 237, and 238. |
| 240 | Owner's Equity (Unincorporated) | If unincorporated, enter the dollar amount of the owner's net worth. |
| 241 | Stock Issued | If incorporated, enter the dollar amount of stock issued. |
| 242 | Additional paid-in capital | If incorporated, enter the dollar value of any additional paid-in capital. |
| 243 | Retained Earnings | If incorporated, enter the retained earnings. |
| 244 | Total Equity | Enter the sum of lines 240 through 243 |
| 245 | Total Liabilities and Equity | Enter the sum total of lines 239 and 244 (should equal line 222). |
| FINANCING INFORMATION – SECTION 3 | | |
| 301 | Contingent Liabilities | Check appropriate box indicating whether your firm has contingent Liabilities |
| 302 | Describe financial obligations | Briefly explain the possible direct financial obligations which are unsettled as to the certainty of the liability and the amount. |
| 303 | Pledged as Collateral | Check appropriate box indicating whether your firm had Accounts Receivable and/or Inventories or any part thereof, pledged or assigned as collateral under a financing agreement. |

| Line No. | Subject | Instruction |
|----------------------------------|---|---|
| 304 | Financial Institution with Security Interests | Enter the name of the financial institution that has security interests in your firm. |
| 305 through 308 | Name, Address, City, State, Zip+4, Contact Name, Contact Number | Enter the name, mailing address, contact name, and contact telephone number of the financial institution with a security interest in your firm. |
| 309 | Inventories Collateralized | Check appropriate box to indicate whether inventories are collateralized under the agreement. |
| 310 | Accounts Receivable Collateralized | Check appropriate box to indicate whether accounts receivable are collateralized under the agreement. |
| 311 | Borrowing Limit | Enter the dollar value of the borrowing limit under the agreement. |
| 312 | Borrowing Payable | Enter the dollar value of the borrowing payable as of the end of the reporting period. |
| 313 | Violation of Financial Agreement | Check appropriate box indicating whether you received notification you were in violation of any covenants of your financial agreement. |
| 314 | Waiver Provided | If line 313 is Yes, check appropriate box indicated financial institution provided a waiver. |
| 315 | Owners, Directors, Officers or Stockholder as Guarantors | Check appropriate box indicating whether or not any company owners, directors, officers or stockholders are named as a guarantor under the agreement. |
| 316 | Parent Company Named as Guarantor | Check appropriate box indicating whether or not the parent company is named as a guarantor under the agreement. |
| 317 | Minimum Cash Balance | Enter the dollar value of the minimum cash balance required to be maintained on deposit. |
| 318 | Minimum Working Capital | Enter the dollar value of the minimum working capital required to be maintained. |
| 319 | Other | Enter any other restrictions or covenants under the financing or loan agreement. |
| 320 | Livestock Trust Ratio | To calculate your Livestock Trust ratio, add Cash, Short-Term Securities (Certificate of Deposits, Money Market accounts, etc.) Account Receivables, and Livestock and Meat Inventories together, as of the analysis date. Divide this number by the amount of livestock Account Payables as of the analysis date. Enter this amount. (Add lines 201, 202, 203, 206, 207, and 208 and divide by line 225) |
| CERTIFICATION – SECTION 7 | | |
| 401 | Print Name | Print the name of the owner, officer, partner, or member responsible for this report. |
| 402 | Signature | The report must be signed a responsible person. |
| 403 | Phone number | Enter the phone number of the person signing the report |
| 404 | Date | Enter the date the report is signed. |
| 405 | Title | Enter the title of the person signing the report. |