**SUPPORTING STATEMENT**

**Part A**

Medical Expenditure Panel Survey (MEPS) Household Component and the MEPS Medical Provider Component

**Version:** June, 2019

Revision of Previously Approved OMB #0935-0118, November 2018; expiration date 11/30/21

Agency of Healthcare Research and Quality (AHRQ)

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# A. Justification

This request is for an update to previously submitted OMB supporting statements for the renewal of the OMB clearance for the data collections of the Household and Medical Provider Components of the Medical Expenditure Panel Survey (MEPS) which was approved in November 2018 and has an expiration date of November 30, 2020. The MEPS Household Component (MEPS-HC) and Medical Provider Component (MEPS-MPC) are two of three components of the MEPS.

* Household Component: A sample of households participating in the National Health Interview Survey (NHIS) in the prior calendar year are interviewed 5 times over a 2 and one half (2.5) year period. These 5 interviews yield two years of information on use of, and expenditures for, health care, sources of payment for that health care, insurance status, employment, health status and health care quality.
* Medical Provider Component: The MEPS-MPC collects information from medical and financial records maintained by hospitals, physicians, pharmacies and home health agencies named as sources of care by household respondents.
* Insurance Component (MEPS-IC): The MEPS-IC collects information on establishment characteristics, insurance offerings and premiums from employers. The MEPS-IC is conducted by the Census Bureau for AHRQ and is cleared separately.

The previous OMB clearance request for the MEPS was approved November, 2018 with an expiration date of November 30, 2021. We propose updating the MEPS –HC by 1) adding a section to the 2020 self-administered questionnaire (SAQ, Male/Female) that will include questions on mental health, 2) collecting a health insurance cost-sharing document and 3) implementing a pilot study to evaluate the potential effectiveness of including a sample of NHIS nonrespondents in future MEPS panels as a strategy to improve the overall MEPS response rate. The total estimated annual burden hours for the MEPS will increase from 77,666 hours in the previous clearance to 84,930 hours in this clearance request, an increase of 7,264 hours. These are one time additions due to available funding in FY2019.  If additional funds are available in 2020 (or futures years), response rates and data quality, as well as competing funding priorities will be the metrics used to determine if similar activities should be funded.

## 1. Circumstances that make the collection of information necessary

The mission of the Agency for Healthcare Research and Quality (AHRQ) is to produce evidence to make health care safer, higher quality, more accessible, equitable and affordable, and to work within the U.S. Department of Health and Human Services and with other partners to make sure that the evidence is understood and used.

AHRQ shall promote health care quality improvement by:

1. collecting data on and producing measures of the quality, safety, effectiveness, and efficiency of American health care and health care systems; and

2. fostering the development of knowledge about improving health care, health care systems, and capacity; and

3. partnering with stakeholders to implement proven strategies for health care improvement.

Also, AHRQ shall conduct and support research and evaluations, and support demonstration projects, with respect to (A) the delivery of health care in inner-city areas, and in rural areas (including frontier areas); and (B) health care for priority populations, which shall include (1) low-income groups, (2) minority groups, (3) women, (4) children, (5) the elderly, and (6) individuals with special health care needs, including individuals with disabilities and individuals who need chronic care or end-of-life health care.

## 2. Purpose and Use of Information

The MEPS is a multi-purpose survey. In addition to collecting data to yield annual estimates for a variety of measures related to health care use and expenditures, MEPS also provides estimates of measures related to health status, consumer assessment of health care, health insurance coverage, demographic characteristics, employment and access to health care indicators. Estimates can be provided for individuals, families and population subgroups of interest. Data obtained in this study are used to provide, among others, the following national estimates:

* annual estimates of health care use and expenditures for persons and families
* annual estimates of sources of payment for health care utilizations, including public programs such as Medicare and Medicaid, private insurance, and out of pocket payments
* annual estimates of health care use, expenditures and sources of payment of persons and families by type of utilization including inpatient stay, ambulatory care, home health, dental care and prescribed medications
* the number and characteristics of the population eligible for public programs including the use of services and expenditures of the population(s) eligible for benefits under Medicare and Medicaid
* the number, characteristics, and use of services and expenditures of persons and families with various forms of insurance
* annual estimates of consumer satisfaction with health care, and indicators of health care quality for key conditions
* annual estimates to track disparities in health care use and access

In addition to national estimates, data collected in this ongoing longitudinal study are used to study the determinants of the use of services and expenditures, and changes in the access to and the provision of health care in relation to:

* socio-economic and demographic factors such as employment or income
* the health status and satisfaction with health care of individuals and families
* the health needs and circumstances of specific subpopulation groups such as the elderly and children

To meet the need for national data on health care use, access, cost and quality, MEPS-HC collects information on:

* access to care and barriers to receiving needed care
* satisfaction with usual providers
* health status and limitations in activities
* medical conditions for which health care was used
* use, expense and payment (as well as insurance status of person receiving care) for health services

Given the twin problems of nonresponse and response error of some household reported data, information is collected directly from medical providers in the MEPS-MPC to improve the accuracy of expenditure estimates derived from the MEPS-HC. Because of their greater level of precision and detail, we also use MEPS-MPC data as the main source of imputations of missing expenditure data. Thus, the MEPS-MPC is designed to satisfy the following analytical objectives:

* Serve as source data for household reported events with missing expenditure information
* Serve as an imputation source to reduce the level of bias in survey estimates of medical expenditures due to item nonresponse and less complete and less accurate household data
* Serve as the primary data source for expenditure estimates of medical care provided by separately billing doctors in hospitals, emergency rooms, and outpatient departments, Medicaid recipients and expenditure estimates for pharmacies
* Allow for an examination of the level of agreement in reported expenditures from household respondents and medical providers

Data from the MEPS, both the HC and MPC components, are intended for a number of annual reports produced by AHRQ, including the National Healthcare Quality and Disparities Report.

***Medical Expenditure Panel Survey (MEPS) Household Component (HC)***

For over thirty years, results from the MEPS and its predecessor surveys have been used by OMB, DHHS, Congress and a wide number of health services researchers to analyze health care use, expenses and health policy.

The MEPS is needed to provide information about the current state of the health care system as well as to track changes over time. The MEPS permits annual estimates of use of health care and expenditures and sources of payment for that health care. It also permits tracking individual change in employment, income, health insurance and health status over two years. The use of the NHIS as a sampling frame expands the MEPS analytic capacity by providing another data point for comparisons over time.

Households selected for participation in the MEPS-HC are interviewed five times in person. These rounds of interviewing are spaced about 5 months apart. The interview will take place with a family respondent who will report for him/herself and for other family members.

The MEPS-HC has the following goal:

* To provide nationally representative estimates for the U.S. civilian noninstitutionalized population for:
* health care use, expenditures, sources of payment
* health insurance coverage (annual only)
	+ annual health insurance estimates are the only published MEPS health insurance estimates currently; MEPS point in time health insurance estimates were last published with 2014 MEPS data[[1]](#footnote-2)
	+ MEPS plans to add new health insurance verification questions based on CPS health insurance verification questions. These questions were cognitive tested in 2017.

To achieve the goals of the MEPS-HC the following data collections are implemented:

* **Household Component Core Instrument.** The core instrument collects data about persons in sample households. Topical areas asked in each round of interviewing include priority condition enumeration, health status, health care utilization including prescribed medicines, expenses and payments, employment, and health insurance. Other topical areas that are asked only once a year include access to care, income, assets, satisfaction with providers, and children's health. While many of the questions are asked about the entire reporting unit (RU), which is typically a family, only one person normally provides this information. All sections of the current core instrument are available on the AHRQ website at <http://meps.ahrq.gov/mepsweb/survey_comp/survey_questionnaires.jsp> (Attachments 29 to 71).

**Adult Self-Administered Questionnaire**. A brief self-administered questionnaire (SAQ) will be used to collect self-reported (rather than through household proxy) on health opinions and satisfaction with health care, and information on health status, preventive care and health care quality measures for adults 18 and older. The Adult Female SAQ/Adult Male SAQ (PSAQ) (Attachment 19 and 20) was not included in the previous OMB clearance package for the MEPS Household Component and Medical Provider Component but received clearance on May 9, 2018 in a subsequent OMB submission. The Adult SAQ (SAQ) has not changed from the previous MEPS Household Component and Medical Provider Component OMB submission that received clearance on December 15, 2015. Gender does get collected but only as a byproduct of verification that respondent has gotten the correct SAQ.  Question 1 is ensuring the respondent receives the correct SAQ.  For example, if a male receives the female SAQ by mistake, they would call Alex Scott so they could receive the correct SAQ.  However, if a person gets the right SAQ for their gender, they skip past calling Alex Scott and would move onto the next question in the SAQ.MEPS male/female data is preloaded data from the NHIS survey (which is the survey from which MEPS obtains its sample frame) which asks, “Are you male or female?”  The MEPS interviewer verifies this preloaded information with the respondent if it is not obvious to the interviewer.  If the interviewer does need to ask the “Are you male or female?” question during the CAPI and the respondent refuses to answer or answers don’t know, female is selected as default because a value of male or female is needed for the capi interview to continue.  In 2018, 6 people refused to answer the capi question, “Are you male or female?” NHIS functions in a similar way as MEPS in terms of this question except they do not have preloaded information from another survey and have to ask the question. “Neither” is not a valid response to this question in MEPS or NHIS.

The PSAQ is administered based off of the preloaded or corrected reported Male/Female CAPI information and the first question of the PSAQ is “Are you Male or Female?” to ensure the respondent received the correct PSAQ and includes prompts on next steps depending on their answer.  In 2018, 74 respondents had a reported capi gender different than the gender reported for the PSAQ they filled out but we are unable to tease the reason for the discrepancy (e.g., if a person received the wrong PSAQ or if a person identifies differently than with the reported capi gender).

* **Diabetes Care SAQ**. There are no change in this instrument. A brief self-administered paper-and-pencil questionnaire on the quality of diabetes care is administered once a year (during rounds 3 and 5) to persons identified as having diabetes. Included are questions about the number of times the respondent reported having a hemoglobin A1c blood test, whether the respondent reported having his or her feet checked for sores or irritations, whether the respondent reported having an eye exam in which the pupils were dilated, the last time the respondent had his or her blood cholesterol checked and whether the diabetes has caused kidney or eye problems. Respondents are also asked if their diabetes is being treated with diet, oral medications or insulin. This questionnaire is unchanged from the previous OMB clearance (Attachments 22 and 23).
* **Authorization forms for the MEPS-MPC Provider and Pharmacy Survey**. There is no change in this instrument. As in previous panels of the MEPS, we will ask respondents for authorization to obtain supplemental information from their medical providers (hospitals, physicians, home health agencies and institutions) and pharmacies (Attachments 24 and 25).
* **MEPS Validation Interview**. There is no change in this instrument. Each interviewer is required to have at least 15 percent of his/her caseload validated to insure that the computer assisted personal interview (CAPI) questionnaire content was asked appropriately and procedures followed, for example, the use of show cards.  Validation flags are set programmatically for cases pre-selected by data processing staff before each round of interviewing.  Home office and field management may also request that other cases be validated throughout the field period.  When an interviewer fails a validation their work is subject to 100 percent validation. Additionally, any case completed in less than 30 minutes is validated. A validation abstract form containing selected data collected in the CAPI interview is generated and used by the validator to guide the validation interview (Attachment 26).

 **Mental Health Questions Added to SAQ (Male/Female)**. MEPS will include questions addressing issues in regards to an individual’s mental health and mental health treatment including mental health status, access to care, barriers to care, experiences with care, and use of peer support and other services to the SAQ for administration during the summer of 2020 with data collection targeting the adult (age 18 and over) population. AHRQ worked with several experts in the mental health field to develop these questions and used their expertise to take advantage of already tested and widely accepted measures (Attachment 106 a and 106b). All mental health questions are either from Federal surveys or from instruments either recommended or developed by federal agencies.  For the cases in which we do not use NSDUH items, it’s because the NSDUH questions are too detailed and interconnected with other NSDUH items which we cannot replicate due to space constraints.  Especially for the substance use questions, the chosen scales are the best available to replace the much lengthier and detailed NSDUH questions given the space constraints.

**Mental Health SAQ Consultants**

|  |  |
| --- | --- |
| **Name** | **Affiliation** |
| Jonaki Bose | SAMHSA |
| Marguerite Burns | University of Wisconsin |
| Benjamin Cook | Harvard University |
| Daniel Eisenberg | University of Michigan |
| Rachel Garfield | Kaiser Family Foundation |
| Dominic Hodgkin | Brandeis University |
| Mark Olfson | Columbia University |
| Brendan Saloner | Johns Hopkins University |

* **Health Insurance Cost Sharing Collection.**

AHRQ is seeking to enhance data collection practices in the 2020 fielding of the MEPS-HC to collect more detailed health insurance cost-sharing information from respondents with current private insurance, Medicare Advantage, or Medicare Part D Prescription Drug plans. Specifically, we will ask respondents to provide a document for themselves and family members that includes information on plan deductibles, out-of-pocket maximums and other cost sharing details for specific services. An example of the type of document we are proposing to collect is the Summary of Benefits and Coverage (SBC). AHRQ worked with experts on a feasibility study to identify the best methods for collecting these types of documents in a way that would minimize respondent burden (OMB approval 0935-0124). AHRQ proposes to provide informational materials to respondents to help them identify the documents and also proposes to provide respondents with a $30 per plan, post-collection incentive to facilitate response and mitigate perceived additional burden (Attachment 107a, 107b, 107c, and 107d).

Health insurance cost sharing data collection experts

|  |  |
| --- | --- |
| **Name** | **Affiliation** |
| Angie Kistler | Westat |
| Ryan Hubbard | Westat |
| Kristen Corey | Econometrica |
| Jill Simmerman | Econometrica |

**Pilot Test on Sampling NHIS Nonrespondents**. This test will be conducted on 400 sampled addresses in a 6-8 selected MEPS primary sampling units (PSUs) in the 2020 spring data collection cycle. The sample households for this test will be drawn from nonrespondents to the 2019 NHIS (which are not currently part of the MEPS frame), and only the MEPS Round 1 interview will be administered. The purpose of the test is to evaluate the potential effectiveness of including a sample of NHIS nonrespondents in future MEPS panels to mitigate the impact of declining NHIS response rates on the overall MEPS response rate. The general trend of declining response rates for household surveys is problematic and this evaluation is designed to explore an avenue to stop further declines and potentially improve the overall MEPS response rate. The following items are key results from the pilot study of Evidence of Coverage/Summary of Benefits/Cost Sharing that were implemented on the proposed Health Policy Booklet Collection:

* Across insurance types, respondents said the image of the SBC provided in the instructions was helpful.
* Most “employer” respondents found documents online through insurer or employer portals.
* Given online availability of state and federal employer SBCs, it would be useful to include a special search instruction for state and federal employees.
* Focusing the request and instructions on finding specific documents (SBC, evidence of coverage) instead of making a more general request may enhance response.
* Providing an incentive would be in keeping with the feasibility study design and is likely to enhance response.

***Medical Expenditure Panel Survey (MEPS) Medical Provider Component (MPC)***

The MEPS-MPC will contact medical providers (hospitals, physicians, home health agencies and institutions) identified by household respondents in the MEPS‑HC as sources of medical care for the time period covered by the interview, and all pharmacies providing prescription drugs to household members during the covered time period. The MEPS-MPC is not designed to yield national estimates as a stand-alone survey. The sample is designed to target the types of individuals and providers for whom household reported expenditure data was expected to be insufficient.

The MEPS-MPC collects event level data about medical care received by sampled persons during the relevant time period. The data collected from medical providers include:

* Dates on which medical encounters during the reference period occurred
* Data on the medical content of each encounter, including ICD‑10 codes
* Data on the charges associated with each encounter, the sources paying for the medical care‑including the patient/family, public sources, and private insurance, and amounts paid by each source

 Data collected from pharmacies include:

* Date of prescription fill
* National drug code (NDC) or prescription name, strength and form
* Quantity
* Payments, by source

The MEPS-MPC has the following goal:

* To serve as an imputation source for and to supplement/replace household reported expenditure and source of payment information.

To achieve the goal of the MEPS-MPC the following data collections are implemented. No updates to the MEPS –MPC are being requested.

1. **MPC Contact Guide/Screening Call.** There is no change in this instrument. An initial screening call is placed to determine the type of facility, whether the practice or facility is in scope for the MEPS-MPC, the appropriate MEPS-MPC respondent and some details about the organization and availability of medical records and billing at the practice/facility. All hospitals, physician offices, home health agencies, institutions and pharmacies are screened by telephone. A unique screening instrument is used for each of these seven provider types in the MEPS-MPC, except for the two home care provider types which use the same screening form (Attachments 72 to 77).
2. **Home Care Provider Questionnaire for Health Care Providers.** There is no change in this instrument. This questionnaire is used to collect data from home health care agencies which provide medical care services to household respondents. Information collected includes type of personnel providing care, hours or visits provided per month, and the charges and payments for services received. Some HMOs may be included in this provider type (Attachment 78).
3. **Home Care Provider Questionnaire for Non‑Health Care Providers.** There is no change in this instrument. This questionnaire is used to collect information about services provided in the home by non‑health care workers to household respondents because of a medical condition; for example, cleaning or yard work, transportation, shopping, or child care (Attachment 82).
4. **Medical Event Questionnaire for Office‑Based Providers.** There is no change in this instrument. This questionnaire is for office‑based physicians, including doctors of medicine (MDs) and osteopathy (DOs), as well as providers practicing under the direction or supervision of an MD or DO (e.g., physician assistants and nurse practitioners working in clinics). Providers of care in private offices as well as staff model HMOs are included (Attachment 83).
5. **Medical Event Questionnaire for Separately Billing Doctors.** There is no change in this instrument. This questionnaire collects information from physicians identified by hospitals (during the Hospital Event data collection) as providing care to sampled persons during the course of inpatient, outpatient department or emergency room care, but who bill separately from the hospital (Attachment 87).
6. **Hospital Event Questionnaire.** There is no change in this instrument. This questionnaire is used to collect information about hospital events, including inpatient stays, outpatient department, and emergency room visits. Hospital data are collected not only from the billing department, but from medical records and administrative records departments as well. Medical records departments are contacted to determine the names of all the doctors who treated the patient during a stay or visit. In many cases, the hospital administrative office also has to be contacted to determine whether the doctors identified by medical records billed separately from the hospital; doctors that do bill separately from the hospital will be contacted as part of the Medical Event Questionnaire for Separately Billing Doctors. HMOs are included in this provider type (Attachment 91).
7. **Institutions Event Questionnaire.** There is no change in this instrument. This questionnaire is used to collect information about institution events, including nursing homes, rehabilitation facilities and skilled nursing facilities. Institution data are collected not only from the billing department, but from medical records and administrative records departments as well. Medical records departments are contacted to determine the names of all the doctors who treated the patient during a stay. In many cases, the institution’s administrative office also has to be contacted to determine whether the doctors identified by medical records billed separately from the institution itself. Some HMOs may be included in this provider type (Attachment 96).
8. **Pharmacy Data Collection Questionnaire.** There is no change in this instrument. This questionnaire requests the National Drug Code (NDC) and when that is not available the prescription name, strength and form as well as the date prescription was filled, payments by source, the quantity, and person for whom the prescription was filled. When the NDC is available, we do not ask for prescription name, strength or form because that information is embedded in the NDC; this reduces burden on the respondent. Most pharmacies have the requested information available in electronic format and respond by providing a computer generated printout of the patient’s prescription information. If the computerized form is unavailable, the pharmacy can report their data to a telephone interviewer. Pharmacies are also able to provide a CD-ROM with the requested information if that is preferred. HMOs are included in this provider type (Attachment 98).

Dentists, optometrists, psychologists, podiatrists, chiropractors, and others not providing care under the supervision of a MD or DO are considered out of scope for the MEPS-MPC.

This study is being conducted by AHRQ through its contractors, Westat and RTI International, pursuant to AHRQ’s statutory authority to conduct and support research on healthcare and on systems for the delivery of such care, including activities with respect to the cost and use of health care services and with respect to health statistics and surveys. 42 U.S.C. 299a(a)(3) and (8); 42 U.S.C. 299b-2.

## 3. Use of Improved Information Technology

As in previous panels of the MEPS-HC, a CAPI instrument will be used (except the SAQs). Beginning in 2018, a new, modernized CAPI instrument was used for data collection. Programmed in Version 4.8 of Blaise commercial off the shelf (COTS), the new instrument is designed to streamline administration of the CAPI interview, simplify the response task for both the respondent and interviewer, and increase respondent reporting while maintaining or reducing costs (Attachment 1). The mode of administration for the MEPS-MPC (including the pharmacy component) varies based on the preferences of the provider and includes phone interviews, mail and electronic submission of information. Starting with the 2009 MEPS-MPC data collection, a computer-assisted system was developed for both interviewing and record abstraction. This Integrated Data Collection System (IDCS) supported the effort to recruit providers by telephone and to interview medical records and billing staff of medical facilities. For providers that prefer to send hard copy records, the IDCS is used to abstract information from medical records and patient accounts.  The IDCS consists of two main systems: 1) a Web component in ASP.Net in which the MEPS-MPC forms (Contact Guides and Event Forms) are programmed for either data entry either during telephone calls or record abstraction and 2) a Case Management System (CMS) that manages the medical providers and associated forms for call scheduling, contact information, appointment times, and event/status information. More recently, to reduce burden for providers the MPC has begun offering data transfer options such as downloading record files through secure File Transfer Protocol (FTP), and has implemented a secure email process for encrypted record files. A secure web portal for submission of authorization forms to point of contact (POCs) and for POCs to provide records back to data collectors has also been developed.

## 4. Efforts to Identify Duplication

There is no other survey that is now or has been recently conducted that will meet all of the objectives of the MEPS. Some federal surveys do collect health insurance information from households (Survey of Income and Program Participation, NHIS); however these surveys do not collect the depth of information on health care use and expenses available in the MEPS.

## 5. Involvement of Small Entities

The MEPS-HC collects information only from households. The MEPS-MPC will survey medical facilities, physicians, and pharmacies. Some of the MPC respondents may be small businesses. The MEPS-MPC instrument and procedures used to collect data are designed to minimize the burden on all respondents.

## 6. Consequences if Information Collected Less Frequently

The design of the MEPS-HC in which households are contacted 5 times over the course of 2 years enables the gathering of medical use data at the event level and permits the estimation of expenditures and payments for persons by event type. Reducing the number of rounds in which the data are collected would hamper the availability and quality of information due to long recall periods. MEPS-MPC respondents are contacted at least once during the calendar year for the preceding data collection year. Sometimes a follow up contact is necessary to clarify ambiguous or collect missing information. Contacts on a less frequent basis than the envisioned timetable jeopardizes the access of the study to information from records that could otherwise be destroyed or archived.

## 7. Special Circumstances

Aside from offering compensation to respondents, the MEPS-HC and MPC will fully comply with 5 CFR 1320.6.

## 8. Federal Register Notice and Outside Consultations

***8.a.*** ***Federal Register Notice***

As required by 5 CFR 1320.8(d), notices were published in the Federal Register on June 4th, 2018, for 60 days (see Attachment 105) and again September 4th, 2018 on page 44877 for 30 days. No substantive comments were received.

## 8.b. Outside Consultations

Individuals or groups outside the Agency consulted about the MEPS project over the last several years are listed below:

**Table 1. MEPS Consultants**

|  |  |
| --- | --- |
| **Name** | **Affiliation** |
| Stephen Blumberg, Ph.D. | National Center for Health Statistics, Division of Health Interview Statistics |
| J. Michael Brick, Ph.D. | Westat |
| Ralph DiGaetano, Ph.D. | Westat |
| Hongji Liu, Ph.D. | Westat |
| Roger Tourangeau, Ph.D. | Westat |
| Ting Yan, Ph.D. | Westat |

## 9. Gifts/Payments to Respondents

MEPS-HC respondents will be offered a monetary incentive as a token of appreciation for their participation in the MEPS. An incentive has been offered to respondents at the end of each round since the inception of MEPS in 1996; the current amount of $50 per round has been in place since 2011 (OMB approval obtained January 26, 2010 version 1). For household respondents, participation includes not only time being interviewed, but also keeping track of their medical events and expenditures between interviews. Household respondents will be informed of the incentive at the first in-person contact and all eligible respondents will be given the same amount. No incentive will be offered to respondents for all versions of the Adult SAQ, the Diabetes Care SAQ, or the Adult SAQ that includes mental health questions. The cost-sharing documentation collection protocol includes a $30 per-eligible-plan incentive to promote higher levels of response for cost-sharing documentation related to each current plan included in the cost sharing collection activity. Successful completion of the cost-sharing collection activity will require respondents to locate and submit cost-sharing documentation for eligible, current plans. The activity may require web searches or contact with insurers or human resource departments to procure proper documentation. Given the added complexity of locating insurance documentation, this incentive protocol is intended to help offset additional burden and promote higher levels of response to achieve analytically viable data.

## 10. Assurance of Confidentiality

Confidentiality is protected by Sections 944(c) and 308(d) of the Public Health Service Act (42 U.S.C. 299c-3(c) and 42 U.S.C. 242m(d)). This research project will be carried out in compliance with these confidentiality statutes. Respondents will be told the purposes for which the information is being collected, that the confidentiality of their responses will be maintained, and that no information that could identify an individual or establishment will be disclosed unless that individual or establishment has consented to such disclosure.

## 11. Questions of a Sensitive Nature

The MEPS questionnaires for the Household Component include questions on income and medical conditions that some respondents may perceive as sensitive. The Mental Health questions included in the Adult SAQ (Male/Female), administered in 2020 only, may be perceived as sensitive by some respondents.

## 12. Estimates of Annualized Burden Hours and Costs

Exhibit 1 shows the estimated annualized burden hours for the respondents' time to participate in the MEPS-HC and the MEPS-MPC.

The MEPS-HC Core Interview will be completed by 13,338\* (see note below Exhibit 1) "family level" respondents, also referred to as RU respondents. Since the MEPS-HC consists of 5 rounds of interviewing covering a full two years of data, the annual average number of responses per respondent is 2.5 responses per year. The MEPS-HC core requires an average response time of 92 minutes to administer. The Adult Female SAQ (PSAQ) and Adult SAQ (SAQ) will be completed once a year by each female person in the RU that is 18 years old and older, an estimated 12,984 persons (Attachment 20 and Attachment 18). The Adult Male SAQ (PSAQ) and Adult SAQ (SAQ) will be completed once a year by each male person in the RU that is 18 years old and older, an estimated 11,985 persons (Attachment 19 and Attachment 18). The Adult SAQs each require an average of 7 minutes to complete. The Mental Health Questions in the Adult SAQ (Male/Female) will be completed during Round 2, Panel 25; Round 4, Panel 24 by each person in the RU that is 18 years old and older, an estimated 20,476 persons, and takes about 3.5 minutes to complete. The Diabetes care SAQ will be completed once a year by each adult person in the RU identified as having diabetes, an estimated 2,072 persons, and takes about 3 minutes to complete. The 12,804 RUs in the MEPS-HC will complete an average of 5.4 forms, which require about 3 minutes each to complete. The authorization form for the MEPS-MPC Pharmacy Survey will be completed once for each pharmacy for any RU member who has obtained a prescription medication. RUs will complete an average of 3.1 forms, which take about 3 minutes to complete. The Health Insurance Cost Sharing collection will be completed during Round 1, Panel 25 and Round 3, Panel 24 by each RU with a current private health insurance plan, a Medicare Advantage plan, or a Medicare Part D plan. An estimated 6,258 respondents will locate and provide cost-sharing documentation for an average of 1.3 plans per eligible RU. This activity will require 45 minutes to complete for each plan. About one third of all interviewed RUs will complete a validation interview as part of the MEPS-HC quality control, which takes an average of 5 minutes to complete. The Pilot Test Sampling NHIS Nonrespondents will be completed by 200\* (see note below Exhibit 1) "family level" respondents, also referred to as RU respondents. The Pilot MEPS-HC core requires an average response time of 92 minutes to administer. The total annual burden hours for the MEPS-HC are estimated to be 67,542 hours.

All medical providers and pharmacies included in the MEPS-MPC will receive a screening call and the MEPS-MPC uses 7 different questionnaires; 6 for medical providers and 1 for pharmacies. Each questionnaire is relatively short and requires 2 to 13 minutes to complete. The total annual burden hours for the MEPS-MPC are estimated to be 17,388 hours. The total annual burden for the MEPS-HC and MPC is estimated to be 86,160 hours.

Exhibit 2 shows the estimated annual cost burden associated with the respondents' time to participate in this information collection. The annual cost burden for the MEPS-HC is estimated to be $1,673,909; the annual cost burden for the MEPS-MPC is estimated to be $298,580. The total annual cost burden for the MEPS-HC and MPC is estimated to be $1,972,489.

The MEPS-MPC interviewer will be authorized to offer remuneration to providers who present cost as a salient objection to responding or if a flat fee is applied to any request for medical or billing records. Based on the past cycle of data collection fewer than one third of providers will request remuneration. Exhibit 3 shows the total and average per record remuneration by provider type, based on the 2016 data collection, the most recent year for which data is available. For those providers that required remuneration the average payment per medical record was $37.80, this compares to $32.98 in 2010.

**Exhibit 1.  Estimated annualized burden hours for 2019 to 2021**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Form Name | Number of Respondents | Number of responses per respondent | Hours per response | Total Burden hours |
| **MEPS-HC** |
| MEPS-HC Core Interview | 13,338\* | 2.5 | 92/60 | 51,129 |
| Adult Female SAQ (PSAQ) - Years 2019 and 2021; Adult SAQ (SAQ) - Year 2020 | 12,984 | 1 | 7/60 | 1,515 |
| Adult Male SAQ (PSAQ) - Years 2019 and 2021; Adult SAQ (SAQ) -Year 2020 | 11,985 | 1 | 7/60 | 1,398 |
| Diabetes care SAQ | 2,072 | 1 | 3/60 | 104 |
| Mental Health Questions Included in Adult SAQ (Male/Female)– Year 2020\*\*\* | 20,476 | 1 | 3.5/60 | 1,194 |
| Authorization form for the MEPS-MPC Provider Survey | 12,804 | 5.4 | 3/60 | 3,457 |
| Authorization form for the MEPS-MPC Pharmacy Survey | 12,804 | 3.1 | 3/60 | 1,985 |
| Health Insurance Cost Sharing Collection- 2020 | 6,258 | 1.3 | 45/60 | 6,101 |
| MEPS-HC Validation Interview | 4,225 | 1 | 5/60 | 352 |
| Pilot Test on Sampling NHIS Nonrespondents – 2020, R1 only of MEPS Core\*\*\*\* | 200 | 1 | 92/60 | 307 |
| Subtotal for the MEPS-HC | 102,366 | na | na | 67,542 |
| **MEPS-MPC** |
| MPC Contact Guide/Screening Call\*\* |  36,598 | 1 | 2/60 |  1,220 |
| Home care for health care providers questionnaire |  635 |  1.53 | 9/60 |  146 |
| Home care for non‑health care providers questionnaire |  11 | 1 | 11/60 |  2 |
| Office‑based providers questionnaire |  11,210 |  1.65 | 10/60 |  3,083 |
| Separately billing doctors questionnaire |  12,397 |  3.46 | 13/60 |  9,294 |
| Hospitals questionnaire  |  5,310 |  3.26 | 9/60 |  2,597 |
| Institutions (non-hospital) questionnaire  |  116 |  2.05 | 9/60 | 36 |
| Pharmacies questionnaire |  6,919 |  2.92 | 3/60 |  1,010 |
| Subtotal for the MEPS-MPC |  73,196 | na  | na  | 17,388 |
| **Grand Total** | 175, 562 | na  | na  | 84,930 |

\* While the expected number of responding units for the annual estimates is 12,804, it is necessary to adjust for survey attrition of initial respondents by a factor of 0.96 (13,338=12,804/0.96).

**\***\*There are 6 different contact guides; one for office based, separately billing doctor, hospital, institution, and pharmacy provider types, and the two home care provider types, which use the same contact guide.

\*\*\*The main SAQ estimate, longstanding based on length, experience, and respondent feedback is 7 minutes on average.  The mental health SAQ questions are a brief add-on question set to be administered at the time of the main SAQ.  These are much shorter in length and the estimate represents only the additional time to complete this item set.  Given the brevity and the fact that these items are appended to the SAQ task – to be completed at the same time - the estimate of 3.5 minutes is an accurate reflection of the addition burden for the mental health items. The MSAQ items will be completed by each adult individually while the CORE has a household respondent.  The main SAQ counts appear lower, or more in line with the core; however, these counts are split by gender.  The cumulative count for the main SAQ questionnaire for both men and women is close to 25,000 respondents – in line with the mental health SAQ respondent count.  Hard-copy collection tends to be by person while the main CAPI study has a household reporter – the hard-copy option facilitates by-person collection.

-Initially, Supporting Statement A was completed by using one set of assumptions (based on interviews) and Supporting Statement B was completed using a different set of assumptions (based on respondents).  In the attached Supporting Statement B, Table 1 was revised using the same assumptions as in Exhibit 1 in Supporting Statement A to maintain consistency and to avoid any additional confusion.

\*\*\*\* The response rate estimate and incentive for the cost-sharing collection is based chiefly on the pilot work on this topic completed last year under separate contract.  This work explored cost-sharing collection with a convenience sample of roughly 100 respondents with insurance from a variety of private sources.  The pilot included cognitive interviews, focus groups and in-home interviews.  The pilot provided an incentive for participation at a rate of $65; however, this included travel to a survey facility and participation in an interview specific to the task.  Task compliance was moderate at these levels.  The results indicated a fairly significant burden level would be added by the inclusion of this task, and that burden may be experienced by someone other than the main HC survey respondent.  To offset that burden and protect the main HC response rate the pilot suggested a per-plan incentive to promote response, particularly given the other burden elements in the MEPS HC with regard to hard-copy collection.  Historical incentives for less burdensome tasks such as the SAQ also helped to inform the incentive level.  Additionally, response rate estimate are based on pilot results, historical response rates for other hard-copy form collection on the MEPS HC including authorization forms and SAQs, and current trends in the MEPS HC.

Multiple responses per person indicates a form or questionnaire is estimated to be completed more than one time for a percentage of respondents.  For instance, the cost-sharing task is plan based, not person based and may be completed by any covered person.  It is estimated a certain percentage of households have more than one eligible plan and the respondent or policyholder of both will complete multiple tasks.  While this is not the most common insurance arrangement, it significant enough to enumerate and provides the best estimate of burden for the average person responding.  In the case of other hard-copy forms listed, such as authorization forms, there are multiple forms per person on average.  These, specifically, are collected at the provider-person pair level, meaning a form for each medical provider seen by a given household member.  On average, multiple forms are collected during a given interview cycle to allow for MEPS MPC follow-up.  Where decimals exist, there are averages and the number of forms or questionnaires collected varies by person based on specific circumstances.

The total estimated annual burden hours for the MEPS has increased from 77,666 hours in the previous clearance to 84,930 hours in this clearance request, a difference of 7,264 hours. The addition of 1,194 hours due to the addition of Mental Health questions to the Adult SAQ (Male/Female), 6,101 additional hours due to the health insurance cost sharing collection, and 307 additional hours due to the pilot test on sampling NHIS nonrespondents account for the difference. While the burden associated with these added tasks totals 7,602 hours, reductions in other burden estimates leave a net difference of 7,264 hours overall.

**Exhibit 2. Estimated annualized cost burden**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Form Name | Number of Respondents | Total Burden hours | Average Hourly Wage Rate | Total Cost Burden |
| **MEPS-HC** |
| MEPS-HC Core Interview | 13,338\* | 51,129 | $24.34\* | $1,244,479 |
| Adult Female SAQ (PSAQ) - Years 2019 and 2021; Adult SAQ (SAQ) - Year 2020 | 12,984 | 1,515 | $24.34\* | $36,875 |
| Adult Male SAQ (PSAQ) - Years 2019 and 2021; Adult SAQ (SAQ) -Year 2020 | 11,985 | 1,398 | $24.34\* | $34,027 |
| Diabetes care SAQ | 2,072 | 104 | $24.34\* | $2,531 |
| Mental Health Questions Included in Adult SAQ (Male/Female) – Year 2020 | 20,476 | 1,194 | $24.34\* | $29,062 |
| Authorization forms for the MEPS-MPC Provider Survey | 12,804 | 3,457 | $24.34\* | $84,143 |
| Authorization form for the MEPS-MPC Pharmacy Survey | 12,804 | 1,985 | $24.34\* | $48,314 |
| Health Insurance Cost Sharing Collection - 2020 | 6,258 | 6,101 | $24.34\* | $148,498 |
| MEPS-HC Validation Interview | 4,225 | 352 | $24.34\* | $8,567 |
| Pilot Test on Sampling NHIS Nonrespondents – 2020, R1 only of MEPS Core | 200 | 307 | $24.34\* | $7,472 |
| Subtotal for the MEPS-HC | 102,366 | 67,542 | na | $1,643,968 |
| **MEPS-MPC** |
| MPC Contact Guide/Screening Call |  36,598 |  1,220 | $ 17.25\*\* | $ 21,045 |
| Home care for health care providers questionnaire |  635 |  146 | $ 17.25\*\* | $ 2,519 |
| Home care for non‑health care providers questionnaire |  11 |  2 | $ 17.25\*\* | $ 35 |
| Office‑based providers questionnaire |  11,210 |  3,083 | $ 17.25\*\* | $ 53,182 |
| Separately billing doctors questionnaire |  12,397 |  9,294 | $ 17.25\*\* | $ 160,322 |
| Hospitals questionnaire  |  5,310 | 2,597 | $ 17.25\*\* | $ 44,798 |
| Institutions (non-hospital) questionnaire  |  116 | 36 | $ 17.25\*\* | $ 621 |
| Pharmacies questionnaire |  6,919 |  1,010 | $ 15.90\*\*\* | $ 16,059 |
| Subtotal for the MEPS-MPC |  73,196 |  17,388 | na | $298,580 |
| **Grand Total** | 175, 562 |  | na  | $1,942,548 |

\* Mean hourly wage for All Occupations (00-0000)

\*\* Mean hourly wage for Medical Secretaries (43-6013)

\*\*\* Mean hourly wage for Pharmacy Technicians (29-2052)

## Occupational Employment Statistics, May 2017 National Occupational Employment and Wage Estimates United States, U.S. Department of Labor, Bureau of Labor Statistics.

**Exhibit 3. Total and Average Remuneration by Provider Type for the MEPS-MPC**

|  |  |  |  |
| --- | --- | --- | --- |
| Provider Type | Number of Records with Payment | Average Payment | Total Remuneration |
| Hospital |  1,718 | $ 43.99 | $ 75,575 |
| Office Based Providers |  678 | $ 33.88 | $ 22,971 |
| Institutions |  1 | $ 63.71 | $ 64 |
| Home Care Provider (Health Care Providers) |  4 | $ 78.50 | $ 314 |
| Home Care Provider (Non-Health Care Providers) | 0 | $0 | $0 |
| Pharmacy |  10,305 | $ 35.69 | $ 367,785 |
| Separately Billing Doctors |  412 | $ 70.60 | $ 29,087 |
| Total MPC  |  13,118 |  | $ 495,796 |

## 13. Estimates of Annualized Respondent Capital and Maintenance Costs

Capital and maintenance costs include the purchase of equipment, computers or computer software or services, or storage facilities for records, as a result of complying with this data collection. There are no direct costs to respondents other than their time to participate in the study.

## 14. Estimates of Annualized Cost to the Government

Exhibit 4 shows the total and annualized cost of this information collection. The cost associated with the design and data collection of the MEPS-HC and MEPS-MPC is estimated to be $51,382,086 in each of the three years covered by this information collection request. Exhibits 5 and 6 show the total and annualized cost of MEPS-HC and MEPS-MPC oversight, respectively.

**Exhibit 4.  Estimated Total and Annualized Cost**

|  |  |  |
| --- | --- | --- |
| **Cost Component**  | **Total Cost** | **Annualized Cost** |
| Sampling Activities | $2,393,808 | $ 797,936 |
| Interviewer Recruitment and Training | $9,338,400 | $3,112,800 |
| Data Collection Activities | $103,713,948 | $34,571,316 |
| Data Processing | $13,621,824 | $4,540,608 |
| Production of Public Use Data Files | $14,353,020 | $4,784,340 |
| Project Management | $10,725,258 | $3,575,086 |
| **Total** | $154,146,258 | $51,382,086 |

**Exhibit 5: Annual Cost to AHRQ for MEPS-HC Oversight**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Tasks/Personnel** | **Staff****Count** |  | **Annual Salary** | **% of Time** | **Cost** |
| Management Support: GS-15, Step 5 average | 2 |  | $156,228 | 50.0% | $156,228  |
| Survey/Statistical Support: GS-14, Step 5 average | 3 |  | $132,818 | 33.3% | $132,818 |
| Research Support: GS-13, Step 5 average | 4 |  | $112,393 | 50.0% | $224,786 |
| Research Support: GS-12, Step 5 average |   2 |  | $94,520 |   75.0% | $141,780 |
| **Total** |  |  |  |  | $655,612 |

**Exhibit 6: Annual Cost to AHRQ for MEPS-MPC Oversight**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Tasks/Personnel** | **Staff****Count** |  | **Annual Salary** | **% of Time** | **Cost** |
| Management Support: GS-15, Step 5 average | 2 |  | $156,228 | 33.3% | $104,048  |
| Survey/Statistical Support: GS-14, Step 5 average | 2 |  | $132,818 | 50.0% | $132,818 |
| Research Support: GS-13, Step 5 average | 1 |  | $112,393 | 50.0% | $56,197 |
| Research Support: GS-12, Step 5 average |   1 |  | $94,520 |  33.3% | $31,475 |
| **Total** |  |  |  |  | $324,538 |

Annual salaries based on 2019 OPM Pay Schedule for Washington/DC area:

https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2019/DCB.pdf

## 15. Changes in Hour Burden

The total estimated annual burden hours for the MEPS has increased from 77,666 hours in the previous clearance to 84,930 hours in this clearance request, an increase of 7,264 hours. This burden increase is due to the addition of a Mental Health SAQ, estimated at 1,194 hours, and the health insurance cost sharing collection, estimated at 6,101 in hours of burden, and the pilot test on sampling NHIS nonrespondents, estimated at 307 hours of burden. While the burden associated with these added tasks totals 7,602 hours, reductions in other burden estimates leave a net difference of 7,264 hours overall.

## 16. Time Schedule, Publication and Analysis Plans

Data collected from the MEPS will be used in a variety of descriptive analyses. Our website [www.meps.ahrq.gov](http://www.meps.ahrq.gov) contains examples of publications. Those publications include statistical briefs, research findings, chartbooks, and journal articles. In addition, tabular data is presented on the website, as interactive tables, and through an interactive tool – MEPSnet. Special analytic reports will be issued on an ad-hoc basis, and other analyses will be presented at annual meetings of professional associations and in professional journals.

To the extent possible we have endeavored to release public use files from this project as soon as possible.

***17. Schedule for Data Collection***

Data collection for the MEPS under this request begins in early January 2019. Rounds 1, 3, and 5 of the MEPS-HC start in January and continue through mid-July. Rounds 2 and 4 begin in July of each year and continue through early December. Data collection for the MEPS-MPC will begin in February 2019. Data collection for the health insurance cost sharing documents will begin in January 2020 and data collection for the Adult SAQ (Male/Female) that includes mental health questions will begin in July 2020.

## 18. Exemption for Display of Expiration Date

AHRQ does not seek this exemption.

**List of Attachments:**

Attachment 1 – MEPS-HC Section Summary and Changes

Attachment 2 – HC Why is Participation in MEPS so Important?

Attachment 3 – HC MEPS: A Survey of Health Care Use and Spending

Attachment 4 – HC What MEPS tells us about…Charts

Attachment 5 – HC About the MEPS-MPC Authorization Form

Attachment 6 – HC Data protection is word ONE with MEPS

Attachment 7 – HC Respondent Recruitment Video

Attachment 8 – HC Important Information About Your Participation in MEPS

Attachment 9 – HC MEPS Data Example & FAQs

Attachment 10 – HC Respondent Letters, Postcards and Notes

Attachment 11 – HC MEPS FAQs Brochure

Attachment 12 – HC MEPS Monthly Planner

Attachment 13 – HC MEPS Record Keeper

Attachment 14 – HC Showcards

Attachment 15 – HC Validation Letter

Attachment 16 – HC Certificate of Appreciation

Attachment 17 – HC Who Uses MEPS Data

Attachment 18 – HC Adult SAQ (SAQ) – Your Health and Health Opinions

Attachment 19 – HC Adult SAQ – Male (PSAQ) – Your Health and Health Choices

Attachment 20 – HC Adult SAQ – Female (PSAQ) – Your Health and Health Choices

Attachment 22 – HC Diabetes SAQ – Proxy

Attachment 23 – HC Diabetes SAQ – Self

Attachment 24 – HC Authorization Form for the MEPS-MPC – Pharmacy

Attachment 25 – HC Authorization Form for the MEPS-MPC – Provider

Attachment 26 – HC MEPS Validation Interview Form

Attachment 27 – HC MEPS Tip Sheet

Attachment 28 – HC Tips for Making Your MEPS Interview Easier

Attachment 29 – HC Access to Care Section

Attachment 30 – HC Event Enumeration Section

Attachment 31 – HC Assets Section

Attachment 32 – HC Calendar Section

Attachment 33 – HC Additional Healthcare Section

Attachment 34 – HC Closing Section

Attachment 35 – HC Start/Re-start Section

Attachment 36 – HC Charge Payment Section

Attachment 37 – HC Flat Fee Section

Attachment 38 – HC Child Preventive Health Supplement Section

Attachment 39 – HC Institutional Care Section

Attachment 40 – HC Dental Care Section

Attachment 41 – HC Event Driver Section

Attachment 42 – HC Employment (EM) Section

Attachment 43 – HC Review of Employment Information (RJ) Section

Attachment 44 – HC Employment Driver (OE) Section

Attachment 45 – HC Employment Wage (EW) Section

Attachment 46 – HC Emergency Room Section

Attachment 47 – HC Event Roster Section

Attachment 48 – HC Health Status Section

Attachment 49 – HC Help Text

Attachment 50 – HC Home Health Section

Attachment 51 – HC Health Insurance (HX) Section

Attachment 52 – HC Private Health Insurance Detail (HP) Section

Attachment 53 – HC Time Covered Detail (HQ) Section

Attachment 54 – HC Managed Care (MC) Section

Attachment 55 – HC Old Employment Health Insurance (OE) Section

Attachment 56 – HC Old Public Related Insurance (PR) Section

Attachment 57 – HC Hospital Stay Section

Attachment 58 – HC Income Section

Attachment 59 – HC Medical Provider Section

Attachment 60 – HC Other Medical Expense Section

Attachment 61 – HC Outpatient Department Section

Attachment 62 – HC Quality (Priority Conditions) Supplement Section

Attachment 63 – HC Respondent Forms Section

Attachment 64 – HC Priority Conditions Enumeration Section

Attachment 65 – HC Prescribed Medicines Section

Attachment 66 – HC Provider Probes Section

Attachment 67 – HC Provider Roster Section

Attachment 68 – HC Reenumeration Subsection A

Attachment 69 – HC Reenumeration Subsection B

Attachment 70 – HC RU Information Screener

Attachment 71 – HC Event Follow Up Section

Attachment 72 – MPC Hospital Contact Guide

Attachment 73 – MPC Office-Based Doctor Contact Guide

Attachment 74 – MPC Home Care Contact Guide

Attachment 75 – MPC Institution Contact Guide

Attachment 76 – MPC Pharmacy Contact Guide

Attachment 77 – MPC Separate Billing Doctor Contact Guide

Attachment 78 – MPC Home Care Provider Questionnaire for Health Care Providers

Attachment 79 – MPC Home Care Provider Authorization Form Package, Phone Data Collection Anticipated

Attachment 80 – MPC Home Care Provider Authorization Form Package, Records to be provided via Fax Anticipated

Attachment 81 – MPC Home Care Provider Overflow Patient List

Attachment 82 – MPC Home Care Provider Questionnaire for Non-Health Care Providers

Attachment 83 – MPC Office-Based Doctor Provider Questionnaire

Attachment 84 – MPC Office-Based Doctor Provider Authorization Form Package, Records to be provided via Fax Anticipated

Attachment 85 – MPC Office-Based Doctor Provider Authorization Form Package, Phone Data Collection Anticipated

Attachment 86 – MPC Office-Based Doctor Provider Overflow Patient List

Attachment 87 – MPC Separately Billing Doctor Provider Questionnaire

Attachment 88 – MPC Separately Billing Doctor Provider Authorization Form Package, Records to be provided via Fax Anticipated

Attachment 89 – MPC Separately Billing Doctor Provider Authorization Form Package, Phone Data Collection Anticipated

Attachment 90 – MPC Separately Billing Doctor Provider Overflow Patient List

Attachment 91 – MPC Hospital Provider Questionnaire

Attachment 92 – MPC Hospital Provider Authorization Form Package, One Point of Contact for Medical and Patient Account Records

Attachment 93 – MPC Hospital Provider Authorization Form Package, Point of Contact for Medical Records

Attachment 94 – MPC Hospital Provider Authorization Form Package, Point of Contact for Patient Account Records

Attachment 95 – MPC Hospital Provider Overflow Patient List

Attachment 96 – MPC Institution Provider Questionnaire

Attachment 97 – MPC Letters, Email Templates, and Other Documents

Attachment 98 – MPC Pharmacy Provider Questionnaire

Attachment 99 – MPC Pharmacy Provider Authorization Form Package, Records to be provided via Fax Anticipated

Attachment 100 – MPC Pharmacy Provider Authorization Form Package, Phone Data Collection Anticipated

Attachment 101 – MPC Pharmacy Provider Overflow Patient List

Attachment 102 – MPC Durable Medical Equipment Provider Authorization Form Package

Attachment 103 – MPC Pharmacy Provider Letters, Email Templates, and Other Documents

Attachment 104 – MPC Veterans Affairs Authorization Form Package

Attachment 105 – 60 Day Federal Register Notice

Attachment 106a and 106b – HC Adult SAQ that includes Mental Health Questions

Attachment 107a, 107b, 107c and 107d – Health Insurance Cost Sharing Collection Packet

Notes on Appendix items: 1) Attachment 10, Advance Letters will be revised to include the current AHRQ Director, Gopal Khanna, 2) General Refusals Letter is used for soft refusals

1. In 2015, ASPE convened a workgroup to compare health insurance estimates across national surveys. AHRQ, NCHS, Census and others participated in discussions over the better part of a year. My recollection is that the report was presented to the Secretary and signed by her in the middle of 2016. That report (attached) had two major recommendations for MEPS: 1) that we stop publishing point-in-time estimates (we have stopped publishing estimates although we still release the public use file for others to use); and 2) that we explore adding an insurance verification question series. The last point-in-time insurance estimates from MEPS were published in 2014.

In April of 2017, we submitted a request to do some cognitive testing of health insurance verification questions under Control Number 0935-0124. At the time, a complete evaluation of the MEPS insurance section was off the table because of cost and time. We needed to get the CAPI application into a commercial, open source product to avoid security problems and we knew a ground-up evaluation of the health insurance section would be time consuming and costly.

The CPS verification module was used as a model for the MEPS verification series in terms of both its structure and, when possible, question wording. As noted in the attached side-by-side comparison, we did need to deviate from the CPS model in a number of places to put the questions into the context of MEPS. [↑](#footnote-ref-2)