

**NOTICE OF ADDITIONAL
CONTRIBUTIONS UNDER ERISA
4062(e)(4)**

PBGC Form 4062(e)-03
Approved OMB # 1212-____
Expires __/__/20__

This form is used to notify the Pension Benefit Guaranty Corporation of an employer's additional contributions made pursuant to ERISA section 4062(e)(4). For questions regarding this form, contact (202) 326-4070 or 4062e@pbgc.gov.

Filing date of related PBGC Form 4062(e)-01: __/__/____
Filing date of related PBGC Form 4062(e)-02: __/__/____

IDENTIFYING INFORMATION

Plan name

Name of authorized contact at filer

Name of filer

Title of contact

Street address of filer

Email address of contact

City, State, Zip

Street address of contact

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EIN of contributing sponsor

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Plan number

City, State, Zip

Telephone number of contact

Ext

CONTRIBUTION PAYMENT AND OBLIGATION TO MAKE ADDITIONAL CONTRIBUTIONS

1. Applicable plan year. This filing relates to the plan year beginning __/__/____ and ending __/__/____
2. Check box to indicate which year, of the seven-year period, this filing relates to:
 1st year 2nd year 3rd year 4th year 5th year 6th year 7th year
3. For the applicable plan year, was the variable-rate premium funded status 90 percent or greater?
 Yes No *If "Yes," no additional contributions are required to satisfy 4062(e) liability; skip to Required Attachments section.*
4. Has the IRS issued a funding waiver under section 302(c) with respect to the plan for applicable plan year?
 Yes No *If "Yes," skip to Required Attachments section.*

- 5. Maximum additional annual contribution (Item 4(c) from Form 4062(e)-02) _____
- 6. Limitation as determined under ERISA section 4062(e)(4)(B)(iii) for applicable plan year
 - a. Unfunded vested benefits (UVBs) for plan year prior to applicable plan year _____
 - b. 25% of prior year's UVBs (.25 x item 6a) _____
 - c. Minimum required contribution for applicable plan year _____
 - d. Limitation on annual additional contribution (item 6b - item 6c, but not less than \$0) _____
- 7. ERISA 4062(e)(4) additional contribution for applicable plan year
 - a. Amount lesser of item 5 or item 6d _____
 - b. Due date _____
 - c. Date contribution was made _____

REQUIRED ATTACHMENTS

The following must be submitted with this form, **if not previously provided to PBGC**. Check box to indicate the item is attached. If not attached, explain in Missing Information.

- Actuarial information (see instructions)
- Any IRS funding waiver issued under Internal Revenue Code section 302(c) with respect to the plan for the year in which the cessation occurred or any later year

MISSING INFORMATION

If required information has not been submitted with this form, explain below. If additional space is needed, the explanation may be submitted as an attachment.

FILING INFORMATION

__/__/____
Notice due date

__/__/____
Notice filing date

If filing is late (i.e. notice filing date is after the notice due date), explain below. If additional space is needed, the explanation may be submitted as an attachment.

CERTIFICATION

I certify that, to the best of my knowledge and belief, the information submitted in this filing is true, correct, and complete. In making this certification, I recognize that knowingly and willfully making false, fictitious, or fraudulent statements to the PBGC is punishable under 18 U.S.C. § 1001.

Name and title of individual certifying form

Employer of individual certifying form

Email address of individual certifying form

Telephone number of individual certifying form

Signature of individual certifying form

Date signed