

U.S. Coast Guard

Expires: 07/31/2019

**APPLICATION FOR INITIAL, EXCHANGE, OR REPLACEMENT OF
CERTIFICATE OF DOCUMENTATION; REDOCUMENTATION**

NOTE: FILING THIS APPLICATION DOES NOT ENTITLE A VESSEL TO DOCUMENTATION OR TO ANY CHANGES SOUGHT ON A CERTIFICATE OF DOCUMENTATION. OFFICIAL NUMBERS DESIGNATED ON THE BASIS OF THIS APPLICATION ARE NOT TRANSFERABLE. ONLY A CURRENT CERTIFICATE OF DOCUMENTATION IS VALID FOR VESSEL OPERATION.

COMPLETE FOR ALL APPLICATIONS

A. VESSEL NAME (REQUIRED) CLICK ICON TO ATTACH LIST OF ADDITIONAL VESSEL(S)		B. OFFICIAL # (IF AWARDED)	
C. HULL ID # (IF ANY)	D. IMO # (IF ANY)	E. HAILING PORT INCLUDING STATE (TO BE MARKED ON VESSEL)	
F. NAME OF MANAGING OWNER E-MAIL ADDRESS: TELEPHONE NUMBER:		SOCIAL SECURITY OR TAX ID NUMBER (REQUIRED – 46 USC 12104): SSN: _____ TIN: _____	
G. PHYSICAL ADDRESS OF MANAGING OWNER (REQUIRED – 46 CFR 67.113)		MAILING ADDRESS OF MANAGING OWNER (IF DIFFERENT THAN PHYSICAL ADDRESS – E.G., P.O. BOX)	
H. NAME(S) OF OTHER OWNER(S) CLICK ICON TO ATTACH LIST OF ADDITIONAL OWNER(S)		SOCIAL SECURITY OR TAX ID NUMBER(S) OF OTHER OWNER(S) SSN: _____ TIN: _____	

I. PURPOSE OF APPLICATION

1. EXCHANGE OF CERTIFICATE OF DOCUMENTATION.
2. REPLACEMENT OF LOST, WRONGFULLY WITHHELD OR MUTILATED CERTIFICATE OF DOCUMENTATION.
3. RE-INSTATEMENT OF CERTIFICATE OF DOCUMENTATION FOLLOWING FAILURE TO RENEW.
4. RETURN TO DOCUMENTATION FOLLOWING DELETION. VESSEL NAME WHEN LAST DOCUMENTED: _____
5. APPLICATION FOR OFFICIAL NUMBER AND FIRST CERTIFICATE OF DOCUMENTATION. VESSEL
- WAS BUILT AT _____ IN _____
OR CITY, STATE, COUNTRY MONTH/YEAR
- IS UNDER CONSTRUCTION AT _____ AND IS SCHEDULED FOR COMPLETION IN _____
- HULL MATERIAL: WOOD STEEL FIBROUS REINFORCED PLASTIC ALUMINUM CONCRETE
 OTHER (DESCRIBE) _____ APPROXIMATE LENGTH OF VESSEL _____
- PREVIOUS NAMES, NUMBERS, OR FOREIGN REGISTRATIONS OF VESSEL _____

J. ENDORSEMENTS FOR WHICH APPLICATION IS MADE

- RECREATIONAL COASTWISE – BOWATERS ONLY (CERTIFICATE ON FILE)
- FISHERY COASTWISE – OIL SPILL RESPONSE ONLY (LETTER OF QUALIFICATION ON FILE)
- COASTWISE COASTWISE UNDER CHARTER TO AN ENTITY QUALIFIED TO ENGAGE IN COASTWISE TRADE
- REGISTRY (COPY OF CHARTER ON FILE)

K. PRIMARY SERVICE & HORSEPOWER

- HORSEPOWER: _____
- | | | |
|--|--|--|
| <input type="checkbox"/> COMMERCIAL FISHING BOAT | <input type="checkbox"/> OFFSHORE SUPPLY VESSEL | <input type="checkbox"/> RECREATIONAL |
| <input type="checkbox"/> FISH PROCESSING VESSEL | <input type="checkbox"/> PASSENGER (6 OR FEWER) | <input type="checkbox"/> RESEARCH VESSEL |
| <input type="checkbox"/> FREIGHT SHIP | <input type="checkbox"/> PASSENGER (MORE THAN 6) | <input type="checkbox"/> SCHOOL SHIP |
| <input type="checkbox"/> FREIGHT BARGE | <input type="checkbox"/> PASSENGER BARGE (6 OR FEWER) | <input type="checkbox"/> TANK BARGE |
| <input type="checkbox"/> INDUSTRIAL VESSEL | <input type="checkbox"/> PASSENGER BARGE (MORE THAN 6) | <input type="checkbox"/> TANK SHIP |
| <input type="checkbox"/> MOBILE OFFSHORE DRILLING UNIT | <input type="checkbox"/> PUBLIC FREIGHT | <input type="checkbox"/> TOWING VESSEL |
| <input type="checkbox"/> OIL RECOVERY | <input type="checkbox"/> PUBLIC TANKSHIP/BARGE | <input type="checkbox"/> UNCLASSIFIED VESSEL |
| | <input type="checkbox"/> PUBLIC VESSEL, UNC | |

L. CITIZENSHIP OF OWNER (CHECK THE BOX(ES) TO SHOW THE TYPE(S) OF ENTITY(IES) THAT OWNS THE VESSEL)

ONE OR MORE INDIVIDUALS
I (WE) CERTIFY THAT ALL OWNERS OF THIS VESSEL ARE CITIZENS OF THE UNITED STATES

GOVERNMENT ENTITY (FEDERAL, STATE, TERRITORY, POSSESSION OR SUBDIVISION)

CORPORATION (SEE SECTION M, ITEM 4 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT).

1. STATE OF INCORPORATION _____

2. CITIZENSHIP OF CHIEF EXECUTIVE OFFICER BY WHATEVER TITLE _____

3. CITIZENSHIP OF CHAIRMAN OF THE BOARD _____

4. NUMBER OF DIRECTORS NECESSARY TO CONSTITUTE A QUORUM _____

5. NUMBER OF ALIEN DIRECTORS _____

6. PERCENTAGE OF STOCK OWNED BY U.S. CITIZENS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT, WITH THE ENDORSEMENT(S) SOUGHT ON THIS APPLICATION IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART C)

LESS THAN 50% 75% OR MORE (AND, AT EACH TIER, IF APPLICABLE)

50% OR MORE: STATE EXACT 75% OR MORE (AND, AT EACH TIER AND IN THE AGGREGATE (IF FISHERY), IF APPLICABLE)

PERCENTAGE _____

LIMITED LIABILITY COMPANY (LLC): (COMPLETE EQUITY SECTION AND CHOOSE TYPE) (SEE SECTION M, ITEM 5 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT).

EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT SOUGHT IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART C)

LESS THAN 50% 75% OR MORE (AND, AT EACH TIER, IF APPLICABLE)

50% OR MORE: STATE EXACT 75% OR MORE (AND, AT EACH TIER AND IN THE AGGREGATE (IF FISHERY), IF APPLICABLE)

PERCENTAGE _____

1. MEMBER MANAGED LIMITED LIABILITY COMPANY
I (WE) CERTIFY THAT ALL MEMBERS OF THIS LLC ARE CITIZENS OF THE UNITED STATES ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT.

(CLICK ICON TO ATTACH LIST OF ALL MEMBERS)(REQUIRED)

OR

2. LIMITED LIABILITY COMPANY NOT MANAGED BY MEMBERS AND NOT SIMILAR TO GENERAL PARTNERSHIP:
PLEASE NOTE (A) & (B) MUST BE CHECKED FOR FISHERY AND COASTWISE ENDORSEMENTS; NOT REQUIRED FOR COASTWISE OIL SPILL OR COASTWISE UNDER CHARTER ENDORSEMENTS.

A. I (WE) CERTIFY THAT ANY PERSON ELECTED TO MANAGE THE LLC OR WHO IS AUTHORIZED TO BIND THE LLC, AND ANY PERSON WHO HOLDS A POSITION EQUIVALENT TO THE CHIEF EXECUTIVE OFFICER, BY WHATEVER TITLE, AND THE CHAIRMAN OF THE BOARD OF DIRECTORS IN A CORPORATION, AND ANY PERSONS AUTHORIZED TO ACT IN THEIR ABSENCE ARE CITIZENS OF THE UNITED STATES.

B. NON-CITIZENS DO NOT HAVE AUTHORITY WITHIN A MANAGEMENT GROUP, WHETHER THROUGH VETO POWER, COMBINED VOTING, OR OTHERWISE, TO EXERCISE CONTROL OVER THE LLC.

STATE WHERE ORGANIZED _____

PARTNERSHIP (COMPLETE EQUITY SECTION AND CHOOSE TYPE) (SEE SECTION M, ITEM 5 WHEN APPLYING FOR FISHERY OR COASTWISE ENDORSEMENT)

EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT SOUGHT IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART C)

LESS THAN 50% 75% OR MORE (AND, AT EACH TIER, IF APPLICABLE)

50% OR MORE STATE EXACT 75% OR MORE (AND, AT EACH TIER AND IN THE AGGREGATE (IF FISHERY), IF APPLICABLE)

PERCENTAGE _____

1. GENERAL PARTNERSHIP
I (WE) CERTIFY THAT ALL PARTNERS IN THIS PARTNERSHIP ARE CITIZENS OF THE UNITED STATES ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT.

2. LIMITED PARTNERSHIP
I (WE) CERTIFY THAT ALL GENERAL PARTNERS IN THIS PARTNERSHIP ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT.

(CLICK ICON TO ATTACH LIST OF ALL GENERAL PARTNERS)(REQUIRED)

CORPORATION QUALIFIED AND APPLYING UNDER 46 CFR 68.01 (BOWATERS)
I (WE) CERTIFY THAT THE CORPORATE STRUCTURE HAS NOT CHANGED SINCE ISSUANCE OF THE CERTIFICATE OF COMPLIANCE.

VESSEL OWNED OR OPERATED BY NOT-FOR-PROFIT OIL RECOVERY COOPERATIVE
I (WE) CERTIFY THAT THE INFORMATION ON FILE WITH REGARD TO COOPERATIVE AND ISSUANCE OF THE LETTER OF QUALIFICATION REMAINS UNCHANGED.

JOINT VENTURE OR ASSOCIATION
I (WE) CERTIFY THAT ALL MEMBERS OF THIS (JOINT VENTURE) (ASSOCIATION) ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT(S) SOUGHT.

(CLICK ICON TO ATTACH LIST OF ALL JOINT VENTURERS/MEMBERS)(REQUIRED)

TRUST ARRANGEMENT (COMPLETE EQUITY/STOCK SECTION) (SEE SECTION M, ITEM 5 WHEN APPLYING FOR A FISHERY OR COASTWISE ENDORSEMENT)

I (WE) CERTIFY THAT ALL TRUSTEES AND ALL BENEFICIARIES WITH AN ENFORCEABLE INTEREST IN THIS TRUST ARRANGEMENT ARE CITIZENS OF THE UNITED STATES, ELIGIBLE TO DOCUMENT VESSELS WITH THE ENDORSEMENT(S) SOUGHT IN THEIR OWN RIGHT.

EQUITY INTEREST OWNED BY PERSONS ELIGIBLE TO DOCUMENT VESSELS IN THEIR OWN RIGHT WITH THE ENDORSEMENT(S) SOUGHT IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART C)

- LESS THAN 50%
- 50% OR MORE: STATE EXACT PERCENTAGE _____
- 75% OR MORE (AND, AT EACH TIER, IF APPLICABLE)
- 75% OR MORE (AND, AT EACH TIER AND IN THE AGGREGATE (IF FISHERY), IF APPLICABLE)

OR

VESSEL OWNED IN A TRUST ARRANGEMENT PURSUANT TO 46 USC 12111(c) (CROSS BORDER FINANCING)

I (WE) CERTIFY THAT THE VESSEL IS OWNED IN A TRUST ARRANGEMENT THAT QUALIFIES FOR A REGISTRY ENDORSEMENT ONLY PURSUANT TO PUBLIC LAW 104-324, SECT. 1136. ATTACHED IS AN AFFIDAVIT OF EACH TRUSTEE AND CITIZENSHIP EVIDENCE FOR THE CHARTERER AS REQUIRED UNDER THE STATUTE.

(CLICK ICON TO ATTACH LIST OF ALL TRUSTEES AND ALL BENEFICIARIES WITH AN ENFORCEABLE INTEREST)(REQUIRED)

M. I (WE) CERTIFY THAT: (MARK ALL THAT ARE APPLICABLE. ITEM 5. NOT REQUIRED FOR COASTWISE BOWATER ONLY, OIL SPILL, OR UNDER CHARTER ENDORSEMENTS.)

- 1. I AM (WE ARE) A CITIZEN(S) OF THE UNITED STATES AND LEGALLY AUTHORIZED TO EXECUTE THIS APPLICATION IN THE CAPACITY SHOWN;
- 2. IN ACCORDANCE WITH 46 CFR PART 67 (SUBPART I) THE VESSEL(S) TO WHICH THIS APPLICATION APPLIES;
 - (a) HAS (HAVE) BEEN MARKED OR WILL BE MARKED;
 - (b) WILL AT ALL TIMES REMAIN UNDER THE COMMAND OF A U.S. CITIZEN, UNLESS DOCUMENTED SOLELY WITH A RECREATIONAL ENDORSEMENT; WILL NOT BE OPERATED IN A TRADE NOT AUTHORIZED BY THE ENDORSEMENT(S) ON THE CERTIFICATE(S) OF DOCUMENTATION. THE NAME(S) OF THE VESSEL(S) WILL NOT BE CHANGED WITHOUT APPROVAL FROM THE NATIONAL VESSEL DOCUMENTATION CENTER; AND I(WE) WILL PROMPTLY NOTIFY THE NATIONAL VESSEL DOCUMENTATION CENTER UPON A CHANGE IN ANY OF THE INFORMATION OR REPRESENTATIONS IN THIS APPLICATION.
- 3. HAS (HAVE) NOT OR HAS (HAVE) BEEN REBUILT OR SUBSTANTIALLY ALTERED SINCE LAST DOCUMENTATION;
- 4. THE VESSEL IS
 - NOT TITLED UNDER A STATE OR
 - TITLED UNDER THE LAWS OF _____

THE FOLLOWING ITEM IS REQUIRED FOR COASTWISE AND/OR FISHERY ENDORSEMENTS; HOWEVER, IT IS NOT REQUIRED FOR COASTWISE BOWATER ONLY, OIL SPILL, OR UNDER CHARTER ENDORSEMENTS.

- 5. U.S. CONTROL CERTIFICATION: THERE IS NO CONTRACT OR UNDERSTANDING THAT PROVIDES MORE THAN 25% OF THE VOTING POWER IN THE PARTNERSHIP, LIMITED LIABILITY COMPANY, CORPORATION, OR OTHER OWNING ENTITY EITHER DIRECTLY OR INDIRECTLY ON BEHALF OF ANY PERSON NOT A CITIZEN OF THE UNITED STATES. BY NO MEANS WHATSOEVER IS CONTROL IN EXCESS OF 25% CONFERRED UPON OR PERMITTED TO BE EXERCISED BY A PERSON WHO IS NOT A CITIZEN OF THE UNITED STATES.

POTENTIAL PENALTIES FOR FALSE STATEMENTS OR REPRESENTATIONS BY OWNER OR REPRESENTATIVE: CIVIL, MONETARY, VESSEL FORFEITURE (46 USC 12151), FINE AND/OR IMPRISONMENT (18 USC 1001)

SIGNATURE

DATE

CAPACITY (E.G., OWNER, AGENT, TRUSTEE, GENERAL PARTNER, CORPORATE OFFICER, MEMBER)

PRINTED OR TYPED NAME

APPLICATION FEES ARE NOT REFUNDABLE (46 CFR 67.500 (e)).

PRIVACY ACT STATEMENT

IN ACCORDANCE WITH 5 U.S.C. 553a, THE FOLLOWING INFORMATION IS PROVIDED TO YOU WHEN SUPPLYING PERSONAL INFORMATION TO THE U.S. COAST GUARD.

1. **AUTHORITY.** SOLICITATION OF THIS INFORMATION IS AUTHORIZED BY 46 U.S.C., CHAPTERS 121 AND 125; 46 U.S.C. APP. 802 AND 883.
2. THE **PRINCIPAL PURPOSES** FOR WHICH THIS INFORMATION IS TO BE USED ARE:
 - (1) TO DETERMINE CITIZENSHIP OF THE OWNER OF THE VESSEL FOR WHICH APPLICATION FOR DOCUMENTATION IS MADE; AND
 - (2) TO DETERMINE ELIGIBILITY OF THE VESSEL TO BE DOCUMENTED WITH THE TRADE ENDORSEMENT SOUGHT.
3. THE **ROUTINE USES** WHICH MAY BE MADE OF THIS INFORMATION INCLUDE RELEASE TO LAW ENFORCEMENT OFFICIALS, TO THE GENERAL PUBLIC UNDER FREEDOM OF INFORMATION ACT, AND TO PUBLISH INFORMATION ABOUT U.S. DOCUMENTED VESSELS.
4. **DISCLOSURE** OF THE INFORMATION REQUESTED ON THIS FORM IS VOLUNTARY, HOWEVER, FAILURE TO PROVIDE THE INFORMATION REQUESTED WILL RESULT IN DENIAL OF THE APPLICATION FOR DOCUMENTATION, WHICH MAY PREVENT THE OWNER FROM OPERATING THE VESSEL(S) IN A SPECIFIED TRADE.

AN AGENCY MAY NOT CONDUCT OR SPONSOR AND A PERSON IS NOT REQUIRED TO RESPOND TO A COLLECTION OF INFORMATION UNLESS IT DISPLAYS A VALID OMB CONTROL NUMBER.

THE COAST GUARD ESTIMATES THAT THE AVERAGE BURDEN FOR THIS FORM IS 30 MINUTES. YOU MAY SUBMIT ANY COMMENTS CONCERNING THE ACCURACY OF THIS BURDEN ESTIMATE OR MAKE SUGGESTIONS FOR REDUCING THE BURDEN TO: U.S. COAST GUARD, NATIONAL VESSEL DOCUMENTATION CENTER, 792 T J JACKSON DRIVE, FALLING WATERS, WEST VIRGINIA 25419, OR OFFICE OF MANAGEMENT AND BUDGET, PAPERWORK REDUCTION PROJECT (1625-0027), WASHINGTON, DC 20503.

INSTRUCTIONS FOR COMPLETING FORM CG-1258

- A. VESSEL NAME:** Insert name by which you wish the vessel to be known. If applying to change the vessel's name, insert the old vessel name in parenthesis. Only standard Alpha and Numeric Characters in the vessel name will appear on the Certificate of Documentation. Click icon for attachment if application covers multiple vessels.
- B. OFFICIAL NUMBER:** Insert the Official Number (O/N) awarded by the Coast Guard for all but Initial Issue cases.
- C. HULL ID #:** Insert Hull Identification Number (HIN) as assigned in accordance with 33 CFR 81.
- D. IMO #:** Insert International Maritime Organization Number (IMO), if any.
- E. HAILING PORT:** Insert name of place and state exactly as it is or will be marked on the vessel. The hailing port must be a place in the United States. Commonly used abbreviations such as 'NY, NY' are acceptable.
- F. MANAGING OWNER:** Name, e-mail address and telephone number of the owner to whom the Coast Guard should send correspondence and their Social Security Number (SSN) or Tax Identification Number (TIN). SSN or TIN IS **REQUIRED**. Only an owner or part owner can be the managing owner. For vessels owned by a corporation the corporation is the managing owner. For a trust arrangement, the trustee is the managing owner. For a Partnership or a LLC, the name of the Partnership or the LLC should be shown.
- G. ADDRESS OF MANAGING OWNER:** Enter the mailing address. If physical (street) address differs from mailing address, enter BOTH.
- H. NAME(S) AND SOCIAL SECURITY NUMBER(S) OR TAX I.D. NUMBER(S) OF OTHER OWNERS:** All persons/entities (other than managing owner) who own an interest in the vessel. If no owners other than the managing owner, leave blank. Click icon for attachment if application covers multiple owners.
- I. PURPOSE OF APPLICATION:** Check applicable blocks to show purpose of application. If vessel has never been documented, check Block #5 and provide ALL requested information known about the vessel's place and year of build, hull material, approximate length and previous names and numbers. If this information is unknown, please indicate.
- J. ENDORSEMENTS:** Check the appropriate block(s) to indicate all endorsement(s) sought on this application.
- K. PRIMARY SERVICE & HORSEPOWER:** Select only ONE service for which the vessel will be primarily used and insert horsepower.
- L. CITIZENSHIP:** Vessel must be owned by a U.S. citizen eligible for the endorsement(s) sought. Select the section that describes the type of entity that owns the vessel. Citizenship for all owners must be provided.
- INDIVIDUAL:** Includes native born and naturalized U.S. citizens.
- GOVERNMENT ENTITY:** Includes Federal, State, Territory, Possession or Subdivision.
- CORPORATION:** Complete all items that apply.
- LIMITED LIABILITY COMPANY (LLC):** Complete Equity Section and choose type. For member managed LLC, a list of members is required. Click icon for attachment if application covers multiple members.
- PARTNERSHIP:** Complete Equity Section and choose type. A list of all general partners is required. Click icon for attachment if application covers multiple general partners.
- CORPORATIONS QUALIFIED UNDER 46 CFR 68.01 OR OWNED BY A NOT-FOR-PROFIT OIL RECOVERY COOPERATIVE:**
Attach a copy of the Certificate of Compliance or Letter of Qualification issued by the Director, NVDC.
- JOINT VENTURE/ASSOCIATION:** A list of all joint venturers/members is required. The percentage held by each need not be shown. Click icon for attachment if application covers multiple joint venturers/members.
- TRUST ARRANGEMENT:** All trustees must be U.S. citizens. If applicable, each beneficiary with an enforceable interest must be a citizen. A list of all trustees (except managing owner) and beneficiaries with an enforceable interest is required. Click icon for attachment if application covers multiple trustees/beneficiaries with an enforceable interest.
- M. CERTIFICATION:** Complete as appropriate. The law provides severe penalties for false statements against both the person (including agents) making the statement and against the vessel for which it is made.

NOTE: When applying for Fishery and/or Coastwise endorsement(s), see item 5.

READ THE PRIVACY ACT STATEMENT AT THE END OF THE CG-1258.

ADDITIONAL INFORMATION ABOUT DOCUMENTATION MAY BE FOUND AT OUR WEBSITE

<http://www.uscg.mil/hq/cg5/nvdc/> and in 46 CFR Parts 67, 68 and 69.

**YOU MAY ALSO CONTACT OUR OFFICE AT: 800-799-8362 OR BY MAIL AT U. S. COAST GUARD,
NATIONAL VESSEL DOCUMENTATION CENTER, 792 TJ JACKSON DRIVE, FALLING WATERS, WV 25419.**