

## Section A. Please answer these general questions.

1. Tell us why you are submitting this application. (You may check more than one box.)

**Initial Certification.** This is a request for initial approval to participate in federal student financial aid programs and to be initially designated as an eligible institution for other Higher Education Amendments (HEA) programs.

**Change in institutional ownership or structure.** This is a request to participate in federal student financial aid programs and to be designated as an eligible institution for other HEA programs following a change in institutional ownership or structure.

Check here if requesting a preacquisition review.

**Recertification.** This is a request to continue to participate in federal student financial aid programs and to continue to be designated as an eligible institution for other HEA programs either in response to a recertification notice from us or because your institution's Program Participation Agreement (PPA) will expire soon.

**Designation as an eligible institution.** This is a request to be designated as an eligible institution so that your students may receive deferments under federal student loan programs, so that your institution may apply to participate in federal HEA programs, including the Title IV student financial aid programs, or so that your students may claim an education tax credit such as the American Opportunity Tax Credit or Lifetime Learning Credit.

**Reinstatement.** This is a request to be reinstated to participate in federal student financial aid programs and/or to be redesignated as an eligible institution for other HEA programs.

**Update Information.** The purpose of this application is to update information about the institution. If you check "Update Information," please identify at least one purpose.

**Other (specify)**

2. What is the name of your institution?

3a. Do you have another name such as a trade name or a d/b/a name, under which you legally do business as a postsecondary educational institution?

Yes                       No

If yes, what is that name?

3b. During the last 4 years, have you had another name that you have not previously reported to the Department of Education?

Yes                       No

If yes, what is that name?

4.  Check here if you are an institution resulting from a merger in the past four years that you have not previously reported to the Department of Education, and give the names, TIN Numbers, and OPE ID numbers of the former (pre-merger) institutions. (You must enter the merger date in Question 19 (Section C)).

OPE ID	Name	TIN
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5. What is your 8 digit OPE ID Number? (Enter the first 6 digits. The final 2 digits are entered for you.)

Check here if you are an initial applicant and do not have an OPE ID number, and go to Question 6.

Current OPE ID (or former OPE ID if seeking reinstatement)

6a. What is your 9-digit Tax Identification Number (TIN) assigned by the IRS?

6b. What is your 9-digit DUNS number?

7. What was your most recently completed award year?

Beginning date:

Ending date:

8. What is your current award year?

Beginning date:

Ending date:

9. **(Optional)** Does your institution have a website (or home page) on the Internet?

Yes  No

If yes, list the electronic address (URL).

10. Who is your chief executive officer (CEO)/president/chancellor?

First name, MI, Last name, Suffix  
(include prefix, such as Mr., Ms., Dr.)

Job Title

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

11. Who is your chief fiscal officer/financial officer?

First name, MI, Last name, Suffix

(include prefix, such as Mr., Ms., Dr.)

Job Title

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

12. Who is your chief financial aid director?

NOTE: This must be a capable individual designated to be responsible for administering all the Title IV, HEA programs and coordinating those programs with the institution's other Federal and non-Federal programs of student financial assistance.

(See 34 CFR 668.16)

First name, MI, Last name, Suffix

(include prefix, such as Mr., Ms., Dr)

Job Title

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

13. To whom do you wish us to send publications (such as the FSA Handbook) and printed communications concerning federal student financial aid?

Check here if this is the same person as in Question 10.

Check here if this is the same person as in Question 12.

If neither of these people, complete the information below.

First name, MI, Last name, Suffix  
(include prefix, such as Mr., Ms., Dr.)

Job Title

Mailing address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

14. Whom should we contact if we have questions about information in this form? (**Note:** If there is someone you wish us to contact outside of your institution, you may enter them in question 70.)

Check here if this is the same person as in Question 10.

Check here if this is the same person as in Question 12.

If neither of these people, complete the information below.

First name, MI, Last name, Suffix  
(include prefix, such as Mr., Ms., Dr.)

Job Title

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

## Section B. Please tell us about your accreditation and state authorization to provide postsecondary education.

- Check here if you are a foreign institution (including foreign graduate medical schools), and **go to Section C.**

15. What is your accrediting agency?

If you have institution-wide accreditation, provide the following information for each agency. If more than one accrediting agency provides accreditation, designate the one you wish us to use in determining your eligibility and continued eligibility (the Primary accreditor).

If you do **not** have institution-wide accreditation, provide the following information for each accrediting agency that either accredits a program that is currently eligible or for which you are seeking eligibility. (This includes programs such as a hospital-based nursing program or radiologic technology program.)

You must include a copy of your current letter of accreditation.

Abbreviation of accrediting agency (*A list of abbreviations accompanies this application.*)

- What year did your accrediting agency last accredit you?

- For how many years is this accreditation granted?

- Check here if this is your primary accreditor
- Check here if this is an Institution-wide Accreditor
- Check here if this is a Programmatic Accreditor

Provide the End Date if you are no longer accredited by this agency.

- Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

**You must show current accreditation or give an explanation in Question 69 (Section K).**



16.  Check here if you do **not** offer a flight program, and **go to Question 17.**

If you offer a flight program, provide your U.S. Federal Aviation Administration (FAA) Part 141 certification number.

Number

Date FAA certification expires

(mm/dd/yyyy format)

17. What state agencies authorize or license you to provide postsecondary educational programs? (For this question, do not include educational programs that are provided at “distance learning” sites.)

- a.  Check here if you are a public institution and do **not** provide at least 50% of an educational program outside your state, and go to Section C.
- b.  Check here if you are a public institution and you **do** provide at least 50% of an educational program outside your state, and list (for each state other than your “home” state) each state agency that licenses you, or otherwise provides you with legal authority, to provide postsecondary educational programs.
- c.  Check here if you are a private institution, and list each state agency that licenses you, or otherwise provides you with legal authority, to provide postsecondary educational programs.
- d.  Check here if you or your programs are not required to be authorized or licensed by a state agency, and **include a copy of the basis for that determination.**

Agency Name

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

 ext: 

Fax number (including area code)

 ext: 

E-mail address (if applicable)

You must include a copy of your current state license(s) or other state authorization(s) and/or exemption(s).

Provide the End Date if you are no longer authorized by this agency.

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

## Section C. Please describe your institutional control and structure.

18. Check your type of institutional structure (check one).

- Public institution
- Private nonprofit 501(c)(3) institution  
You must include a copy of your 501(c)(3) designation from the IRS.
- For-profit institution

**Foreign institution** (check one)

Public institution

Private nonprofit institution  
You must include a certified English translation of your nonprofit designation status.

For-profit institution  
(**Note:** Foreign graduate medical schools and foreign veterinary schools whose students complete their clinical training at an approved veterinary school in the U.S. are the only foreign for-profit institutions eligible to apply to participate in federal student financial aid programs.)

19.  Check here if this is a request for initial certification, and **go to Question 20.**

For all other institutions, since you were last certified to participate in federal student financial aid programs, has your institutional structure changed?

Yes  No

If yes, give the date of the change.

(mm/dd/yyyy format)

20.  Check here if you are a public institution, and **go to Section E.**

Check here if you are **not** a public institution, and list the names of your board of trustees or your board of directors.

Check here if you have a board of trustees.

Check here if you have a board of directors.

Check here if you have more than 10 on your board, list only the board's executive committee, and provide the name of a contact person in Question 21.

First name, MI, Last name, Suffix  
(include prefix, such as Mr., Ms., Dr.)


21 If you provide only the board's executive committee in Question 20, tell us who is the appropriate person to contact for further information about your board (for example, the board's recording secretary)?

Check here if this is the same person as in Question 10.

Check here if this is the same person as in Question 12.

If neither of these people, complete the information below.

First name, MI, Last name, Suffix  
(include prefix, such as Mr., Ms., Dr.)

Job Title

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

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**Section D. If you are a for-profit institution, or are a not-for-profit institution with a change in control, please answer these questions. (This includes for-profit foreign graduate medical schools.)**

Check here if this does **not** apply to you and **go to Section E.**

22 – 24 Provide information for each person or entity that directly or indirectly owns a 25% or greater interest in your institution.

a. The owner or person is (check one):

- a corporation (complete **b.** and **c.**)
  - Publicly traded - Provide the stock exchange trading symbol \_\_\_\_\_
  - Closely held corporation
  - Subchapter S Corporation
  - Limited Liability Company
  - Other, identify \_\_\_\_\_

- an unincorporated business entity (such as a partnership or trust) (complete **b.** and **c.**)
  - General partner/partnership
  - Limited liability
  - Voting trust
  - Other, identify \_\_\_\_\_

- an individual (complete **d.**)

b. Name of corporation or other business entity

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

 ext: 

Fax number (including area code)

 ext: 

E-mail address

Percentage of ownership

Date ownership began

TIN

Identify the state or country in which you are incorporated.

If you are a corporation, give the name and address of the contact person (sometimes known as the “registered agent”) within the state or foreign country where you are incorporated.

First name, MI, Last name, Suffix  
(include prefix, such as Mr., Ms., Dr.)

Job Title

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

 ext: 

Fax number (including area code)

 ext: 

E-mail address

- c. List the following information for each person, corporation, or unincorporated business entity that directly or indirectly owns a 25% or greater interest in the corporate owner or entity:

Name of owner

First name, MI, Last name, Suffix  
(include prefix, such as Mr., Ms., Dr.)

Business street address

City



**Section D**

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

 ext: 

Fax number (including area code)

 ext: 

E-mail address

Home address (for person owners)

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Percentage of ownership

Date ownership began

SSN or TIN (required)

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

d. If the owner is an individual, provide the following information.

First name, MI, Last name, Suffix  
(include prefix, such as Mr., Ms., Dr.)

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

 ext: 

Fax number (including area code)

 ext: 

E-mail address

Home address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Percentage of ownership

Date ownership began

SSN of owner (required)

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

25. Has a person or entity listed in Question 24 or a member of that person's family or a director of your institution owned 25% or more or held a position listed below of another institution that is now participating in or ever participated in federal student financial aid programs or of a third-party servicer listed in Question 58?

The ownership could be:

- individual, *or*
- held by one or more family members, *or*
- in combination with others, such as a voting trust.

The position held at another institution could be any of the following:

- member of the board of directors, *or*
- chief executive officer, *or*
- other executive officer, general partner or director of the institution or servicer.

Yes

No

If yes, what is the name of the owner (either the name of a person or an entity) or the director?  
(If a person, include prefix, such as Mr., Ms., Dr.)

If applicable, what is the name of the third-party servicer that is or was owned, or where the position was held?

If applicable, what is the name of the institution that is or was owned, or where the position was held?

If applicable, what is the current or former OPE ID of this institution?

If applicable, when did ownership/position end?

**Section D**

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Is there any liability currently owed to the Department that was established during the period of ownership or position held? (If yes, please explain in Section K, Question 69)

Yes

No

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

## Section E. Please provide the following information for each educational program that you are requesting be eligible to participate in federal student financial aid programs.

26. Please check each box that describes the educational programs that you provide as of the date you submit this application or that you will provide during the current award year.  
**Provide information only on programs that you wish to be eligible for federal student financial aid.**  
(You may check more than one box.)

**Note:** If a program is required to prepare a student for gainful employment in a recognized occupation, the institution must be able to demonstrate a reasonable relationship between the length of the program and the entry-level requirement for the recognized occupation for which the program prepares the student. The Secretary considers the relationship to be reasonable if the number of clock hours provided in the program does not exceed by more than 50 percent the minimum number of clock hours required for training in the recognized occupation for which the program prepares the student, as established by the State in which the program is offered, if the State has established such a requirement, or as established by any Federal agency. If the program exceeds by more than 50 percent of the State or Federal minimum number of clock hours, please explain in Section K, Question 69.

**Note:** Post-baccalaureate students pursuing prerequisite coursework (such as prerequisite courses for medical school) have their eligibility determined on the basis of *student* eligibility for federal student financial aid criteria rather than *program* eligibility criteria. Therefore, these types of programs are not included here.

- a.  associate degree programs
- b.  bachelor's degree programs
- c.  master's degree programs or doctoral degree programs
- d.  first professional degree programs

Do you measure student's progress in any of these degree programs by direct assessment instead of credit or clock hours?  Yes  No

- e.  graduate or professional programs that
- do not lead to a post-baccalaureate degree,
  - are at least 10 weeks, and

- provide at least 8 semester or trimester credit hours, 12 quarter credit hours, or 300 clock hours of instruction.
  - prepare students for gainful employment in a recognized occupation
- f.  two-academic-year transfer programs (*see glossary*)
- g.  undergraduate programs that
- lead to a certificate or other recognized educational credential,
  - prepare students for gainful employment in a recognized occupation,
  - are at least 15 weeks, and
  - provide at least 16 semester or trimester credit hours, 24 quarter credit hours, or 600 clock hours of instruction.
- h.  undergraduate programs that
- lead to a certificate or other recognized educational credential,
  - prepare students for gainful employment in a recognized occupation,
  - are at least 10 weeks, and
  - provide at least 8 semester or trimester credit hours, 12 quarter credit hours, or 300 clock hours of instruction,  
**AND**
  - require an enrolling regular student to have an associate degree or higher degree.
- i.  undergraduate programs that
- lead to a certificate or other recognized educational credential,
  - prepare students for gainful employment in a recognized occupation,
  - are at least 10 weeks, and
  - provide at least 300 but not more than 599 clock hours of instruction,
  - do not exceed by more than 50% the minimum number of clock hours established by the state for such training programs, and
  - have been provided for at least one year.
- j.  Post-baccalaureate teacher certification program
- that consists of courses required by the state for students to receive initial certification to teach in an elementary or secondary school in that state,
  - that does not lead to a graduate degree,
  - where the institution does not offer a bachelor's degree in education, and
  - where the institution is not otherwise Pell Grant eligible.

- k.  Comprehensive Transition and Postsecondary Program (for students with intellectual disabilities - please refer to 34 C.F.R. 668.231 for information about the requirements of this program)

Check here if you award an associate degree, bachelor's degree, or higher degree to all your students who successfully complete any of your programs.

27. Based on the boxes checked in Question 26, please provide the following information for the educational programs that you wish to be eligible for federal student aid.

- a. If you checked box **a.** in Question 26, provide information about your associate degree programs.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction

Number of credit hours

Type of Hours (check one)

- semester     trimester     quarter     clock

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

- b. If you checked box **b.** in Question 26, provide information about your bachelor's degree programs.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction

Number of credit hours

Type of Hours (check one)

- semester     trimester     quarter     clock

- Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

- c. If you checked box **c.** in Question 26, provide information about your master's and/or doctoral degree programs.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction



Number of credit hours

Type of Hours (check one)

- semester     trimester     quarter     clock

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

- d. If you checked box **d.** in Question 26, provide information about your first professional degree programs.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction

Number of credit hours

Type of Hours (check one)

- semester     trimester     quarter     clock

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

- e. If you checked box e. in Question 26, provide information about your non-degree graduate programs.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction

Number of credit hours

Type of Hours (check one)

- semester     trimester     quarter     clock

- Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

- f. If you checked box f. in Question 26, provide information about your two-academic-year transfer programs.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction

Number of credit hours

Type of Hours (check one)

 semester     trimester     quarter     clock

- Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

- g. If you checked box **g.** or **h.** in Question 26, provide information about your non-degree undergraduate programs.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction

Number of credit hours

Type of Hours (check one)

semester  trimester  quarter  clock 

Is each course within the program acceptable for full credit toward your associate degree or higher degree?

 Yes     No

- Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

- h. If you checked box **i.** in Question 26, provide information about your non-degree undergraduate programs.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction

Maximum number of clock hours authorized by the state licensing agency

Completion rate\*

Placement rate\*

\*Provide the completion rate and the placement rate for your most recently completed award year. (Instructions on how to calculate the completion rate are found in 34 CFR 668.8(f).

Instructions on how to calculate the placement rate are found in 34 CFR 668.8(g).)

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

- i. If you checked box **k.** in Question 26, provide information about your Comprehensive Transition and Postsecondary Program.

Name of program

CIP code (*A list of CIP codes accompanies this application.*)

Number of Weeks

Clock hours (number of hours) of instruction

Number of credit hours

Type of Hours (check one)

semester  trimester  quarter  clock

Is each course within the program acceptable for full credit toward your associate degree or higher degree?

Yes  No

**ADDITIONAL INFORMATION REQUIRED: If not previously provided, the institution must provide a detailed description of this Comprehensive Transition and Postsecondary Program addressing all of the components of the program as defined in 34 C.F.R. 668.231. The institution must send this information as a pdf attachment to FSA\_PEPS@ed.gov.**

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

28. Do you contract with an organization or ineligible institution to provide more than 25% of any educational program, (such as internship, externship, practicum in nursing, midwifery, medical technician, etc.)?

Note: If you contract 50% or more of the program to an ineligible institution or organization, the program is not eligible for Title IV.

Yes  No

a. If yes, provide the following information.

Name of program

Name of organization or ineligible institution

Corporation name, if applicable

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Former OPE ID number of the other institution, if applicable

What percent of the program is contracted out?

You must include a copy of the approval from your accrediting agency for contracting this program.

b.  Check here if any owner or person listed in Question 24 or Question 25 directly or indirectly

- owns or controls 25% or more of the ineligible institution

*or*

- serves as a director or as an executive officer of the ineligible institution.

What is the name of this owner or person?

- c. Did the ineligible institution withdraw from participating in federal student financial aid programs under a termination, show cause, suspension, or similar type of proceeding initiated by its state licensing agency, accrediting agency, guarantor, or the U.S. Secretary of Education?

Yes                                       No

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the.

## Section F. Please tell us about your locations.

29. What is your principal location?

Name of location

Business street address

City

County



State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

30. Provide the following information for any of your locations (other than your principal location) that meet any one of these three criteria and at which you provide educational programs to students whom you wish to participate in federal student financial aid programs:

It is a location where students could complete 50% or more of an educational program that you offer during the current award year.

*or*

It is a location where students could complete at least 50% of an educational program over a two-year period (consisting of the current award year and the most recently completed award year).

*or*

It is a location where you provide any educational programs if, during the past two-year period (consisting of the current award year and the most recently completed award year), you told students that they could complete at least 50% of any educational programs there.

Name of location

Business street address

City

County

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

OPE ID number of location *or* if no OPE ID number, check here

DUNS number (Optional)  
Bradstreet

(If you do not have a DUNS number, you can contact Dun &  
at 1-800-333-0505 to have a number assigned.)

Would you like to receive mailings from the Department at this location?

Yes

No

Check here if the mailing address is different from the address above, and  
provide the mailing address below.

Mailing address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.



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## Section G. Please tell us about your correspondence courses, your students enrolled under ability-to-benefit provisions, and your incarcerated students.

31. Are any of your programs offered in whole or part by correspondence or distance education?

**Note:** Distance education is defined as education that is delivered to students who are separated from instructors and is designed to ensure that there is regular and substantive interaction between students and instructors, either synchronously or asynchronously.

Yes  No

- 32a. For the most recently completed award year, were more than 50% of your courses taught by means of correspondence? (See 34 CFR 600.7 and 668.38)

**Note:** If a course is offered through traditional methods and through correspondence, then that course should be counted under both traditional methods and correspondence. Therefore, the same course might be counted more than once.

Yes  No

- 32b. For the most recently completed award year, were 50% or more of your regular students enrolled in correspondence courses? (See 34 CFR 600.7, and 668.38)

Yes  No

33. For the most recently completed award year, were more than 50% of your regular students ability-to-benefit students? (See 34 CFR 600.7 and 668.32)

**Note:** Do not include students who are being educated at your institution under a specific contract with federal, state, or local governments for training purposes (such as most contracts under the Job Training Partnership Act)

Yes  No

34. During the most recently completed award year, were more than 25% of your regular students incarcerated? (See 34 CFR 600.2, 600.7, and 668.32)

Yes  No

**Section H. Please complete this section if this is an initial application *or* you were certified but you have a change in your ownership *or* structure, are seeking reinstatement, *or* you want to add or drop a Title IV program.**

- Check here if this is **not** an initial application or a change in ownership or structure or for reinstatement, and **go to Section I.**

**Note:** Here “change in ownership or structure” refers to a change in ownership, conversion to or from a non-profit institution, or a merger of two or more institutions.

If you acquired the institution *or* if the institution is the result of a merger of two or more former institutions, you will be liable for any debts incurred by your predecessors under federal student financial aid programs.

35. Tell us why you are completing this section.

- This is an initial application. Tell us on what date you were both legally authorized to provide and began continuously providing the educational training program for which you are seeking eligibility. Then, indicate below whether you are an institution with or without history.

Month, Day, Year

Note: If you are a for-profit institution or if you offer only a program(s) of less than one academic year, you must have been in existence for at least two years to be eligible to participate in federal student financial aid programs

- You are an institution *without* history. Answer Questions 36, 37, and 38, then **go to Section I.**
- You are an institution *with* history (for example, you have been in operation for one or two years). Answer **all** the questions in this section.

- You are an institution with a change in your ownership. Answer Questions 36, 37, and 38, then **go to Section I.**
- You are an institution that converted to a not-for-profit institution. Answer Questions 36, 37, and 38, then **go to Section I.**
- You are an institution that converted to a for-profit institution. Answer Questions 36, 37, and 38 then **go to Section I.**
- You are an institution resulting from a merger in the past four years. Answer Questions 36, 37, and 38 about the newly formed institution, then **go to Section I.**
- You are an institution seeking reinstatement. Answer all the questions in this section.

36. How many full-time equivalent (FTE) financial aid staff members do you have?

Administrative, counselors, or other professionals

FTE

Clerical

FTE

37. Indicate all of the federal student financial aid programs in which you are seeking approval to participate. (**Note:** Foreign institutions, including foreign graduate medical schools, may apply only for the William D. Ford Federal Direct Loan Program (Direct Loan Program))

- Federal Pell Grant Program**
- Federal Perkins Loan Program**
- Federal Supplemental Educational Opportunity Grant (FSEOG) Program**

**Federal Work-Study (FWS) Program**

- Federal Work-Study-regular or general
- Job Location and Development (JLD)
- Private-Sector Employment

**Federal Family Education Loan (FFEL) Program- No Longer Available**

(formerly called the Guaranteed Student Loan Program)

Indicate specific programs within FFEL for which you are seeking approval to participate.

- Federal Stafford Loan Program (subsidized)
- Federal Stafford Loan Program (unsubsidized)
- Federal PLUS Loan Program (parent loans)

**William D. Ford Federal Direct Loan Program (Direct Loan Program)**

Indicate specific programs within the Direct Loan Program for which you are seeking approval to participate.

- Direct Subsidized Loan Program (Undergraduate level programs only)
- Direct Unsubsidized Loan Program
- Direct PLUS Loan Program

38. Do you anticipate an increase of 10% or more in your student body in the next award year?

- Yes                       No

How many regular students do you estimate would be eligible to receive federal student financial aid for the remainder of the current award year and each of the next two award years if you become eligible to participate in federal student financial aid programs?

- Estimated number for the remainder of the current award year
- Estimated number for the next award year
- Estimated number for the award year following the next award year

39. Provide the following information about your regular students. (If a student drops out and then reenrolls, count the student each time.)

- a. How many regular students were enrolled at your institution during your most recently completed award year?

- b. How many regular students in **a.** dropped out during the 100% refund period during your most recently completed award year?

- c. How many regular students in **a.** dropped out after the 100% refund period during your most recently completed award year?

40. If you provide vocational programs, list all such educational programs (not classes):  
that you have provided continuously for at least 24 months

*and*

for which you would like regular students to be eligible for federal student financial aid.

Name of program (name should be consistent with Question 27)

Check here if you need space to give more answers and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

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## Section I. If you are a foreign institution, please complete this section. (This includes foreign graduate medical schools.)

Check here if you are **not** a foreign institution, and go to Section J.

**Note:** If you are a foreign institution, you must **include a copy of your most recent catalog and a certified English translation (*see glossary*) of all sections dealing with degrees and programs provided at your institution.**

41. Do you admit as regular students only people who have a credential of secondary school completion or its recognized equivalent?

Yes  No

42. In the country where you are located, are you legally authorized to provide an educational program beyond the secondary school level?

Yes  No

If yes, what is the name and address of the agency or ministry within the country that enforces this authority?

Name of office

Business street address

City

Foreign Province, Country, Postal Code

Telephone number (Complete international telephone number)

ext:

Foreign fax

	ext:	
--	------	--

E-mail address

--

**Include a copy of your legal authorization and its certified English translation.**

43. Are you legally authorized to award a degree that is equivalent to an associate, baccalaureate, graduate, or professional degree awarded in the United States?

Yes  No

**Include a copy of your legal authorization and its certified English translation.**

44. Do you provide an educational program that is at least a two-academic-year program acceptable for full credit toward the equivalent of a baccalaureate degree awarded in the United States?

Yes  No

45. Do you provide any educational programs that meet all three of these criteria?

- The program is equivalent to at least a one-academic-year training program in the United States.

*and*

- The program leads to a certificate, degree, or other educational credential that is equivalent to one offered in the United States.

*and*

- The program prepares students for gainful employment in an occupation that is equivalent to one in the United States.

Yes  No

46. Do you have administrative offices and/or recruiting offices in the United States that represent you?

Yes  No

If yes, provide the following information.

Name of U.S. administrative office

Business street address

City

State and Zip +4

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

Name of contact person at the office:

First name, Middle initial, Last name

(include prefix, such as Mr., Ms., Dr. and suffix such as Jr., II)

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

Check here if you are a foreign institution that is **not** a foreign graduate medical or veterinary school **and go to Section J.**



47. Where is the facility at which you provide graduate medical educational program instruction in your country?

Name of facility

Address

City

Foreign Province, Country, and Postal Code

Telephone number (Complete international telephone number)

 ext: 

Foreign fax

 ext: 

E-mail address

Name of contact person at the facility:

First name, Middle initial, Last name

(include prefix, such as Mr., Ms., Dr. and suffix such as Jr., II)

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

48. What entity in your country is legally authorized to evaluate the quality of your medical educational program?

Name of entity

Address

City

Foreign Province, Country, and Postal Code

Telephone number (Complete international telephone number)

ext:

Foreign fax

ext:

E-mail address

Name of contact person at the entity:

First name, Middle initial, Last name

(include prefix, such as Mr., Ms., Dr. and suffix such as Jr., II)

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

49. Are you approved by the entity listed in Question 48 to provide a graduate medical educational program in your country?

Yes

No

**Include a copy of each approval and its certified English translation.**

50. What is the length of the program of graduate clinical and medical instruction?

months

51. Is any part of your program of graduate clinical instruction provided in the United States?

Yes

No

If yes, provide the following information.

a. Name of facility

Business street address

City

State and zip+4

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

Name of contact person at the facility:

First name, Middle initial, Last name

(include prefix, such as Mr., Ms., Dr. and suffix such as Jr., II)

b. Part of program offered:

Classroom                       Clinical

Do you provide the remainder of your program of graduate medical instruction in your country?

Yes                                       No

c. What medical licensing boards and evaluating bodies in the United States currently approved the clinical training in the United States?

d. Was your clinical training program in the United States approved as of January 1, 1992 by the state in which you offer it?

Yes                                       No

**Include a copy of the approval.**

If yes, is it currently approved by the state?

Yes                                       No

**Include a copy of the approval.**

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

**Section I**

52. List the dates of graduation and the number of regular students who graduated within the past three 12-month periods.

Dates	Dates	Dates
<input type="text"/>	<input type="text"/>	<input type="text"/>
Graduates	Graduates	Graduates
<input type="text"/>	<input type="text"/>	<input type="text"/>

Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

53. What are the beginning and ending dates of your institution's most recently completed academic year?

Beginning date

Ending date

54. How many full-time regular students were enrolled during the most recently completed academic year?

55. How many of the regular students in Question 54 were not U.S. Citizens or residents eligible for U.S. federal financial aid programs?

56. If your school is located in Canada, go to Section J. During the most recently completed year, how many of your regular students and graduates from the three preceding years took any "step" of the examinations administered by the Education Commission for Foreign Medical Graduates?

How many of these students received passing scores on any "step" of the examinations?

57.  Check here if you are a foreign institution that is not a foreign veterinary school, and go to **Section J.**

Is any part of your program of Veterinary instruction provided in the United States?

Yes  No

Name of facility

Business street address

City

State and Zip +4

Telephone number (including area code)

 ext: 

Fax number (including area code)

 ext: 

E-mail address

## Section J. Please tell us about your third-party servicers. (This includes your Ability to Benefit Test.)

58a. If you contract with any third-party servicer to perform any function relating to federal student financial aid programs, or use an ability to benefit test for students who do not have a high school diploma or its recognized equivalent, provide the following information about each servicer and/or tester.

**Note:** Do **not** list independent auditors. Also do **not** list vendors that provide books, forms, or computer programs (in other words, do not list vendors unless they actually perform services or functions for which you are responsible under the HEA programs).

Name of president or chief executive officer of the servicer, as indicated in your contract

First name, Middle initial, Last name

(include prefix, such as Mr., Ms., Dr.)

Job Title

Company name

(Please enter the company name. If there is no company name, enter the tester's name.)

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

 ext: 

Fax number (including area code)

 ext:

E-mail address

Indicate the service provided:

- Performing needs analysis
- Authorizing financial aid
- Disbursing financial aid
- Performing loan servicing
- Counseling/providing information for students
- Performing loan collection
- Preparing/maintaining student aid transcripts (Transfer student monitoring requirement)
- Ability to Benefit Tester
- Other (specify)

- Check here if you need space to give more than one answer and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

58b. Identify which ability to benefit test you use.



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## Section K. Please assure us of your administrative capability and your financial responsibility.

**Note:** To expand on any of your answers or explain why the question was not answered, use Question 69..

59. Do you have a system of internal checks and balances for administering federal student financial aid that meets federal regulations? (See 34 CFR 668.16.)  
 Yes
60. Do you divide the functions of determining student awards and disbursing funds that result from those award decisions? (See 34 CFR 668.16.)  
 Yes
61. Do you have procedures that ensure frequent, periodic reconciliation of fiscal office and financial aid office award data? (See 34 CFR 668.14, 668.16, 668.24, 674.19, 675.19, 676.19, and 690.81.)  
 Yes
62. Do you have a system to identify and resolve discrepancies in information you receive from various sources about a student's application for financial aid? (See 34 CFR 668.16.)  
 Yes
63. Do you have a policy that meets federal regulations for requiring satisfactory academic progress for recipients of federal student financial aid? (See 34 CFR 668.16 and 668.34.)  
 Yes
64. Do you have procedures that ensure that your requests for federal cash do not exceed the amount of funds you need immediately to make aid disbursements to students? (See 34 CFR 668.163.)  
 Yes
65. Do you have a policy that meets federal regulations for returning Title IV funds when a student withdraws from classes? (See 34 CFR 668.22.)  
 Yes

66a. Have you submitted your required annual financial statement audits to us on time? (For initial applicants, have you established a process to ensure that you submit your required annual financial statement audit to us on time?) (See 34 CFR 668.23.)

Yes

66b. Have you submitted your required annual federal student financial aid compliance audits to us on time? (For initial applicants, have you established a process to ensure that you submit your required annual federal student financial aid compliance audit to us on time?) (See 34 CFR 668.23.)

Yes

67. Do you use the electronic processes required by the Secretary? (See 34 CF668.16)

Yes

68. Do you have a process to insure you obtain the necessary approvals from the Department for expanding or re-establishing your institutional eligibility, (such as changes of ownership resulting in a change of control, excluded changes in ownership, or adding new locations in certain circumstances), and that you notify us within 10 days about other important changes (such as changing your name, address or official)? (See 34 CFR 600.10, 600.20 and 600.21)

Yes

69. (Optional) Use this area to provide your emergency contact information, tell us about any unusual circumstances, or provide additional explanations about your application.


Check here if you need additional space and continue on a separate sheet. On the separate sheet, repeat the question being answered, numbering each sheet as page 1 of 3, page 2 of 3, and so on as appropriate for each question. Insert continuation sheets following the page where the question is asked.

70 a. (Optional) Provide the following information for any person or firm outside your institution that you wish to designate as your agent to represent you in matters related to this application.

First name, Middle initial, Last name

(include prefix, such as Mr., Ms., Dr.)

Job Title

Company name

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

 ext: 

Fax number (including area code)

 ext: 

E-mail address

70 b. Provide the following information for your institution's destination point administrator (DPA). First name, Middle initial, Last name

(include prefix, such as Mr., Ms., Dr.)

Job Title

Company Name

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

	ext:	
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Fax number (including area code)

	ext:	
--	------	--

E-mail address

**71. Reporting of Foreign Gifts, Contracts and Relationships.**

**Note:** All domestic institutions that receive any Federal financial assistance (directly or indirectly) and offer a bachelor's degree or higher or that offer a transfer program of not less than two years that is acceptable for credit toward a bachelor's degree are required to report foreign gifts, contracts, or ownership and control. This information must be reported to the Secretary no later than January 31 or July 31, immediately following receipt of gifts from a foreign source or contracts with a foreign entity that exceed \$250,000 in any calendar year, and any ownership interest in or control over the institution by a foreign entity. (Please provide a description of any conditions or restrictions associated with the foreign gift in Question 69.)

Gift Type

Date received

	(mm/dd/yyyy format)
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Amount

Giver Name

Country

Contract Start Date

(mm/dd/yyyy format)

Contract End Date

(mm/dd/yyyy format)

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## Section L. Please have the appropriate person in authority review, sign, and date this document.

I hereby certify that, to the best of my knowledge and belief, all information in this document is true and correct. I understand that if my institution provides false or misleading information, (a) the U.S. Department of Education may deny the institution's request for eligibility to participate in federal student financial aid programs and/or revoke eligibility once it has been granted and (b) the institution may be liable for all federal student financial aid funds it or its students received. I also understand that I may be subject to a fine of not more than \$27,500 or imprisonment of not more than five years, or both, for misinformation that is material to receipt and stewardship of federal student financial aid funds.

Signature of President/CEO/Chancellor

Date

Name of institution

Name of President/CEO/Chancellor

Check here if this is the same person as in Question 10. If not, complete the information below.

Job Title

Business street address

City

State and Zip+4 (or Foreign Province, Postal Code, and Country, if outside the U.S.)

Telephone number (including area code)

ext:

Fax number (including area code)

ext:

E-mail address

## Section M. Please include copies of appropriate documents as part of your application.

Indicate all copies of documents you are including with this application.

- Current letter of accreditation and any attachments. (See Question 15)  
(Please Note: The accreditation certificate is not sufficient documentation)
- Valid state license or other state authorization (See Question 17)
- For private nonprofit institutions-501(c)(3) designation from the IRS (See Question 18)
- If your institution contracts with an organization or ineligible institution to provide more than 25% of any educational program-a copy of the approval from your accrediting agency for contracting this program (See Question 28)

*For initial applicants (See Question 35)*

- Audited financial statements for the (two) most recently completed fiscal year(s)

*Default management plan: Either*

- The default management plan recommended by the Secretary of Education. (check this box, do not include the plan); *or*
- A default management plan other than the plan recommended by the Secretary of Education. (enclose a copy of the plan); *or*
- The institution is exempt under 487(a)(14)(C) of the HEA from providing a default management plan.

*For institutions with a change in ownership or structure (See Question 35)*

- Audited financial statements of the institution's two most recently completed fiscal years that are prepared in accordance with Generally Accepted Accounting Principles (GAAP) and audited in accordance with Generally Accepted Government Auditing Standards (GAGAS); and
- Audited financial statements of the institution's new owner's two most recently completed fiscal years that are prepared in accordance with Generally Accepted Accounting Principles (GAAP) and audited in accordance with Generally Accepted Government Auditing Standards (GAGAS) or equivalent information for that owner that is acceptable to the Secretary.
- Same-day balance sheet, audited in accordance with GAGAS, showing the financial condition of the institution after the change in ownership.



*Default management plan: Either*

- The default management plan recommended by the Secretary of Education. (check this box, do not include the plan); *or*
- A default management plan other than the plan recommended by the Secretary of Education. (enclose a copy of the plan); *or*
- The institution is exempt under 487(a)(14)(C) of the HEA from providing a default management plan.

*For institutions seeking reinstatement (See Question 35)*

- Audited financial statements for the two most recently completed fiscal years that are prepared in accordance with Generally Accepted Accounting Principles (GAAP) and audited in accordance with Generally Accepted Government Auditing Standards (GAGAS).

*Default management plan: Either*

- The default management plan recommended by the Secretary of Education. (check this box, do not include the plan); *or*
- A default management plan other than recommended by the Secretary of Education. (enclose a copy of the plan); *or*
- The institution is exempt under 487(a)(14)(C) of the HEA from providing a default management plan.

*For foreign institutions, including foreign graduate medical schools*

- For private nonprofit institutions-a certified English translation of nonprofit designation status (See Question 18)
- Most recent catalog and its certified English translation of all sections dealing with degrees and programs provided at your institution (See Section I)
- Legal authorization and its certified English translation to provide an educational program beyond the secondary school level in the country where you are located (See Question 42)
- Legal authorization and its certified English translation to award a degree that is equivalent to a degree awarded in the United States (See Question 43)

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Legal authorization and its certified English translation to provide graduate medical, education (See Question 49)

*In addition, if a foreign institution is an initial applicant*

Audited financial statements for the two most recent years

*Default management plan: Either*

The default management plan recommended by the Secretary of Education. (check this box, do not include the plan); *or*

A default management plan other than the plan recommended by the Secretary of Education. (enclose a copy of the plan); *or*

The institution is exempt under 487(a)(14)(C) of the HEA from providing a default management plan.

*For institutions applying for Comprehensive Transition and Postsecondary Programs (See Question 26k)*

A detailed description of your comprehensive transition and postsecondary program addressing all of the components of the program as defined in 34 C.F.R 668.231

A copy of your institution's Satisfactory Academic Progress policy for the comprehensive transition and postsecondary program

A copy of the notification to your primary accreditor that your institution is providing a comprehensive transition and postsecondary program