

## Award

|   |   |
|---|---|
| <b>Federal Award Identification Number (FAIN)</b> | CA-2019-083-00  |
| <b>Temporary Application Number</b>               | 1627-2019-2   |
| <b>Award Name</b>                                 | FFY19 - Section 5307 Operating and Capital Assistance |
| <b>Award Status</b>                               | Active (Executed)                                     |
| <b>Award Budget Number</b>                        | 0   |

### Part 1: Recipient Information

**Name: Butte County Association Of Governments**

| Recipient ID | Recipient OST Type    | Recipient Alias                                | Recipient DUNS |
|--------------|-----------------------|--|----------------|
| 1627         | Council of Government | BUTTE COUNTY ASSOCIATION OF GOVERNMENTS (BCAG) | 602797891      |

| Location Type    | Address             | City  | State | Zip   |
|------------------|---------------------|-------|-------|-------|
| Headquarters     |                     |       |       |       |
| Physical Address | 326 HUSS DR STE 150 | CHICO | CA    | 95928 |
| Mailing Address  | 326 HUSS DRIVE      | CHICO | CA    | 95928 |

## Union Information

|                   |                                     |
|-------------------|-------------------------------------|
| <b>Union Name</b> | <b>CWA LOCAL 9421</b>               |
| Address 1         | 2725 El Camino Avenue               |
| Address 2         |                                     |
| City              | Sacramento                          |
| State             | California                          |
| Zipcode           | 95821                               |
| Contact Name      | Lupe Mercado                        |
| Telephone         | 916-484-94                          |
| Fax               |                                     |
| E-mail            |                                     |
| Website           |                                     |
| <b>Union Name</b> | <b>GENERAL TEAMSTERS, LOCAL #13</b> |
| Address 1         | 3540 Market Street                  |
| Address 2         |                                     |
| City              | Redding                             |
| State             | California                          |
| Zipcode           | 96001                               |
| Contact Name      | Russ Buttler                        |
| Telephone         | 5302430232                          |
| Fax               |                                     |
| E-mail            | russ@teamsters137.com               |
| Website           |                                     |
| <b>Union Name</b> | <b>IBEW LOCAL 1245</b>              |
| Address 1         | 30 Orange Tree Circle               |
| Address 2         |                                     |
| City              | Vacaville                           |

|                   |                        |
|-------------------|------------------------|
| State             | California             |
| Zipcode           | 95687                  |
| Contact Name      | Thomas Conwell         |
| Telephone         | 707-452-27             |
| Fax               |                        |
| E-mail            |                        |
| Website           |                        |
| <b>Union Name</b> | <b>IBEW LOCAL 340</b>  |
| Address 1         | 2840 El Centro Road    |
| Address 2         | Suite 115              |
| City              | Sacramento             |
| State             | California             |
| Zipcode           | 95833                  |
| Contact Name      | Tom Meredith           |
| Telephone         | 916-927-42             |
| Fax               |                        |
| E-mail            |                        |
| Website           |                        |
| <b>Union Name</b> | <b>LIUNA LOCAL 185</b> |
| Address 1         | 1320 W National Drive  |
| Address 2         |                        |
| City              | Sacramento             |
| State             | California             |
| Zipcode           | 95834                  |
| Contact Name      | Doyle Radford          |
| Telephone         | 916-928-83             |
| Fax               |                        |
| E-mail            |                        |

|                   |  |
|-------------------|--|
| Website           |  |
| <b>Union Name</b> | <b>OPCMIA LOCAL 400</b>                    |
| Address 1         | 11720 Beltsville Drive                     |
| Address 2         | Suite 700                                  |
| City              | Beltsville                                 |
| State             | Maryland                                   |
| Zipcode           | 20705                                      |
| Contact Name      | Patrick Finley                             |
| Telephone         | 301-623-10                                 |
| Fax               |  |
| E-mail            |  |
| Website           |  |
| <b>Union Name</b> | <b>SHEET METAL WORKERS (SMW) LOCAL 104</b> |
| Address 1         | 2840 El Centro Road                        |
| Address 2         | Suite 110                                  |
| City              | Sacramento                                 |
| State             | California                                 |
| Zipcode           | 95833                                      |
| Contact Name      | Randy Young                                |
| Telephone         | 916-922-11                                 |
| Fax               |  |
| E-mail            |  |
| Website           |  |
| <b>Union Name</b> | <b>UFCW 8-GOLDEN STATE</b>                 |
| Address 1         | 2200 Professional Drive                    |
| Address 2         |  |
| City              | Roseville                                  |
| State             | California                                 |

|              |                 |
|--------------|-----------------|
| Zipcode      | 95661           |
| Contact Name | Jacques Loveall |
| Telephone    | 916-786-05      |
| Fax          |                 |
| E-mail       |                 |
| Website      |                 |

## Part 2: Award Information

### Title: FFY19 - Section 5307 Operating and Capital Assistance

| FAIN           | Award Status      | Award Type | Date Created | Last Updated Date | From TEAM? |
|----------------|-------------------|------------|--------------|-------------------|------------|
| CA-2019-083-00 | Active (Executed) | Grant      | 6/12/2019    | 6/12/2019         | No         |

#### Award Start Date

7/17/2019

#### Award End Date

6/30/2020

#### Award Executive Summary

The Butte County Association of Governments (BCAG) owns and operates the Butte Regional Transit System in Butte County. This project funds BCAG/Butte Regional Transit operations in Butte County Urbanized Area (the UZA) for the Fiscal Year ending June 30, 2019 (07/01/18 - 06/30/19).

The FTA Section 5307 Operating and Capital Assistance grant application is for the FTA FFY2019 apportionment of \$2,389,182. The requested federal operating assistance is part of the 2018/2019 operating year budget of \$5,616,919. The local share is programmed through the Transportation Development Act Funds.

The FTA Section 5307 funds request represents 50% of the eligible costs, or net project cost, for this program. BCAG's Resolution #2017/18 – 27 Final 2018/19 B-Line Service Plan & Budget, approved on May 24, 2018, identifies 5307 funding for operating and capital expenses. The FFY 2019 Section 5307 formula funding in the amount of \$2,389,182 will be used in the Butte County Urbanized Area for the following projects:

1. FFY 2019 Section 5307 Urbanized Area Formula funding in the amount of \$2,333,238 for Operating Assistance;
2. FFY 2019 Section 5307 Urbanized Area Formula funding in the amount of \$55,944 for Capital Assistance to purchase security and surveillance equipment of publicly-owned assets and infrastructure at the new Butte Regional Transit Administration Building

BCAG will follow all 3rd party procurement policies as defined in FTA's Circular 4220.1F (Third Party Contracting Guidance). BCAG will ensure contractors procured will not be on the FTA Suspension and Debarment list.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Award Point of Contact Information**

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-------|----------------|-------|
|------------|-----------|-------|----------------|-------|

|           |         |                                   |                        |                |
|-----------|---------|-----------------------------------|------------------------|----------------|
| Jerome    | Wiggins | Transportation Program Specialist | Jerome.Wiggins@dot.gov | (415) 734-9475 |
| Catherine | Luu     | General Engineer                  | Catherine.Luu@dot.gov  | (415) 734-9467 |
| Sara      | Muse    | Associate Senior Planner          | smuse@bcag.org         | (530) 809-4616 |

## Award Budget Control Totals

| Funding Source  | Section of Statute | CFDA Number | Amount             |
|---|--------------------|-------------|--------------------|
| 5307 - Urbanized Area Formula Grants (2013 and forward) | 5307-2A            | 20507       | \$2,389,182        |
| Local   |                    |             | \$2,347,225        |
| Local/In-Kind   |                    |             | \$0                |
| State   |                    |             | \$0                |
| State/In-Kind   |                    |             | \$0                |
| Other Federal   |                    |             | \$0                |
| Transportation Development Credit                       |                    |             | \$0                |
| Adjustment  |                    |             | \$0                |
| <b>Total Eligible Cost</b>                              |                    |             | <b>\$4,736,407</b> |

## Award Budget

| Project Number    | Budget Item                                       | FTA Amount     | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|----------------|----------------|-----------------------|----------|
| CA-2019-083-01-00 | 300-00 (300-A1) OPERATING ASSISTANCE              | \$2,333,238.00 | \$2,333,239.00 | \$4,666,477.00        | 1        |
| CA-2019-083-01-00 | 30.09.01 UP TO 50% FEDERAL SHARE                  | \$2,333,238.00 | \$2,333,239.00 | \$4,666,477.00        | 1        |
| CA-2019-083-02-00 | 114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES | \$55,944.00    | \$13,986.00    | \$69,930.00           | 1        |

|                   |          |  |             |             |             |   |
|-------------------|----------|--|-------------|-------------|-------------|---|
| CA-2019-083-02-00 | 11.44.01 | REHAB/RENOVATE<br>- ADMINISTRATIVE<br>FACILITY | \$55,944.00 | \$13,986.00 | \$69,930.00 | 1 |
|-------------------|----------|--|-------------|-------------|-------------|---|

## Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

## Sources of Federal Financial Assistance

| PO Number  | Project Number    | Scope Name                        | Scope Number | Scope Suffix | UZA Code | Area Name  | Account Class Code | FPC | Description                   | Amendment Amount | Cumulative Amount |
|------------|-------------------|-----------------------------------|--------------|--------------|----------|------------|--------------------|-----|-------------------------------|------------------|-------------------|
| CA-90-Z401 | CA-2019-083-01-00 | OPERATING ASSISTANCE              | 300-00 (300) | A1           | 060000   | California | 2019.25.90.91.2    | 04  | Urbanized Area Formula Grants | \$2,333,238      | \$2,333,238       |
| CA-90-Z401 | CA-2019-083-02-00 | BUS: SUPPORT EQUIP AND FACILITIES | 114-00 (114) | A2           | 060000   | California | 2019.25.90.91.2    | 00  | Urbanized Area Formula Grants | \$55,944         | \$55,944          |

## Part 3: Project Information

### Project Title: FFY19 - Section 5307 Operating Assistance

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date  |
|-------------------|--------------------------|--------------|------------|-----------|
| CA-2019-083-01-00 | 1627-2019-2-P1           | 6/12/2019    | 7/1/2018   | 9/30/2019 |

#### Project Description

The Butte County Association of Governments (BCAG) owns and operates the Butte Regional Transit System in Butte County. This project funds BCAG/Butte Regional Transit operations in Butte County Urbanized Area (the UZA) for the Fiscal Year ending June 30, 2019 (07/01/18 - 06/30/19).

The FTA Section 5307 grant application for operating assistance is for \$2,333,238. The requested federal operating assistance is part of the



2018/2019 operating year budget of \$5,616,919. The local share (\$2,333,239) is programmed through the Transportation Development Act Funds.

The FTA Section 5307 funds request represents 50% of the eligible costs, or net project cost, for this program. BCAG's Resolution #2017/18 – 27 Final 2018/19 B-Line Service Plan & Budget, approved on May 24, 2018, identifies 5307 funding for operating and capital expenses. BCAG's 2019 Federal Transportation Improvement Program (FTIP) also identifies 5307 funding for operating and capital expenses. The 2019 FTIP was adopted by the Board of Directors on September 27, 2018 and covers Federal Fiscal Years 2018/19 – 2021/22.

BCAG will follow all 3rd party procurement policies as defined in FTA's Circular 4220.1F (Third Party Contracting Guidance). BCAG will ensure contractors procured will not be on the FTA Suspension and Debarment list.

### **Project Benefits**

Butte Regional Transit (B-Line) provides public transit service in Butte County. Twenty routes travel locally in Chico, Oroville and Paradise, and also connect communities throughout Butte County. B-Line operates seven days a week, excluding selected holidays, offering a combination of local & regional fixed route service and demand response.

B-Line operates its services with a fleet of 36 fixed route vehicles, ranging in size from 30-40 feet, with capacity ranging from 40-60 passengers. The Paratransit fleet consists of 31 vehicles, with a seating capacity of 18 passengers. The majority of the fixed routes operate Monday-Friday on either 30 or 60 minute headways, with one route as frequent as 20 minute headways during peak service. Two of the intercity connectors provide morning and evening commuter service. Half of the routes operate Saturday service, with most headways running either 60 or 120 minutes (and one route as frequent as 30 minutes). Sunday service has two routes with 120 minute headways connecting the three largest incorporated cities in the county. Fixed route service runs from 5:50 a.m., until 10:00 p.m. weekday. Saturday service operates from 7:50 a.m. – 7:00 p.m., while Sunday service runs from 7:50 a.m. – 6:00 p.m.

Butte Regional Transit is operated by the Butte County Association of Governments (BCAG). BCAG assumed the day-to-day planning responsibilities for the six different public transit systems (operated by the cities and county) in 2001. In 2005, the various systems consolidated operations under BCAG and B-Line service began in July 2005. From the time B-Line began service through the end of FY 2017/2018, Butte Regional Transit System (Fixed Route & Dial-A-Ride) ridership has seen a modest decrease, with 1,151,478 trips provided in FY 2017/2018.

The strong long-term growth in ridership reflects many improvements that have been implemented over the years. These include, but are not limited to: building the new Butte Regional Facility; building transit centers in both Chico and Oroville; installing schedule/map holders at every stop (over 500 system wide); creating a proactive shelter installation program; installing GFI FastFare Fareboxes on the entire fleet; installing security cameras on every vehicle (with up to nine cameras per bus); installing AVL/GPS on the entire fleet, allowing for real time tracking and data collection; implementation of B-Line Mobile App & Mobile Payment/Fare Collection; conducted (and implemented the findings from) a comprehensive Market Based Study; implementing zero-emission and low-emission transit vehicles into the Butte Regional Transit's fleet; implementing PEV charging stations with-in Butte County; installation of Solar Infrastructure at the Butte Regional Transit Operations Center (BRTOC; maintaining active public outreach and where possible implementing passenger suggestions. BCAG has also applied for multiple state and federal grants to purchase zero-emission battery electric buses, which is anticipated to increase ridership due to the novelty of a new, more sustainable form of transportation in Butte County. Additionally, BCAG applied for a grant through the California Department of

Transportation to create a mobile ticketing application, allowing riders to purchase tickets on their smartphones. Mobile ticketing is likely to reduce boarding times as visual validation by drivers will not be necessary, therefore, encouraging more people to enjoy the improvements of the B-Line system.

**Additional Information**

*None provided.*

**Location Description**

Butte Regional Transit (B-Line) provides public transit service in Butte County. Twenty routes travel locally in Chico, Oroville and Paradise, and also connect communities throughout Butte County. B-Line operates seven days a week, excluding selected holidays, offering a combination of local & regional fixed route service and demand response.

**Security**

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for security-related projects

**Project Location (Urbanized Areas)**

| UZA Code | Area Name  |
|----------|------------|
| 060000   | California |
| 063160   | Chico, CA  |

**Congressional District Information**

| State      | District | Representative |
|------------|----------|----------------|
| California | 1        | Doug LaMalfa   |

**Program Plan Information**

**STIP/TIP**

Date: 9/27/2018

Description: BCAG's Resolution # 2018/2019-06 - 2017 Federal Transportation Improvement Program (FTIP) and Air Quality Conformity Determination for Butte County, approved on September 27, 2018, identifies the 5307 funding for operating and capital costs. FTA Section 5307 funding is identified on the following pages in the attached document: 12, 14, 23, 32, 35-40, 42, 44, 57.

**UPWP**

Date: 5/24/2018

Description: BCAG's 2018/2019 Annual Transit Service Plan and Budget describes the transit services to be provided for the upcoming fiscal year, including operating and capital expenses with available funding sources. The Plan and Budget also describes the committees involved and established to provide valuable input and oversight on transit related matters. FTA Section 5307 funding is mentioned on pages 3, 4, 8 of the attached document.

**Long Range Plan**

Date: 5/28/2015

Description: The Butte County Transit and Non-Motorized Plan focuses on improving transportation networks for people who walk, bike, or take transit in Butte County. The plan recommends short-term changes and enhancements, as well as long-term improvements needed based on projected growth in Butte County. FTA Section 5307 funding is mentioned on the following pages of the attached document: ES-7, 9-7, 9-8, 9-9, 9-14, 9-20.

**Project Control Totals**

| Funding Source  | Section of Statute | CFDA Number | Amount             |
|---|--------------------|-------------|--------------------|
| 5307 - Urbanized Area Formula Grants (2013 and forward) | 5307-2A            | 20507       | \$2,333,238        |
| Local   |                    |             | \$2,333,239        |
| Local/In-Kind   |                    |             | \$0                |
| State   |                    |             | \$0                |
| State/In-Kind   |                    |             | \$0                |
| Other Federal   |                    |             | \$0                |
| Transportation Development Credit                       |                    |             | \$0                |
| Adjustment  |                    |             | \$0                |
| <b>Total Eligible Cost</b>                              |                    |             | <b>\$4,666,477</b> |

**Project Budget**

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|----------------|-------------|------------|----------------|-----------------------|----------|
|----------------|-------------|------------|----------------|-----------------------|----------|

|                   |                    |                                     |                |                |                |   |
|-------------------|--------------------|-------------------------------------|----------------|----------------|----------------|---|
| CA-2019-083-01-00 | 300-00<br>(300-A1) | OPERATING ASSISTANCE                | \$2,333,238.00 | \$2,333,239.00 | \$4,666,477.00 | 1 |
| CA-2019-083-01-00 |                    | 30.09.01 UP TO 50%<br>FEDERAL SHARE | \$2,333,238.00 | \$2,333,239.00 | \$4,666,477.00 | 1 |

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

| Scope Name / Code             | Line Item # | Line Item Name          | Activity             | Quantity |
|-------------------------------|-------------|-------------------------|----------------------|----------|
| OPERATING ASSISTANCE (300-00) | 30.09.01    | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 1        |

#### Extended Budget Description

The Butte County Association of Governments (BCAG) owns and operates the Butte Regional Transit System in Butte County. This project funds BCAG/Butte Regional Transit operations in Butte County Urbanized Area (the UZA) for the Fiscal Year ending June 30, 2019 (07/01/18 - 06/30/19).

The total project cost for FFY 2019 5307 operating is \$4,666,477. The federal amount being claimed for this project (5307 operating) is \$2,333,238. All local share commitment is matched with \$2,333,239 of Transportation Development Act (TDA) funds.

These grant activities are a categorical exclusion under NEPA.

Project Budget: \$4,666,477

Federal Participation: \$2,333,238 (50% Section 5307 Operating and Capital Assistance Funds)

Local Match: \$2,333,239 (50% Transportation Development Act [TDA])

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source  | Section of Statute | CFDA Number | Amount      |
|---|--------------------|-------------|-------------|
| 5307 - Urbanized Area Formula Grants (2013 and forward) | 5307-2A            | 20507       | \$2,333,238 |
| Local   |                    |             | \$2,333,239 |
| Local/In-Kind   |                    |             | \$0         |

|                                   |  |                    |
|-----------------------------------|--|--------------------|
| State                             |  | \$0                |
| State/In-Kind                     |  | \$0                |
| Other Federal                     |  | \$0                |
| Transportation Development Credit |  | \$0                |
| Adjustment                        |  | \$0                |
| <b>Total Eligible Cost</b>        |  | <b>\$4,666,477</b> |

| Milestone Name | Est. Completion Date | Description  |
|----------------|----------------------|--|
| Start Date     | 7/1/2018             | Start of Butte County Association of Governments (BCAG)/Butte Regional Transit (BRT) expenditures related to services rendered in BCAG/BRT Fiscal Year 2018-2019 (07/01/18-06/30/19) Operating service year. |
| End Date       | 9/30/2019            | End of Butte County Association of Governments (BCAG)/Butte Regional Transit (BRT) expenditures related to services rendered in BCAG/BRT Fiscal Year 2018-2019 (07/01/18-06/30/19) Operating service year.   |

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date      |
|-----------------------|-----------|
| Class IIc CE Approved | 6/18/2019 |

## **Project Title: FFY19 - Section 5307 Capital Assistance**

| <b>Project Number</b> | <b>Temporary Project Number</b> | <b>Date Created</b> | <b>Start Date</b> | <b>End Date</b> |
|-----------------------|---------------------------------|---------------------|-------------------|-----------------|
| CA-2019-083-02-00     | 1627-2019-2-P2                  | 6/19/2019           | 7/1/2018          | 12/31/2019      |

### **Project Description**

BCAG will perform Administrative Facility Rehabilitation to purchase security and surveillance equipment of publicly-owned assets and infrastructure at the new Butte Regional Transit Administration Building in Butte County Urbanized Area (UZA). The project will include purchase, replace, and installation of security and surveillance equipment and other facility improvements.

The total project cost for FFY 2019 5307 capital is \$69,930. The federal amount being claimed for this capital project is \$55,944. All local share commitment is matched with \$13,986 of Transportation Development Act (TDA) funds.

These grant activities are a categorical exclusion under NEPA.

Project Budget: \$69,930

Federal Participation: \$55,944 (80% Section 5307 Operating and Capital Assistance Funds)

Local Match: \$13,986 (20% Transportation Development Act [TDA])

### **Project Benefits**

This project will provide adequate facilities to improve security and surveillance of publicly-owned assets and infrastructure at the new Butte Regional Transit Administration Building. The project will enable BCAG to provide and maintain the overall appearance and functionality of the Butte Regional Transit Administration and Maintenance Facility as called in the FTA regulations.

### **Additional Information**

*None provided.*

### **Location Description**

Butte Regional Transit (B-Line) provides public transit service in Butte County. Twenty routes travel locally in Chico, Oroville and Paradise, and also connect communities throughout Butte County. B-Line operates seven days a week, excluding selected holidays, offering a combination of local & regional fixed route service and demand response.

### **Security**

Yes -- our organization will expend at least 1% of the 5307 funds in this application for security-related projects

## Project Location (Urbanized Areas)

| UZA Code | Area Name  |
|----------|------------|
| 060000   | California |
| 063160   | Chico, CA  |

## Congressional District Information

| State      | District | Representative |
|------------|----------|----------------|
| California | 1        | Doug LaMalfa   |

## Program Plan Information

### STIP/TIP

Date: 9/27/2018

Description: BCAG's Resolution # 2018/2019-06 - 2017 Federal Transportation Improvement Program (FTIP) and Air Quality Conformity Determination for Butte County, approved on September 27, 2018, identifies the 5307 funding for operating and capital costs. FTA Section 5307 funding is identified on the following pages in the attached document: 12, 14, 23, 32, 35-40, 42, 44, 57.

### UPWP

Date: 5/24/2018

Description: BCAG's 2018/2019 Annual Transit Service Plan and Budget describes the transit services to be provided for the upcoming fiscal year, including operating and capital expenses with available funding sources. The Plan and Budget also describes the committees involved and established to provide valuable input and oversight on transit related matters. FTA Section 5307 funding is mentioned on pages 3, 4, 8 of the attached document.

### Long Range Plan

Date: 5/28/2015

Description: The Butte County Transit and Non-Motorized Plan focuses on improving transportation networks for people who walk, bike, or take transit in Butte County. The plan recommends short-term changes and enhancements, as well as long-term improvements needed based on projected growth in Butte County. FTA Section 5307 funding is mentioned on the following pages of the attached document: ES-7, 9-7, 9-8, 9-9, 9-14, 9-20.

## Project Control Totals

| Funding Source  | Section of Statute | CFDA Number | Amount          |
|---|--------------------|-------------|-----------------|
| 5307 - Urbanized Area Formula Grants (2013 and forward) | 5307-2A            | 20507       | \$55,944        |
| Local   |                    |             | \$13,986        |
| Local/In-Kind   |                    |             | \$0             |
| State   |                    |             | \$0             |
| State/In-Kind   |                    |             | \$0             |
| Other Federal   |                    |             | \$0             |
| Transportation Development Credit                       |                    |             | \$0             |
| Adjustment  |                    |             | \$0             |
| <b>Total Eligible Cost</b>                              |                    |             | <b>\$69,930</b> |

## Project Budget

| Project Number    | Budget Item                                       | FTA Amount  | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|---|-------------|----------------|-----------------------|----------|
| CA-2019-083-02-00 | 114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES | \$55,944.00 | \$13,986.00    | \$69,930.00           | 1        |
| CA-2019-083-02-00 | 11.44.01 REHAB/RENOVATE - ADMINISTRATIVE FACILITY | \$55,944.00 | \$13,986.00    | \$69,930.00           | 1        |

## Project Budget Activity Line Items

| Budget Activity Line Item: 11.44.01 - REHAB/RENOVATE - ADMINISTRATIVE FACILITY |             |                |          |          |
|--|-------------|----------------|----------|----------|
| Scope Name / Code  | Line Item # | Line Item Name | Activity | Quantity |



BUS: SUPPORT EQUIP AND FACILITIES (114-00)

11.44.01

REHAB/RENOVATE - ADMINISTRATIVE FACILITY

REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP

1

**Extended Budget Description**

BCAG will perform Administrative Facility Rehabilitation to purchase security and surveillance equipment of publicly-owned assets and infrastructure at the new Butte Regional Transit Administration Building in Butte County Urbanized Area (UZA). The project will include purchase, replace, and installation of security and surveillance equipment and other facility improvements.

The total project cost for FFY 2019 5307 capital is \$69,930. The federal amount being claimed for this capital project is \$55,944. All local share commitment is matched with \$13,986 of Transportation Development Act (TDA) funds.

These grant activities are a categorical exclusion under NEPA.

Project Budget: \$69,930

Federal Participation: \$55,944 (80% Section 5307 Operating and Capital Assistance Funds)

Local Match: \$13,986 (20% Transportation Development Act [TDA])

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source  | Section of Statute | CFDA Number | Amount          |
|---|--------------------|-------------|-----------------|
| 5307 - Urbanized Area Formula Grants (2013 and forward) | 5307-2A            | 20507       | \$55,944        |
| Local   |                    |             | \$13,986        |
| Local/In-Kind   |                    |             | \$0             |
| State   |                    |             | \$0             |
| State/In-Kind   |                    |             | \$0             |
| Other Federal   |                    |             | \$0             |
| Transportation Development Credit                       |                    |             | \$0             |
| Adjustment  |                    |             | \$0             |
| <b>Total Eligible Cost</b>                              |                    |             | <b>\$69,930</b> |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------|
|----------------|----------------------|-------------|

|            |            |  |
|------------|------------|--|
| Start Date | 7/1/2018   | Start of Butte County Association of Governments (BCAG)/Butte Regional Transit (BRT) expenditures related to services rendered in BCAG/BRT Fiscal Year 2018-2019 (07/01/18-06/30/19) Operating service year. |
| End Date   | 12/31/2019 | End of Butte County Association of Governments (BCAG)/Butte Regional Transit (BRT) expenditures related to services rendered in BCAG/BRT Fiscal Year 2018-2019 (07/01/18-06/30/19) Operating service year.   |

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 05: Activities, including repairs, replacements, and rehabilitations, designed to promote transportation safety, security, accessibility and effective communication within or adjacent to existing right-of-way, such as: the deployment of Intelligent Transportation Systems and components; installation and improvement of safety and communications equipment, including hazard elimination and mitigation; installation of passenger amenities and traffic signals; and retrofitting existing transportation vehicles, facilities or structures, or upgrading to current standards.

| Date Description      | Date |
|-----------------------|------|
| Class IIc CE Approved |      |

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### DOL Certification

|                   |                              |
|-------------------|------------------------------|
| <b>Comment By</b> | <b>Karen Torre</b>           |
| Comment Type      | DOL Review for Certification |
| Date              | 7/16/2019                    |
| Comment           |                              |

## Application Review Comments

|                   |   |
|-------------------|---|
| <b>Comment By</b> | <b>Jerome Wiggins</b>   |
| Comment Type      | FTA Post Review Comments for Grantee  |
| Date              | 6/24/2019   |
| Comment           | <p>FTA legal has advised OPD FTA his accepting only Certs pinned TRAMs</p> <p>in addition be sure the following has been addressed:</p> <p>certs and assurances, opinion of counsel, authorizing resolution on file. Executive summary indicates local share comes from TDA and "transit fare revenue." The operating expense worksheet shows that fare revenue was subtracted off the top to get to net operating cost, so the numbers are fine. Please have grantee remove reference to transit fare revenue as it relates to local share from the executive summary. All local share comes from TDA.</p> |

## Part 6: Agreement

**UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT  
(FTA G-25, October 1, 2018)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(25), October 1, 2018, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

### **FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

#### **Recipient Information**

Recipient Name: Butte County Association Of Governments

Recipient ID: 1627

DUNS No: 602797891

#### **Award Information**

Federal Award Identification Number: CA-2019-083-00

Award Name: FFY19 - Section 5307 Operating and Capital Assistance

Award Start Date: 7/17/2019

Award End Date: 6/30/2020

Award Executive Summary: The Butte County Association of Governments (BCAG) owns and operates the Butte Regional Transit System in Butte County. This project funds BCAG/Butte Regional Transit operations in Butte County Urbanized Area (the UZA) for the Fiscal Year ending June 30, 2019 (07/01/18 - 06/30/19).

The FTA Section 5307 Operating and Capital Assistance grant application is for the FTA FFY2019 apportionment of \$2,389,182. The requested federal operating assistance is part of the 2018/2019 operating year budget of \$5,616,919. The local share is programmed through the Transportation Development Act Funds.

The FTA Section 5307 funds request represents 50% of the eligible costs, or net project cost, for this program. BCAG's Resolution #2017/18 – 27 Final 2018/19 B-Line Service Plan & Budget, approved on May 24, 2018, identifies 5307 funding for operating and capital expenses. The FFY 2019 Section 5307 formula funding in the amount of \$2,389,182 will be used in the Butte County Urbanized Area for the following projects:

1. FFY 2019 Section 5307 Urbanized Area Formula funding in the amount of \$2,333,238 for Operating Assistance;
2. FFY 2019 Section 5307 Urbanized Area Formula funding in the amount of \$55,944 for Capital Assistance to purchase security and surveillance equipment of publicly-owned assets and infrastructure at the new Butte Regional Transit Administration Building

BCAG will follow all 3rd party procurement policies as defined in FTA's Circular 4220.1F (Third Party Contracting Guidance). BCAG will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

### **Award Budget**

Total Award Budget: \$4,736,407.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$2,389,182.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$2,347,225.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$2,389,182.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$2,347,225.00

### **Award Budget Control Totals**

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| <b>Funding Source</b>                                   | <b>Section of Statute</b> | <b>CFDA Number</b> | <b>Amount</b>      |
|---|---------------------------|--------------------|--------------------|
| 5307 - Urbanized Area Formula Grants (2013 and forward) | 5307-2A                   | 20507              | \$2,389,182        |
| Local   |                           |                    | \$2,347,225        |
| Local/In-Kind   |                           |                    | \$0                |
| State   |                           |                    | \$0                |
| State/In-Kind   |                           |                    | \$0                |
| Other Federal   |                           |                    | \$0                |
| Transportation Development Credit                       |                           |                    | \$0                |
| Adjustment  |                           |                    | \$0                |
| <b>Total Eligible Cost</b>                              |                           |                    | <b>\$4,736,407</b> |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**

Review Decision: DOL Concur - Certified  
Original Certification Date: 7/16/2019

**Special Conditions**

There are no special conditions.

**FINDINGS AND DETERMINATIONS**

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

**FTA AWARD OF THE GRANT AGREEMENT**

Awarded By:  
Edward Carranza  
Supervisory Senior Operations Manager

FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
Contact Info: edward.carranza@dot.gov  
Award Date: 7/17/2019

### **EXECUTION OF THE GRANT AGREEMENT**

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
  - (a) Statements,
  - (b) Representations,
  - (c) Warranties,
  - (d) Covenants, and
  - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:  
*Sara Muse*  
*Associate Senior Planner*  
*Butte County Association Of Governments*  
7/17/2019