

FORM APPROVED OMB No. 3145-0100 Expiration Date: xx/xx/xx

# NATIONAL SCIENCE FOUNDATION

ARLINGTON, VA 22230

### HIGHER EDUCATION RESEARCH AND DEVELOPMENT SURVEY

## **Population Review Questionnaire**

Your institution's inclusion in the FY 2019 Higher Education Research and Development (HERD) Survey will be based on your responses to the following five questions.

| Question 1. During your institution's FY 2018, did your institution have any R&D expenditures? |                         |                   |  |
|--|-------------------------|-------------------|--|
| (Please see definitions below.)  |                         |                   |  |
| Yes  | □ →                     | Go to Question 2. |  |
| No   | $\square$ $\rightarrow$ | Go to Question 3. |  |

**Research and development (R&D)** is creative and systematic work undertaken in order to increase the stock of knowledge — including knowledge of humankind, culture, and society — and to devise new applications of available knowledge.

#### **R&D** expenditures

research

Include all expenditures for R&D activities from your institution's current operating funds that are separately accounted for. For purposes of this survey, R&D includes expenditures for organized research as defined by 2 CFR Part 200 Appendix III and expenditures from funds designated for research.

#### R&D includes: R&D does *not* include: Sponsored research (federal and nonfederal) Public service grants or outreach programs University research (institutional funds that are Curriculum development (unless included as part of an separately budgeted for individual R&D projects) overall research project) Startup, bridge, or seed funding provided to R&D conducted by university faculty or staff at outside researchers within your institution institutions that is not accounted for in your financial records Other departmental funds designated for research Estimates of the proportion of time budgeted for Recovered and unrecovered indirect costs instruction that is spent on research Equipment purchased from R&D project accounts Capital projects (i.e., construction or renovation of research facilities) R&D funds passed through to a subrecipient organization, educational or other Non-research training grants Clinical trials, Phases I, II, or III Unrecovered indirect costs that exceed your institution's Research training grants funding work on federally negotiated Facilities and Administrative (F&A) organized research projects rate Tuition remission provided to students working on

| Question 2. What were your institution's total expenditures for R&D in FY 2018?  |           |
|--|-----------|
| Less than \$150,000  |           |
| \$150,000 to \$999,999   |           |
| \$1,000,000 or more  |           |
|  |           |
| Question 3. During your institution's FY 2019, did your institution have any, or do you expect to have R&D expenditures?                                       | ve any,   |
| Yes ☐ → Go to Question 4.  |           |
| No ☐ → Go to Question 5.   |           |
|  |           |
| Question 4. What were your institution's total expenditures for R&D in FY 2019? If final FY 2019 exp are not yet available, please estimate.                   | enditures |
|  |           |
| Less than \$150,000  |           |
| \$150,000 to \$999,999   |           |
| \$1,000,000 or more  |           |
|  |           |
| Question 5. If your institution is included in the FY 2019 Higher Education Research and Developme Survey, whom should we contact about the above information? | nt (HERD) |
|  |           |
| Name   | _         |
| Title  | _         |
| Institution name   | _         |
| Department/office  | _         |
| Mailing address (line 1)   |           |
| Mailing address (line 2)   |           |
| City, state, and ZIP code  |           |
| Phone number   |           |
| E-mail address   |           |
| Thank you for completing this questionnaire.   |           |
| If you have any questions, please contact ICF at <a href="mailto:support@herdsurvey.org">support@herdsurvey.org</a> or (866) 936-937                           | 6.        |