Resident _____ Identifier _____ Date _____

MINIMUM DATA SET (MDS) - Version 3.0 RESIDENT ASSESSMENT AND CARE SCREENING Nursing Home PPS (NP) Item Set

Sectio	n A	Identification Information					
A0050. 1	0050. Type of Record						
Enter Code	2. M	Id new record → Continue to A0100, Facility Provider Numbers odify existing record → Continue to A0100, Facility Provider Numbers activate existing record → Skip to X0150, Type of Provider					
A0100. I	Facility Pro	vider Numbers					
	A. Nation	al Provider Identifier (NPI):					
	B. CMS C	rtification Number (CCN):					
	C State P	rovider Number:					
	c. state	To vide i Number.					
A0200. 1	Type of Pro						
Enter Code	Type of pr	ovider sing home (SNF/NF)					
	2. Swi						
		ate Assessment					
Complete	e only if A0						
Enter Code		assessment for state payment purposes only?					
	0. No						
A0310. 1	Type of Ass	essment					
Enter Code	A. Federa	I OBRA Reason for Assessment					
Enter Code		mission assessment (required by day 14)					
		arterly review assessment					
		nual assessment					
		Inificant change in status assessment Inificant correction to prior comprehensive assessment					
		initicant correction to prior quarterly assessment					
		ne of the above					
	B. PPS As	sessment					
Enter Code		heduled <u>Assessment for a Medicare Part A Stay</u>					
		lay scheduled assessment					
		<u>ischeduled Assessment for a Medicare Part A Stay</u> A - Interim Payment Assessment					
		S Assessment					
		ne of the above					
Enter Code	E. Is this a	ssessment the first assessment (OBRA, Scheduled PPS, or Discharge) since the most recent admission/entry or reentry?					
	0. No						
	1. Yes						
Enter Code		ischarge reporting					
		try tracking record					
		scharge assessment-return not anticipated					
		scharge assessment-return anticipated ath in facility tracking record					
		ne of the above					
A031		d on next page					

esident				Identifier		Date	
Sectio	n A	Identification	on Informatio	n			
A0310. T	ype of Assessment	- Continued					
Enter Code	G. Type of discharge 1. Planned 2. Unplanned	e - Complete only if	A0310F = 10 or 11				
Enter Code	G1. Is this a SNF Part A Interrupted Stay? 0. No 1. Yes						
Enter Code	H. Is this a SNF Part 0. No 1. Yes	A PPS Discharge A	ssessment?				
A0410. U	Init Certification or	Licensure Design	nation				
Enter Code	2. Unit is neithe		dicaid certified but M	NDS data is not require IDS data is required by			
A0500. L	egal Name of Resid	lent					
	A. First name:					B. Middle initial:	
	C. Last name:					D. Suffix:	
A0600. S	ocial Security and	Medicare Numbe	ers				
	A. Social Security N - B. Medicare numbe	_					
A0700. N	Nedicaid Number -	Enter "+" if pendin	g, "N" if not a Medic	caid recipient			
		·	-	·			
A0800. G	iender						
Enter Code	1. Male 2. Female						
A0900. B	irth Date						
	– Month [– Day Ye	ear				
A1000. R	ace/Ethnicity	Say 16	·ai				
	ck all that apply						
	A. American Indian	or Alaska Native					
	B. Asian						
	C. Black or African A	American					
	D. Hispanic or Latin	0					
	E. Native Hawaiian		ander				

F. White

esident Identifier Date							
Section A Identification Information							
A1100. Language							
A. Does the resident need or want an interpreter to communicate with a doctor or health care staff? 0. No → Skip to A1200, Marital Status 1. Yes → Specify in A1100B, Preferred language 9. Unable to determine → Skip to A1200, Marital Status B. Preferred language:							
A1200. Marital Status							
1. Never married 2. Married 3. Widowed 4. Separated 5. Divorced							
A1300. Optional Resident Items							
 A. Medical record number: B. Room number: C. Name by which resident prefers to be addressed: D. Lifetime occupation(s) - put "/" between two occupations: 							
Most Recent Admission/Entry or Reentry into this Facility							
A1600. Entry Date							
– – Month Day Year							
A1700. Type of Entry							
Enter Code 1. Admission 2. Reentry							
A1800. Entered From							
O1. Community (private home/apt., board/care, assisted living, group home) O2. Another nursing home or swing bed O3. Acute hospital O4. Psychiatric hospital O5. Inpatient rehabilitation facility O6. ID/DD facility O7. Hospice O9. Long Term Care Hospital (LTCH) O99. Other							
A1900. Admission Date (Date this episode of care in this facility began)							
— — — Month Day Year							

Resident			ldentifier	Date
Sectio	n A	Identification	Information	
	Discharge Date e only if A0310F = 10	0, 11, or 12		
·	– Month	– Day Year		
	Discharge Status e only if A0310F = 10	ົນ, 11, or 12		
Enter Code	 02. Another nu 03. Acute hospi 04. Psychiatric 05. Inpatient re 06. ID/DD facili 07. Hospice 08. Deceased 	rsing home or swing be ital hospital ehabilitation facility	rd/care, assisted living, group home) ed	
	Previous Assessme e only if A0310A = 05		r Significant Correction	
	– Month	– Day Year		
A2300. A	Assessment Refere	nce Date		
	Observation end da	ate:		
	Month	Day Year		
A2400. N	Medicare Stay			
Enter Code	 No → Skip t Yes → Cont 	to B0100, Comatose tinue to A2400B, Start da	ed stay since the most recent entry? te of most recent Medicare stay	
	B. Start date of mo	ost recent Medicare stay _	y:	

Month

Month

Day

Day

Year **C.** End date of most recent Medicare stay - Enter dashes if stay is ongoing:

Year

Resident Identifier Date

Look back period for all items is 7 days unless another time frame is indicated

Sectio	B Hearing, Speech, and Vision							
B0100. 0	matose							
Enter Code	Persistent vegetative state/no discernible consciousness 0. No → Continue to B0200, Hearing 1. Yes → Skip to G0110, Activities of Daily Living (ADL) Assistance							
B0200. H	aring							
Enter Code	Ability to hear (with hearing aid or hearing appliances if normally used) 0. Adequate - no difficulty in normal conversation, social interaction, listening to TV 1. Minimal difficulty - difficulty in some environments (e.g., when person speaks softly or setting is noisy) 2. Moderate difficulty - speaker has to increase volume and speak distinctly 3. Highly impaired - absence of useful hearing							
B0300. H	aring Aid							
Enter Code	learing aid or other hearing appliance used in completing B0200, Hearing 0. No 1. Yes							
B0600. S	eech Clarity							
Enter Code	ielect best description of speech pattern 0. Clear speech - distinct intelligible words 1. Unclear speech - slurred or mumbled words 2. No speech - absence of spoken words							
B0700. N	ikes Self Understood							
Enter Code	Ability to express ideas and wants, consider both verbal and non-verbal expression 0. Understood 1. Usually understood - difficulty communicating some words or finishing thoughts but is able if prompted or given time 2. Sometimes understood - ability is limited to making concrete requests 3. Rarely/never understood							
B0800. A	ility To Understand Others							
Enter Code	 Understanding verbal content, however able (with hearing aid or device if used) Understands - clear comprehension Usually understands - misses some part/intent of message but comprehends most conversation Sometimes understands - responds adequately to simple, direct communication only Rarely/never understands 							
B1000. \	sion							
Enter Code	Ability to see in adequate light (with glasses or other visual appliances) 0. Adequate - sees fine detail, such as regular print in newspapers/books 1. Impaired - sees large print, but not regular print in newspapers/books 2. Moderately impaired - limited vision; not able to see newspaper headlines but can identify objects 3. Highly impaired - object identification in question, but eyes appear to follow objects 4. Severely impaired - no vision or sees only light, colors or shapes; eyes do not appear to follow objects							
B1200. 0	rrective Lenses							
Enter Code	Corrective lenses (contacts, glasses, or magnifying glass) used in completing B1000, Vision 0. No 1. Yes							

Resident			ldentifier		Date
Section	C	Cognitive Patterns			
	hould Brief Intervo	riew for Mental Status (C0200-C050 with all residents	00) be Conducted?		
Enter Code		rarely/never understood) → Skip to an nue to C0200, Repetition of Three Words	•)0, Staff Assessment for N	Mental Status
		. 10 (2110)			
		ntal Status (BIMS)			
	epetition of Thr				
Enter Code	The words are: so	going to say three words for you to ck, blue, and bed. Now tell me the repeated after first attempt		repeat the words afte	er I have said all three.
	0. None				
	1. One 2. Two				
	3. Three				
	After the resident's	first attempt, repeat the words using	g cues ("sock, someth	hing to wear; blue, a c	color; bed, a piece
	<i>of furniture</i> "). You	may repeat the words up to two mo	ore times.		
		ation (orientation to year, month	•		
		ase tell me what year it is right now	."		
Enter Code	A. Able to report	• 5 years or no answer			
	1. Missed by 2	•			
	2. Missed by 1				
	3. Correct				
		at month are we in right now?"			
Enter Code	B. Able to report				
	•	> 1 month or no answer 5 days to 1 month			
	2. Accurate w				
-		at day of the week is today?"			
		correct day of the week			
	0. Incorrect or				
	1. Correct				
C0400. R	ecall				
		s go back to an earlier question. W		•	
		nber a word, give cue (something to	wear; a color; a piece	of furniture) for that w	ord.
Enter Code	A. Able to recall '				
	0. No - could n	ueing ("something to wear")			
	2. Yes, no cue				
Enter Code	B. Able to recall '				
	0. No - could n	ot recall			
		ueing ("a color")			
	2. Yes, no cue	-			
Enter Code	C. Able to recall '				
	0. No - could n				
	2. Yes, no cue	ueing ("a piece of furniture") required			
C0500 B	IMS Summary S	-			

Add scores for questions C0200-C0400 and fill in total score (00-15) **Enter 99 if the resident was unable to complete the interview**

Enter Score

esident Identifier Date								
Section C Cognitive Patterns								
C0600. Should the Staff As	ssessment for Mental Status (C0700 - C1000) be Conducted?							
	0. No (resident was able to complete Brief Interview for Mental Status) → Skip to C1310, Signs and Symptoms of Delirium 1. Yes (resident was unable to complete Brief Interview for Mental Status) → Continue to C0700, Short-term Memory OK							
Staff Assessment for Menta	Chahira							
	for Mental Status (C0200-C0500) was completed							
C0700. Short-term Memory								
	nter Code Seems or appears to recall after 5 minutes 0. Memory OK							
C0800. Long-term Memory	ОК							
Enter Code Seems or appears to 0. Memory OK 1. Memory prol								
C0900. Memory/Recall Abil	ity							
Check all that the reside	nt was normally able to recall							
A. Current season								
B. Location of own	room							
C. Staff names and	faces							
D. That he or she is	in a nursing home/hospital swing bed							
Z. None of the above	ve were recalled							
C1000. Cognitive Skills for	Daily Decision Making							
0. Independent 1. Modified ind 2. Moderately i	Enter Code Made decisions regarding tasks of daily life 0. Independent - decisions consistent/reasonable 1. Modified independence - some difficulty in new situations only 2. Moderately impaired - decisions poor; cues/supervision required 3. Severely impaired - never/rarely made decisions							
Delirium								
C1310. Signs and Symptom	s of Delirium (from CAM©)							
Code after completing Brief Inte	erview for Mental Status or Staff Assessment, and reviewing medical record							
A. Acute Onset Mental Status C	inange in a second seco							
Enter Code Is there evidence of 0. No 1. Yes	an acute change in mental status from the resident's baseline?							
	↓ Enter Codes in Boxes							
Coding:	B. Inattention - Did the resident have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said?							
Behavior not present Behavior continuously present, does not	C. Disorganized Thinking - Was the resident's thinking disorganized or incoherent (rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject)?							
fluctuate 2. Behavior present, fluctuates (comes and goes, changes in severity)	 D. Altered Level of Consciousness - Did the resident have altered level of consciousness, as indicated by any of the following criteria? vigilant - startled easily to any sound or touch lethargic - repeatedly dozed off when being asked questions, but responded to voice or touch stuporous - very difficult to arouse and keep aroused for the interview comatose - could not be aroused 							
Confusion Assessment Method. ©1988,	2003, Hospital Elder Life Program. All rights reserved. Adapted from: Inouye SK et al. Ann Intern Med. 1990; 113:941-8. Used with permission.							

Section D Mood							
D0100. Should Resident Mood Interview be Conducted? - Attempt to conduct int	erview with all residents						
0. No (resident is rarely/never understood) → Skip to and complete D0500-D0600, Staff Assessment of Resident Mood (PHQ-9-OV)							
1. Yes → Continue to D0200, Resident Mood Interview (PHQ-9©)							
D0200. Resident Mood Interview (PHQ-9©)							
Say to resident: "Over the last 2 weeks, have you been bothered by any of the	e following problems?"						
If symptom is present, enter 1 (yes) in column 1, Symptom Presence. If yes in column 1, then ask the resident: "About how often have you been bothered Read and show the resident a card with the symptom frequency choices. Indicate resp	•	equency.					
 Symptom Presence No (enter 0 in column 2) Yes (enter 0-3 in column 2) No response (leave column 2) Symptom Frequency Never or 1 day 2-6 days (several days) 7-11 days (half or more of the days) 	1. Symptom Presence	2. Symptom Frequency					
blank) 3. 12-14 days (nearly every day)	↓ Enter Scor	es in Boxes 🗸					
A. Little interest or pleasure in doing things							
B. Feeling down, depressed, or hopeless							
C. Trouble falling or staying asleep, or sleeping too much							
D. Feeling tired or having little energy							
E. Poor appetite or overeating							
F. Feeling bad about yourself - or that you are a failure or have let yourself or your family down							
G. Trouble concentrating on things, such as reading the newspaper or watching television							
H. Moving or speaking so slowly that other people could have noticed. Or the opposite - being so fidgety or restless that you have been moving around a lot more than usual							
. Thoughts that you would be better off dead, or of hurting yourself in some way							
D0300. Total Severity Score							
Add scores for all frequency responses in Column 2, Symptom Frequence Enter 99 if unable to complete interview (i.e., Symptom Frequency is blank for the complete interview).		00 and 27.					
1							

Identifier

Date

Resident

Resident	ldentifier	Date		
Section D	Mood			
DOTOO Staff Assessment o	Resident Mood (PHQ-9-OV*)			
	Interview (D0200-D0300) was completed			
Over the last 2 weeks, did the	esident have any of the following problems or behaviors?			
	s) in column 1, Symptom Presence. n Frequency, and indicate symptom frequency.			
 Symptom Presence No (enter 0 in column 2) Yes (enter 0-3 in column 	 2. Symptom Frequency 0. Never or 1 day 2. 6 days (several days) 2. 7-11 days (half or more of the days) 	1. Symptom Presence	2. Symptom Frequency	
	3. 12-14 days (nearly every day)	↓ Enter Scores in Boxes ↓		
A. Little interest or pleasure	n doing things			
B. Feeling or appearing dow				
C. Trouble falling or staying				
D. Feeling tired or having litt	e energy			
E. Poor appetite or overeating				
F. Indicating that s/he feels b				
G. Trouble concentrating on				
H. Moving or speaking so slo or restless that s/he has be				
I. States that life isn't worth				
J. Being short-tempered, ea				

D0600. Total Severity Score

Enter Score

Add scores for all frequency responses in Column 2, Symptom Frequency. Total score must be between 00 and 30.

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Resident Identifier Date					Date		
Sectio	Section E Behavior						
E0100. P	Potential Indicators	of Psychosis					
↓ Che	eck all that apply						
A. Hallucinations (perceptual experience			s in the absenc	e of real external sensory stimuli)		
	B. Delusions (misconceptions or beliefs that are firmly held, contrary to reality)						
	Z. None of the above	ve					
Behavio	ral Symptoms						
E0200. B	Behavioral Symptor	m - Presence & Freq	luency				
Note pres	ence of symptoms an	nd their frequency					
			↓ Enter Co	odes in Boxes			
Coding:	avior not exhibited		A. Physical behavioral symptoms directed toward others (e.g., hitting, kicking, pushing, scratching, grabbing, abusing others sexually)				
1. Beh	avior of this type occ		B. Verbal behavioral symptoms directed toward others (e.g., threatening others, screaming at others, cursing at others)				
 Behavior of this type occurred 4 to 6 days, but less than daily Behavior of this type occurred daily 			C.	symptoms such as hitting or so	not directed toward others (e.g., physical ratching self, pacing, rummaging, public , throwing or smearing food or bodily wastes, screaming, disruptive sounds)		
E0800. R	Rejection of Care - P	resence & Frequen	су				
Enter Code Enter Code Enter Code Enter Code Did the resident reject evaluation or care (e.g., bloodwork, taking medications, ADL assistance) that is necessary to achieve the resident's goals for health and well-being? Do not include behaviors that have already been addressed (e.g., by discussion or care planning with the resident or family), and determined to be consistent with resident values, preferences, or goals. O. Behavior not exhibited Behavior of this type occurred 1 to 3 days Behavior of this type occurred 4 to 6 days, but less than daily Behavior of this type occurred daily							
E0900. V	Wandering - Presen	ce & Frequency					
Has the resident wandered? 0. Behavior not exhibited 1. Behavior of this type occurred 1 to 3 days 2. Behavior of this type occurred 4 to 6 days, but less than daily 3. Behavior of this type occurred daily							

Reside	nt		ldentifier		Date	
Sec	tion G	Functional Status				
	10. Activities of Daily L r to the ADL flow chart in	iving (ADL) Assistance In the RAI manual to facilitate acc	urate coding			
Instr Wh Wh eve ass Wh O W	uctions for Rule of 3 en an activity occurs three to the an activity occurs three to the an activity did no sistance (2), code extensive the an activity occurs at varied then there is a combination	times at any one given level, code the times at multiple levels, code the mo ot occur (8), activity must not have o assistance (3). ous levels, but not three times at an of full staff performance, and exten	nat level. ost dependent, exceptions are to ccurred at all. Example, three tim y given level, apply the following sive assistance, code extensive a	nes exter g: assistanc	nsive assistance (3)	and three times limited
t	occurred 3 or more times at otal dependence, which rec	nance over all shifts - not including various levels of assistance, code th quires full staff performance every ti	e most dependent - except for	Sl p	hifts; code regardle erformance classifi	ort provided over all ss of resident's self-
1. 2. 3. 4.	Activity Occurred 3 or M. Independent - no help on Supervision - oversight, e Limited assistance - resident of limbs or other non-weig Extensive assistance - re Total dependence - full s Activity Occurred 2 or Fe	r staff oversight at any time encouragement or cueing dent highly involved in activity; staff ght-bearing assistance esident involved in activity, staff prov staff performance every time during	ride weight-bearing support entire 7-day period	1 2 3	 No setup or physics Setup help only One person physics Two+ persons place ADL activity itsel and/or non-facili 	nysical assist f did not occur or family ty staff provided care of for that activity over the
8. Activity did not occur - activity did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period				Self	f-Performance	Support
		moves to and from lying position, t	urns side to side, and		T Enter Cour	es in Boxes↓
B. T		ves between surfaces including to or	from: bed, chair, wheelchair,	+		
	tanding position (excludes Valk in room - how resident	to/from bath/toilet) t walks between locations in his/her	room			
D. V	Valk in corridor - how resid	lent walks in corridor on unit				
		resident moves between locations in wheelchair, self-sufficiency once in c	,			
F. Locomotion off unit - how resident moves to and returns from off-unit locations (e.g., areas set aside for dining, activities or treatments). If facility has only one floor, how resident moves to and from distant areas on the floor. If in wheelchair, self-sufficiency once in chair						
d		s on, fastens and takes off all items of esis or TED hose. Dressing includes				
d te	luring medication pass. Inclotal parenteral nutrition, IV	nd drinks, regardless of skill. Do not ludes intake of nourishment by othe fluids administered for nutrition or l	er means (e.g., tube feeding, nydration)			
t c	toilet; cleanses self after elimination; changes pad; manages ostomy or catheter; and adjusts clothes. Do not include emptying of bedpan, urinal, bedside commode, catheter bag or ostomy bag					
b		ident maintains personal hygiene, ir olying makeup, washing/drying face				

Resident	Identifier Date				
Section G Functional Status					
G0120. Bathing					
How resident takes full-body bath/shower, sponge bath, and transfers in/out of tub/shower (excludes washing of back and hair). Code for most dependent in self-performance and support					
A. Self-performance 0. Independent - no help provided 1. Supervision - oversight help only 2. Physical help limited to transfer only 3. Physical help in part of bathing activity 4. Total dependence 8. Activity itself did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the time for that activity over the endanger of the second of the second of the time for that activity over the endanger of the second of the s					
B. Support provided (Bathing support codes are as defined in item 6	G0110 column 2, ADL Support Provided, above)				
G0300. Balance During Transitions and Walking					
After observing the resident, code the following walking an	-				
Coding:	A. Moving from seated to standing position				
5. Steady at all timesNot steady, but <u>able</u> to stabilize without staff	B. Walking (with assistive device if used)				
assistance 2. Not steady, <u>only able</u> to stabilize with staff assistance	C. Turning around and facing the opposite direction while walking				
8. Activity did not occur	D. Moving on and off toilet				
	E. Surface-to-surface transfer (transfer between bed and chair or wheelchair)				
G0400. Functional Limitation in Range of Motion					
Code for limitation that interfered with daily functions or pla					
Coding:	↓ Enter Codes in Boxes				
No impairment Impairment on one side	A. Upper extremity (shoulder, elbow, wrist, hand)				
2. Impairment on both sides	B. Lower extremity (hip, knee, ankle, foot)				
G0600. Mobility Devices					
↓ Check all that were normally used					
A. Cane/crutch					
B. Walker					
C. Wheelchair (manual or electric)					
D. Limb prosthesis					
Z. None of the above were used					

desident			Identifier	Date	_
Section GG	Functional A	bilitie	es and Goals - Admissi	on (Start of SNF PPS Stay)	
GG0100. Prior Functioning: illness, exacerbation, or injury Complete only if A0310B = 01		s. Indica	ite the resident's usual ability w	rith everyday activities prior to the current	
		\	Enter Codes in Boxes		
 Coding: Independent - Resident completed the activities by him/herself, with or without an assistive device, with no assistance from a helper. Needed Some Help - Resident needed partial assistance from another person to complete activities. Dependent - A helper completed the activities for the resident. Unknown. Not Applicable. 			1	's need for assistance with bathing, dressing, using he current illness, exacerbation, or injury.	
			walking from room to room	on): Code the resident's need for assistance with (with or without a device such as cane, crutch, or Ilness, exacerbation, or injury.	
				eed for assistance with internal or external stairs (with cane, crutch, or walker) prior to the current illness,	า
			_	the resident's need for assistance with planning ing or remembering to take medication prior to the , or injury.	
GG0110. Prior Device Use. In Complete only if A0310B = 01		aids use	d by the resident prior to the cu	urrent illness, exacerbation, or injury	
↓ Check all that apply					_
A. Manual wheelcha	air				
B. Motorized wheel	chair and/or scooter				_
C. Mechanical lift	C. Mechanical lift				_
D. Walker					_

E. Orthotics/Prosthetics

Z. None of the above

Resident Identifier Date

Section GG

Functional Abilities and Goals - Admission (Start of SNF PPS Stay)

GG0130. Self-Care (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B) Complete only if A0310B = 01

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. **Independent** Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

1. Admission Performance	2. Discharge Goal	
↓ Enter Code	s in Boxes	
		A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident.
		B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment.
		C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.
		E. Shower/bathe self: The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.
		F. Upper body dressing: The ability to dress and undress above the waist; including fasteners, if applicable.
		G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear.
		H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.

Resident	Identifier	Date

Section GG

Functional Abilities and Goals - Admission (Start of SNF PPS Stay)

GG0170. Mobility (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B) Complete only if A0310B = 01

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

1.	2.					
Admission	Discharge					
Performance	Goal					
↓ Enter Code	es in Boxes ↓					
		A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed.				
		B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed.				
		C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support.				
		D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.				
		E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair).				
F. Toilet transfer: The ability to get on and off a toilet or commode.		F. Toilet transfer: The ability to get on and off a toilet or commode.				
		G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt.				
		I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space.				
		If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170M, 1 step (curb)				
		J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns.				
		K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space.				

Resident	Identifier	Date

Section GG

Functional Abilities and Goals - Admission (Start of SNF PPS Stay)

GG0170. Mobility (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B) - Continued Complete only if A0310B = 01

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
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- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

1.	2.				
Admission	Discharge				
erformance	Goal				
, Enter Code	s in Boxes 🗼				
		L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel.			
		M. 1 step (curb): The ability to go up and down a curb and/or up and down one step. If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object			
		N. 4 steps: The ability to go up and down four steps with or without a rail. If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object			
		O. 12 steps: The ability to go up and down 12 steps with or without a rail.			
		P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor.			
		Q1. Does the resident use a wheelchair and/or scooter?			
		0. No → Skip to GG0130, Self Care (Discharge)			
		1. Yes → Continue to GG0170R, Wheel 50 feet with two turns			
		R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.			
		RR1. Indicate the type of wheelchair or scooter used.			
		1. Manual			
		2. Motorized			
		S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar			
		space.			
		SS1. Indicate the type of wheelchair or scooter used.			
		1. Manual			
		2. Motorized			

Resident Identifier Date

Section GG

Functional Abilities and Goals - Discharge (End of SNF PPS Stay)

GG0130. Self-Care (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C) Complete only if A0310G is not = 2 **and** A0310H = 1 **and** A2400C minus A2400B is greater than 2 **and** A2100 is not = 03

Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
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- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

3. Discharge Performance	
Enter Codes in Boxes	
	A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident.
	B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment.
	C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.
	E. Shower/bathe self: The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.
	F. Upper body dressing: The ability to dress and undress above the waist; including fasteners, if applicable.
	G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear.
	H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.

Resident Identifier Date

Section GG

Functional Abilities and Goals - Discharge (End of SNF PPS Stay)

GG0170. Mobility (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C)

Complete only if A0310G is not = 2 and A0310H = 1 and A2400C minus A2400B is greater than 2 and A2100 is not = 03

Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

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- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

3. Discharge Performance					
nter Codes in Boxes					
	A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed.				
	B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed.				
	C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support.				
	D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.				
	E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair).				
	F. Toilet transfer: The ability to get on and off a toilet or commode.				
	G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt.				
	I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space.				
	If discharge performance is coded 07, 09, 10, or 88 \longrightarrow Skip to GG0170M, 1 step (curb)				
	J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns.				
	K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space.				

esident Identifier	Date
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Section GG

Functional Abilities and Goals - Discharge (End of SNF PPS Stay)

GG0170. Mobility (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C) - Continued Complete only if A0310G is not = 2 **and** A0310H = 1 **and** A2400C minus A2400B is greater than 2 **and** A2100 is not = 03

Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

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- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

3. Discharge							
Performance							
Enter Codes in Boxes							
	L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel.						
	M. 1 step (curb): The ability to go up and down a curb and/or up and down one step. If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object						
	N. 4 steps: The ability to go up and down four steps with or without a rail. If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object						
	O. 12 steps: The ability to go up and down 12 steps with or without a rail.						
	P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor.						
	Q3. Does the resident use a wheelchair and/or scooter?						
	0. No → Skip to H0100, Appliances						
	1. Yes → Continue to GG0170R, Wheel 50 feet with two turns						
	R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.						
	RR3. Indicate the type of wheelchair or scooter used.						
	1. Manual 2. Motorized						
	S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.						
	SS3. Indicate the type of wheelchair or scooter used.						
	1. Manual						
	2. Motorized						

Resident				lo	dentifier	Date
Section	n ł	1	Bladder and B	Bowel		
H0100. A	۱pp	liances				
↓ Che	ck a	all that apply				
	A.	Indwelling cathe	eter (including suprapub	oic catheter and nepl	nrostomy tube)	
	В.	External cathete	r			
	c.	Ostomy (includin	g urostomy, ileostomy,	and colostomy)		
	D.	Intermittent cath	heterization			
	z.	None of the abov	/e			
H0200. U	Jrin	ary Toileting Pr	ogram			
Enter Code	A.	admission/entry of	or reentry or since urina	ry incontinence was		, or bladder training) been attempted on
		 Yes → Cont 	to H0300, Urinary Contir tinue to H0200C, Curren etermine> Continue	nt toileting program o		rial
Enter Code	C.	-	J program or trial - Is a tanage the resident's urin	3, 3	g., scheduled toiletin	g, prompted voiding, or bladder training) currently
H0300. U	Jrin	ary Continence				
Enter Code	Ur	 Always continuous Occasionally Frequently in Always incom 	incontinent (less than 7 ncontinent (7 or more eptinent (no episodes of c	7 episodes of incontil pisodes of urinary inc continent voiding)	nence) continence, but at lea	st one episode of continent voiding) urine output for the entire 7 days
H0400. Bowel Continence						
Enter Code	Во	 Always continuous Occasionally Frequently in Always incom 	incontinent (one episo	de of bowel incontin pisodes of bowel incontinent bowel mov	ence) ontinence, but at leas vements)	et one continent bowel movement)
H0500. B	H0500. Bowel Toileting Program					
Enter Code	ls a	n toileting program 0. No 1. Yes	m currently being used	d to manage the res	ident's bowel contir	nence?

Resident ______ Identifier ______ Date _____

Section I

Active Diagnoses

10020. Indicate the resident's primary medical condition category

Complete only if A0310B = 01 or 08

Enter Code

Indicate the resident's primary medical condition category that best describes the primary reason for admission

- 01. **Stroke**
- 02. Non-Traumatic Brain Dysfunction
- 03. Traumatic Brain Dysfunction
- 04. Non-Traumatic Spinal Cord Dysfunction
- 05. Traumatic Spinal Cord Dysfunction
- **06. Progressive Neurological Conditions**
- 07. Other Neurological Conditions
- 08. Amputation
- 09. Hip and Knee Replacement
- 10. Fractures and Other Multiple Trauma
- 11. Other Orthopedic Conditions
- 12. Debility, Cardiorespiratory Conditions
- 13. Medically Complex Conditions

10020B. ICD Code

Resident Identifier	Date
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Sect	ion I	Active Diagnoses
Active	Diagn	oses in the last 7 days - Check all that apply
	_	d in parentheses are provided as examples and should not be considered as all-inclusive lists
	Cancer	
	10100.	Cancer (with or without metastasis)
		Circulation
	10200.	Anemia (e.g., aplastic, iron deficiency, pernicious, and sickle cell)
	10400.	Coronary Artery Disease (CAD) (e.g., angina, myocardial infarction, and atherosclerotic heart disease (ASHD))
	10600.	Heart Failure (e.g., congestive heart failure (CHF) and pulmonary edema)
	10700.	Hypertension
	10800.	Orthostatic Hypotension
	10900.	Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD)
	Gastro	intestinal
	I1300.	Ulcerative Colitis, Crohn's Disease, or Inflammatory Bowel Disease
		urinary
		Renal Insufficiency, Renal Failure, or End-Stage Renal Disease (ESRD)
Ш	I1550.	Neurogenic Bladder
		Obstructive Uropathy
	Infection	
	I1700.	Multidrug-Resistant Organism (MDRO)
Ш		Pneumonia
Ш	12100.	Septicemia
	12200.	Tuberculosis
	12300.	Urinary Tract Infection (UTI) (LAST 30 DAYS)
	12400.	Viral Hepatitis (e.g., Hepatitis A, B, C, D, and E)
	12500.	Wound Infection (other than foot)
	Metab	
Ш	12900.	Diabetes Mellitus (DM) (e.g., diabetic retinopathy, nephropathy, and neuropathy)
	I3100.	Hyponatremia
	I3200.	Hyperkalemia
	I3300.	Hyperlipidemia (e.g., hypercholesterolemia)
		loskeletal
Ш	13900.	Hip Fracture - any hip fracture that has a relationship to current status, treatments, monitoring (e.g., sub-capital fractures, and
	14000	fractures of the trochanter and femoral neck)
	Neurol	Other Fracture
		Alzheimer's Disease
H		Aphasia
H		Cerebral Palsy
H		Cerebrovascular Accident (CVA), Transient Ischemic Attack (TIA), or Stroke
		Non-Alzheimer's Dementia (e.g. Lewy body dementia, vascular or multi-infarct dementia; mixed dementia; frontotemporal dementia such as Pick's disease; and dementia related to stroke, Parkinson's or Creutzfeldt-Jakob diseases)
	14900.	Hemiplegia or Hemiparesis
	15000.	Paraplegia
	I5100.	Quadriplegia
	15200.	Multiple Sclerosis (MS)
	15250.	Huntington's Disease
	15300.	Parkinson's Disease
	15350.	Tourette's Syndrome
	15400.	Seizure Disorder or Epilepsy
		Traumatic Brain Injury (TBI)

Resident		Identifier		Date
Sect	ion I	Active Diagnoses		
		noses in the last 7 days - Check all that apply ed in parentheses are provided as examples and should not be considered	as all-inclusive lists	
	Nutriti	ional		
		Malnutrition (protein or calorie) or at risk for malnutrition		
		atric/Mood Disorder		
		Anxiety Disorder		
		Depression (other than bipolar)		
	15900.	Bipolar Disorder		
	15950.	Psychotic Disorder (other than schizophrenia)		
	16000.	Schizophrenia (e.g., schizoaffective and schizophreniform disorders)		
	l6100.	Post Traumatic Stress Disorder (PTSD)		
	Pulmo	nary		
	l6200.	Asthma, Chronic Obstructive Pulmonary Disease (COPD), or Chronic diseases such as asbestosis)	Lung Disease (e.g., chr	ronic bronchitis and restrictive lung
[I6300. Respiratory Failure				
	Other			
		Additional active diagnoses liagnosis on line and ICD code in boxes. Include the decimal for the code in	in the appropriate box.	
	A			
	В			
	C.			
	_			
	E			
	F			
	G			

Resident			Identifier	Date				
Sectio	n J	Health Condition	S					
J0100. P	ain Management -	Complete for all residents,	regardless of current pain level					
	e in the last 5 days, ha	<u> </u>						
Enter Code	<u> </u>		en?					
	0. No 1. Yes	3 .						
Enter Code	0. No	iin medications OR was offer	red and declined?					
5.61	1. Yes	edication intervention for pa	ain?					
Enter Code	0. No	edication intervention for pa	aiii:					
	1. Yes							
		sment Interview be Condu						
Attempt	to conduct interview v	vith all residents. If resident is	comatose, skip to J1100, Shortness o	f Breath (dyspnea)				
Enter Code	0. No (resident is	rarely/never understood)>	Skip to and complete J0800, Indicato	ors of Pain or Possible Pain				
	1. Yes → Conti	nue to J0300, Pain Presence						
	•							
Dain Ac	sessment Interv	viou						
	Pain Presence	/iew						
J0300.				au				
Enter Code		-	ng at any time in the last 5 days	? "				
		p to J1100, Shortness of Bre ontinue to J0400, Pain Frequ						
	9. Unable to	answer \rightarrow Skip to J0800,	Indicators of Pain or Possible Pain					
J0400.	Pain Frequency	,						
30 1001	•	w much of the time have	you experienced pain or hurt	ing over the last 5 days?"				
Enter Code	1. Almost con		you experienced pain or nare	ing over the last 5 days:				
	2. Frequently	•						
	3. Occasiona							
	4. Rarely	•						
	9. Unable to	answer						
J0500.	Pain Effect on Fu	nction						
	A. Ask resident: "	Over the past 5 days, has	pain made it hard for you to s	leep at night?"				
Enter Code	0. No							
	1. Yes							
	9. Unable to a							
Enter Code		Over the past 5 days, have	e you limited your day-to-day	activities because of pain?"				
Linei code	0. No							
	1. Yes 9. Unable to a	M G M M G M M G M M G M M M G M M M M M M M M M M						
10400				(1 2)				
J0600.			the following pain intensity qu	estions (A or B)				
Fatas Datina	A. Numeric Ratin	_						
Enter Rating		•	•	o ten scale, with zero being no pain and ten				
	1		ow resident 00 -10 pain scale)					
		it response. Enter 99 if un	nable to answer.					
Enter Code	B. Verbal Descrip		for a company of the second of	down!! (Character to the Late)				
		riease rate the intensity of	r your worst pain over the last 5 (days." (Show resident verbal scale)				
	1. Mild							

3. **Severe**

4. Very severe, horrible9. Unable to answer

Resident _			Identifier	Date
Sectio	n J	Health Conditions		
J0700.	Should the Staff As	ssessment for Pain be Conduct	ed?	
Enter Code	0. No (J0400 = 1	thru 4) → Skip to J1100, Shortness O) → Continue to J0800, Indicators	of Breath (dyspnea)	
Staff As	sessment for Pai	n		
J0800. I	ndicators of Pain o	r Possible Pain in the last 5 days	 i	
↓ Ch	eck all that apply			
	A. Non-verbal sou	nds (e.g., crying, whining, gasping, r	moaning, or groaning)	
	B. Vocal complain	ts of pain (e.g., that hurts, ouch, stop	o)	
	C. Facial expression	ons (e.g., grimaces, winces, wrinkled	forehead, furrowed brow, clench	hed teeth or jaw)
	D. Protective body body part during	•	cing, guarding, rubbing or mass	aging a body part/area, clutching or holding a
	Z. None of these si	igns observed or documented →	If checked, skip to J1100, Short	ness of Breath (dyspnea)
J0850. F	requency of Indica	ator of Pain or Possible Pain in t	the last 5 days	
Enter Code	1. Indicators of 2. Indicators of	ch resident complains or shows evid f pain or possible pain observed 1 to f pain or possible pain observed 3 to f pain or possible pain observed da	o 2 days o 4 days	
Other Health Conditions				
Other H	ealth Conditions			
	ealth Conditions hortness of Breath	(dyspnea)		
J1100. S		(dyspnea)		
J1100. S	hortness of Breath	(dyspnea) eath or trouble breathing with exert	t ion (e.g., walking, bathing, trans	sferring)
J1100. S	hortness of Breath eck all that apply A. Shortness of bre			sferring)
J1100. S	hortness of Breath eck all that apply A. Shortness of bre B. Shortness of bre	eath or trouble breathing with exert	ng at rest	sferring)
J1100. S	hortness of Breath eck all that apply A. Shortness of bre B. Shortness of bre	eath or trouble breathing with exerteath or trouble breathing when sittieath or trouble breathing when lying	ng at rest	sferring)
J1100. S	hortness of Breath eck all that apply A. Shortness of bre B. Shortness of bre C. Shortness of bre	eath or trouble breathing with exerteath or trouble breathing when sittieath or trouble breathing when lying	ng at rest	sferring)
J1100. S	hortness of Breath eck all that apply A. Shortness of bre B. Shortness of bre C. Shortness of bre Z. None of the abortognosis	eath or trouble breathing with exerteath or trouble breathing when sitties ath or trouble breathing when lying	ng at rest g flat	sferring) Ty of less than 6 months? (Requires physician
J1100. S	hortness of Breath eck all that apply A. Shortness of bre B. Shortness of bre C. Shortness of bre Z. None of the abo rognosis Does the resident had documentation) 0. No	eath or trouble breathing with exerteath or trouble breathing when sitting when lying when lying when lying we	ng at rest g flat	
J1100. S	A. Shortness of bree B. Shortness of bree C. Shortness of bree Z. None of the abortognosis Does the resident had documentation) 0. No 1. Yes	eath or trouble breathing with exerteath or trouble breathing when sitting when lying when lying when lying we	ng at rest g flat	
J1100. S	A. Shortness of bree B. Shortness of bree C. Shortness of bree Z. None of the abortognosis Does the resident had documentation) 0. No 1. Yes	eath or trouble breathing with exerteath or trouble breathing when sitting when lying when lying when lying we	ng at rest g flat	
J1100. S	hortness of Breath eck all that apply A. Shortness of bre B. Shortness of bre C. Shortness of bre Z. None of the abo rognosis Does the resident hadocumentation) 0. No 1. Yes roblem Conditions	eath or trouble breathing with exerteath or trouble breathing when sitting when lying when lying when lying we	ng at rest g flat	
J1100. S	A. Shortness of bree B. Shortness of bree C. Shortness of bree Z. None of the abortognosis Does the resident had documentation) 0. No 1. Yes Croblem Conditions Deck all that apply A. Fever	eath or trouble breathing with exerteath or trouble breathing when sitting when lying when lying when lying we	ng at rest g flat	
J1100. S	hortness of Breath eck all that apply A. Shortness of bre B. Shortness of bre C. Shortness of bre Z. None of the abo rognosis Does the resident hadocumentation) 0. No 1. Yes roblem Conditions eck all that apply A. Fever B. Vomiting	eath or trouble breathing with exerteath or trouble breathing when sitting when lying week a condition or chronic disease that	ng at rest g flat	
J1100. S	A. Shortness of breach B. Shortness of breach C. Shortness of breach Z. None of the abortognosis Does the resident had documentation) O. No 1. Yes Troblem Conditions eck all that apply A. Fever B. Vomiting C. Dehydrated	eath or trouble breathing with exerteath or trouble breathing when sitting when reaching when lying to the eath or trouble breathing when sitting to the eath or trouble breathing when sitting the eath or trouble breathing when lying the eath of the eath or trouble breathing when lying the eath of the eath o	ng at rest g flat	

Resident

Resident			ldentifier	Date	
Sectio	n J	Health Conditions			
	all History on Admi e only if A0310A = 01	ssion/Entry or Reentry or A0310E = 1			
Enter Code		ave a fall any time in the last r	nonth prior to admission/entry or re	eentry?	
Enter Code	B. Did the resident h 0. No 1. Yes 9. Unable to det		2-6 months prior to admission/entry	or reentry?	
Enter Code	C. Did the resident h 0. No 1. Yes 9. Unable to det		fall in the 6 months prior to admiss	sion/entry or reentry?	
J1800. A	ny Falls Since Admi	ssion/Entry or Reentry or	Prior Assessment (OBRA or Sc	heduled PPS), whichever is more recent	
Enter Code	recent? 0. No → Skip t 1. Yes → Cont	o J2000, Prior Surgery inue to J1900, Number of Falls	s Since Admission/Entry or Reentry o	nent (OBRA or Scheduled PPS), whichever is more or Prior Assessment (OBRA or Scheduled PPS)	
J1900. N	umber of Falls Sinc			A or Scheduled PPS), whichever is more recen	
		↓ Enter Codes in Boxes			
Coding:		care clinician;		l on physical assessment by the nurse or primary by the resident; no change in the resident's	
0. Non 1. One 2. Two			ot major) - skin tears, abrasions, y fall-related injury that causes t	lacerations, superficial bruises, hematomas and he resident to complain of pain	
			C. Major injury - bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma		
J2000. P	rior Surgery - Comp	lete only if A0310B = 01			
Enter Code	Did the resident have 0. No 1. Yes 8. Unknown	major surgery during the 100	days prior to admission?		
J2100. R	ecent Surgery Requ	iring Active SNF Care - Co	omplete only if A0310B = 01 or 0	8	
Enter Code	Did the resident have	a major surgical procedure du	uring the prior inpatient hospital sta	y that requires active care during the SNF stay?	

Yes
 Unknown

Resident	Identifier	Date

Sect	ection J Health Conditions				
Surgi	rgical Procedures - Complete only if J2100 = 1				
\downarrow	Check all that apply				
•	Major Joint Replacement				
	J2300. Knee Replacement - partial or total				
	J2310. Hip Replacement - partial or total				
	J2320. Ankle Replacement - partial or total				
	J2330. Shoulder Replacement - partial or total				
	Spinal Surgery				
	J2400. Involving the spinal cord or major spinal nerve	S			
	J2410. Involving fusion of spinal bones				
	J2420. Involving lamina, discs, or facets				
	J2499. Other major spinal surgery				
	Other Orthopedic Surgery				
	J2500. Repair fractures of the shoulder (including clavi	cle and scapula) or arm (but not hand)			
	J2510. Repair fractures of the pelvis, hip, leg, knee, or	ankle (not foot)			
	J2520. Repair but not replace joints				
	J2530. Repair other bones (such as hand, foot, jaw)				
	J2599. Other major orthopedic surgery				
	Neurological Surgery				
	J2600. Involving the brain, surrounding tissue or bloo	d vessels (excludes skull and skin but includes cranial nerves)			
	J2610. Involving the peripheral or autonomic nervous	system - open or percutaneous			
		mulators, electrodes, catheters, or CSF drainage devices			
	J2699. Other major neurological surgery				
	Cardiopulmonary Surgery				
	J2700. Involving the heart or major blood vessels - op	en or percutaneous procedures			
	J2710. Involving the respiratory system, including lun	gs, bronchi, trachea, larynx, or vocal cords - open or endoscopic			
	J2799. Other major cardiopulmonary surgery				
	Genitourinary Surgery				
	J2800. Involving male or female organs (such as prosta	-			
	-	or bladder - open or laparoscopic (includes creation or removal of			
	nephrostomies or urostomies)				
	J2899. Other major genitourinary surgery				
	Other Major Surgery				
	J2900. Involving tendons, ligaments, or muscles	al contents from the combany to the price the billiam two well bledder lives			
	_	al contents from the esophagus to the anus, the biliary tree, gall bladder, liver, ding creation or removal of ostomies or percutaneous feeding tubes, or hernia repair)			
	_	·			
	J2920. Involving the endocrine organs (such as thyroid	, paratriyrold,, neck, rymph nodes, or triymus - open			
	J2930. Involving the breast	anna manyany ay stam sall hanyast ay tuan la-t			
	J2940. Repair of deep ulcers, internal brachytherapy, J5000. Other major surgery not listed above	oone marrow or stem cen narvest or transplant			
	III JOUGE, COMER MAIOR SURGERY NOT HSTER ADOVE				

Resident		ldentifier	Date				
Sectio	n K						
	0100. Swallowing Disorder						
	· · · · · · · · · · · · · · · · · · ·	ble swallowing disorder					
↓ Che	eck all that apply						
	A. Loss of liquids/s	olids from mouth when eating or drinking					
	B. Holding food in	mouth/cheeks or residual food in mouth after meals					
		oking during meals or when swallowing medications					
		ifficulty or pain with swallowing					
	Z. None of the abo	ve					
K0200. F	leight and Weight	- While measuring, if the number is X.1 - X.4 round down; X.5 or gre	eater round up				
inches	A. Height (in i	inches). Record most recent height measure since the most recent admiss	ion/entry or reentry				
pounds		pounds). Base weight on most recent measure in last 30 days; measure we ctice (e.g., in a.m. after voiding, before meal, with shoes off, etc.)	eight consistently, accord	ding to standard			
K0300. V	Veight Loss						
Enter Code	Loss of 5% or more in the last month or loss of 10% or more in last 6 months 0. No or unknown 1. Yes, on physician-prescribed weight-loss regimen 2. Yes, not on physician-prescribed weight-loss regimen						
K0310. V	Veight Gain						
Enter Code	0. No or unknov 1. Yes, on physi	in the last month or gain of 10% or more in last 6 months vn cian-prescribed weight-gain regimen shysician-prescribed weight-gain regimen					
K0510. N	K0510. Nutritional Approaches						
		onal approaches that were performed during the last 7 days					
Perfor reside ago, le		dent of this facility and within the <i>last 7 days</i> . Only check column 1 if or reentry) IN THE LAST 7 DAYS. If resident last entered 7 or more days	1. While NOT a Resident	2. While a Resident			
Performed while a resident of this facility and within the last 7 days Check all that apply Check all that apply							
A. Parent	teral/IV feeding						
B. Feedin	ig tube - nasogastric c	or abdominal (PEG)					
	nically altered diet - ed liquids)	require change in texture of food or liquids (e.g., pureed food,					
D. Therap	eutic diet (e.g., low sa	alt, diabetic, low cholesterol)					
Z. None o	of the above						

Resident	ldentifier	Date				
Section K	Swallowing/Nutritional Status					
K0710. Percent Intake by A	rtificial Route - Complete K0710 only if Column 1 and/or Column 2 ar	e checked for K0510/	A and/or K0510B			
 While a Resident Performed while a resident During Entire 7 Days Performed during the entire 	2. While a Resident	3. During Entire 7 Days				
A. Proportion of total calories 1. 25% or less 2. 26-50% 3. 51% or more B. Average fluid intake per da 1. 500 cc/day or less 2. 501 cc/day or more	↓ Ente	r Codes ↓				
Section L	Oral/Dental Status					
L0200. Dental						
	y fitting full or partial denture (chipped, cracked, uncleanable, or loose)					
F. Mouth or facial p	pain, discomfort or difficulty with chewing					
Section M	Skin Conditions					
Report ba	sed on highest stage of existing ulcers/injurio do not "reverse" stage	es at their wo	rst;			
M0100. Determination of P	ressure Ulcer/Injury Risk					
↓ Check all that apply						
A. Resident has a p	ressure ulcer/injury, a scar over bony prominence, or a non-removable	dressing/device				
B. Formal assessme	B. Formal assessment instrument/tool (e.g., Braden, Norton, or other)					
C. Clinical assessment						
Z. None of the above						
M0150. Risk of Pressure Uld	ers/Injuries					
Enter Code	Is this resident at risk of developing pressure ulcers/injuries? 0. No					
M0210. Unhealed Pressure	Ulcers/Injuries					
0. No → Skip	ave one or more unhealed pressure ulcers/injuries? to M1030, Number of Venous and Arterial Ulcers tinue to M0300, Current Number of Unhealed Pressure Ulcers/Injuries at Ea	 nch Stage				

Resident Identifier Date **Skin Conditions** Section M M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage A. Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues Enter Number 1. Number of Stage 1 pressure injuries B. Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister **Enter Number** 1. Number of Stage 2 pressure ulcers - If 0 → Skip to M0300C, Stage 3 **Enter Number** 2. Number of these Stage 2 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry C. Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling **Enter Number** 1. Number of Stage 3 pressure ulcers - If 0 → Skip to M0300D, Stage 4 **Enter Number** 2. Number of these Stage 3 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling **Enter Number** 1. Number of Stage 4 pressure ulcers - If 0 → Skip to M0300E, Unstageable - Non-removable dressing/device **Enter Number** 2. Number of these Stage 4 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry E. Unstageable - Non-removable dressing/device: Known but not stageable due to non-removable dressing/device Enter Number 1. Number of unstageable pressure ulcers/injuries due to non-removable dressing/device - If 0 → Skip to M0300F, Unstageable - Slough and/or eschar **Enter Number** 2. Number of these unstageable pressure ulcers/injuries that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar **Enter Number** Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar - If 0 → Skip to M0300G, Unstageable - Deep tissue injury Enter Number 2. Number of these unstageable pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry

1. Number of unstageable pressure injuries presenting as deep tissue injury - If 0 → Skip to M1030.

2. Number of these unstageable pressure injuries that were present upon admission/entry or reentry - enter how many were

G. Unstageable - Deep tissue injury:

Number of Venous and Arterial Ulcers

noted at the time of admission/entry or reentry

Enter Number

Enter Number

Resident			ldentifier	Date
Section	M	Skin Conditions		
M1030. N	umber of Venous	and Arterial Ulcers		
Enter Number	Enter the total num	ber of venous and arterial	ulcers present	
M1040. O	ther Ulcers, Wour	nds and Skin Problems		
8 V 0	eck all that apply			
	Foot Problems			
	A. Infection of the	foot (e.g., cellulitis, purulent	drainage)	
	B. Diabetic foot ulc	er(s)		
	C. Other open lesio	on(s) on the foot		
	Other Problems			
	D. Open lesion(s) of	ther than ulcers, rashes, cu	ıts (e.g., cancer lesion)	
	E. Surgical wound(s)		
	F. Burn(s) (second o	or third degree)		
	G. Skin tear(s)			
	H. Moisture Associa	ated Skin Damage (MASD)	(e.g., incontinence-associated dermatiti	s [IAD], perspiration, drainage)
	None of the Above			
	Z. None of the above	ve were present		
M1200. S	kin and Ulcer/Inju	ry Treatments		
↓ Che	eck all that apply			
	A. Pressure reducir	ng device for chair		
	B. Pressure reducir	ng device for bed		
	C. Turning/repositi	ioning program		
	D. Nutrition or hydi	ration intervention to man	age skin problems	
	E. Pressure ulcer/in	ijury care		
	F. Surgical wound	care		
	G. Application of n	onsurgical dressings (with	or without topical medications) other th	an to feet
	H. Applications of	ointments/medications oth	her than to feet	

Z. None of the above were provided

I. Application of dressings to feet (with or without topical medications)

Sectio	n N Medications
N0300. I	njections
Enter Days	Record the number of days that injections of any type were received during the last 7 days or since admission/entry or reentry if less than 7 days. If 0 → Skip to N0410, Medications Received
N0350. I	nsulin
Enter Days	A. Insulin injections - Record the number of days that insulin injections were received during the last 7 days or since admission/entry or reentry if less than 7 days
Enter Days	B. Orders for insulin - Record the number of days the physician (or authorized assistant or practitioner) changed the resident's insulin orders during the last 7 days or since admission/entry or reentry if less than 7 days
N0410. N	Medications Received
	ne number of DAYS the resident received the following medications by pharmacological classification, not how it is used, during the sor since admission/entry or reentry if less than 7 days. Enter "0" if medication was not received by the resident during the last 7 days
Enter Days	A. Antipsychotic
Enter Days	B. Antianxiety
Enter Days	C. Antidepressant
Enter Days	D. Hypnotic
Enter Days	E. Anticoagulant (e.g., warfarin, heparin, or low-molecular weight heparin)
Enter Days	F. Antibiotic
Enter Days	G. Diuretic
Enter Days	H. Opioid
N2001. D	rug Regimen Review - Complete only if A0310B = 01
Enter Code	Did a complete drug regimen review identify potential clinically significant medication issues? 0. No - No issues found during review 1. Yes - Issues found during review 9. NA - Resident is not taking any medications
N2003. N	ledication Follow-up - Complete only if N2001 =1
Enter Code	Did the facility contact a physician (or physician-designee) by midnight of the next calendar day and complete prescribed/recommended actions in response to the identified potential clinically significant medication issues? 0. No 1. Yes
N2005. M	ledication Intervention - Complete only if A0310H = 1
Enter Code	Did the facility contact and complete physician (or physician-designee) prescribed/recommended actions by midnight of the next calendar day each time potential clinically significant medication issues were identified since the admission? O. No 1. Yes 9. NA - There were no potential clinically significant medication issues identified since admission or resident is not taking any medications

Identifier Date

Resident _

Resident		Identifier	Date	
Sectio	n O	Special Treatments, Procedures, and Program	ns	
O0100. 9	Special Treatments	, Procedures, and Programs		
Check all c	of the following treatm	ents, procedures, and programs that were performed during the last 14 day	/s	
Perfor reside ago, le		dent of this facility and within the last 14 days . Only check column 1 if or reentry) IN THE LAST 14 DAYS. If resident last entered 14 or more days	1. While NOT a Resident	2. While a Resident
Perfor	med while a resident (of this facility and within the <i>last 14 days</i>	↓ Check all t	hat apply ↓
Cancer Tr				
A. Chemo	otherapy			
B. Radiat	ion			
Respirato	ry Treatments			
C. Oxyge	n therapy			
D. Suction	ning			
E. Trache	ostomy care			
F. Invasiv	e Mechanical Ventila	tor (ventilator or respirator)		
Other				
H. IV med	lications			
I. Transf	usions			
J. Dialys	is			
K. Hospid	ce care			
M. Isolati precau	-	active infectious disease (does not include standard body/fluid		
O0250. I	nfluenza Vaccine -	Refer to current version of RAI manual for current influenza vaccinati	ion season and repo	rting period
Enter Code	A. Did the resident	receive the influenza vaccine in this facility for this year's influenza vaccin	ation season?	
		to O0250C, If influenza vaccine not received, state reason tinue to O0250B, Date influenza vaccine received		
	B. Date influenza v	accine received → Complete date and skip to O0300A, Is the resident's Pn	neumococcal vaccinati	on up to date?
	_	_		
	Month	Day Year		
Enter Code	 Resident not Received out Not eligible - Offered and of Not offered 	btain influenza vaccine due to a declared shortage		
O0300. I	Pneumococcal Vacc	ine		
Enter Code	0. No → Conti	Pneumococcal vaccination up to date? nue to O0300B, If Pneumococcal vaccine not received, state reason to O0400, Therapies		
Enter Code		vaccine not received, state reason: medical contraindication declined		

Resident Identifier Date Section O Special Treatments, Procedures, and Programs **00400.** Therapies A. Speech-Language Pathology and Audiology Services **Enter Number of Minutes** 1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days **Enter Number of Minutes** 2. Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days **Enter Number of Minutes** 3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days If the sum of individual, concurrent, and group minutes is zero, → skip to 00400A5, Therapy start date **Enter Number of Minutes 3A.** Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days **Enter Number of Days** 4. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days **6.** Therapy end date - record the date the most recent **5.** Therapy start date - record the date the most recent therapy regimen (since the most recent entry) ended therapy regimen (since the most recent entry) started - enter dashes if therapy is ongoing Month Month Day Year **B.** Occupational Therapy **Enter Number of Minutes** 1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days **Enter Number of Minutes** 2. Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days **Enter Number of Minutes** 3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days If the sum of individual, concurrent, and group minutes is zero, → skip to O0400B5, Therapy start date **Enter Number of Minutes** 3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** in the last 7 days 4. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days

Enter Number of Days

5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started

Day

6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing

Day

Month

00400 continued on next page

Month

esident	Identifier Date						
Section O Special Treatments, Procedures, and Programs							
00400. Therapies	- Continued						
	. Physical Therapy						
Enter Number of Minutes	 Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days 						
Enter Number of Minutes	Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days						
Enter Number of Minutes	3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days						
	If the sum of individual, concurrent, and group minutes is zero, → skip to O0400C5, Therapy start date						
Enter Number of Minutes	3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days						
Enter Number of Days	4. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days						
	5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing						
	Month Day Year Month Day Year						
Esta Namela (Dans	D. Respiratory Therapy						
Enter Number of Days	2. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days						
	F Psychological Therapy (by any licensed mental health professional)						

Enter Number of Days

2. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days

00420. Distinct Calendar Days of Therapy

Enter Number of Days

Record the number of calendar days that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes in the past 7 days.

Resident Identifier Date

Section O

Special Treatments, Procedures, and Programs

00425. Part A Therapies

Complete only if A0310H = 1

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Days

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Days

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Minutes

Enter Number of Days

A. Speech-Language Pathology and Audiology Services

1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually since the start date of the resident's most recent Medicare Part A stay (A2400B)

 Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B)

3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B)

If the sum of individual, concurrent, and group minutes is zero, -> skip to O0425B, Occupational Therapy

4. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** since the start date of the resident's most recent Medicare Part A stay (A2400B)

5. Days - record the number of days this therapy was administered for at least 15 minutes a day since the start date of the resident's most recent Medicare Part A stay (A2400B)

B. Occupational Therapy

1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually since the start date of the resident's most recent Medicare Part A stay (A2400B)

 Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B)

3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B)

If the sum of individual, concurrent, and group minutes is zero, → skip to O0425C, Physical Therapy

4. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** since the start date of the resident's most recent Medicare Part A stay (A2400B)

5. Days - record the **number of days** this therapy was administered for **at least 15 minutes** a day since the start date of the resident's most recent Medicare Part A stay (A2400B)

C. Physical Therapy

1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually since the start date of the resident's most recent Medicare Part A stay (A2400B)

 Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B)

3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B)

If the sum of individual, concurrent, and group minutes is zero, -> skip to O0430, Distinct Calendar Days of Part A Therapy

4. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in **co-treatment sessions** since the start date of the resident's most recent Medicare Part A stay (A2400B)

5. Days - record the number of days this therapy was administered for at least 15 minutes a day since the start date of the resident's most recent Medicare Part A stay (A2400B)

00430. Distinct Calendar Days of Part A Therapy

Complete only if A0310H = 1

Enter Number of Days

Record the number of **calendar days** that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes since the start date of the resident's most recent Medicare Part A stay (A2400B)

esident			Identifier	Date
Section O Special Treatments, Procedures, and Programs				grams
O0500. R	estorative Nursing	Programs		
	number of days each none or less than 15 m		e programs was performed (for at least 15	minutes a day) in the last 7 calendar days
Number of Days	Technique			
	A. Range of motion	n (passive)		
	B. Range of motion	n (active)		
C. Splint or brace assistance				
Number of Days Training and Skill Practice In:				
	D. Bed mobility			
	E. Transfer			
	F. Walking			
	G. Dressing and/or	grooming		
	H. Eating and/or sv	wallowing		
	I. Amputation/pro	stheses care		
	J. Communication			
O0600. P	hysician Examinat	ions		
Enter Days				

Over the last 14 days, on how many days did the physician (or authorized assistant or practitioner) examine the resident?

Over the last 14 days, on how many days did the physician (or authorized assistant or practitioner) change the resident's orders?

00700. Physician Orders

Enter Days

Resident		ldentifier	Date
Section P	Restraints and Alar	ms	
P0100. Physical Restraints			
		cal device, material or equipment attach ovement or normal access to one's body	ed or adjacent to the resident's body that
		↓ Enter Codes in Boxes	
		Used in Bed	
		A. Bed rail	
		B. Trunk restraint	
Coding:		C. Limb restraint	
O. Not used Used less than daily		D. Other	
2. Used daily		Used in Chair or Out of Bed	
		E. Trunk restraint	
		F. Limb restraint	
		G. Chair prevents rising	
		H. Other	
Section Q	Participation in Ass	sessment and Goal Settin	ng
OOLOO Dantisination in Ass	sessment		
Q0100. Participation in Ass			
	ipated in assessment		
Enter Code A. Resident partici	pated in assessment		
Enter Code A. Resident partici 0. No 1. Yes B. Family or signifi	ipated in assessment icant other participated in asses	ssment	
Enter Code A. Resident partici 0. No 1. Yes B. Family or signifi 0. No 1. Yes	icant other participated in asses	ssment	
Enter Code A. Resident partici 0. No 1. Yes B. Family or signifi 0. No 1. Yes 9. Resident has	icant other participated in asses s no family or significant other		
Enter Code A. Resident partici 0. No 1. Yes B. Family or signifi 0. No 1. Yes 9. Resident has	icant other participated in asses		
Enter Code A. Resident partici 0. No 1. Yes B. Family or signifi 0. No 1. Yes 9. Resident has C. Guardian or legal 0. No 1. Yes	icant other participated in asses s no family or significant other ally authorized representative p	participated in assessment	
Enter Code Enter	icant other participated in asses s no family or significant other ally authorized representative p s no guardian or legally authoriz	participated in assessment	
Enter Code A. Resident partici 0. No 1. Yes B. Family or signifi 0. No 1. Yes 9. Resident has C. Guardian or legal 0. No 1. Yes	icant other participated in asses s no family or significant other ally authorized representative p s no guardian or legally authoriz	participated in assessment	
Enter Code A. Resident partici 0. No 1. Yes B. Family or signifi 0. No 1. Yes 9. Resident has C. Guardian or lega 0. No 1. Yes 9. Resident has Q0300. Resident's Overall II Complete only if A0310E = 1 Enter Code A. Select one for resident is a complete only if A0310E = 1	icant other participated in asses s no family or significant other ally authorized representative p s no guardian or legally authoriz Expectation esident's overall goal established	participated in assessment red representative	
Enter Code Enter Code Enter Code Enter Code Enter Code A. Resident partici 0. No 1. Yes B. Family or signifi 0. No 1. Yes 9. Resident has C. Guardian or legal 0. No 1. Yes 9. Resident has Q0300. Resident's Overall II Complete only if A0310E = 1 Enter Code A. Select one for real in Expects to be	icant other participated in asses s no family or significant other ally authorized representative p s no guardian or legally authoriz Expectation esident's overall goal established	participated in assessment red representative	
Enter Code A. Resident partici 0. No 1. Yes 9. Resident has C. Guardian or legal 0. No 1. Yes 9. Resident has Q. No 1. Yes 9. Resident has Complete only if A0310E = 1 Enter Code A. Select one for resident to be 2. Expects to be 3. Expects to be	icant other participated in asses s no family or significant other ally authorized representative p s no guardian or legally authoriz Expectation esident's overall goal established e discharged to the community main in this facility e discharged to another facility/i	participated in assessment red representative d during assessment process	
Enter Code A. Resident partici 0. No 1. Yes 9. Resident has C. Guardian or legal 0. No 1. Yes 9. Resident has Q0300. Resident's Overall E Complete only if A0310E = 1 Enter Code A. Select one for re 1. Expects to be 2. Expects to be 9. Unknown or	icant other participated in asses s no family or significant other ally authorized representative p s no guardian or legally authoriz Expectation esident's overall goal established e discharged to the community main in this facility e discharged to another facility/i	participated in assessment red representative d during assessment process	
Enter Code A. Resident partici 0. No 1. Yes 9. Resident has C. Guardian or legal 0. No 1. Yes 9. Resident has Q0300. Resident's Overall E Complete only if A0310E = 1 Enter Code A. Select one for re 1. Expects to be 2. Expects to be 9. Unknown or	icant other participated in asses s no family or significant other ally authorized representative p s no guardian or legally authoriz Expectation esident's overall goal established e discharged to the community main in this facility e discharged to another facility/i	participated in assessment red representative d during assessment process	
Enter Code A. Select one for real Expects to be 2. Expects to be 9. Unknown or 1. Resident 2. If not resident 2. If not resident 2. If not resident 2. If not resident 3. Expects to the 1. Resident 2. If not resident 3. Expects to the 3. Indicate inform 1. Resident 2. If not resident 3. Expects to the 3. Indicate inform 1. Resident 2. If not resident 3. Expects to the 3. Indicate inform 1. Resident 2. If not resident 3. Expects to the 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 3. Indicate	icant other participated in asses on family or significant other ally authorized representative p on guardian or legally authoriz Expectation esident's overall goal established of discharged to the community main in this facility of discharged to another facility/in uncertain lation source for Q0300A t, then family or significant othe	participated in assessment red representative d during assessment process institution	
Enter Code A. Select one for real Expects to be 2. Expects to be 9. Unknown or 1. Resident 2. If not resident 2. If not resident 2. If not resident 2. If not resident 3. Expects to the 1. Resident 2. If not resident 3. Expects to the 3. Indicate inform 1. Resident 2. If not resident 3. Expects to the 3. Indicate inform 1. Resident 2. If not resident 3. Expects to the 3. Indicate inform 1. Resident 2. If not resident 3. Expects to the 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 1. Resident 2. If not resident 3. Indicate inform 3. Indicate	icant other participated in asses on family or significant other ally authorized representative p on guardian or legally authorize Expectation esident's overall goal established of discharged to the community main in this facility of discharged to another facility/in uncertain lation source for Q0300A t, then family or significant othe t, family, or significant other, then	participated in assessment ged representative d during assessment process institution	entative
Enter Code B. Family or signification or legation. No 1. Yes 9. Resident has 9. Resident has 9. Resident has 1. Yes 9. Resident has 1. Expects to be 2. Expects to be 2. Expects to be 9. Unknown or 1. Resident 2. If not resident 3. If not resident 3. If not resident 1. Yes 1. In the control of the control	icant other participated in asses on family or significant other ally authorized representative p on guardian or legally authorize Expectation esident's overall goal established of discharged to the community main in this facility of discharged to another facility/in uncertain lation source for Q0300A t, then family or significant othe t, family, or significant other, then	participated in assessment red representative d during assessment process institution	sentative

1. **Yes** → Skip to Q0600, Referral

Resident			ldentifier	Date
Sectio	n Q	Participation in Asse	ssment and Goal	Setting
	Resident's Preferent only if A0310A = 02, 00	ce to Avoid Being Asked Ques 5, or 99	tion Q0500B	
Enter Code	Does the resident's 0. No 1. Yes → Skip to	•	st that this question be asl	red only on comprehensive assessments?
Q0500. F	Return to Commun	ty		
Enter Code	respond): "Do y o	ou want to talk to someone ab s in the community?"		representative if resident is unable to understand or aving this facility and returning to live and
Q0550. F	Resident's Preferen	ce to Avoid Being Asked Ques	tion Q0500B Again	
Enter Code	respond) want to assessments.)	be asked about returning to the ument in resident's clinical record a	community on <u>all</u> assessm	d representative if resident is unable to understand or ents? (Rather than only on comprehensive ct comprehensive assessment
Enter Code	 Resident If not resident 	tion source for Q0550A then family or significant other family or significant other, then gu bove	ardian or legally authoriz	ed representative
Q0600. F	Referral			
Enter Code	0. No - referral n	or may be needed (For more inform		

esident _			ldentifier	Date
Sectio	n X	Correction Req	uest	
dentifica section, re	ation of Record to be produce the informati	on EXACTLY as it appeared		ng assessment record that is in error. In this the information is incorrect.
X0150. T	Type of Provider (A	0200 on existing record	to be modified/inactivated)	
Enter Code	Type of provider 1. Nursing hom 2. Swing Bed	e (SNF/NF)		
X0200. N	Name of Resident (A	A0500 on existing record	d to be modified/inactivated)	
	A. First name: C. Last name:			
X0300. C	Gender (A0800 on ex	xisting record to be mod	dified/inactivated)	
Enter Code	1. Male 2. Female			
X0400. E	Birth Date (A0900 o	n existing record to be n	nodified/inactivated)	
	– Month	– Day Year		
X0500. S	Social Security Nun	nber (A0600A on existin	g record to be modified/inactivated)	
	_			
X0570. C	 	essment (A0300A on exi	sting record to be modified/inactivate	ed)
Enter Code	A. Is this assessmer 0. No 1. Yes	nt for state payment purp	ooses only?	
X0600. T	Type of Assessment	t (A0310 on existing reco	ord to be modified/inactivated)	
Enter Code	01. Admission a 02. Quarterly re 03. Annual asse 04. Significant o 05. Significant o	change in status assessme correction to prior compr correction to prior quarte	ent rehensive assessment	
Enter Code	01. 5-day sched <u>PPS</u> <u>Unschedule</u>	Assessment for a Medicar Juled assessment ed Assessment for a Medic Payment Assessment ment		
Enter Code	11. Discharge a 12. Death in fac 99. None of the	ng record ssessment- return not ant ssessment- return anticipa cility tracking record above	ated	
Enter Code	H. Is this a SNF Part 0. No 1. Yes	: A PPS Discharge Assessn	ment?	
				_

Resident			Identifier	Date
Section	n X	Correction Reque	st	
X0700. D	Pate on existing reco	ord to be modified/inactivat	ted - Complete one only	
	A. Assessment Refe	erence Date (A2300 on existing	g record to be modified/inactivated) -	Complete only if X0600F = 99
	_	_		
		Day Year		
	B. Discharge Date (A2000 on existing record to be	e modified/inactivated) - Complete or	ıly if X0600F = 10, 11, or 12
	_	_		
		Day Year	different (in a still stand). Communication and high	V0C00F 01
	C. Entry Date (A160	on existing record to be mod	dified/inactivated) - Complete only if 2	(0600F = 01
	_	_		
Couractio		Day Year	to avalain and attact to the modifi	fication (inactivation request
			to explain and attest to the modif	ication/mactivation request
X0800. C	orrection Number			
Enter Number	Enter the number of	f correction requests to mod	ify/inactivate the existing record, ir	icluding the present one
X0900. R	easons for Modific	ation - Complete only if Ty	pe of Record is to modify a record	in error (A0050 = 2)
↓ Che	ck all that apply			
A. Transcription error				
	B. Data entry error			
	C. Software produc	ct error		
	D. Item coding erro			
	Z. Other error requ If "Other" checked			
X1050. R	easons for Inactiva	ation - Complete only if Typ	pe of Record is to inactivate a reco	rd in error (A0050 = 3)
↓ Che	ck all that apply			
	A. Event did not oc	cur		
	Z. Other error requ If "Other" checked	iring inactivation d, please specify:		
X1100. R	N Assessment Coo	rdinator Attestation of Co	ompletion	
	A. Attesting individ	dual's first name:		
	B. Attesting individ	dual's last name:		
	C. Attesting individ	dual's title:		
	D. Signature			
	E. Attestation date _	_		
	Month	Day Year		

Resident		ldentifier	Date
Section Z	Assessment Ac	lministration	
Z0100. Medicare Part A	Billing		
A. Medicare Pa B. Version code			
Z0200. State Medicaid E	Billing (if required by the	state)	
A. Case Mix gro			
Z0250. Alternate State	Medicaid Billing (if requir	ed by the state)	
A. Case Mix gro			
Z0300. Insurance Billing	I		
A. Billing code:			

B. Billing version:

sident		Identifier	Date _	
Section Z	Assessment Adn	ninistration		
20400. Signature of P	ersons Completing the Asses:	sment or Entry/Death Reporting		
I certify that the accompanying information accurately ref collection of this information on the dates specified. To the Medicare and Medicaid requirements. I understand that the care, and as a basis for payment from federal funds. I furth government-funded health care programs is conditioned or may subject my organization to substantial criminal, civil authorized to submit this information by this facility on its		ne best of my knowledge, this informati his information is used as a basis for en- ner understand that payment of such fe on the accuracy and truthfulness of this ril, and/or administrative penalties for s	ion was collected in accordance suring that residents receive ap ederal funds and continued part s information, and that I may be	with applicable propriate and quality icipation in the personally subject to
	Signature	Title	Sections	Date Section Completed
A.				33p.
B.				
C.				
D.				
E.				
F.				
G.				
H.				
I.				
J.				
K.				
L.				

A. Signature:		B. Date RN Assessment Coordinator signed assessment as complete:		
	_	_		
	Month	Day	Year	

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