CJ-8

OMB No. XXXX-XXXX: Approval Expires XX/XX/XXXX

RETURN TO
RTI International
BJS Annual P/P Survey
ATTN: Alissa Chambers
3040 Cornwallis Road • PO Box 12194
Research Triangle Park, NC 27709-2194

FORM **CJ-8**

U.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS

2017 ANNUAL PROBATION SURVEY

PLEASE ENTER THE CONTACT INFORMATION FOR THE PERSON FILLING OUT THIS FORM

Name	Title		
Address 1			
Address 2			
City	State	Zip code	

TELEPHONE	Area code	Number	Extension	FAX NUMBER	Area code	Number
EMAIL ADDRESS						

AGENCY UID

GENERAL INFORMATION

- If you have any questions, contact **Alissa Chambers** of RTI International at **1-866-334-4175** or **bjs-aps-help@rti.org**.
- Please complete the questionnaire before **February 28, 2018** using the web-reporting option at **www.bjs-aps.org**, by mailing the complete questionnaire to **RTI International** at the address above, or by **faxing** all pages toll-free to **1-866-509-7471.**

Who is covered by this survey?

- **INCLUDE** all adults regardless of conviction status, who have been placed under the supervision of a probation agency as part of a court order. (Adults are persons subject to the jurisdiction of an adult court or correctional agency.)
- **INCLUDE** adult probationers legally your responsibility but supervised outside your jurisdiction, such as through an interstate compact agreement.
- **INCLUDE** adult probationers contracted out to private agencies.
- **INCLUDE** adult probationers on active supervision, including those who report electronically, or inactive supervision or in a residential/other treatment program but not in regular contact with a probation authority.
- **INCLUDE** absconders who have not been discharged from probation.
- **INCLUDE** adult probationers who may be under local jurisdiction but not reported separately by another agency.

Who is not covered by this survey?

- **EXCLUDE** juveniles (persons under the jurisdiction of a juvenile court or agency).
- **EXCLUDE** interstate compact cases supervised by your jurisdiction for another state.
- **EXCLUDE** adult probationers supervised by your jurisdiction but legally the responsibility of another jurisdiction.

Burden statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection is estimated to average 1.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0064, Washington, DC 20503.

INSTRUCTIONS

- **Please provide a response to each question. Blanks will be interpreted as "unknown" ("DK").**
- If the answer to a question is "**none**" or "**zero**," write "**0**" in the space provided.
- If the answer to a question is "**unknown**," write "**DK**" in the space provided.
- If the answer to a question is "**not applicable**," write "**NA**" in the space provided.
- When an exact numeric answer is not available, provide an **estimate** and mark (**X**) in the box beside each figure.
  For example **1,000** ☒ **.**

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Your agency's adult probation population on December 31, 2016 .....

1. On January 1, 2017, what was your agency's adult probation population?

Population on January 1, 2017.....

2. Between January 1, 2017, and December 31, 2017, how many adults entered probation by —

a. Probation without incarceration .....

b. Probation with incarceration.....

c. Other – *Please describe*

d. Not known.....

e. Total entries *(Should equal the sum of items 2a through 2d.)*.....

3. Between January 1, 2017, and December 31, 2017, how many adult probationers were discharged from supervision for the following reasons —

a. Completions .....

b. Incarcerated —

1) With new sentence .....

2) With revocation, without new sentence .....

3) To receive treatment.....

4) Other – *Please describe*

5) Not known .....

c. Absconder .....

d. Discharged to a warrant or detainer.....

e. Transferred to another probation agency .....

f. Death .....

g. Other discharges – *Please describe each*

1) Other completions

2) Unsatisfactory

3) Other

h. Not known.....

i. Total discharges *(Should equal the sum of items 3a through 3h.)*.....

4. On December 31, 2017, what was your agency's adult probation population?
(Should equal Question 1 plus item 2e minus item 3i.)

Population on December 31, 2017 .....

ITEMS AND DEFINITIONS

1. Include adult probationers who have been placed under the supervision of a probation agency as part of a court order, regardless of conviction status.

2. Individuals entering probation more than once during the year should be counted each time (e.g., entered, discharged, and re-entered should be counted as two entries). Individuals who enter probation and, without being discharged, are placed on probation for a second offense, should be counted as one entry.

a. Probation without incarceration is a sentence to probation with no jail or prison term.

b. Probation with incarceration is a sentence to probation with a term of incarceration in jail or prison (e.g., those individuals who entered probation from a correctional facility). Include all split sentences to probation and incarceration.

3. Individuals exiting probation more than once during the year should be counted each time (e.g., individuals who are discharged from all probation supervision, re-enter probation, and are fully discharged again, should be counted as two discharges).

a. Completions are probationers who completed their sentence and were discharged or who were discharged early.

b. 1) All probationers and returned absconders removed from probation supervision because of incarceration due to a sentence for a new offense.

2) All probationers and returned absconders whose probation was revoked (e.g., violating a condition of their probation) and who were incarcerated under their current sentence.

3) Probationers incarcerated in order to receive any type of treatment.

4) Probationers incarcerated pending a revocation, trial, sentencing, or others.

5) Probationers who have been incarcerated, for whom the reason was unknown.

c. Discharged probationers who had failed to report and could not be located.

e. Probationers transferred to another agency if they are no longer in your record system.

g. Specify type of discharge within the categories of other completions, unsatisfactory, and other.

1) Other completions are those which did not fully meet the definition of item 3a.

2) Unsatisfactory discharges are those probationers who did not fulfill all conditions of their supervision or violated the conditions of their supervision but were not incarcerated (item 3b) or discharged to a warrant or detainer (item 3d). Exclude absconders (item 3c).

4. The count of adult probationers at yearend 2017. This total should equal the population on January 1, 2017, plus the total entering probation in 2017, minus the total discharged from probation in 2017. (See cover page for persons to INCLUDE and EXCLUDE.)

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5. Does the total probation population on December 31, 2017 (reported in Question 4) represent a count of individuals or cases? *(Please mark (X) in one box.)*

1 ☐ Individuals
2 ☐ Cases

6. On December 31, 2017, how many adult probationers in your jurisdiction were —

a. **Male** ..... ☐
b. **Female** ..... ☐
c. **Not known** ..... ☐
d. **Total** *(Sum of items 6a through 6c should equal Question 4.)* ..... ☐

7. On December 31, 2017, how many adult probationers in your jurisdiction were — *(See race/ethnicity definitions on Page 5.)*

a. **White** (not of Hispanic origin) ..... ☐
b. **Black or African American** (not of Hispanic origin) ..... ☐
c. **Hispanic or Latino** ..... ☐
d. **American Indian/Alaska Native** (not of Hispanic origin) ..... ☐
e. **Asian** (not of Hispanic origin) ..... ☐
f. **Native Hawaiian/Other Pacific Islander** (not of Hispanic origin) ..... ☐
g. **Two or more races** (not of Hispanic origin) ..... ☐
h. **Additional categories in your information system –** *Please describe* ☐
i. **Not known** ..... ☐
j. **Total** *(Sum of items 7a through 7i should equal Question 4.)* ..... ☐

8. On December 31, 2017, how many adult probationers had as their most serious offense —

a. **Felony** ..... ☐
b. **Misdemeanor** ..... ☐
c. **Other –** *Please describe* ☐
d. **Not known** ..... ☐
e. **Total** *(Sum of items 8a through 8d should equal Question 4.)* ..... ☐

9. On December 31, 2017, how many adult probationers (reported in Question 4) regardless of conviction status, had as their most serious offense —

a. **Domestic violence** (Include abuse, cruelty, abandonment, or threat to a spouse, intimate, or dependent child. Exclude offenses reported in items 9b and 9c.) ..... ☐
b. **Sex offense** (Include any forcible or nonforcible sex act. Exclude prostitution, commercialized vice, and offenses reported in items 9a and 9c.) ..... ☐
c. **Other violent offense** (Include murder, manslaughter, robbery, kidnapping, assault, and other violent offenses. Exclude offenses reported in items 9a and 9b.) ..... ☐
d. **Property offense** (Include burglary, larceny, motor vehicle theft, fraud, and other property offenses.) ..... ☐
e. **Drug law violation** (Include unlawful possession, sale, use, distribution, importation, growing, or manufacturing of narcotic drugs.) ..... ☐
f. **Driving while intoxicated or under the influence of alcohol or drugs** ..... ☐
g. **Other traffic offense** (Exclude offenses reported in item 9f.) ..... ☐
h. **Other offense –** *Please describe* ☐
i. **Not known** ..... ☐
j. **Total** *(Sum of items 9a through 9i should equal Question 4.)* ..... ☐

10. On December 31, 2017, were any adult probationers legally your responsibility being supervised by another state through an interstate compact agreement?

- Include probationers SENT to another state.

1 ☐ Yes —
a. How many adult probationers legally your responsibility were supervised by another state? ..... ☐
b. Are these probationers included in Question 4? ..... 1 ☐ Yes 2 ☐ No
2 ☐ No

11. Does the total probation population on December 31, 2017 (reported in Question 4) include interstate compact cases supervised by your agency for another state that could not be excluded from the total?

1 ☐ Yes —
a. How many adult probationers were being supervised by your agency for another state that could not be excluded from the total? ..... ☐
2 ☐ No

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12. Would your agency be able to report the number of probationers who had previously served a sentence to prison for the same offense for which they were on probation on December 31, 2017?

1 ☐ Yes
2 ☐ No

13. Does the total probation population on December 31, 2017 (reported in Question 4) include any persons who were also under parole supervision, or who were held in jail, prison, a community-based correctional facility, or a U.S. Bureau of Immigration and Customs Enforcement (ICE) holding facility?

1 ☐ **Yes —**

a. **How many were also on parole supervision?** ..... _____ ☐

b. **How many were in local jails?** (Include evening confinements.) .... _____ ☐

c. **How many were in a state or federal prison?** ..... _____ ☐

d. **How many were in a community-based correctional facility?** ..... _____ ☐

e. **How many were in an ICE holding facility?** ..... _____ ☐

2 ☐ **No**

14. Of those on probation on December 31, 2017 (reported in Question 4), how many were placed on probation supervision through —

a. **Direct sentence to probation** ..... _____ ☐

b. **Split sentence** (Incarceration combined with probation) ..... _____ ☐

c. **Suspended execution of sentence to incarceration** (Incarceration sentence imposed and then suspended)..... _____ ☐

d. **Suspended imposition of sentence** (Persons not fully adjudicated, e.g., probation before verdict.)..... _____ ☐

e. **Other –** *Please describe* ↙

_____ _____ ☐

f. **Not known**..... _____ ☐

g. **Total** *(Sum of items 14a through 14f should equal Question 4.)* ..... _____ ☐

15. On December 31, 2017, how many adult probationers supervised by your agency had a status of —

- Exclude probationers supervised by your jurisdiction for another state through an interstate compact agreement or by your jurisdiction but legally the responsibility of another jurisdiction.

a. **Active** (Those required to regularly contact a probation authority in person, by mail, by telephone, or electronically. Exclude those reported in items 15b-15g.)..... _____ ☐

b. **In residential/other treatment program** (Include those on probation who were reporting to a treatment program, but not in regular contact with a probation authority. Exclude those reported in items 15a and 15c-15g.)..... _____ ☐

c. **Only have financial conditions remaining** (Exclude those reported in items 15a,15b, and 15d-15g.) ..... _____ ☐

d. **Inactive** (Exclude those reported in items 15a-15c and 15e-15g.)..... _____ ☐

e. **Absconder** (Include those still on probation but who have failed to report and cannot be located. Exclude those reported in items15a-15d, 15f, and 15g.) ..... _____ ☐

f. **Warrant status** (Exclude those reported in items 15a-15e and 15g.)..... _____ ☐

g. **Supervised out of jurisdiction** (Include active and inactive probationers under your jurisdiction who are supervised by authorities of another jurisdiction. Exclude those reported in items15a-15f.)..... _____ ☐

h. **Other –** *Please describe* ↙

_____ _____ ☐

i. **Not known**..... _____ ☐

j. **Total** *(Sum of items 15a through 15i should equal Question 4.)* ..... _____ ☐

16. Does the total probation population on December 31, 2017 (reported in Question 4) include any probationers who had their location tracked through a Global Positioning System (GPS), either directly by your agency or through a contract?

1 ☐ Yes —

a. How many adult probationers were tracked using GPS? ..... _____ ☐

b. How many of the probationers reported in item 16a (above) were sex offenders?..... _____ ☐

2 ☐ No

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Notes and comments – *(Please attach additional notes if necessary. Please explain changes in how data are reported compared with last year.)*

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Race/ethnicity definitions for Question 7.

a. **White.** A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

b. **Black or African American.** A person having origins in any of the black racial groups of Africa.

c. **Hispanic or Latino.** A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.

d. **American Indian or Alaska Native.** A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.

e. **Asian.** A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

f. **Native Hawaiian or Other Pacific Islander.** A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.