# SUPPORTING STATEMENT CW-1 APPLICATION FOR TEMPORARY EMPLOYMENT CERTIFICATION OMB Control No. 1205-0534

This Information Collection Request (ICR) seeks to extend the approval under the Paperwork Reduction Act (PRA) for OMB Control Number 1205-0534, which covers information collection tools necessitated by a statutory requirement for a CW-1 temporary labor certification. More specifically, the Department of Labor (DOL or Department) created Form ETA-9142C, *CW-1 Application for Temporary Employment Certification*, and appendices, and Form ETA-9141C, *Application for Prevailing Wage Determination*, to carry out the responsibilities created for the Department under the Northern Mariana Islands U.S. Workforce Act of 2018 (Pub. L. 115-218).

The Department also created the following Form ETA-9142C appendices to standardize the collection of information under CW-1 information collection requirements:

- Appendix A: *Employer-Client Information*, which requires employers operating as job contractors to disclose the name and contact information of their employer-client(s);
- Appendix B: *Additional Worksite and Wage Information*, which requires employers to disclose multiple worksites on a single application and wage offers within the Commonwealth of the Northern Mariana Islands (CNMI or Commonwealth); and
- Appendix C: *Attorney/Agent/Employer Declarations*, which requires an employer's attorneys or agents to attest to compliance with all terms, assurances, and obligations of the CW-1 program.

In addition, the Department created Form ETA-9142C, *Final Determination: CW-1 Temporary Labor Certification Approval* that is issued to employers electronically. The Department issues this document to employers granted temporary labor certification under the CW-1 program.

The ICR was originally submitted under the emergency processing provisions outlined at 5 CFR 1320.13, and the Department requested the maximum six-month approval. Because this ICR relates to an Interim Final Rule (IFR) that the Northern Mariana Islands U.S. Workforce Act of 2018 required to be promulgated on an expedited basis, there was no opportunity to engage in normal clearance activities. Public harm would have resulted by a failure timely to enact the information collection, because employers and jobseekers would not have the protections afforded by the Workforce Act.

In accordance with the PRA, the Department afforded the public notice and an opportunity to comment on these new information collection tools that are related to the CW-1 program, and that are necessary to implement the requirements of the IFR. The information collection activities covered by this new OMB Control Number 1205-0534 include forms and recordkeeping requirements, on which the Department relies for determining prevailing wages and issuing temporary labor certifications (TLCs) in connection with the CW-1 program. Additionally, these information collection tools permit employers to assure compliance with respect to the minimum terms and conditions associated with the Prevailing Wage

Determinations and TLC processes, which include the rights and obligations of CW-1 workers and workers in corresponding employment, in addition to information regarding recordkeeping requirements associated with the CW-1 program. Specifically, ETA has created new Form ETA-9141C, *Application for Prevailing Wage Determination*, and new Form ETA-9142C, *CW-1 Application for Temporary Employment Certification*.

#### A. Justification

A.1 Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

On July 24, 2018, President Donald J. Trump signed the Northern Mariana Islands U.S. Workforce Act of 2018 (the Workforce Act). See Pub. L. 115-218, 132 Stat. 1547 (July 24, 2018), amending 48 U.S.C. 1806. The Workforce Act extends the CW-1 program, a transitional visa program, through 2029 and requires that a CW-1 petition for temporary employment filed with the Department of Homeland Security (DHS) be accompanied by an approved temporary labor certification from DOL. See Pub. L. 115-218, sec. 3, 48 U.S.C. 1806. The purpose of the Workforce Act is to encourage the hiring of U.S. workers in the CNMI workforce, and ensure that no U.S. worker is placed at a competitive disadvantage compared to a non-U.S. worker or is displaced by a non-U.S. worker. Pub. L. 115-218, sec. 2. Thus, the Workforce Act provides that a petition to import a nonimmigrant worker under the CW-1 visa classification may not be approved by DHS unless the employer has received a temporary labor certification from DOL confirming that (1) there are not sufficient U.S. workers in the CNMI who are able, willing, qualified, and available at the time and place needed to perform the services or labor involved in the petition; and (2) the employment of a nonimmigrant worker who is the subject of a petition will not adversely affect the wages and working conditions of similarly employed U.S. workers. 48 U.S.C. 1806(d)(2)(A).

This ICR was created in connection with the Department's IFR implementing the labor certification requirement. The Workforce Act requires the Secretary of Labor (Secretary) to promulgate an IFR implementing the CW-1 temporary labor certification and related provisions no later than 180 days from the date of enactment. See Pub. L. 115-218, sec. 3(b)(2). In compliance with this requirement, the Department published an IFR on April 1, 2019, informing program users of the Department's statutory authority for the CW-1 temporary labor certification process, and the scope of the Department's role in receiving, reviewing, and adjudicating applications for temporary labor certification, and upholding the integrity of *CW-1 Applications for Temporary Employment Certification*.

It is through the regulatory provisions set forth in the IFR that the Secretary makes the statutory determination that: (1) there are not sufficient U.S. workers in the Commonwealth who are able, willing, and qualified, and who will be available at the time and place needed to perform the services or labor for which an employer desires to import foreign workers; and (2) the employment of the CW-1 worker(s) will not adversely affect the wages and working conditions of U.S. workers similarly employed. Additionally, the IFR sets forth the Secretary's authority to promulgate minimum standards and obligations with respect to the terms and conditions of the

temporary labor certification with which CW-1 employers must comply, as well as the rights and obligations of CW-1 workers and workers in corresponding employment.

The information contained in Form ETA-9141C is the basis for the Secretary's determination of the prevailing wage that employers must pay in the hiring of a foreign worker, to protect against any adverse effect on U.S. workers' wages. Prior to submitting requests to the Secretary for CW-1 labor certification, employers must obtain a prevailing wage for the job opportunity based on the place of employment. Form ETA-9141C is used to collect, from CNMI employers, the information necessary for the Department to issue a prevailing wage for the occupation and location of the job offer.

As provided in the Department's regulations at 20 CFR part 655, subpart E, the information contained in new Form ETA-9142C, *CW-1 Application for Temporary Employment Certification*, and all appropriate appendices, constitutes the basis for DOL's determination that an insufficient number of qualified U.S. workers are available to fill the employer's job opportunity and that the wages and working conditions of similarly employed U.S. workers will not be adversely affected by the employment of CW-1 workers. DOL must make this determination before a CW-1 petition may be approved by DHS.

On April 1, 2019, the Department sought public comments until May 31, 2019, on this ICR covered under OMB Control Number 1205-0534, containing Form ETA-9142C, *CW-1 Application for Temporary Employment Certification* and its appendices, as well as Form ETA-9141C, *Application for Prevailing Wage Determination*, by including a request for comments in the IFR published at 84 FR 12380.

**Statutory Authority**: 48 U.S.C. 1806

**Regulatory Authority**: 20 C.F.R. 655, subpart E

A.2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

The ETA Office of Foreign Labor Certification (OFLC) will use this information collection to meet its statutory and regulatory responsibilities for administering the CW-1 temporary labor certification program. An employer seeking to employ CW-1 workers files an *Application for Prevailing Wage Determination* (Form ETA-9141C) and obtains a prevailing wage determination from OFLC's National Prevailing Wage Center prior to filing a temporary labor certification application. The employer then files a completed *CW-1 Application for Temporary Employment Certification* (Form ETA-9142C), including all appropriate appendices, including a valid *Application for Prevailing Wage Determination* case number, with the OFLC Chicago National Processing Center (Chicago NPC). These forms and all supporting documentation constitute the CW-1 application submitted by an employer to secure a temporary labor certification from OFLC.

OFLC reviews an application submitted by an employer requesting temporary labor certification for compliance with all applicable program requirements and issues either a Notice of Deficiency

(NOD) or Notice of Acceptance (NOA) on the application. Where deficiencies in the application are discovered, the NOD provides the employer with ten (10) business days to correct the deficiencies. OFLC may issue one or more additional NODs before issuing a decision. Where all program requirements are met, the NOA authorizes the recruitment of U.S. workers in the CNMI for the employer's job opportunity and requires the employer to provide a written report of its recruitment efforts to the Chicago NPC.

Upon review of the recruitment report, OFLC may grant a full or partial certification determination or deny the employer's CW-1 application. In determining whether there are insufficient U.S. workers in the CNMI to fill the employer's job opportunity, OFLC will count as available any U.S. worker who applied (or on whose behalf an application is made) directly to the employer, but who was rejected by the employer for other than a lawful job-related reason. Thus, a partial certification granted by OFLC reduces the number of CW-1 workers the employer initially requested by each U.S. worker who is qualified and available to perform the job (or reduces the period of need based on information received during the course of processing). OFLC will grant a temporary labor certification only after the employer's CW-1 application has met all the requirements for approving a labor certification under 20 CFR part 655, subpart E. OFLC sends electronic certifications of CW-1 applications to the employer, and its attorney or agent, if applicable; unless the employer files by mail, in which case, OFLC sends the certified application to the employer, and its agent, by first-class mail.

The *CW-1* Application for Temporary Employment Certification and all supporting documentation must be retained by the employer for three years from either: the date the certification expires (for approved applications); the determination date (for denied applications); or the date OFLC received the employer's withdrawal request (for withdrawn applications). Employers must be prepared to produce all information and records contained in this ICR for DOL or other federal agencies in the event of an audit examination, investigation, or other enforcement proceeding in the CW-1 program.

Specifically, OFLC will use the information collections in the manner described below:

#### Form ETA-9142C, CW-1 Application for Temporary Employment Certification

An employer must include on the main Form ETA-9142C basic information related to its business for the purpose of determining whether the establishment operating in the CNMI is *bona fide*; contact information of an employee of the employer who is authorized to act on behalf of the employer in labor certification matters; and, if applicable, contact information of an attorney or agent who is authorized to act on behalf of the employer in labor certification matters. Because the Department sends and receives communications during the course of processing an employer's application, Form ETA-9142C requires the entry of a valid email address from both the employer contact and, if applicable, the employer's authorized attorney or agent. For an employer who is represented in the filing of the application by an agent, the form collects information to assess whether the agent has provided DOL with a current agreement or other documentation demonstrating the agent's authority to represent the employer.

Form ETA-9142C also collects basic information related to the employer's job opportunity and need for CW-1 workers, including the job title and occupational classification, number of

workers, period of intended employment, services or labor to be performed, and minimum job requirements. To ensure no adverse effect on the wages of similarly employed U.S. workers and that all work expected to be performed by CW-1 workers will be located within the Commonwealth, the form collects information on all places of employment (i.e., worksites) and the wage rates to be paid to workers at those worksites, the latter of which allows OFLC to compare the reported wage rates with the prevailing wage rates obtained by the employer for those worksites.

## Form ETA-9142C, Appendix A - Employer-Client Information for Job Contractors

The Department's regulations require an employer filing as a job contractor and acting as a joint employer with its employer-client to submit a single application. In filing the application, the job contractor must disclose the identity and contact information of its employer-client by completing *Appendix A*. Information in *Appendix A* will permit OFLC to verify whether the executed contracts or other agreements establishing the joint-employer relationship of the workers sought under the application are bona fide, and ensure that all advertising requirements unique to job contractors under the regulations are met. Additionally, the collection of this information will be used in post-adjudication audit examinations and/or program integrity proceedings (e.g., debarment actions).

# Form ETA-9142C, Appendix B - Additional Place(s) of Employment and Wage Information

In circumstances where work needs to be performed at worksite locations other than the primary one identified on main Form ETA-9142C, the employer must complete *Appendix B* identifying all places of employment and details about the wage offers for each of those places of employment. OFLC uses information on *Appendix B* to ensure all places of employment are located within the Commonwealth and that the employer is offering wages that are at least equal to the prevailing wage covering each place of employment. Additionally, the collection of this information will be used in post-adjudication audit examinations and/or program integrity proceedings (e.g., debarment actions).

#### Form ETA-9142C, Appendix C - Attorney/Agent/Employer Declarations

To obtain a temporary labor certification, the Department's regulations require an employer, and its attorneys or agents if applicable, to submit a completed *Appendix C* that attests to compliance with all terms, assurances, and obligations of the CW-1 program. For an employer operating as a job contractor seeking temporary labor certification, Form ETA-9142C requires disclosure and submission of a signed and dated *Appendix C* completed by the employer-client. Additionally, the collection of this information will be used in post-adjudication audit examinations and/or program integrity proceedings (e.g., debarment actions).

### Form ETA-9142C, Final Determination: CW-1 Temporary Labor Certification Approval

Where the employer's application has met all the regulatory requirements, the Department will complete and electronically send to the employer and, if applicable, the employer's authorized attorney or agent, Form ETA-9142C, *Final Determination: CW-1 Temporary Labor Certification*. This one-page certification form provides the official certification that a sufficient

number of qualified U.S. workers have not been identified as being available at the time and place needed to fill the job opportunities for which certification is sought, and the employment of the CW-1 temporary workers in such labor or services will not adversely affect the wages and working conditions of U.S. workers similarly employed. The employer will use Form ETA-9142C, *Final Determination: CW-1 Temporary Labor Certification*, as well as any other required documentation to support the filing of a CW-1 petition with DHS's United States Citizenship and Immigration Services (USCIS).

A.3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also, describe any consideration of using information technology to reduce burden.

Pursuant to 20 CFR 655.420(c), an employer or, if applicable, the employer's agent or attorney must submit to the NPC a completed Form ETA-9142C and all required supporting documentation to the NPC using an electronic method(s) designated by the OFLC Administrator. Unless the employer is unable to electronically file due to a disability or inadequate access to electronic filing (e-filing) under paragraph 655.420(c)(2), the NPC will return, without review, any *CW-1 Application for Temporary Employment Certification* submitted using a method other than the electronic method(s) designated by the OFLC Administrator.

To implement the e-filing requirement, employers or, if applicable, their agents or attorneys will prepare and submit *CW-1 Applications for Temporary Employment Certification* using OFLC's Foreign Labor Application Gateway (FLAG) System. In circumstances where the application is filed using the traditional paper-based method, OFLC staff will manually enter the data and information contained on the paper application into the FLAG System's internal case management system for processing in a similar manner as those filed electronically.

The FLAG System permits an employer or, if applicable, its authorized attorney or agent to efficiently prepare and submit CW-1 applications for processing by OFLC. During the preparation of applications, the FLAG System provides employers with a series of electronic data checks and prompts to ensure each required field is completed and values entered on the form are valid and consistent with regulatory requirements. The OFLC website and the FLAG System's CW-1 Case Preparation Module include detailed instructions designed to help employers understand what each form collection item means and what kinds of entries are required. Where it is not practical to collect supporting documentation using a standard OMB-approved appendix, the FLAG System permits an employer to upload documentation supporting the application in an acceptable digitized format (e.g., Adobe PDF, Microsoft Word, .TXT).

When the employer or, if applicable, its authorized attorney or agent initially enters contact information and establishes a FLAG System Account, the CW-1 Case Preparation Module automatically pre-populates all contact information on the draft Form ETA 9141C and Form ETA-9142C, significantly reducing the time and burden for repeated online data entry. This reduces the time and burden for online data entry, particularly for those employers who need to access the program to hire nonimmigrant workers that predictably recur each year. OFLC's experience is that the submission of all required documentation at the time of filing the

application facilitates a more efficient and consistent review of the employer's application, and reduces the incidence of OFLC returning the incomplete application without further review or issuing a NOD to request missing documentation or corrections for obvious errors or inaccuracies.

In compliance with the Government Paperwork Elimination Act, ETA will continue to make all forms and appendices approved under this ICR easily accessible on both the FLAG System (https://flag.dol.gov/) and OFLC website (https://www.foreignlaborcert.doleta.gov/form.cfm) and electronically fillable and fileable. However, the Form ETA-9142C, *Final Determination: CW-1 Temporary Labor Certification Approval* will not be made available in an electronically fillable format, because it is for the Department's use only and not to be completed by the employer, or its authorized attorney or agent. Where the employer's application has met all regulatory requirements, the FLAG System will release the one-page Form ETA-9142C, *Final Determination: CW-1 Temporary Labor Certification Approval*, to the employer and, if applicable, its authorized attorney or agent by email and pre-populate it with key information reflecting OFLC's decision to grant approval of the employer's request for temporary labor certification. The employer will download, print, and submit Form ETA-9142C, *Final Determination: CW-1 Temporary Labor Certification Approval*, along with any other required documentation to USCIS to support the filing of a CW-1 petition.

A.4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

The information requested on Form ETA-9142C and accompanying appendices, as well as Form ETA-9141C, is sufficiently diverse to avoid duplication of activities within the Department for the CW-1 program. The information collections covered by this ICR apply only to entities seeking CW-1 workers; consequently, there is no duplication of information collection requirements.

A.5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.

The information collected under this ICR is required of small businesses who wish to employ temporary nonimmigrant workers under the CW-1 visa classification. The Department cannot make any exemptions or eliminate forms for small businesses; the statute does not create such an exemption, and the Department's regulations, in turn, require all employers seeking temporary labor certification to make the necessary attestations and provide the information requested. This collection is not disproportionately more burdensome for small entities than large ones; the forms and accompanying appendices are easy to understand and provide all of the necessary attestations and assurances, so that the employer does not need to find the appropriate law or regulation to know how to request a temporary labor certification. It is not possible to reduce the burden on small entities by shortening the forms, because the forms collect from all employers the minimum information needed to determine program eligibility and issue a labor certification. The use of electronic filing and collection of business email addresses on the forms will serve to minimize the burden on respondents by increasing the completeness and quality of applications received and enhancing electronic communications during the application review process. Any

recordkeeping requirements largely involve information that already exists in payroll and other records kept by most employers for other purposes.

A.6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

The information collected under this ICR must be provided at the time an employer requests a prevailing wage determination (e.g., when requesting a prevailing wage based on a Governor-reported survey) or temporary labor certification to employ nonimmigrant workers under the CW-1 visa classification. The Department would be in direct violation of its statutory and regulatory mandates if this information were not collected. The information must be collected to enable the Department to meet its obligation to determine: (1) whether an insufficient number of qualified U.S. workers in the CNMI are available to fill the employer's job opportunity; and (2) that the wages and working conditions of similarly employed U.S. workers will not be adversely affected by the employment of CW-1 workers.

A.7. Explain any special circumstances that would cause an information collection to be conducted in a manner that requires further explanation pursuant to regulations 5 CFR 1320.5.

There are no special circumstances that would require the information to be collected or kept in a manner that requires further explanation pursuant to the regulations set forth at 5 CFR 1320.5(d) (2).

A.8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years—even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.

When this ICR was initially created, it was submitted to OMB to support the above-referenced IFR; consequently, at the time, there was no opportunity to obtain public comments prior to this submission. This ICR was submitted to OMB using emergency processing procedures outlined in 5 CFR 1320.13.

In accordance with the Paperwork Reduction Act of 1995 (PRA), 44 U.S.C. 3501 *et seq.*, the public was offered 60 days to comment on this information collection in connection with the

Department's IFR. The Department did not receive substantive comments in connection with the 60-day notice that was published, within the IFR, on April 1, 2019. Subsequently, the Department is now providing the public with an additional 30 days to submit comments to OMB when the ICR is subsequently submitted for review.

A.9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

No payments or gifts will be made to respondents in exchange for the information provided through these information collection tools.

A.10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.

No assurances of confidentiality are provided.

A.11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

The information collections do not involve sensitive matters.

A.12. Provide estimates of the hour burden of the collection of information.

Based on USCIS' previous program and filing experience with the CW-1 visa, the Department continues to estimate it will receive, on average, approximately 8,303¹ Form ETA-9142C submissions annually, for the CW-1 program. The estimated Time Reporting Burden per CW-1 Application for Form ETA-9142C is 45 minutes, excluding appendices and recordkeeping requirements. While actions associated with the form collection vary depending on the nature and complexity of the employer's job opportunity and need for temporary nonimmigrant workers, the estimated average hourly reporting burden includes those elements that are common to the majority of applications.

#### I. Hourly Burden Estimates

<sup>1</sup> To estimate the number of CW-1 employers, the Department identified the total number of unique employers in the USCIS beneficiary data over the FY 2012-2018 period, which was 2,404 employers. Then, the Department calculated the ratio of projected CW-1 workers to employers for FY 2019, which is 13,000 (the maximum number of CW-1 work permits the statute allows DHS to issue in FY 2019) divided by 2,404, or 5.4. Next, the Department divided the numerical cap of CW-1 permits for FY 2020 and, separately, for FY 2021 by 5.4 to project the number of CW-1 employers that will petition DHS for CW-1 permits in those fiscal years.. The numerical cap for FY 2020 is 12,500, so the projected number of CW-1 employers in FY 2020 is 2,315 (=12,500÷5.4). The numerical cap for FY 2021 is 12,000, so the projected number of CW-1 employers in FY 2021 is 2,222 (=12,000÷5.4). So, the estimated average number of unique employers over the three fiscal years (2019, 2020, 2021) is 2,314. Also see the Appendix to this supporting statement and the economic analysis contained in the IFR, for further information on how the agency projected this figures.

# A. Agents and Recruiters

- 1. <u>Proof of Agent Relationship (20 CFR 655.404)</u>. The regulations require all agents (including attorneys) who file CW-1 applications on behalf of employers to demonstrate that a bona fide relationship exists between them and the employer. The Department is not requiring any specific form to document the relationship and will accept a copy of the agent agreement or other document demonstrating the agent's authority to act on behalf of the employer. We estimate that it takes 30 minutes to write, print, sign, and deliver a letter confirming the relationship. Based on the number of unique employers in the USCIS beneficiary data over the FY 2012-2018 period, the Department estimates there will be an average of 2,314 CW-1 employers per year over the FY 2019-2021 period. We also estimate that approximately 25 percent of those employers are represented by agents. Therefore, we estimate that 579 letters (25 percent of 2,314 employers) will need to be created between agents or attorneys and their clients and then copied and attached to applications, for an hourly burden of 289.50 reporting hours (579 filings x 0.50 hour = 289.50² hours).
- 2. <u>Contracts with Third Parties to Comply with Prohibitions (20 CFR 655.423(o))</u>. The Department requires employers to prohibit, by written contract, their international agents or recruiters from seeking or directly or indirectly receiving payments or other compensation from prospective CW-1 workers. Based on USCIS beneficiary data, the Department estimates 55 percent of employers (approximately 1,273) will utilize foreign agents or recruiters, and that it takes an employer an average of 15 minutes to comply with this requirement. Thus, we estimate the third-party disclosure burden for this collection to be 318.25 reporting hours (1,273 filings x 0.25 hour = 318.25 hours).

## **B.** Prevailing Wage Determinations

- 1. Form ETA-9141C (20 CFR 655.410). The Department requires all employers participating in the CW-1 program to obtain a prevailing wage determination from NPWC. Based on program experience with other temporary foreign labor certification program applications, the form takes approximately 46 minutes to complete (or approximately 77 percent of one hour). Based on data received from USCIS, we estimate 8,303 CW-1 labor certification applications will be filed annually. Each application requires a prevailing wage determination, and, for purposes of this ICR, we assume that each application will require a new, unique prevailing wage determination request. Thus, we estimate that the total hourly burden for the filing of Form ETA-9141C is 6,393.31 reporting hours (8,303 prevailing wage determination applications x 0.77 hour = 6,393.31 hours).
- Appeals (20 CFR 655.411). An employer that does not agree with a prevailing wage determination may apply for a new wage determination, appeal under 20 CFR 655.411, or acquiesce to the initial prevailing wage determination. Based on program

<sup>2</sup> Numbers are generally rounded to the next whole number in the burden table. In this instance, this figure is reflected as 289 in the burden table.

experience with other temporary foreign labor certification program applications filing Form ETA-9141C appeals, we estimate that five percent of 8,303 prevailing wage determination applications (415.15, rounded to 415) will be appealed annually. The Department estimates that it takes an employer approximately one hour to complete and submit an appeal from a Prevailing Wage Determination. Thus, the total hourly burden associated with PWD appeals is 415 reporting hours (415 appeals x 1 hour = 415 hours).

3. <u>Retention of Documentation (20 CFR 655.410(h))</u>. The employer must retain the prevailing wage determination for 3 years from the date of issuance or the date of a final determination on the *CW-1 Application for Temporary Employment Certification*, whichever is later. All employers are required to retain documents associated with the filing of their Form ETA-9141C. To print and store such documents will take ten minutes (or 17 percent of one hour). We estimate 8,303 applications will be filed annually. Therefore, the total hourly burden associated with the recordkeeping requirement for Form ETA-9141C is 1,411.51 reporting hours (8,303 applications x 0.17 hours = 1,411.51 hours).

## C. CW-1 Application for Temporary Employment Certification

- 1. Form ETA-9142C, Application for Temporary Employment Certification (20 CFR 655.420). The Department requires completion of Form ETA-9142C when an employer seeks a temporary labor certification to employ nonimmigrant workers under the CW-1 visa classification. Based on program experience with other temporary labor certification programs, the form takes approximately 45 minutes to complete. Based on data received from USCIS, we estimate 8,303 applications will be filed annually. The total hourly burden for the filing of Form ETA-9142C is 6,227.25 reporting hours (8,303 applications x 0.75 hour = 6,277.25 hours).
- 2. <u>Appendix A of Form ETA-9142C</u>, <u>Employer-Client Information of Job Contractor (20 CFR 655.421)</u>. The Department requires that when an employer submits a Form ETA-9142C requesting temporary labor certification, the employer filing as a job contractor must submit a completed *Appendix A* to disclose its employer-client that will jointly employ the workers under the application. Based on experience with other temporary labor certification programs, the Department estimates it takes a job contractor 15 minutes to disclose the required information about its employer-client on *Appendix A*. We estimate an *Appendix A* will be filed for 35 percent of the 8,303 applications filed, meaning 2,906 *Appendices A* will be filed annually. The total hourly burden for the filing of *Appendix A* is 726.50 reporting hours (2,906 appendices x 0.25 hour = 726.50 hours).
- 3. Appendix B of Form ETA-9142C, Additional Place(s) of Employment and Wage Information (20 CFR 655.420). The Department requires that an employer submitting a Form ETA-9142C to also submit a completed Appendix B when workers will be expected to perform the services or labor in geographic locations other than the primary place of employment disclosed on Form ETA-9142C. Based on experience with other temporary labor certification programs, the Department

estimates that *Appendix B* will be filed for 70 percent of the 8,303 applications filed, meaning 5,812 *Appendix B* forms will be filed annually, and that it takes 20 minutes to complete the form. The total hourly burden for the filing of *Appendix B* is 1,917.96 reporting hours (5,812 appendices x.33 hour = 1,917.96 hours).

- 4. Appendix C of Form ETA-9142C, Attorney/Agent/Employer Declarations (20 CFR 655.420). The Department requires that an employer filing a Form ETA-9142C attach a signed and dated Appendix C that declares compliance with the assurances and obligations of the CW-1 program. We estimate 8,303 Appendix C forms will be filed annually and it will take 20 minutes to complete each one. The total hourly burden associated with Appendix C is 2,739.99 reporting hours (8,303 appendices x 0.33 hour = 2,739.99 hours).
- 5. Waiver of Obtaining a Prevailing Wage Determination due to Emergency Situations (20 CFR 655.422). The Department permits an employer that, for good and substantial cause, is unable to obtain a prevailing wage determination prior to filing the Application for Temporary Employment Certification to request a waiver by submitting a letter of explanation along with the completed application. Based on experience with other temporary labor certification programs, the Department estimates that it takes an employer 30 minutes to compose, print, and send such a written request. The Department anticipates receiving a waiver request for ten percent of applications (830), for a total burden of 415 reporting hours (830 requests x 0.50 hour = 415 hours).
- 6. <u>Submission of a Modified Application or Job Offer (20 CFR 655.432)</u>. The Department permits CW-1 employers to modify and resubmit their applications to cure the deficiencies listed in the NOD. Based on experience with other temporary labor certification programs, we estimate that one-third of applications (2,768) received each year will require modification. Based on program data under the current IFR model, we estimate it takes one hour to respond to a NOD for a total burden of 2,768 reporting hours (2,768 applications x 1 hour = 2,768 hours).
- 7. <u>Amending the Application (20 CFR 655.434)</u>. The Department permits employers to amend their applications at any time before the Department makes a final determination to grant or deny the application. Based on experience with other temporary labor certification programs, the Department anticipates receiving amendments for 15 percent of applications, or 1,245 applications, and that it takes an employer 30 minutes on average to prepare and file an amendment, for a total burden of 622.50 reporting hours (1,245 amended applications x 0.50 hour = 622.50 hours).

#### D. Recruitment of U.S. Workers

Under the regulations, the resources expended by employers to comply with the recruitment provisions at 20 CFR 655.441-442 and 446 are included in the paperwork burden estimates.

- 1. Placing an Advertisement with CNMI Department of Labor (20 CFR 655.442). The Department's requirement is that an employer's advertisements meet the standards set forth in 20 CFR 655.441. Based on experience with other temporary labor certification programs, the Department estimates that it takes employers one hour to complete and post an advertisement on the CNMI Department of Labor's job listing system, and ensure that it includes all required information and disclosures in compliance with 20 CFR 655.423, governing employer assurances and obligations. The total burden is 8,303 reporting hours (8,303 job advertisements x 1 hour = 8,303 hours).
- 2. Contacting Former Employees (20 CFR 655.443). The Department requires employers to contact their former U.S. workers employed in CNMI in the same occupation during the previous year, including those who were laid off within 270 calendar days of the employer's date of need, unless they were dismissed for cause or abandoned the worksite prior to the completion of the last work period. The regulations require that employers contact these employees by mail or other effective means, disclose the terms of the Application for Temporary Employment Certification, and solicit their return to the job. Based on experience with other temporary labor certification programs, the Department estimates that employers will need to contact such employees on average 1.5 times per application (8,303 applications x 1.5 = 12,455 third-party contacts total). Additionally, the Department estimates it takes employers one hour per application filed with the Department to contact former employees for a total burden of 12,455 hours (12,455 third-party contacts x 1 hour = 12,455 hours).
- 3. <u>Notice of Posting Requirement (20 CFR 655.444)</u>. The employer must post the availability of the job opportunity in at least two conspicuous locations at the place of anticipated employment or in some other manner for 21 consecutive calendar days, in order to provide reasonable notification to all employees in the job classification and area in which the work will be performed by the CW-1 workers. The Department estimates employers will take 30 minutes per application to prepare and post the notice. The total disclosure burden is thus 4,151.50 hours (8,303 applications x 0.50 hour = 4,151.50 hours).
- 4. <u>Additional Employer-conducted Recruitment (20 CFR 655.445)</u>. The Department, at its discretion, may require employers to conduct additional reasonable recruitment. Based on experience with other temporary labor certification programs, the Department estimates that, on average, the Certifying Officer will require employers to conduct additional recruitment in 35 percent of cases (2,906). If the additional employer-conducted recruitment consists of placing an additional newspaper advertisement, we estimate that it takes an employer approximately 15 minutes to comply with this requirement for a total burden of 726.50 hours (2,906 applications x 0.25 hours = 726.50 hours).

> 5. *Recruitment Report (20 CFR 655.446)*. The time needed to prepare the recruitment report required by 20 CFR 655.446 is not excludable in estimating the PRA burden. Under this provision, employers must prepare, sign, and retain a written summary report describing the steps undertaken to recruit U.S. workers and the results achieved, including the number of hires and, if applicable, the number of U.S. workers not hired, and the lawful job-related reason(s) for not hiring those workers. The employer is required to provide a report of its recruitment efforts to the Department prior to certification no fewer than two calendar days after the date when the last advertisement with the CNMI Department of Labor appeared. See 20 CFR 655.446(a). Additionally, under the audit process described in 20 CFR 655.470, the Department may request that the employer submit the final recruitment report contained in the employer's files along with the résumés or applications of U.S. workers that were rejected, organized by the reasons they were rejected. Based on the number of CW-1 applications filed, the Department estimates that employers will prepare approximately 8,303 reports. The Department estimates that it takes employers 1 hour to prepare a recruitment report for a total burden of 8,303 hours  $(8,303 \text{ reports } \times 1 \text{ hour} = 8,303 \text{ hours}).$

## E. Disclosure of Work Contract to U.S. and Foreign Workers

- 1. Translating the Work Contract (20 CFR 655.423). The Department requires employers to provide a copy of the work contract to a CW-1 worker outside the United States no later than the time at which the worker applies for the visa, or to a worker in corresponding employment no later than on the day the work commences. For a CW-1 worker changing to another CW-1 employer, the work contract must be provided no later than the time the subsequent offer of employment is made. The work contract must be provided in a language understood by the worker. Based on experience with other temporary labor certification programs, the Department estimates that it takes employers one hour to translate the work contract for a total burden of 8,303 hours (8,303 reports × 1 hour = 8,303 hours).
- **2.** Reproducing the Work Contract (20 CFR 655.423). The Department requires employers to provide a copy of the work contract to CW-1 workers and U.S. workers in corresponding employment, which necessitates reproducing each work contract. The Department added the projected number of CW-1 workers (12,500 average for FY 2019-2021) to the estimated number of corresponding U.S. workers (8,353). Based on experience with other temporary labor certification programs, the Department estimates that it takes employers five minutes to reproduce each work contract for a total burden of 1,668.24 hours (20,853 copies of contracts × 0.08 hour = 1,668.24 hours).

**<sup>3</sup>** To estimate the number of corresponding U.S. workers in the CNMI, the Department used data from the CNMI Department of Commerce on the number of U.S. citizens and non-U.S. citizens by major occupation as documented in the CNMI Department of Commerce, Statistical Yearbook 2017, Table 5.24 "Average Hourly Wages by Occupation and Citizenship, CNMI: 2016." The Department calculated the ratios of the number of U.S. citizens to non-U.S. citizens by major occupation, applied those ratios to the pertinent number of CW-1 workers in each detailed occupation in FY 2018, and then summed the results to estimate the total number of corresponding U.S. workers in the CNMI.

3. <u>Mailing the Work Contracts (20 CFR 655.423)</u>. The Department requires employers to provide a copy of the work contract to CW-1 workers and U.S. workers in corresponding employment, which necessitates mailing work contracts to workers. The Department added the projected number of CW-1 workers (12,500 average for FY 2019-2021) to the estimated number of corresponding U.S. workers (8,353). Based on experience with other temporary labor certification programs, the Department estimates that it takes employers ten minutes to mail each work contract for a total burden of 3,545.01 hours (20,853 copies of contracts × 0.17 hour = 3,545.01 hours).

#### F. Retention Requirements

1. Retention of Form ETA-9142C Documents (20 CFR 655.410(h), and 655.456)). The Department requires employers who file an Application for Temporary Employment Certification (Form ETA-9142C and all appendices), and all supporting documentation to retain all such documents and records not otherwise submitted proving compliance with 20 CFR 655, subpart E. Based on experience with other temporary labor certification programs, the Department estimates that employers will spend about ten minutes per year per application to comply with this recordkeeping requirement. This results in an annual burden of 1,411.51 hours for Form ETA-9142C and its accompanying documents (8,303 applications x 0.17 hour = 1,411.51 hours).

### **G.** Post-certification Requirements

- 1. <u>Notification of Abandonment or Termination (20 CFR 655.423(v))</u>. The regulations require employers to notify the Department when any of their CW-1 workers voluntarily abandons the job or is terminated before the certified end date of employment. The Department estimates it takes employers an average of ten minutes to write an email to the Department to meet this requirement. The Department anticipates that employers will write such e-mails in five percent of cases (415), for a total burden of 70.55 reporting hours (415 notifications x 0.17 hour = 70.55 hours).
- 2. Extension of the Certified Period of Employment (20 CFR 655.460). The regulations permit employers, under certain circumstances involving weather conditions or other factors beyond the control of the employer, to request in writing an extension of the certified period of employment. Based on experience with other temporary labor certification programs, the Department anticipates that employers will make in five percent of cases (415) each year. The Department also estimates that it takes an employer thirty minutes to comply with this requirement for a total burden of 207.50 reporting hours (415 requests x 0.50 hour = 207.50 hours).
- 3. <u>Administrative Appeals (20 CFR 655.461)</u>. The regulations permit an employer whose certification is denied to request administrative review of the decision by the Board of Alien Labor Certification Appeals. To do so, an employer must submit a written request for review within ten business days from the date of determination. Based on experience with other temporary labor certification programs, the

Department anticipates appeals in five percent of total applications (5% of 8,303), or 415 appeals each year. The Department also estimates that it takes the employer one hour to comply with this requirement for a total burden of 415 reporting hours (415 notices  $\times$  1 hour = 415 hours).

4. Request for Withdrawal (20 CFR 655.462). The regulations permit employers to request withdrawal of an application any time after it has been accepted for processing, as long as the employer complies with the terms and conditions of employment in the application and work contract with respect to all workers recruited and hired in connection with that application. Based on experience with other temporary labor certification programs, the Department anticipates withdrawal requests for approximately ten percent of total applications (10% of 8,303), or 830 such requests each year. The Department also estimates that it takes the employer ten minutes to make a withdrawal request for a total burden of 141.1 reporting hours (830 notices x 0.17 hour = 141.1 hours).

#### H. Integrity Measures

- 1. <u>Audit Examinations (20 CFR 655.470)</u>. The regulations authorize the Department at its discretion to audit applications to ensure program integrity. Based on the results of these audits or other information, the Department may revoke a certified application and/or place an employer, agent, or attorney in debarment proceedings. The audit process requires employers to respond to notices sent by the Department. However, such responses are exempt from the paperwork burden under 5 CFR 1320.3(h)(6), (9) and 1320.4(a)(2).
- 2. <u>Certifying Officer (CO)-ordered Assisted Recruitment (20 CFR 655.471)</u>. In cases where the employer violates the terms of the program and the Department determines that the violation does not warrant debarment, the CO may require the employer to undergo assisted recruitment for a defined period of time for any future *CW-1 Application for Temporary Employment Certification*. Based on experience with other temporary labor certification programs, the Department estimates that employers will be required to undergo assisted recruitment in approximately one half of one percent (0.5%) of applications (8,303 x .005), for a total of 42 applications. The time required to conduct recruitment is already accounted for in the recruitment burden calculation in Subsection D, above. The Department estimates that an employer engaged in assisted recruitment will spend an additional hour on recruitment activities, resulting in a total of 42 reporting hours (42 assisted recruitment applications x 1 hour = 42 hours).

Total Annual Burden Hours for the CW-1 Information Collection (OMB Control Number 1205-0534):

Total Burden Hours 73,987 hours
Total Responses 149,739 responses
Total Respondents 2,314 respondents

## **II. Total Hourly Cost Estimates**

The Department anticipates receiving applications requesting temporary labor certification under the CW-1 program from employers operating across a wide spectrum of industry sectors in the CNMI economy. The Department believes that in most companies, a Human Resources manager will perform these activities. Thus, to calculate the full cost to the employer, we need to combine the mean hourly wage of Human Resources managers with the benefits and other compensation received by such employees. The Department used the mean hourly wage rate for private sector Human Resources managers in the CNMI.<sup>4</sup> According to the 2016 CNMI Prevailing Wage and Workforce Assessment Study, the hourly wage rate (including benefits) for Human Resources managers is \$19.29. Inflating to 2018 dollars and adding 17 percent for overhead costs<sup>5</sup> equals \$23.49. The Department estimates that a Human Resources manager will take time to complete and retain the forms and supporting documentation in the amount of \$1,698,430<sup>6</sup>.

<sup>4</sup> Source: 2016 CNMI Prevailing Wage and Workforce Assessment Study, <a href="http://i2io42u7ucg3bwn5b3l0fquc.wpengine.netdna-cdn.com/wp-content/uploads/2017/09/2016-PWWAS-Report-One-Full-Report-v1.1-1.pdf">http://i2io42u7ucg3bwn5b3l0fquc.wpengine.netdna-cdn.com/wp-content/uploads/2017/09/2016-PWWAS-Report-One-Full-Report-v1.1-1.pdf</a> . The wage rates used here "include all applicable fringe benefits." 5 Source: Cody Rice, U.S. Environmental Protection Agency (June 10, 2002), "Wage Rates for Economic Analyses of the Toxics Release Inventory Program." <a href="https://www.regulations.gov/document?D=EPA-HQ-OPPT-2014-0650-0005">https://www.regulations.gov/document?D=EPA-HQ-OPPT-2014-0650-0005</a> 6 Total cost is outlined in the chart below.

Table of Estimated Burdens<sup>7</sup>

Table of Estimated Burdens'  Total  Total							
Information Collection Activity	Number of Respondents	Frequency	Total Annual Responses <sup>8</sup>	Time Per Response (in hours)	Annual Burden (in hours)	Hourly Rate	Annual Cost (in dollars)
Proof of Agent Relationship	579	1.000	579	0.50	289	\$23.49	\$6,794
Contracts with Third Parties to Comply with Prohibitions	1,273	1.000	1,273	0.25	318	\$23.49	\$7,474
Form ETA-9141C	2,314	3.588	8,303	0.77	6,393	\$23.49	\$150,179
Appeal of Prevailing Wage Determination	116	3.588	415	1.00	415	\$23.49	\$9,752
Retention of 9141C Documents	2,314	3.588	8,303	0.17	1,412	\$23.49	\$33,156
Form ETA-9142C	2,314	3.588	8,303	0.75	6,227	\$23.49	\$146,278
Form ETA-9142C Appendix A	810	3.588	2,906	0.25	727	\$23.49	\$17,066
Form ETA-9142C Appendix B	1,620	3.588	5,812	0.33	1,918	\$23.49	\$45,054
Form ETA-9142C Appendix C	2,314	3.588	8,303	0.33	2,740	\$23.49	\$64,362
Waiver for Emergency Situations	231	3.588	830	0.50	415	\$23.49	\$9,752
Modify Application/Job Offer	771	3.588	2,768	1.00	2,768	\$23.49	\$65,012
Amend Application/Job Offer	347	3.588	1,245	0.50	623	\$23.49	\$14,628
Post Ad with CNMI Department of Labor	2,314	3.588	8,303	1.00	8,303	\$23.49	\$195,037
Contact Former Employees	2,314	5.382	12,455	1.00	12,455	\$23.49	\$292,556
Notice of Job Posting	2,314	3.588	8,303	0.50	4,152	\$23.49	\$97,519
Additional Employer Recruitment	810	3.588	2,906	0.25	727	\$23.49	\$17,066
Recruitment Report	2,314	3.588	8,303	1.00	8,303	\$23.49	\$195,037
Translating Work Contract	2,314	3.588	8,303	1.00	8,303	\$18.73	\$155,515
Reproducing Work Contract	2,314	9.012	20,853	0.08	1,668	\$23.49	\$39,187
Mailing Work Contract	2,314	9.012	20,853	0.17	3,545	\$23.49	\$83,272
Retention of 9142C Documents	2,314	3.588	8,303	0.17	1,412	\$23.49	\$33,156
Notice of Abandonment or Termination	116	3.588	415	0.17	71	\$23.49	\$1,658
Extension Certified Time Period	116	3.588	415	0.50	208	\$23.49	\$4,876
Administrative Appeals	116	3.588	415	1.00	415	\$23.49	\$9,752

<sup>7</sup> For the burden estimates, the Department has used H-2B program data averages for Fiscal Years 2016 and 2017 to the extent possible, unless otherwise indicated.

 $<sup>\</sup>boldsymbol{8}$  The figures provided for the total annual responses are rounded to the nearest whole number.

Information Collection Activity	Number of Respondents	Frequency	Total Annual Responses	Time Per Response (in hours)	Total Annual Burden (in hours)	Hourly Rate	Annual Cost (in dollars)
Withdrawal Request	231	3.588	830	0.17	141	\$23.49	\$3,315
CO-ordered Assisted Recruitment	12	3.588	42	1.00	42	\$23.49	\$975
TOTAL	2,314*	N/A	149,739	N/A	73,987	N/A	\$1,698,430

<sup>\*</sup>The Department estimates that approximately 2,314 employers in the CNMI will request labor certifications annually under this program. As explained in this supporting statement, a CNMI employer seeking a labor certification from the Department under the CW-1 program must request a prevailing wage determination and a labor certification and comply with recordkeeping requirements. The estimated total number of respondents (e.g., employers) cannot be aggregated.

A.13. Provide an estimate for the total annual cost burden to respondents or record keepers resulting from the collection of information. (Do not include the cost of any hour burden already reflected on the burden worksheet).

1. <u>Start-up/Capital Costs</u>: There are no start-up costs as ETA provides a free, web-based data collection and reporting system to collect and maintain participant data.

To participate in the program, the employer is required to generate records and retain them. The only supplies needed to store and maintain these records are filing cabinets and filing folders; however, employers have the option of maintaining records in an electronic format. The Department estimates that the initial cost to employers is minimal because it is a customary and usual business practice for businesses to have storage space, whether physical or electronic.

<u>Annual Costs</u>: There are no annual costs involved with operation and maintenance because ETA will be responsible for the annual maintenance costs for the free downloadable forms and the web-based data collection and reporting system.

However, there are several provisions that require employers to expend funds beyond their normal and usual business expenses. Specifically, all employers who use foreign workers who do not speak English will be required to translate their applications. These expenses are estimated to cost employers \$155,155 each year as described in the line item titled "Translating Work Contracts" in the table above.

Translation costs: The Department estimates that for translation of the Application for Temporary Employment Certification, employers will pay an average of \$18.73 per hour for an estimated annual cost of \$155,155 (\$18.73 x 8,303 applications).

**<sup>9</sup>** The Department used the mean hourly wage rate for private sector Interpreters and Translators in the CNMI. According to the 2016 CNMI Prevailing Wage and Workforce Assessment Study, the hourly wage rate (including benefits) for Interpreters and Translators is \$15.38. Inflating to 2018 dollars and adding 17 percent for overhead costs equals \$18.73.

A.14. Provide estimates of annualized costs to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies may also aggregate cost estimates from Items 12, 13, and 14 in a single table.

The Department estimates that approximately \$6,070,000 in annual costs will be required to administer the CW-1 program. This total comprises \$6,000,000 in federal administration costs and \$70,000 in Commonwealth-level costs funded by federal grants.

Federal administrative costs include salaries and expenses for the staff who process CW-1 applications; IT systems that support application-filing and case-processing operations; supplies; equipment; and agency indirect costs. Estimated annual costs for the federal administration of the CW-1 program are \$6,000,000. Based on past obligations, the table below provides further detail on the estimated annualized costs associated with federal administration of the CW-1 program. These estimates may be refined once the CW-1 program is operational and more empirical evidence is available on CW-1 application volumes and filing patterns.

Major Cost Category	Cost Activities	Annualized Costs	
		(estimated)	
Federal Salaries & Benefits	Federal staff to process CW-1 labor certification and prevailing wage applications and provide oversight and support services	\$1,164,591	
Contracts for Services	Case processing support for CW-1 applications	\$3,355,295	
Technology Contracts for Services	Operations and maintenance of CW-1 IT systems	\$400,000	
DOL Working Capital Assessment	Indirect costs associated with DOL administrative and executive management services	\$1,055,114	
Supplies & Equipment	Computers, printers, and general office supplies	\$25,000	
TOTAL COSTS - FEDERAL ADMINISTRATION		\$6,000,000	

Commonwealth-level CW-1 activities include, but are not limited to, reviewing and placing job orders to recruit U.S. workers, processing required notifications for amendments to job orders received from employers and the Department, and conducting prevailing wage and practice surveys. Staff from CNMI's Department of Labor will submit annual work plans to OFLC to establish continued eligibility for these grants, in accordance with the requirements of their foreign labor certification grant agreements. These work plans describe the specific activities and workload expectations of the CNMI's Department of Labor during the upcoming year. Estimated costs for these Commonwealth-level activities are approximately \$70,000 per year.

The hourly rate used to calculate cost is the average hourly rate for an employee in the Federal service (based on 2017 GS locality pay schedules for Chicago, Illinois, and the Washington-Baltimore-Arlington, DC-MD-VA-WV-PA area). See relevant GS Scales:

Chicago-Naperville, IL-IN-WI- <a href="https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2017/CHI">https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2017/CHI</a> h.pdf.

Washington-Baltimore-Arlington, DC-MD-VA-WV-PAwww.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2017/ DCB h.pdf.

\*Bureau of Labor Statistics in O\*Net Online - Job title: Compensation, Benefits, and Job Analysis Specialists <a href="http://www.onetonline.org/link/summary/13-1141.00">http://www.onetonline.org/link/summary/13-1141.00</a>.

The average Federal Government cost for a year of operation is estimated on an hourly basis multiplied by an index of 1.74 to account for employee benefits and proportional operating costs, otherwise known as Fully Loaded Full Time Equivalent (FLFTE). The index is derived by Departmental analysis of current personnel and overhead cost data.

A.15. Explain the reasons for any program changes or adjustments reported on the burden worksheet.

There are no burden changes in connection with Forms 9141C and 9142C as the ICR was very recently implemented (April 4, 2019), and no significant substantive changes have been made to the information collection tools. However, the Department has made some necessary, non-substantive, clarifying changes to the forms and instructions, to improve readability and avoid grammatical errors or inaccuracies in the information collection tools. None of these changes prompt updates on the estimated time burdens disclosed.

A.16. For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

OFLC discloses information about employer applicants to the public through its website at https://www.foreignlaborcert.doleta.gov. For the CW-1 program and except for Federal Employer Identification Numbers, information contained on employer applications for temporary labor certification (Form ETA-9142C) will be publicly accessible in easy-to-download Microsoft Excel formats. For statistical purposes, information collected through this collection is periodically aggregated to provide the public with information on program usage on a quarterly and annual basis. This information is published at least quarterly.

A.17. If seeking approval not to display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

The Department will display the expiration date for OMB approval.

A.18. Explain each exception to the topics of the certification statement identified in "Certification for Paperwork Reduction Act Submissions."

The Department is not seeking any exception to the certification requirements.

# B. Collection of Information Employing Statistical Methods

This information collection does not employ statistical methods.