Request for Approval under the "Generic Clearance for the Collection of Routine Customer Feedback" (OMB Control Number: 1652-0058)

TITLE OF INFORMATION COLLECTION: *Transportation Systems Sector Voluntary Web-Based Survey of NIST Version 1.1 Cybersecurity Framework Use.*

PURPOSE: *To gather input from Transportation Systems Sector (TSS) stakeholders on the adoption of the National Institute of Standards and Technology (NIST) Version 1.1 Cybersecurity Framework. The TSS is comprised of an integrated network of transportation owners and operators, both public and private, that are responsible for the safety and security of the movement of people and commerce. The specific roles and responsibilities vary based on the nature of the service provided and the associated security risks. Entities come from various modes of transportation including but not limited to airport authorities, airlines, public transportation agencies, over-the-road-bus companies, long haul and short line railroads, and pipeline operators.*

DESCRIPTION OF RESPONDENTS: *Current (at the time of the survey) TSS stakeholders across all modes of transportation, including aviation, maritime, pipeline, and surface sectors.*

TYPE OF COLLECTION: (Check one)

[ ] Customer Comment Card/Complaint Form   [ ] Customer Satisfaction Survey
[X] Usability Testing (e.g., Website or Software   [ ] Small Discussion Group
[ ] Focus Group   [ ] Other:_____

CERTIFICATION:

I certify the following to be true:
1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does <u>not</u> raise issues of concern to other federal agencies.
4. The results are <u>not</u> intended to be disseminated to the public.
5. Information gathered will not be used for the purpose of <u>substantially</u> informing <u>influential</u> policy decisions.
6. The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.

Name: *Timothy L. Weston*
      Director, Strategy & Plans (Acting)
      Strategy, Policy Coordination & Innovation,
      Transportation Security Administration (TSA)

To assist review, please provide answers to the following question:

Personally Identifiable Information:
1. Is personally identifiable information (PII) collected? [ ] Yes [X] No
2. If Yes, will any information that is collected be included in records that are subject to the Privacy Act of 1974?   [ ] Yes [ ] No
3. If Yes, has an up-to-date System of Records Notice (SORN) been published? [ ] Yes [ ] No

Gifts or Payments:
Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [ ] Yes [X] No

BURDEN HOURS

Category of Respondent	No. of Respondents	Participation Time	Burden
Transportation Systems Sector Stakeholders	200	10 minutes	33.33 hours
Totals	**200**	10 minutes	**33.33 hours**

PUBLIC COST: The estimated annual cost to the public is **$1,273.21**.[1]

FEDERAL COST: The estimated annual cost to the Federal government is ____**$25,046.76**___

TSA Position and Grade	Average Hourly Loaded Rate of Pay	Estimated Number of Hours	Estimated Number of Annual Reviews	Estimated Annual Cost to the Federal Government
I BAND/GS 13	$66.79	300	1	$20,037.00
J Band	$78.65	16	1	$1,258.40
K Band/GS 15	$92.24	32	1	$2,951.68
TSES	$99.96	8	1	$799.68
	Total Estimated Annual Cost to the federal Government is			$25,046.76

---

[1] TSA uses the employer cost for employee compensation for civilian workers rate of $38.20 per hour as the respondent wage rate.   Source: BLS Economic News Release June 2020 Employer Costs for Employee Compensation Table 1. This rate is multiplied by the time burden to determine the annual cost to the public. https://www.bls.gov/news.release/ecec.t01.htm. Accessed on October 22, 2020.

If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:

The selection of your targeted respondents
1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?
    [X] Yes   [ ] No

If the answer is yes, please provide a description of both below (or attach the sampling plan)? If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?

TSA will distribute the survey via email to all the members of the TSS. TSA and the Department of Transportation (DOT) also will distribute the survey to the Aviation Security Advisory Council, the Surface Transportation Security Advisory Council, and the Sector Coordinating Council for the TSS.

Administration of the Instrument

1. How will you collect the information? (Check all that apply)
        [X] Web-based or other forms of Social Media
        [ ] Telephone
        [ ] In-person
        [ ] Mail
        [ ] Other, Explain
2. Will interviewers or facilitators be used? [ ] Yes [X] No

Please make sure that all instruments, instructions, and scripts are submitted with the request.