

CFTC Message Testing In-Person Focus Groups (4)

Screener

May 17, 2017

Dates: Week of July 10 (TBD), conducted in-person

Length: 90-minute in person groups, 2 in Baltimore MD, 2 in NYC area

Recommended Incentive: \$125 per participant (Baltimore) \$175 per participant (NYC area)

Groups 1 & 2: CFTC Target Audience - Male

Groups 3 & 4: CFTC Target Audience - Female

For each group, recruit 10 to seat 8-9

S1. What is your gender?

- a. Male [CONTINUE FOR GROUPS 1 & 2, TERMINATE FOR GROUPS 3 & 4]
- b. Female [CONTINUE FOR GROUPS 3 & 4, TERMINATE FOR GROUPS 1 & 2]

S2. What is your age?

Record exact age. Terminate anyone younger than 50 or older than 65.

If respondent is hesitant, ask:

Which of the following categories best describes your age range?

- a. 20-29 [TERMINATE]
- b. 30-39 [TERMINATE]
- c. 40-49 [TERMINATE]
- d. 50-59 [CONTINUE FOR ALL GROUPS]
- e. 60-65 [CONTINUE FOR ALL GROUPS]
- f. Older than 65 [TERMINATE]

Recruit a mix. Terminate anyone younger than 50 or older than 65.

S3. What is your total household income?

Do not read list of options, select range in which response lies.

- a. Under \$25,000 [TERMINATE]
- b. \$25,000 to \$39,999 [TERMINATE]
- c. \$40,000 to \$49,999 [TERMINATE]
- d. \$50,000 to \$59,999 [TERMINATE]
- e. \$60,000 to \$74,999 [CONTINUE FOR ALL GROUPS]
- f. \$75,000 to \$99,999 [CONTINUE FOR ALL GROUPS]
- g. \$100,000 or more [CONTINUE]

S4. When it comes to family and personal investments like stocks, mutual funds, or other trading products, how likely are you to be involved in making decisions for your household?

- a. Very likely [CONTINUE FOR ALL GROUPS]
- b. Somewhat likely [CONTINUE FOR ALL GROUPS]
- c. Not too likely [TERMINATE]
- d. Not at all likely [TERMINATE]

S5. Below is a list of financial products. Please select all that you currently are invested in or have invested in.

Recruit only those who have invested in two or more of the following investment products. Recruit at least 1-2 participants per group that have invested in FOREX or futures/options (C or D).

- a. Stocks or shares
- b. Precious metals like gold or silver
- c. Foreign currency trading (FOREX)
- d. Any type of futures or options
- e. None of these [TERMINATE]
- f. Don't know [TERMINATE]

If qualified for groups 1-4, record respondent's information and provide invitation to participate in a focus group of 60 minutes. Establish timeframe participants are free and collect contact information:

Contact Name

Address and Email

Phone

Times available for groups week of 6/12 and groups qualified for:

OMB Burden Calculations

Screening Burden – 3 minute average screener X 400 participants screened (10% participation rate from a qualified pre-screened panel of 50% incidence) for 40 to be recruited = 1,200 minutes

Group Burden – 36 participants X 90 minute group participation = 3,240 minutes

Total Burden – 4,440 minutes or 74 hours total