**CFTC Message Testing Online Focus Groups (4)**

Screener

May 17, 2017

Dates: Week of June 12, conducted online (Final dates TBD)

Length: Four, 60-minute groups

Recommended Incentive: $100 per participant

Groups 1 & 2: Seasoned Investors

Groups 3 & 4: Moderate Experience Investors

*For each group, recruit 6 to seat 4-5 participants*

**Screening Questions**

**S1. What is your gender?**

1. Male
2. Female

*Recruit at least 1-2 women per group.*

**S2. What is your age?**

*Record exact age. Terminate anyone younger than 50 or older than 65.*

*If respondent is hesitant, ask:*

Which of the following categories best describes your age range?

1. 20-29 [TERMINATE]
2. 30-39 [TERMINATE]
3. 40-49 [TERMINATE]
4. 50-59 [CONTINUE FOR ALL GROUPS]
5. 60-65 [CONTINUE FOR ALL GROUPS]
6. Older than 65 [TERMINATE]

*Recruit a mix. Terminate anyone younger than 50 or older than 65.*

**S3. What is your total household income?**

*Do not read list of options, select range in which response lies.*

1. Under $25,000 [TERMINATE]
2. $25,000 to $39,999 [TERMINATE]
3. $40,000 to $49,999 [TERMINATE]
4. $50,000 to $59,999 [TERMINATE]
5. $60,000 to $74,999 [CONTINUE FOR ALL GROUPS]
6. $75,000 to $99,999 [CONTINUE FOR ALL GROUPS]
7. $100,000 or more [CONTINUE]

**S4. When it comes to family and personal investments like stocks, mutual funds, or other trading products, how likely are you to be involved in making decisions for your household?**

1. Very likely [CONTINUE FOR ALL GROUPS]
2. Somewhat likely [CONTINUE FOR ALL GROUPS]
3. Not too likely [TERMINATE]
4. Not at all likely [TERMINATE]

**S5. Below is a list of financial products. Please select all that you currently are invested in or have invested in.**

*Recruit only those that have invested in two or more of the following investment products. Recruit at least 1-2 participants per group that have invested in FOREX or futures/options (C or D).*

1. Stocks or shares
2. Precious metals like gold or silver
3. Foreign currency trading (FOREX)
4. Any type of futures or options
5. None of these [TERMINATE]
6. Don’t know [TERMINATE]

**S6. How many years have you been investing in these financial products?**

1. Less than a year [TERMINATE GROUPS 1 & 2, CONTINUE GROUPS 3 & 4]
2. Between one and five years [TERMINATE GROUPS 1 & 2, CONTINUE GROUPS 3 & 4]
3. Between six and 10 years [TERMINATE GROUPS 1 & 2, CONTINUE GROUPS 3 & 4]
4. Between 11 and 20 years [CONTINUE GROUPS 1 & 2, TERMINATE GROUPS 3 & 4]
5. More than 20 years [CONTINUE GROUPS 1 & 2, TERMINATE GROUPS 3 & 4]

**If qualified for groups 1-4, record respondent’s information and provide invitation to participate in a focus group of 60 minutes. Establish timeframe participants are free and collect contact information:**

**Contact Name:**

**Address and Email:**

**Phone:**

**Times available for groups week of 6/12 and groups qualified for:**

**OMB Burden Calculations**

Screening Burden – 3 minute average screener X 240 participants screened (10% participation rate from a qualified pre-screened panel of 50% incidence) for 24 to be recruited = 720 minutes

Group Burden – 20 participants X 60 minute group participation = 1,200 minutes

Total Burden – 1,920 minutes or 32 hours total