# **U.S. PRODUCERS' QUESTIONNAIRE**

# **CAST IRON SOIL PIPE FITTINGS FROM CHINA**

This questionnaire must be received by the Commission by <u>July 27, 2017</u>

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning cast iron soil pipe fittings from China (Inv. No. 701-TA-583 and 731-TA-1381 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm		_
	State	
Website		
Has your firm produce	d cast iron soil pipe fittings (as defined on nex	ct page) at any time since January 1, 2014?
NO (Sign the	e certification below and promptly return <b>only</b> this	page of the questionnaire to the Commission)
YES (Comple	ete all parts of the questionnaire, and return the en	ntire questionnaire to the Commission)
_	e via the U.S. International Trade Commi :://dropbox.usitc.gov/oinv/. (PIN: CISPF)	solon 210p 20x 87 change on the
	CERTIFICATION	
cormation provided in this que to commission on the same or so the undersigned, acknowledge occeding or other proceedings or received in the control of the	stionnaire and throughout this proceeding in imilar merchandise. It that information submitted in response to may be disclosed to and used: (i) by the Co maintaining the records of this or a related ing to the programs, personnel, and opera	its employees and contract personnel, to use the in any other import-injury proceedings conducted by the contract of this request for information and throughout this commission, its employees and Offices, and contract proceeding, or (b) in internal investigations, audits attions of the Commission including under 5 U.S.C. alely for cybersecurity purposes. I understand that all
me of Authorized Official	Title of Authorized Official	 Date
me of Authorized Official	Title of Authorized Official  Phone:	
me of Authorized Official		

#### PART I.—GENERAL INFORMATION

**Background.**--This proceeding was instituted in response to a petition filed on July 13, 2017, by the Cast Iron Soil Pipe Institute ("CISPI"), Mundelein, IL. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at

https://www.usitc.gov/investigations/title 7/2017/cast iron soil pipe fittings china/preliminary.htm

<u>Cast iron soil pipe fittings</u> covered by these investigations are finished and unfinished cast iron soil pipe fittings ("CISPF"), regardless of industry or proprietary specifications. These are non-malleable iron castings of various shapes and sizes used in conjunction with cast iron soil pipe in the sanitary and storm drain, waste, and vent piping of buildings. These fittings include various designs and sizes, consisting of bends, tees, wyes, traps, drains, and other common or special fittings, with or without side inlets.

CISPF are classified into two major types – hub and spigot and hubless. Hubless pipe and fittings are also referred to in the plumbing industry as no-hub. CISPF are distinguished from other types of non-malleable cast iron fittings by the manner in which they are connected to cast iron soil pipe and other fittings. Hubless cast iron soil pipe and fittings are manufactured without a hub, generally in compliance with CISPI 301 and/or ASTM A888. The method of joining the pipe and fittings utilizes a metallic shielded hubless coupling, generally manufactured to CISPI 310 and/or ASTM A 74, that telescopes over the plain ends of the pipe and fittings and is torqued to seal the joint. Hub and spigot pipe and fittings have hubs into which the spigot (plain end) of the pipe or fitting is inserted. The joint is sealed with a thermoset elastomeric gasket or lead and oakum.

CISPF are currently imported under statistical reporting number 7307.11.00.45 of the Harmonized Tariff Schedule of the United States (HTSUS). The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

<u>Reporting of information</u>.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**Release of information**.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in

connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals. In addition, if your firm is a U.S. producer, the information you provide on your production and imports of cast iron soil pipe fittings and your responses to the questions in Part I of the producer questionnaire will be provided to the U.S. Department of Commerce, upon its request, for use in connection with (and only in connection with) its requirement pursuant to section 702(c)(4)/732(c)(4) of the Act (19 U.S.C. § 1671a(c)(4)/1673a(c)(4)) to make a determination concerning the extent of industry support for the petition requesting this proceeding. Any information provided to Commerce will be transmitted under the confidentiality and release guidelines set forth above. Your response to these questions constitutes your consent that such information be provided to Commerce under the conditions described above.

I-1a. <u>OMB statistics</u>.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-1b. <u>TAA information release</u>.--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?

□Yes	□No

I-2. Establishments covered.--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. Firms operating more than one establishment should combine the data for all establishments into a single report. "Establishment" -- Each facility of a firm involved in the production of cast iron soil pipe fittings, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments covered <sup>1</sup>	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
<sup>1</sup> Additional discuss	ion on establishments co	ensolidated in this questio	nnaire:

I-3.	Petition supportDoes	your firm support	or oppose the petitions?
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Country	Support	Oppose	Take no position
China AD			
China CVD			

OwnershipIs your fi	m owned, in whole or in part, by any	other firm?
☐ No ☐ Ye	sList the following information.	
		Extent of ownership
Firm name	Address	(percent)

I-5.	Related importers/exportersDoes your firm have any related firms, either domestic or foreign, that are engaged in importing cast iron soil pipe fittings from China into the United States or that are engaged in exporting cast iron soil pipe fittings from China to the United States?		
	☐ No ☐ YesList the	following information.	
	Firm name	Country	Affiliation
I-6.	engaged in the production of ca	firm have any related firms, either donst iron soil pipe fittings?  following information.	omestic or foreign, that are
	Firm name	Country	Affiliation

# PART II.--TRADE AND RELATED INFORMATION

Title Email Telephone

Fax

Further information on this part of the questionnaire can be obtained from Amelia Shister (202-205-2047, <a href="mailto:amelia.shister@usitc.gov">amelia.shister@usitc.gov</a>). Supply all data requested on a <a href="mailto:calendar-year">calendar-year</a> basis.

II-1.	Contact informationPlease identify the responsible individual and the manner by which
	Commission staff may contact that individual regarding the confidential information submitted
	in part II.
	Namo

II-2. Changes in operations.--Please indicate whether your firm has experienced any of the following changes in relation to the production of cast iron soil pipe fittings since January 1, 2014.

(chec	k as many as appropriate)	(If checked, please describe; leave blank if not applicable)
	plant openings	
	plant closings	
	relocations	
	expansions	
	acquisitions	
	consolidations	
	prolonged shutdowns or production curtailments	
	revised labor agreements	
	other (e.g., technology)	

II-3a. **Production using same machinery.--**Please report your firm's production of products made on the same equipment and machinery used to produce cast iron soil pipe fittings, and the combined production capacity on this shared equipment and machinery in the periods indicated.

"Overall production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

·	Quantity (	in short tons)			
	Ca	lendar years		January	-June
Item	2014	2015	2016	2016	2017
Overall production capacity					
Production of: Cast iron soil pipe fittings <sup>1</sup>	0	0	0	0	(
Other products <sup>2</sup>					
Total	0	0	0	0	(
<sup>1</sup> Data entered for production of cast i <sup>2</sup> Please identify these products:		gs will populate	here once repo	rted in question	II-7.
II-3b. Operating parametersThe per week, weeks per	•	pacity reported	d in II-3a is bas	sed on operatin	g hou
II-3c. <b>Capacity calculation</b> Plea	se describe the i	methodology u	sed to calculat	te overall produ	ıction

	per week, weeks per year.
II-3c.	<u>Capacity calculation</u> Please describe the methodology used to calculate overall production capacity reported in II-3a, and explain any changes in reported capacity.
II-3d.	<u>Production constraints</u> Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

II-3e.	Product s	shifting.—	
		-	able to switch production (capacity) between cast iron soil pipe fittings and cts using the same equipment and/or labor?
	[	No	Yes (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products:
	b	etween pro	ribe the factors that affect your firm's ability to shift production capacity oducts (e.g., time, cost, relative price change, etc.), and the degree to which s enhance or constrain such shifts.
II-4.	_		ary 1, 2014, has your firm been involved in a toll agreement regarding the on soil pipe fittings?
	materials	and the se	Igreement between two firms whereby the first firm furnishes the raw cond firm uses the raw materials to produce a product that it then returns a charge for processing costs, overhead, etc.
	No	Yes	If yes Please describe the toll arrangement(s) and name the firm(s) involved.
II-5.	Foreign t	rade zones	
			perationsDoes your firm produce cast iron soil pipe fittings in and/or on soil pipe fittings into a foreign trade zone (FTZ)?
	s n	pecial proc nerchandise	de zone" is a designated location in the United States where firms utilize edures that allow delayed or reduced customs duty payments on foreign e. A foreign trade zone must be designed as such pursuant to the rules and set forth in the Foreign-Trade Zones Act.
	No	Yes	If yes Describe the nature of your firms operations in FTZs and identify the specific FTZ site(s).

(b)	Other firms' FTZ operationsTo your knowledge, do any firms in the United States
	import cast iron soil pipe fittings into a foreign trade zone (FTZ) for use in distribution of
	cast iron soil pipe fittings and/or the production of downstream articles?

No	)	Yes	If yesIdentify the firms and the FTZs.
	]		

II-6. <u>Importer</u>.--Since January 1, 2014, has your firm imported cast iron soil pipe fittings?

"Importer" – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

No	Yes	
		If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

- II-7. <u>Production, shipment, and inventory data</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of cast iron soil pipe fittings in its U.S. establishment(s) during the specified periods.
  - "Average production capacity" or "capacity" The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).
  - "Production" All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.
  - "Commercial U.S. shipments" Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.
  - "Internal consumption" Product consumed internally by your firm. Such transactions are valued at fair market value.
  - "Transfers to related firms" Shipments made to related domestic firms. Such transactions are valued at fair market value.
  - "Related firm" –A firm that your firm solely or jointly owns, manages, or otherwise controls.
  - "Export shipments" Shipments to destinations outside the United States, including shipments to related firms.
  - "Inventories" Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

### II-7. <u>Production, shipment, and inventory data</u>.--Continued

Quantity	y (in short tons)	and value (in \$	1,000)		
		Calendar years		Januar	y-June
ltem	2014	2015	2016	2016	2017
Average production capacity <sup>1</sup> (quantity) (A)					
<b>Beginning-of-period inventories</b> ( <i>quantity</i> ) (B)					
<b>Production</b> (quantity) (C)					
U.S. shipments: Commercial shipments:  Quantity (D)					
Value (E)					
Internal consumption: <sup>3</sup> <i>Quantity</i> (F)					
Value <sup>2</sup> (G)					
Transfers to related firms: <sup>3</sup> Quantity (H)					
Value² (I)					
Export shipments: <sup>4</sup> Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
<sup>1</sup> The production capacity reported is based the methodology used to calculate production <sup>2</sup> Please report your firm's quantity (in short short tons. <sup>3</sup> Internal consumption and transfers to relatuses a different basis for valuing these transact data using that basis for each of the periods no <sup>4</sup> Identify your firm's principal export market	capacity, and extons) of U.S. shi ted firms must b tions, please spe ted above:	splain any chang ipments of <u>unfir</u> be valued at fair ecify that basis (o	ges in reported on the constitution is seen the constitution in the constitution in the constitution is seen the constitution in the constitution in the constitution is seen to be constituted in the constitution in the constitution is seen the constitution in the constitution in the constitution is seen the constitution in the constitution in the constitution is seen to be constituted as	apacity soil pipe fittings n the event that	in 2016: t your firm

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.</u>--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

		Calendar years		Januar	y-June
Reconciliation	2014	2015	2016	2016	2017
B + C - D - F - H - J - L = should equal zero ("0") or provide an explanation. <sup>1</sup>	0	0	0	0	0
<sup>1</sup> Explanation if the calculated fields above are	returning values o	other than zero (i.	e., "0") but are n	onetheless accura	ate:

II-8. <u>Channels of distribution</u>.--Report your firm's commercial U.S. shipments by channel of distribution.

Quant	ity (in short to	ons) and value (	in \$1,000)		
		Calendar years	3	Januar	y-June
Item	2014	2015	2016	2016	2017
Channels of distribution:					
Commercial U.S. shipments:					
To distributors ( <i>quantity</i> ) (M)					
To end users (quantity) (N)					

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for commercial U.S. shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

		Calendar years		Januar	y-June
Reconciliation	2014	2015	2016	2016	2017
M + N - D = zero ("0"), if not revise.	0	0	0	0	0

II-9. <u>U.S. shipments by type</u>.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) in 2016 by type.

Quantity (in short tons) and v	alue ( <i>in \$1,000</i> )
Item	Calendar year 2016
U.S. shipments:	
Hubless / no hub:	
Quantity (O)	
Value (P)	
Hub and spigot:	
Quantity (Q)	
Value (R)	

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities and value reported for US shipments above (i.e., lines O though R) in each time period equal the quantity and value reported for U.S. shipments (i.e., lines D through I) in 2016 from question II-7. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliations	Calendar year 2016
<b>Quantity:</b> $O + Q - D - F - H = zero ("0"),$ if not revise.	0
Value: $P + R - E - G - I = zero$ ("0"), if not revise.	0

Explanation of trends:

II-10. **Employment data**.--Report your firm's employment-related data related to the production of cast iron soil pipe fittings and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to June periods, calculate similarly and divide by 6.

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" – Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

		Calendar years		Januar	y-June
Item	2014	2015	2016	2016	2017
Average number of PRWs (number)					
Hours worked by PRWs (1,000 hours)					
Wages paid to PRWs (\$1,000)					

11.	Related firmsIf your firm reported transfers to related firms in question II-7, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.
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II-11.	<u>Purchases</u> Other than direct imports, has your firm otherwise purchased cast iron soil pipe fittings since January 1, 2014?  "Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.									
	"Direct import" –A transaction to buy from a foreign supplier where your firm is the importer of record or consignee.									
	☐ No ☐ YesReport such purchases below and explain the reasons for your firms' purchases:									
		(Quan	tity in short to	ns)						
		C	Calendar years			January-June				
	Item	2014	2015	2016	2016	2017				
impor	ases from U.S. rters¹ of cast iron soil ittings from— na									
	other sources									
produ										
source										
supplie	lease list the name of the i ers differ by source, please lease list the name of the p	identify the sour	ce for each liste	d supplier:	<u></u> .					
II-12.	Other explanationsI that did not provide a the space provided be providing the data in the questionnaire.	narrative box, p low. Please also	lease note the o use this space	question nure to highlight	nber and the can any issues you	explanation in or firm had in				

# PART III.--FINANCIAL INFORMATION

Address questions	on this part of the	questionnaire to Emily Kin	<b>m</b> (202-205-1800, <u>emily.kim@usitc.gov</u> )	).
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Name	
Title	
Email	
Telephone	
Fax	
Accounting sy	ystemBriefly describe your firm's financial accounting system.
A.	When does your firm's fiscal year end (month and day)?
	If your firm's fiscal year changed during the data-collection period, explain below:
B.1.	Describe the lowest level of operations (e.g., plant, division, company-wid which financial statements are prepared that include cast iron soil pipe fit
2.	Does your firm prepare profit/loss statements for cast iron soil pipe fitting  Yes  No
3.	How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.  Audited, unaudited, annual reports, 10Ks, 10Qs, Monthly, quarterly, semi-annually, annually
4.	Accounting basis: GAAP, cash, tax, or other comprehe basis of accounting (specify)
used ii regard	As requested in Part I of this questionnaire, please keep all supporting documents/renthe preparation of the financial data, as Commission staff may contact your firm ding questions on the financial data. The Commission may also request that your combit copies of the supporting documents/records (financial statements, including internal
	and-loss statements for the division or product group that includes cast iron soil pipe
fitting	s, as well as specific statements and worksheets) used to compile these data.
Cost accounti	ing systemBriefly describe your firm's cost accounting system (e.g., standar
Cost account	er cost, <i>etc.</i> ).

produced cast iron so	se list the products your firm product oil pipe fittings, and provide the share 's most recent fiscal year.	•
Products		Share of sales
cast iron soil pipe fitti	ings	%
		%
		%
		%
		%
	ase <b>inputs</b> (raw materials, labor, ene	
production of cast iro	on soil pipe fittings from any related s is, divisions and/or other component	suppliers (e.g., inclusive of transaction
production of cast irobetween related firm  YesContinue to continue to conti	on soil pipe fittings from any related so is, divisions and/or other component question III-7.  NoContinue suppliersPlease identify the inputs r firm purchases from related supplier total COGS" please report this informompleted fiscal year. For "Input valuation pany's own accounting system, of the ated supplier's actual cost, cost plus,	suppliers (e.g., inclusive of transactions within the same company)?  to question III-9a.  used in the production of cast iron some and that are reflected in question ation by relevant input on the basis ation" please describe the basis, as the purchase cost from the related

III-8.	Inputs purchased from related suppliersPlease confirm that the inputs purchased from related suppliers, as identified in III-7, were reported in III-9a (financial results on cast iron soil pipe fittings) in a manner consistent with your firm's accounting books and records.
	Yes
	NoIn the space below, please report the valuation basis of inputs purchased from related suppliers as reported in table III-9a.

III-9a. Operations on cast iron soil pipe fittings.--Report the revenue and related cost information requested below on the cast iron soil pipe fittings operations of your firm's U.S. establishment(s). Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

	Fisc	al years ended	January-	lune	
ltem	2014	2015	2016	2016	2017
Net sales quantities: <sup>2</sup>	2014	2013	2010	2010	2017
Commercial sales ("CS")					
Internal consumption ("IC")					
Transfers to related firms ("Transfers")					
Total net sales quantities	0	0	0	0	C
Net sales values: <sup>2</sup> Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	C
Cost of goods sold (COGS): <sup>3</sup> Raw materials					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	C
Gross profit or (loss)	0	0	0	0	C
Selling, general, and administrative (SG&A) expenses: Selling expenses					
General and administrative expenses					
Total SG&A expenses	0	0	0	0	0
Operating income (loss)	0	0	0	0	0
Other expenses and income: Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	C
Depreciation/amortization included above					

<sup>&</sup>lt;sup>1</sup> Include only sales (whether domestic or export) and costs related to your <u>U.S. manufacturing operations</u>.

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

<sup>&</sup>lt;sup>2</sup> Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

<sup>&</sup>lt;sup>3</sup> COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

III-9b.	quantitie have bee return th	data reconciliationThe calculable line items from question III-9a (i.e., total net sales and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss)) a calculated from the data submitted in the other line items. Do the calculated fields correct data according to your firm's financial records ignoring non-material es that may arise due to rounding?
	Yes	NoIf the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.
		Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negativeinstances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative).
		If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-10. Nonrecurring items (charges and gains) included in the subject product financial results.--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in \$1,000), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

	Fiscal years ended			Januar	y-June
	2014	2015	2016	2017	
<b>Nonrecurring item:</b> In this column please provide a brief description of each nonrecurring item and indicate the specific line item in table III-9a where the nonrecurring item is	Nonrecurring item: In these columns please report the amount of the relevant nonrecurring item reported in question III-9a.				
classified.	Value ( <i>\$1,000</i> )				
1. , classified as					
2. , classified as					
3. , classified as					
4. , classified as					
5. , classified as					
6. , classified as					
7. , classified as					

III-11.	Classification of identified nonrecurring items (charges and gains) in the accounting books and
	<u>records of the company</u> If non-recurring items were reported in question III-10 above, please
	identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items are reported in question III-9a.

III-12. <u>Asset values</u>.--Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of cast iron soil pipe fittings. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for cast iron soil pipe fittings in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a. Provide data as of the end of your firm's three most recently completed fiscal years.

**Note:** Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted.

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value ( <i>in \$1,000</i> )						
		Fiscal years ended				
Item	2014	2015	2016			
Total assets (net) 1						
Describe						

III-13. <u>Capital expenditures and research and development expenses</u>.--Report your firm's capital expenditures and research and development expenses for cast iron soil pipe fittings. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

Value (in \$1,000)						
	Fiscal years ended			January-June		
ltem	2014	2015	2016	2016	2017	
Capital expenditures <sup>1</sup>						
Research and development expenses <sup>2</sup>						

<sup>&</sup>lt;sup>1</sup> Please describe the nature, focus, and significance of your firm's capital expenditures on the subject product.

<sup>&</sup>lt;sup>2</sup> Please describe the nature, focus, and significance of your firm's R&D expenses related to subject product.

III-14.	Data consistency and reconciliationPlease indicate whether your firm's financial data f	foi
	juestions III-9a, 12, and 13 are based on a calendar year or on your firm's fiscal year:	

Calendar year	Fiscal year	Specify fiscal year

Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-7 (including export shipments) as long as they are reported on the same calendar year basis.

RECONCILIATION OF TRADE VS FINANCIAL DATA.--Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported total net sales in part III of this questionnaire in each time period unless the financial data from part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.

		Full year data	Partial year periods		
Reconciliation	2014	2015	2016	2016	2017
Quantity: Trade data from part II less financial data from part III, = zero ("0") except as noted above.	0	0	0	0	0
Value: Trade data from part II less financial data from part III, = zero ("0") except as noted above.	0	0	0	0	0

Do these data in question III-9a reconcile with data in question II-7?

Yes	No	If no, please explain.

III-15.	5. <u>Effects of imports on investment</u> Since January 1, 2014, has your firm experienced any actu negative effects on its return on investment or the scale of capital investments as a result of imports of cast iron soil pipe fittings from China?							
	☐ No	YesMy firm has experienced actual negative effects as follows:						
		(ch	neck as many as appropriate)	(please describe)				
			Cancellation, postponement, or rejection of expansion projects					
			Denial or rejection of investment proposal					
			Reduction in the size of capital investments					
			Return on specific investments negatively impacted					
			Other					

III-16.	Effects of imports on growth and developmentSince January 1, 2014, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advance version of the product) as a result of imports of cast iron soil pipe fittings from China?								
	☐ No			YesMy firm has experi	sMy firm has experienced actual negative effects as follows:				
	(check as m			many as appropriate)	(please describe)				
			Rejecti	on of bank loans					
			Loweri	ng of credit rating					
				m related to the issue ks or bonds					
			Ability	to service debt					
			Other						
III-17.	-			of importsDoes your tings from China?	firm anticipate any negative effects due to imports of				
	No		Yes	If yes, my firm anticipa	ates negative effects as follows:				
III-18.	that did not provide the space provided b		provide ovided I e data ir	a narrative box, please pelow. Please also use	e to further explain a response to a question in Part III note the question number and the explanation in this space to highlight any issues your firm had in but not limited to technical issues with the MS Word				

#### PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from **Craig Thomsen** (202-205-3226, <a href="mailto:Craig.Thomsen@usitc.gov">Craig.Thomsen@usitc.gov</a>).

IV-1. <u>Contact information</u>.--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	
Fax	

#### **PRICE DATA**

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2014 of the following products produced by your firm.

**Product 1.--** 2" no hub, ¼ bend cast iron soil pipe fitting

**Product 2.**—2" no hub, 1/8 bend cast iron soil pipe fitting

**Product 3.**—2" no hub, sanitary Tee cast iron soil pipe fitting

**Product 4.**—4" no hub, 1/8 bend cast iron soil pipe fitting

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2 (a). During January 2014-June 2017, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data tables as appropriate.
NoSkip to question IV-3.

IV-2(b). Price data.--Report below the quarterly price data for pricing products produced and sold by your firm.

Report data in actual short tons and actual dollars (not 1,000s).

(Quantity in short tons, value in dollars)  Product 1 Product 2 Product 3 Product 4							ict /	
Dariad of chinmont	Quantity	Value	Quantity	Value	Quantity	Value	Product 4	
Period of shipment 2014:	Qualitity	value	Quantity	value	Quantity	value	Quantity	Value
January-March								
April-June								
July-September								
October-December								
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								

U.S. point of shipment.

<sup>2</sup> Pricing product definitions are provided on the first page of Part IV.

NoteIf your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of
your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 2: Product 3: Product 4:	Product 1:		
	Product 2:		
Product 4:	Product 3:		
	Product 4:		

IV-2 (c). Price data checklist	-Please check that the	pricing data in quest	ion IV-2(b) has bee	n correctly
reported.				

Is the price data reported above:	√ if Yes
In actual dollars (not \$1,000)?	
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	
Net of all discounts and rebates?	
Have returns credited to the quarter in which the sale occurred?	
Less than reported commercial shipments in question II-7 in each year?	
2 (d). Pricing data methodologyPlease describe the method and the kinds of documentative relative that were used to compile your price data	ents/records

that wer	that were used to compile your price data.							

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3.	Price settingHow does your firm determine the prices that it charges for sales of cast iron soil
	pipe fittings (check all that apply)? If your firm issues price lists, please submit sample pages of
	a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

IV-4. <u>Discount policy</u>.--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

### IV-5. Pricing terms.--

(a) What are your firm's typical sales terms for its U.S.-produced cast iron soil pipe fittings?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)

(b) On what basis are your firm's prices of domestic cast iron soil pipe fittings usually quoted (check one)?

Delivered F.o.b.		If f.o.b., specify point		

IV-6. <u>Contract versus spot.</u>--Approximately what share of your firm's sales of its U.S.-produced cast iron soil pipe fittings in 2016 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	Total (shoul sum t 100.0%	ld o
Share of 2016 sales	%	%	%	%	0.0	%

IV-7. <u>Contract provisions.--</u>Please fill out the table regarding your firm's typical sales contracts for U.S.-produced cast iron soil pipe fittings. Check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis in the appropriate column(s).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
ana, er pries	Both			
Meet or release	Yes			
provision	No			
Not applicable				

IV-8. <u>Lead times.</u>—What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced cast iron soil pipe fittings?

Source	Share of 2016 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

IV-9.	Shipp	ing information
	(a)	What is the approximate percentage of the cost of U.Sproduced cast iron soil pipe fittings that is accounted for by U.S. inland transportation costs? percent
	(b)	Who generally arranges the transportation to your firm's customers' locations?  Your firm Purchaser (check one)

(c) Indicate the approximate percentage of your firm's sales of cast iron soil pipe fittings that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

IV-10. <u>Geographical shipments.</u>—In which U.S. geographic market area(s) has your firm sold its U.S. produced cast iron soil pipe fittings since January 1, 2014 (check all that apply)?

Geographic area	√ if applicable
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central Southwest.—AR, LA, OK, and TX.	
Mountains.–AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.–CA, OR, and WA.	
Other.—All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

IV-11. <u>End uses.</u>--List the end uses of the cast iron soil pipe fittings that your firm manufactures. For each end-use product, what percentage of the <u>total cost</u> is accounted for by cast iron soil pipe fittings and other inputs?

	Share of total cost		
End use product	Cast iron soil pipe fittings	Other inputs	Total (should sum to 100.0% across)
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

	□ N	lo	YesP	lease fill ou	t the tab	ole.		
		F	nd use in v	which this			anges in the price ed the price for ca fittings?	• •
Substitute			substitute		No	Yes	Expla	anation
1.								
2.								
3.						П		
IV-	States (if kno	wn) for cas	t iron soil	pipe fittings	s has cha have aff	ected	States and outside since January 1, 2 I these changes in	2014. Explain any
	∕larket	Overall increase	No change	Overall decrease	Fluctu with clear t	no	Explana	tion and factors
N	riai ket							
	e United States					]		

115	Producers'	Questionnaire	- Cast Iron	Sail Pine	Fittings
U.S.	Producers	Questionnaire	– cast from	Soli Pibe	FILLINES

IV-14.	<u>Product changes.</u> Have there been any significant changes in the product range, product mix, or marketing of cast iron soil pipe fittings since January 1, 2014?					
	No	Yes I	f yes, please describ	e and quantify if possible.		
IV-15.	<ul> <li>(a) Is the cast iron soil pipe fittings market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to cast iro soil pipe fittings? If yes, describe.</li> </ul>					
	Check all that apply.			Please describe.		
	☐ No			Skip to question IV-16.		
	Yes-Business cycles (e.g. seasonal business)					
	Yes-Other distinctive conditions of competition					
			re been any changes pe fittings since Janua	in the business cycles or conditions of competition for ary 1, 2014?		
	No	Yes	If yes, describe.			
			1			

115	Producers'	Questionnaire	- Cast Iron	Sail Pine	Fittings
U.S.	Producers	Questionnaire	– cast from	Soli Pibe	FILLINES

IV-16.	fittings sin	nce Januar ry," declini	Has your firm refused, declined, or been unable to supply cast iron soil pipe y 1, 2014 (examples include placing customers on allocation or "controlled ing to accept new customers or renew existing customers, delivering less comised, being unable to meet timely shipment commitments, etc.)?
	No	Yes	If yes, please describe.

IV-17. <u>Raw materials</u>.--How have cast iron soil pipe fittings raw material prices changed since January 1, 2014?

Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for cast iron soil pipe fittings.

IV-18. <u>Interchangeability.</u>—Is cast iron soil pipe fittings produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries	
United States			
China			
For any country-pair producing cast iron soil pipe fittings that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:			

IV-19. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between cast iron soil pipe fittings produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries	
United States			
China			
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of cast iron soil pipe fittings, identify the country-pair and report the advantages or disadvantages imparted by such factors:			

IV-20. <u>Customer identification</u>.--List the names and contact information for your firm's 10 largest U.S. customers for cast iron soil pipe fittings since January 1, 2014. Indicate the share of the quantity of your firm's total shipments of cast iron soil pipe fittings that each of these customers accounted for in 2016.

(	Customer's name	Contact person	Email	Telephone	City	State	Share of 2016 sales (%)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

IV-21. Competition f	from im	ports
----------------------	---------	-------

(a)	Lost revenue Since January 1, 2014: To avoid losing sales to competitors selling cash
	iron soil pipe fittings from China, did your firm:

Item	No	Yes
Reduce prices		
Roll back announced price increases		

(b) <u>Lost sales.</u>--Since January 1, 2014: Did your firm lose sales of cast iron soil pipe fittings to imports of this product from China?

No	Yes	

(c) The submission of lost sales/lost revenue allegations is to be completed only by NON-PETITIONERS.

If your firm indicated "yes" to any of the above, your firm can provide the Commission with additional information by downloading and completing the lost sales/lost revenues worksheet at <a href="http://usitc.gov/trade\_remedy/question.htm">http://usitc.gov/trade\_remedy/question.htm</a>. Note that the Commission may contact the firms named to verify the allegations reported.

Is your firm submitting the lost sales/lost revenues worksheet?

No—Please explain.
Yes—Please complete the worksheet and submit via the Commission dropbox. <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> . (PIN: CISPF)

IV-22.	Other explanationsIf your firm would like to further explain a response to a question in Part
	IV that did not provide a narrative response box, please note the question number and the
	explanation in the space provided below. Please also use this space to highlight any issues you
	firm had in providing the data in this section, including but not limited to technical issues with
	the MS Word questionnaire.

# **HOW TO FILE YOUR QUESTIONNAIRE RESPONSE**

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://www.usitc.gov/investigations/title 7/2017/cast iron soil pipe fittings china/preliminary.htm

**Please do not attempt to modify the format or permissions of the questionnaire document**. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> Pin: CISPF

• E-mail.—E-mail the MS Word questionnaire to Amelia. Shister@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

**If your firm** does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.