U.S. PRODUCERS' QUESTIONNAIRE

CAST IRON SOIL PIPE FROM CHINA

This questionnaire must be received by the Commission by <u>February 9, 2018</u>

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning cast iron soil pipe from China (Inv. Nos. 701-TA-597 and 731-TA-1407 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____

Address		
City	State	_ Zip Code
Website		
Has your firn	n produced cast iron soil pipe (as defined on next page)	at any time since January 1, 2015?
☐ NO	(Sign the certification below and promptly return only this	page of the questionnaire to the Commission)
☐ YES	(Complete all parts of the questionnaire, and return the er	ntire questionnaire to the Commission)
•	stionnaire via the U.S. International Trade Commink: https://dropbox.usitc.gov/oinv/ . (PIN: CISP)	ission <i>Drop Box</i> by clicking on the
	CERTIFICATION	
means of this certification information provided in the Commission on the I, the undersigned, acl proceeding or other propersonnel (a) for developments	and understand that the information submitted is substion I also grant consent for the Commission, and a this questionnaire and throughout this proceeding is same or similar merchandise. Samowledge that information submitted in response to ceedings may be disclosed to and used: (i) by the Copping or maintaining the records of this or a related	its employees and contract personnel, to use the n any other import-injury proceedings conducted by o this request for information and throughout this commission, its employees and Offices, and contract proceeding, or (b) in internal investigations, audits,
Appendix 3; or (ii) by U	ons relating to the programs, personnel, and opera S. government employees and contract personnel, so sign appropriate nondisclosure agreements	
Name of Authorized Of	ficial Title of Authorized Official	Date
	Phone:	
Signature		Email address

PART I.—GENERAL INFORMATION

Background.--This proceeding was instituted in response to a petition filed on January 26, 2018, by Cast Iron Soil Pipe Institute ("CISPI"), Mundelein, IL. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://www.usitc.gov/investigations/title_7/2018/cast_iron_soil_pipe_china/preliminary.htm.

<u>Cast iron soil pipe</u> covered by these investigations is cast iron soil pipe, whether finished or unfinished, regardless of industry or proprietary specifications, and regardless of wall thickness, length, diameter, surface finish, end finish, or stenciling. Both hubless and hub and spigot cast iron soil pipe are included in the scope of this investigation. Cast iron soil pipe is nonmalleable iron pipe of various designs and sizes. Cast iron soil pipe is generally distinguished from other types of nonmalleable cast iron pipe by the manner in which it is connected to cast iron soil pipe fittings.

Cast iron soil pipe is classified into two major types – hubless and hub and spigot. Hubless cast iron soil pipe is manufactured without a hub, generally in compliance with Cast Iron Soil Pipe Institute ("CISPI") specification 301 and/or American Society for Testing and Materials ("ASTM") specification A888. Hub and spigot pipe has one or more hubs into which the spigot (plain end) of a fitting is inserted. All pipe meeting the physical description set forth above is covered by the scope of this investigation, whether or not produced according to a particular standard.

The subject imports are currently classified in statistical reporting number 7303.00.0030 of the Harmonized Tariff Schedule of the United States (HTSUS): Cast iron soil pipe. The HTSUS subheading and specifications are provided for convenience and customs purposes only; the written description of the scope of these investigations is dispositive.

Reporting of information.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

<u>Release of information</u>.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and

other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals. In addition, if your firm is a U.S. producer, the information you provide on your production and imports of cast iron soil pipe and your responses to the questions in Part I of the producer questionnaire will be provided to the U.S. Department of Commerce, upon its request, for use in connection with (and only in connection with) its requirement pursuant to section 702(c)(4)/732(c)(4) of the Act (19 U.S.C. § 1671a(c)(4)/1673a(c)(4)) to make a determination concerning the extent of industry support for the petition requesting this proceeding. Any information provided to Commerce will be transmitted under the confidentiality and release guidelines set forth above. Your response to these questions constitutes your consent that such information be provided to Commerce under the conditions described above.

I-1a. <u>OMB statistics</u>.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-1b. <u>TAA information release</u>.--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?

Yes	Nο

I-2.	<u>Establishments covered</u> Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. Firms operating more than one establishment should combine the data for all establishments into a single report.			
	" <u>Establishment</u> "Each facility of a firm involved in the <u>production</u> of cast iron soil pipe, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.			

Establishments covered ¹	City, State	Zip (5 digit)	Description	
1				
2				
3				
4				
5				
6				
¹ Additional discussion on establishments consolidated in this questionnaire:				

Country	Support	Oppose	Take no positio
China AD			
China CVD			

Firm name	Address	Extent of ownership (percent)

I-5.	foreign, that are engaged in ir	ated importers/exportersDoes your firm have any related firms, either domestic or eign, that are engaged in importing cast iron soil pipe from China into the United States or t are engaged in exporting cast iron soil pipe from China to the United States?		
	No YesList the following information.			
	Firm name	Country	Affiliation	
I-6.	Related producersDoes your firm have any related firms, either domestic or foreign, that are engaged in the production of cast iron soil pipe? No YesList the following information.			
	Firm name	Country	Affiliation	

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **Amelia Shister** (202-205-2047, Amelia.Shister@usitc.gov). **Supply all data requested on a <u>calendar-year</u> basis**.

II-1.		ationPlease identify the responsible aff may contact that individual regardin	e individual and the manner by which ng the confidential information submitted
	Name		
	Title		
	Email		
	Telephone		

II-2. <u>Changes in operations.</u>--Please indicate whether your firm has experienced any of the following changes in relation to the production of cast iron soil pipe since January 1, 2015.

(check as many as appropriate)		(If checked, please describe; leave blank if not applicable)
	plant openings	
	plant closings	
	relocations	
	expansions	
	acquisitions	
	consolidations	
	prolonged shutdowns or production curtailments	
	revised labor agreements	
	other (e.g., technology)	

II-3a. **Production using same machinery.--**Please report your firm's production of products made on the same equipment and machinery used to produce cast iron soil pipe, and the combined production capacity on this shared equipment and machinery in the periods indicated.

"Overall production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Quantity (in short tons)				
	Calendar years			
ltem	2015	2016	2017	
Overall production capacity				
Production of:				
Cast iron soil pipe ¹	0	0	0	
Other products ²				
Total	0	0	0	
¹ Data entered for production of c ² Please identify these products:		ate here once report	ted in question II-7.	

II-3b.	Operating parametersThe production capacity reported in II-3a is based on operating hou per week, weeks per year.	rs
II-3c.	<u>Capacity calculation</u> Please describe the methodology used to calculate overall production capacity reported in II-3a, and explain any changes in reported capacity.	
II-3d.	<u>Production constraints</u> Please describe the constraint(s) that set the limit(s) on your firm's production capacity.	

II-3e.	Product	oduct shifting.—				
	(i) Is your firm able to switch production (capacity) between cast iron soil pipe and ot products using the same equipment and/or labor?					
		☐ No	Yes (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products:			
	(ii)	Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.				
 II-4. TollingSince January 1, 2015, has your firm been involved in a toll agree production of cast iron soil pipe? "Toll agreement"Agreement between two firms whereby the first firm formaterials and the second firm uses the raw materials to produce a product to the first firm with a charge for processing costs, overhead, etc. 			on soil pipe? greement between two firms whereby the first firm furnishes the raw cond firm uses the raw materials to produce a product that it then returns			
	No	Yes	If yes Please describe the toll arrangement(s) and name the firm(s) involved.			

II-5. Foreign trade zones	·5.	Foreign trade zones	s
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(a) <u>Firm's FTZ operations</u>.--Does your firm produce cast iron soil pipe in and/or admit cast iron soil pipe into a foreign trade zone (FTZ)?

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	If yes Describe the nature of your firms operations in FTZs and identify the specific FTZ site(s).

(b) Other firms' FTZ operations.--To your knowledge, do any firms in the United States import cast iron soil pipe into a foreign trade zone (FTZ) for use in distribution of cast iron soil pipe and/or the production of downstream articles?

No	Yes	If yesIdentify the firms and the FTZs.

II-6. <u>Importer</u>.--Since January 1, 2015, has your firm imported cast iron soil pipe?

"Importer" – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

No	Yes	
		If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

- II-7. <u>Production, shipment, and inventory data</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of cast iron soil pipe in its U.S. establishment(s) during the specified periods.
 - "Average production capacity" or "capacity" The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).
 - "**Production**" All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.
 - "Commercial U.S. shipments" Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.
 - "Internal consumption" Product consumed internally by your firm. Such transactions are valued at fair market value.
 - "Transfers to related firms" Shipments made to related domestic firms. Such transactions are valued at fair market value.
 - "Related firm" —A firm that your firm solely or jointly owns, manages, or otherwise controls.
 - "Export shipments" Shipments to destinations outside the United States, including shipments to related firms. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.
 - "Inventories" Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-7. Production, shipment, and inventory data.--Continued

Quantity	(in short tons) and v	alue (<i>in \$1,000</i>)	
		Calendar years	
Item	2015	2016	2017
Average production capacity (quantity) (A)			
Beginning-of-period inventories (<i>quantity</i>) (B)			
Production (quantity) (C)			
U.S. shipments: Commercial shipments: Quantity (D) Value (E)			
Internal consumption: ² Quantity (F)			
Value ² (G)			
Transfers to related firms: ² Quantity (H)			
Value² (I)			
Export shipments: ³ Quantity (J)			
Value (K)			
End-of-period inventories (quantity) (L)			
¹ The production capacity reported is based the methodology used to calculate production ² Internal consumption and transfers to relat different basis for valuing these transactions, p the data above at fair market value). ³ Identify your firm's principal export market	capacity, and explain ed firms must be valu lease specify that bas	any changes in reported o led at fair market value. [capacity Does your firm use a

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.</u>--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years			
Reconciliation	2015	2016	2017	
B + C - D - F - H - J - L = should equal zero ("0") or provide an explanation. ¹	0	(3)	0	
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:				

II-8. <u>Channels of distribution</u>.--Report your firm's commercial U.S. shipments by channel of distribution.

Quantity (in short tons)					
	Calendar years				
Item	2015	2016	2017		
Channels of distribution:					
Commercial U.S. shipments:					
To distributors (M)					
To end users (N)					

<u>RECONCILIATION OF CHANNELS.</u>--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for commercial U.S. shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

		Calendar years	
Reconciliation	2015	2016	2017
M + N - D = zero ("0"), if not revise.	0	0	0

II-9. <u>U.S. shipments by product type.</u>--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by product type for the specified period.

Quantity (in short tons), value (in \$1,000)				
	Calendar years			
Item	2015	2016	2017	
Hubless, epoxy coated				
Quantity (O)				
Value (P)				
Hubless, non-epoxy coated				
Quantity (Q)				
Value (R)				
Hub and spigot, epoxy coated				
Quantity (S)				
Value (T)				
Hub and spigot, non-epoxy coated				
Quantity (U)				
Value (V)				
Total				
Quantity	0	0	0	
Value	0	0	0	

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.</u>—The data reported for the quantity and value of U.S. shipments in this question (i.e., lines O through V) across all columns should equal to the quantity and value of U.S. shipments (i.e., lines D through I) for calendar year 2017 in part "a' of this question. If the calculated fields below do not equal to zero, please revise these data prior to submission to the Commission.

Reconciliation	2015	2016	2017
Quantity: O + Q + S + U - D - F -			
H = zero ("0"), if not revise.	0	0	0
Value: P + R + T + V - E - G - I =			
zero ("0"), if not revise.	0	0	0

II-10. **Employment data**.--Report your firm's employment-related data related to the production of cast iron soil pipe and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" – Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

	Calendar years			
Item	2015	2016	2017	
Average number of PRWs (number)				
Hours worked by PRWs (1,000 hours)				
Wages paid to PRWs (\$1,000)				

	Explanation of trends:
II-11.	Related firmsIf your firm reported transfers to related firms in question II-7, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

U.S. Producers' Que	stionnaire - Cas	t Iron	Soil Pipe
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I-1Z.	since January 1, 2015?					
	"Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.					
	"Direct import" –A train record or consignee.	nsaction to buy from a fo	oreign supplier where y	your firm is the importer o		
	☐ No ☐ Yes	sReport such purchases purchases:	s below and explain the	e reasons for your firms'		
		(Quantity in sho	ort tons)			
			Calendar years			
	Item	2015	2016	2017		
Purchases from U.S. importers¹ of cast iron soil pipe from— China						
All o	ther sources					
oroduc	ses from domestic ers ²					
Purcha source:	ses from other s ²					
supplier	s differ by source, please	mporter(s) from which you identify the source for eac producer(s) or U.S. distribut	h listed supplier:	duct. If your firm's import		
-13.	that did not provide a the space provided bel	narrative box, please not ow. Please also use this	te the question numbe space to highlight any	onse to a question in Part I r and the explanation in issues your firm had in al issues with the MS Word		

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to **Charles Yost** (202-205-3432, Charles.yost@usitc.gov).

	e	
Title		
Ema		
Tele	phone	
Acco	ounting sy	stemBriefly describe your firm's financial accounting system.
	A.	When does your firm's fiscal year end (month and day)?
		If your firm's fiscal year changed during the data-collection period, explain below:
	B.1.	Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include cast iron soil pipe:
	2.	Does your firm prepare profit/loss statements for cast iron soil pipe:
	3.	How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below. Audited, unaudited, annual reports, 10Ks, 10 Qs, Monthly, quarterly, semi-annually, annually
	4.	Accounting basis: GAAP, cash, tax, or other comprehension basis of accounting (specify)
	used in regard submit profit-d	As requested in Part I of this questionnaire, please keep all supporting documents/record the preparation of the financial data, as Commission staff may contact your firm ling questions on the financial data. The Commission may also request that your companic copies of the supporting documents/records (financial statements, including internal and-loss statements for the division or product group that includes cast iron soil pipe, as a specific statements and worksheets) used to compile these data.
		ng systemBriefly describe your firm's cost accounting system (e.g., standard r cost, etc.).

III-5.	Product listing Please list the products your firm produced in the facilities in which your firm
	produced cast iron soil pipe, and provide the share of net sales accounted for by these products
	in your firm's most recent fiscal year.

Products	Share of sales
Cast iron soil pipe	%
	%
	%
	%
	%

III-6.	Does your firm purchase inputs (raw materials, labor, energy, or any services) used in the production of cast iron soil pipe from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?			
	YesContinue to question III-7.	NoContinue to question III-9a.		

III-7. Inputs from related suppliers.--Please identify the inputs used in the production of cast iron soil pipe that your firm purchases from related suppliers and that are reflected in question III-9a. For "Share of total COGS" please report this information by relevant input on the basis of your most recently completed fiscal year. For "Input valuation" please describe the basis, as recorded in your company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value.

Input	Related supplier	Share of total COGS			
Input valuation as recorded in the firm's accounting books and records					

III-8.	<u>Inputs purchased from related suppliers.</u> Please confirm that the inputs purchased from related suppliers, as identified in III-7, were reported in III-9a (financial results on cast iron soil pipe) in a manner consistent with your firm's accounting books and records.
	Yes
	NoIn the space below, please report the valuation basis of inputs purchased from related suppliers as reported in question III-9a.

III-9a. Operations on cast iron soil pipe.--Report the revenue and related cost information requested below on the cast iron soil pipe operations of your firm's U.S. establishment(s). Do not report resales of purchased products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact Charles Yost at (202) 205-3432 before completing this section of the questionnaire.

Quantity (in short tons) and value (in \$1,000)				
	Fiscal years ended			
Item	2015	2016	2017	
Net sales quantities: ² Commercial sales ("CS")				
Internal consumption ("IC")				
Transfers to related firms ("Transfers")				
Total net sales quantities	0	0	0	
Net sales values: ² Commercial sales				
Internal consumption				
Transfers to related firms				
Total net sales values	0	0	0	
Cost of goods sold (COGS): ³ Raw materials				
Direct labor				
Other factory costs				
Total COGS	0	0	0	
Gross profit or (loss)	0	0	0	
Selling, general, and administrative (SG&A) expenses: Selling expenses				
General and administrative expenses				
Total SG&A expenses	0	0	0	
Operating income (loss)	0	0	0	
Other expenses and income: Interest expense				
All other expense items				
All other income items				
Net income or (loss) before income taxes	0	0	0	
Depreciation/amortization included above				

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include <u>costs associated with CS, IC, and Transfers.</u>

III-9b.	quantitie have bee return th	data reconciliationThe calculable line items from question III-9a (i.e., total net sales and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss)) a calculated from the data submitted in the other line items. Do the calculated fields a correct data according to your firm's financial records ignoring non-material as that may arise due to rounding?
	Yes	NoIf the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.
		Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negativeinstances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative).
		If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-10. Nonrecurring items (charges and gains) included in the subject product financial results.--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in \$1,000), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

	Fiscal years ended		
	2015	2016	2017
Nonrecurring item: In this column please provide a brief description of each nonrecurring item and indicate the specific line item in table III-9a where the nonrecurring item is	Nonrecurring item: In these columns please report the amount of the relevant nonrecurring item reported in question III-9a.		
classified.	Value (<i>\$1,000</i>)		
1. , classified as			
2. , classified as			
3. , classified as			
4. , classified as			
5. , classified as			
6. , classified as			_
7. , classified as			_

III-11.	Classification of identified nonrecurring items (charges and gains) in the accounting books and
	<u>records of the company</u> If non-recurring items were reported in question III-10 above, please
	identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items are reported in question III-9a.

III-12. <u>Asset values</u>.--Report the <u>total</u> assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of cast iron soil pipe. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for cast iron soil pipe in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a. Provide data as of the end of your firm's three most recently completed fiscal years.

Note: Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted.

Total assets should be <u>allocated to the subject products</u> if these assets are also related to other products. Please provide a <u>brief explanation if there are any substantial changes</u> in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value (in \$1,000)			
	Fiscal years ended		
Item	2015	2016	2017
Total assets (net) ¹			
¹ Describe	•		

III-13. <u>Capital expenditures and research and development expenses</u>.--Report your firm's capital expenditures and research and development expenses for cast iron soil pipe. Provide data for your firm's three most recently completed fiscal years.

Value (in \$1,000)				
Fiscal years ended				
Item	2015	2016	2017	
Capital expenditures ¹				
Research and development expenses ²				

¹ Please describe the nature, focus, and significance of your firm's capital expenditures on the subject product.

² Please describe the nature, focus, and significance of your firm's R&D expenses related to subject product.

III-14.	Data consistency and reconciliationPlease indicate whether your firm's financial data for
	questions III-9a, 12, and 13 are based on a calendar year or on your firm's fiscal year:

Calendar year	Fiscal year	Specify fiscal year

Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-7 (including export shipments) as long as they are reported on the same calendar year basis.

RECONCILIATION OF TRADE VS FINANCIAL DATA.--Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported for total net sales in part III of this questionnaire in each time period unless the financial data from part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.

		Full year data	
Reconciliation	2015	2016	2017
Quantity: Trade data from question II-7 (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0").	0	0	0
Value: Trade data from question II-7 (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0").	0	0	0

Do these data in question III-9a reconcile with data in question II-7?

Yes	No	If no, please explain.

III-15.	<u>Effects of imports on investment</u> Since January 1, 2015, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of cast iron soil pipe from China?				
	☐ No ☐ YesMy firm has experienced actual negative effects as follows:				
		(ch	heck as many as appropriate)	(please describe)	
			Cancellation, postponement, or rejection of expansion projects		
			Denial or rejection of investment proposal		
			Reduction in the size of capital investments		
			Return on specific investments negatively impacted		
			Other		

III-16.	6. Effects of imports on growth and developmentSince January 1, 2015, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of cast iron soil pipe from China?				
	∐ No		YesMy firm has experi	enced actual negative effects as follows:	
		(ch	neck as many as appropriate)	(please describe)	
			Rejection of bank loans		
			Lowering of credit rating		
			Problem related to the issue of stocks or bonds		
			Ability to service debt		
			Other		

III-17.	Anticipated effects of importsDoes your firm anticipate any negative effects due to imports of
	cast iron soil pipe from China?

No \	Yes	If yes, my firm anticipates negative effects as follows:

III-18.	Other explanations.—If your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Amelia Preece (202-205-3250, amelia.preece@usitc.gov).

IV-1. <u>Contact information</u>.--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	

PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2015 of the following products produced by your firm.

Product 1.--2" x 10' no hub cast iron soil pipe, other than epoxy coated

Product 2.--4" x 10' no hub cast iron soil pipe, other than epoxy coated

Product 3.--3" x 10' no hub cast iron soil pipe, other than epoxy coated

Product 4.--6" x 10' no hub cast iron soil pipe, other than epoxy coated

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2 (a). During January 2015-December 2017, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data table(s) as appropriate.
NoSkip to question IV-3.

IV-2(b). Price data.--Report below the quarterly price data for pricing products produced and sold by your firm.

Report data in $\underline{\textit{short tons}}$ and $\underline{\textit{actual dollars}}$ (not 1,000s).

VALUE SHOULD BE THE FINAL AMOUNT RECEIVED NET OF <u>ALL</u> REBATES AND INCENTIVES.

	Produ	ıct 1	Produ	ıct 2	Product 3		Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
¹ Net values (i.e., gros J.S. point of shipment. ² Pricing product defii					d freight, and the	value of retu	rned goods), f.o.	b. your firm'

Product 1:

Product 2:

Product 3: Product 4:

IV-2 (c). Price data checklist	Please check that the pricing data in question IV-2(b)	has been	correctly
reported.			

Is the price data reported above:	√ if Yes
In actual dollars (not \$1,000)?	
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	
Net of all discounts and rebates?	
Have returns credited to the quarter in which the sale occurred?	
Less than reported commercial shipments in question II-7 in each year?	

Less than reported commercial shipments in question II-7 in each year?	
 Pricing data methodologyPlease describe the method and the kinds of documenthat were used to compile your price data.	nts/records

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3.	Price settingHow does your firm determine the prices that it charges for sales of cast iron soil
	pipe (check all that apply)? If your firm issues price lists, please submit sample pages of a recent
	list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

IV-4. **Discount policy.**—

a) Please indicate and describe your firm's discount policies (check all that apply).

(1) Quantity or annual total	(2) Quantity or annual total volume discounts			
volume	or rebates			
discounts	repates			
or rebates	based on			
based	purchases			
only on	of other			
purchases	products			
of cast	as well as			
iron soil	cast iron	No		
pipe	soil pipe	discounts	Other	Describe

b)

If you offer quantity discounts or rebates

Share of cast iron soil pipe sales (by volume) that have rebates or discounts (both 1 and 2)	Average discount / rebate for cast iron soil pipe sales (percent reduction of price)	Highest discount / rebate for cast iron soil pipe sales (percent reduction of price)	Other	If other, describe
%	%	%		

IV-5.	<u>Sales</u>	<u>bundles.</u> —	

(a) Does your firm's sales of cast iron soil pipe ever involve or have discounts linked to sales of products other than cast iron soil pipe such as cast iron soil pipe fittings, couplings, gaskets, plastic pipe and fittings, etc.?

No (skip to IV-6)	Yes	Percent of sales of cast iron pipe (by volume) involving other product	If yes, list the types of products involved in these purchases.
		%	

(b) Are cast iron soil pipe sales invoiced separately or as part of the sales bundle with products other than cast iron soil pipe?

No	Yes	If yes, list the types of products also listed on these invoices.

(c) Does your firm charge different price list multipliers for cast iron soil pipe compared with products other than cast iron soil pipe such as cast iron soil pipe fittings, couplings, gaskets, plastic pipe and fittings, etc.?

No	If yes, list examples of recent purchases that have different multipliers including the approximate dates of those purchases.

IV-6. Pricing terms.--

(a) What are your firm's typical sales terms for its U.S.-produced cast iron soil pipe?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)

(b) On what basis are your firm's prices of domestic cast iron soil pipe usually quoted (check one)?

Delivered	F.o.b.	If f.o.b., specify point

IV-7. <u>Contract versus spot.</u>—Approximately what share of your firm's sales of its U.S.-produced cast iron soil pipe in 2017 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale					
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	Total (shoul sum t 100.09	ld o
Share of 2017 sales	%	%	%	%	0.0	%

IV-8. <u>Contract provisions.</u>--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced cast iron soil pipe (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
ana, or price	Both			
Meet or release	Yes			
provision	No			
Not applicable				

IV-9. <u>Lead times.</u>—What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced cast iron soil pipe?

Source	Share of 2017 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

	IV-10.	Shipping	information
--	--------	----------	-------------

(a)	What is the approximate percentage of the cost of U.Sproduced cast iron soil pipe tha
	is accounted for by U.S. inland transportation costs? percent

(b)	Who generally a	rranges the transportation to your firm's customers' I	ocations?
	Your firm	Purchaser (check one)	

(c) Indicate the approximate percentage of your firm's sales of cast iron soil pipe that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

IV-11. <u>Geographical shipments.--</u> In which U.S. geographic market area(s) has your firm sold its U.S.-produced cast iron soil pipe since January 1, 2015 (check all that apply)?

Geographic area	√ if applicable
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central Southwest.—AR, LA, OK, and TX.	
Mountains.—AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.–CA, OR, and WA.	
Other.—All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

IV-12. <u>End uses.</u>--List the end uses of the cast iron soil pipe that your firm manufactures. For each enduse product, what percentage of the <u>total cost</u> is accounted for by cast iron soil pipe and other inputs?

	Share of total cost	Total		
End use product	Cast iron soil pipe	Other inputs	(should sum to 100.0% across)	
Building wastewater				
plumbing system	%	%	0.0 %	
	%	%	0.0 %	
	%	%	0.0 %	

US	S. Producers'	Questionnaire	 Cast Iron 	Soil Pine

V-	13. <u>Substitute</u>	<u>s</u> Can oth	er products l	be substitut	ed for c	ast ird	on soil pipe?
		No	YesP	lease fill ou	t the tak	ole.	
			End use in	which this			nanges in the price of this substitute ed the price for cast iron soil pipe?
	Substitute		substitute		No	Yes	Explanation
1.	Plastic pipe/fit	ings					
2.							
3.							
					_		anuary 1, 2015. Explain any trends changes in demand.
	Market	Overal increas	_	Overall decrease	Fluctu with clear t	no	Explanation and factors
	Within the United States	ne]	
	Outside						

		D	a		
ı	IJ.S.	Producers	Questionnaire	- Cast Iror	i Soli Pibe

	or marketi	ng of cast	iron soil pi	pe since Janua	ificant changes in the product range, product mix, ary 1, 2015?
	No	Yes	If yes, plea	ase describe a	and quantify if possible.
5. <u> </u>	Conditions	of comp	etition		
	wide		ns) and/or (•	t to business cycles (other than general economy- ons of competition distinctive to cast iron soil pipe?
	Check all	that apply	/ ·	Pl	lease describe.
		No		Sk	kip to question IV-17.
			usiness cycl nal busines		
			ther disting ions of con	_	
				ny changes in anuary 1, 2015	the business cycles or conditions of competition for 5?
	No	Yes	If yes, o	describe.	
:	since Janua entry," dec	ary 1, 201 clining to	5 (example accept new	s include plac customers or	declined, or been unable to supply cast iron soil pipe ing customers on allocation or "controlled order renew existing customers, delivering less than the nely shipment commitments, etc.)?
	No	Yes	If yes, plea	ase describe.	
	Raw mate	rialsHov	w have cast	iron soil pipe	raw material prices changed since January 1, 2015?
3.	nav mate				
3.	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for cast iron soil pipe.

IV-19. <u>Interchangeability</u>.--Is cast iron soil pipe produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Other countries
United States		
China		
For any country-pair produ	cing cast iron soil pipe that is	sometimes or never interchangeable,

For any country-pair producing cast iron soil pipe that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

IV-20. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between cast iron soil pipe produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = no familiarity with products from a specified country-pair

Country-pair	China	Other countries						
United States								
China								
, , ,	For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of cast iron soil pipe, identify the country-pair and report the							

advantages or disadvantages imparted by such factors:

IV-21.	Anti-competitive allegationsHave the following issues affected your firm and the market for
	cast iron soil pipe in general since January 1, 2015?

(a)	The Federal Trade Commission's inquiry and 2013 consent order regarding Charlotte
	Pipe's 2010 acquisition of Star Pipe (see https://www.ftc.gov/enforcement/cases-
	proceedings/101-0080b/mcwane-inc-star-pipe-products-ltd-matter)

	No	Yes	If yes, describe the effect(s)
Effect on your firm			
Effect on the market			

(b) Litigation regarding alleged anti-competitive behavior filed in 2013 and settled in 2017 (see http://www.cispantitrustsettlement.com/courtdocuments.html)

	No	Yes	If yes, describe the effect(s)
Effect on your firm			
Effect on the market			

IV-22. <u>Customer identification</u>.--List the names and contact information for your firm's 10 largest U.S. customers for cast iron soil pipe since January 1, 2015. Indicate the share of the quantity of your firm's total shipments of cast iron soil pipe that each of these customers accounted for in 2017.

(Customer's name	Contact person	Email	Telephone	City	State	Share of 2017 sales (%)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

IV-23.	Compet	tition	from	impo	orts

(a) <u>Lost revenue</u>.--Since January 1, 2015: To avoid losing sales to competitors selling cast iron soil pipe from China, did your firm:

Item	No	Yes
Reduce prices		
Roll back announced price increases		

(b) <u>Lost sales.</u>--Since January 1, 2015: Did your firm lose sales of cast iron soil pipe to imports of this product from China?

No	Yes	

(c) The submission of lost sales/lost revenue allegations is to be completed only by NON-PETITIONERS.

If your firm indicated "yes" to any of the above, your firm can provide the Commission with additional information by downloading and completing the lost sales/lost revenues worksheet at http://usitc.gov/trade_remedy/question.htm. Note that the Commission may contact the firms named to verify the allegations reported.

Is your firm submitting the lost sales/lost revenues worksheet?

No—Please explain.
Yes—Please complete the worksheet and submit via the Commission dropbox. https://dropbox.usitc.gov/oinv/ . (PIN: CISP)

IV-24.	Other explanationsIf your firm would like to further explain a response to a question in Part
	IV that did not provide a narrative response box, please note the question number and the
	explanation in the space provided below. Please also use this space to highlight any issues your
	firm had in providing the data in this section, including but not limited to technical issues with
	the MS Word questionnaire.

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at: https://www.usitc.gov/investigations/title 7/2018/cast iron soil pipe china/preliminary.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: CISP

• E-mail.—E-mail the MS Word questionnaire to Amelia. Shister@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.