## U.S. PRODUCERS' QUESTIONNAIRE

# STAINLESS STEEL BAR (STAINLESS STEEL BAR) FROM BRAZIL, INDIA, JAPAN, AND SPAIN 

## This questionnaire must be received by the Commission by May 14, 2018. <br> See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its review of the antidumping duty orders concerning stainless steel bar from Brazil, India, Japan, and Spain (Inv. Nos. 731-TA-678-679 and 681-682 (Fourth Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm $\qquad$
Address $\qquad$
City ___ State ___ Zip Code ___

Website $\qquad$
Has your firm produced stainless steel bar (as defined on the next page) at any time since January 1, 2012?

| $\square$ NO | (Sign the certification below and promptly return only this page of the questionnaire to the Commission) |
| :--- | :--- |
| $\square$ YES | (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission) |

Return questionnaire via the Commission Drop Box by clicking on the following link:
https://dropbox.usitc.gov/oinv/ (PIN: SSBAR)

## CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings or reviews conducted by the Commission on the same or similar merchandise.
$I$, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official
Title of Authorized Official
Phone: $\qquad$

## Signature

Date

## Email address

## PART I.-GENERAL INFORMATION

Background.--On February 21, 1995, the Department of Commerce ("Commerce") issued antidumping duty orders on imports of stainless steel bar from Brazil, India, and Japan (60 FR 9661), and subsequently issued an antidumping duty order on imports of stainless steel bar from Spain (60 FR 11656) on March 2, 1995. On July 3, 2017, the Commission instituted reviews pursuant to section 751(c) of the Tariff Act of 1930 (19 U.S.C. § 1675(c)) (the Act) to determine whether revocation of the orders would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make affirmative determinations, the orders will remain in place. If either the Commission or Commerce makes negative determinations, Commerce will revoke the orders. Questionnaires and other information pertinent to this proceeding are available at
https://www.usitc.gov/investigations/701731/2017/stainless steel bar brazil india japan and spain/f ourth review full.htm.

Stainless steel bar covered by these reviews are articles of Stainless steel in straight lengths that have been either hot-rolled, forged, turned, cold-drawn, cold-rolled or otherwise cold-finished, or ground, having a uniform solid cross section along their whole length in the shape of circles, segments of circles, ovals, rectangles (including squares), triangles, hexagons, octagons or other convex polygons. Stainless steel bar includes cold-finished stainless steel bars that are turned or ground in straight lengths, whether produced from hot-rolled bar or from straightened and cut rod or wire, and reinforcing bars that have indentations, ribs, grooves, or other deformations produced during the rolling process.

Except as specified above, the term does not include Stainless steel semi-finished products, cut length flat-rolled products (i.e., cut length rolled products which if less than 4.75 mm in thickness have a width measuring at least 10 times the thickness, or if 4.75 mm or more in thickness having a width which exceeds 150 mm and measures at least twice the thickness), wire (i.e., cold-formed products in coils, of any uniform solid cross section along their whole length, which do not conform to the definition of flatrolled products), and angles, shapes and sections.

Exclusions for Japan: Effective for entries entered, or withdrawn for warehouse, for consumption on or after February 1, 2010, the term does not include one stainless steel bar product under Grade 304 and two types of stainless steel bar products under Grade 440C.
(1) The Grade 304 product meets the following descriptions: round cross-section, cold finished, chrome plated (plating thickness 10 microns or greater), hardness of plating a minimum 750 HV on the Vickers Scale, maximum roundness deviation of 0.020 mm (based on circularity tolerance described in JIS B 0021 (1984)), in actual (measured) lengths from 2000 mm to 3005 mm , in nominal outside diameters ranging from 6 mm to 30 mm (diameter tolerance for any size from minus 0.010 mm to minus 0.053 mm ). Tolerance can be defined as the specified permissible deviation from a specified nominal dimension; for example if the nominal outside diameter of the product entering is 6 mm , then the actual measured sizes should fall within 5.947 mm to 5.990 mm ;
(2) The first Grade 440C product meets the following descriptions: round cross-section, cold finished, heat treated through induction hardening, minimum Rockwell hardness of 56 Hardness of 56 HRC, maximum roundness deviation of 0.007 mm (based on circularity tolerance described in JIS B 0021 (1984)), in actual (measured) lengths from 500 mm to 3005 mm , in nominal outside diameters ranging from 3 mm to 38.10 mm (diameter tolerance for any size from 0.00 mm to minus 0.150 mm ). Tolerance can be defined as the specified permissible deviation from a specified nominal dimension; for example if the nominal outside diameter of the product entering is 3 mm , then the actual measured sizes should fall within 2.850 mm to 3.000 mm ;
(3) The second Grade 440C product meets the following descriptions: round cross-section, cold finished, chrome plated (plating thickness 5 microns or greater), heat treated through induction hardening, minimum Rockwell Hardness of 56 HRC, maximum roundness deviation of 0.007 mm (based on circularity tolerance described in JIS B 0021 (1984)), in actual (measured) lengths from 2000 mm to minus 3005 mm , (diameter tolerance for any size from minus 0.004 mm to minus 0.020 mm ). Tolerance can be defined as the specified permissible deviation from a specified nominal dimension; for example if the nominal outside diameter of the product entering is 6 mm , then the actual measured sizes should fall within 5.980 mm to 5.996 mm . Except as specified above, the term does not include Stainless steel semi-finished products, cut length flatrolled products (i.e., cut-length rolled products which if less than 4.75 mm in thickness have a width measuring at least 10 times the thickness, or if 4.75 mm or more in thickness having a width which exceeds 150 mm and measures at least twice the thickness), wire (i.e., cold-formed products in coils, of any uniform solid cross section along their whole length, which do not conform to the definition of flat-rolled products), and angles, shapes and sections.

Stainless steel bar is currently imported under statistical reporting numbers 7222.10.00, 7222.11.00, 7222.19.00, 7222.20.00, and 7222.30.00 of the Harmonized Tariff Schedule of the United States (HTSUS). The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

Reporting of information.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.-- The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

D-GRIDS tool.--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-
enabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is optional. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1. OMB statistics.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

| Hours | Dollars |
| :---: | :---: |
|  |  |

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. Establishments covered.--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. Firms operating more than one establishment should combine the data for all establishments into a single report.
"Establishment"--Each facility of a firm involved in the production of stainless steel bar, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

| Establishments <br> Covered $^{1}$ | City, State | Zip (5 digit) | Description |
| :---: | :---: | :---: | :---: |
| 1 |  |  |  |
| 2 |  |  |  |
| 3 |  |  |  |
| 4 |  |  |  |
| 5 |  |  |  |
| 6 | ${ }^{1}$ Additional discussion on establishments consolidated in this questionnaire: |  |  |

1-3. Position regarding continuation of orders.--Does your firm support or oppose continuation of the following antidumping duty orders currently in place for stainless steel bar?

| Country | Support | Oppose | Take no position |
| :--- | :---: | :---: | :---: |
| Brazil | $\square$ | $\square$ | $\square$ |
| India | $\square$ | $\square$ | $\square$ |
| Japan | $\square$ | $\square$ | $\square$ |
| Spain | $\square$ | $\square$ | $\square$ |

I-4. Ownership.--Is your firm owned, in whole or in part, by any other firm?NoYes--List the following information.

| Firm name | Address | Extent of <br> ownership <br> (percent) |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |
|  |  |  |

I-5. Related importers/exporters.--Does your firm have any related firms, either domestic or foreign, that are engaged in importing stainless steel bar into the United States or that are engaged in exporting stainless steel bar to the United States?Yes--List the following information and describe any affiliation, including the share of foreign ownership in the domestic producer.

| Firm name | Country | Affiliation |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |
|  |  |  |

I-6. Related producers.--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of stainless steel bar?
$\square$ No $\quad \square$ Yes--List the following information and describe any affiliation, including the share of foreign ownership in the domestic producer.

| Firm name | Country | Affiliation |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

I-7. Business plan.--In Parts II and IV of this questionnaire we request a copy of your company's business plan. Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected market conditions for stainless steel bar?

| No | Yes | If yes, please provide the requested documents. If you are not providing the <br> requested documents, please explain why not. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

## PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Kristina Lara (202-205-3386, kristina.lara@usitc.gov). Supply all data requested on a calendar-year basis.

II-1. Contact information.--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

| Name |  |
| :--- | :--- |
| Title |  |
| Email |  |
| Telephone |  |

II-2a. Changes in operations.--Please indicate whether your firm has experienced any of the following changes in relation to the production of stainless steel bar since January 1, 2012.

| Check as many as appropriate. |  | If checked, please describe; leave blank if not <br> applicable. |
| :--- | :--- | :--- |
| $\square$ | Plant openings |  |
| $\square$ | Plant closings |  |
| $\square$ | Relocations |  |
| $\square$ | Expansions |  |
| $\square$ | Acquisitions |  |
| $\square$ | Consolidations |  |
| $\square$ | Prolonged shutdowns or |  |
| production curtailments |  |  |

II -2b. Anticipated changes in operations.--Does your firm anticipate any changes in the character of its operations or organization relating to the production of stainless steel bar in the future?

|  |  | If yes, supply details as to the time, nature, and significance of <br> such changes and provide underlying assumptions, along with <br> relevant portions of business plans or other supporting <br> documentations that address this issue. Include in your <br> response a specific projection of your firm's capacity to <br> produce stainless steel bar (in short tons) for 2018 and 2019. |
| :---: | :---: | :--- |
| No Yes | Ye |  |
| $\square$ | $\square$ |  |

II-3a. Production using same machinery.--Please report your firm's production of products made on the same equipment and machinery used to produce stainless steel bar, and the combined production capacity on this shared equipment and machinery in the periods indicated.
"Overall production capacity" or "capacity" - The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise (i.e., you have reported no data for "out-of-scope production" in this question) then the "overall production capacity" numbers reported in this question should be exactly equal to the "average production capacity" numbers reported in question II-4. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope mercandhise, then the "average production capacity" reported in question II-4 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise
"Production" - All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

II-3a. Production using same machinery.--Continued

| Quantity (in short tons) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-March |  |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| Overall production capacity |  |  |  |  |  |
| Production of: Stainless steel bar ${ }^{1}$ | 0 | 0 | 0 | 0 | 0 |
| Out-of-scope production: SS wire rod |  |  |  |  |  |
| SS angles |  |  |  |  |  |
| Tool and high speed steels |  |  |  |  |  |
| Other products ${ }^{2}$ |  |  |  |  |  |
| Total | 0 | 0 | 0 | 0 | 0 |
| ${ }^{1}$ Data entered for production of stainless steel bar will populate here once reported in question II-4. <br> ${ }^{2}$ Please identify these products: |  |  |  |  |  |

II-3b. Operating parameters.--The production capacity reported in II-3a is based on operating $\qquad$ hours per week, $\qquad$ weeks per year.

II-3c. Capacity calculation.--Please describe the methodology used to calculate overall production capacity reported in II-3a, and explain any changes in reported capacity.
$\square$

II-3d. Production constraints.--Please describe the constraint(s) that set the limit(s) on your firm's production capacity.
$\square$

II-3e. Product shifting.--Is your firm able to switch production (capacity) between stainless steel bar and other products using the same equipment and/or labor?
No $\square$ Yes-- (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products: $\qquad$ .

II-3f. Product shifting factors.--Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.
$\square$
II-4. Production, shipment, and inventory data.--Report your firm's production capacity, production, shipments, and inventories related to the production of stainless steel bar in its U.S. establishment(s) during the specified periods.
"Average production capacity" or "capacity" - The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).
"Production" - All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.
"U.S. commercial shipments" -Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.
"Internal consumption" - Product consumed internally by your firm. Such transactions are valued at fair market value.
"Transfers to related firms" -Shipments made to related domestic firms. Such transactions are valued at fair market value.
"Related firm" -A firm that your firm solely or jointly owns, manages, or otherwise controls.
"Export shipments" -Shipments to destinations outside the United States, including shipments to related firms.
"Inventories" - Finished goods inventory, not raw materials or work-in-progress.
Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

## II-4. Production, shipment, and inventory data. --Continued

| Quantity (in short tons) and value (in \$1,000) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-March |  |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| Average production capacity ${ }^{1}$ (quantity) (A) |  |  |  |  |  |
| Beginning-of-period inventories (quantity) (B) |  |  |  |  |  |
| Production (quantity) (C) |  |  |  |  |  |
| U.S. shipments: <br> Commercial shipments: Quantity (D) |  |  |  |  |  |
| Value (E) |  |  |  |  |  |
| Internal consumption: ${ }^{2}$ Quantity (F) |  |  |  |  |  |
| Value $^{2}$ (G) |  |  |  |  |  |
| Transfers to related firms: ${ }^{2}$ Quantity (H) |  |  |  |  |  |
| Value $^{2}$ (I) |  |  |  |  |  |
| $\begin{gathered} \text { Export shipments: }{ }^{\mathbf{3}} \\ \text { Quantity (J) } \end{gathered}$ |  |  |  |  |  |
| Value (K) |  |  |  |  |  |
| End-of-period inventories (quantity) (L) |  |  |  |  |  |
| ${ }^{1}$ The production capacity reported is based on operating $\qquad$ hours per week, $\qquad$ weeks per year. Please describe the methodology used to calculate production capacity, explain how your firm allocates production capacity to products produced on the same equipment: $\qquad$ , and explain any changes in reported capacity $\qquad$ <br> ${ }^{2}$ Internal consumption and transfers to related firms must be valued at fair market value. Does your firm use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.): $\qquad$ (however, provide the data above at fair market value). <br> ${ }^{3}$ Identify your firm's principal export markets: $\qquad$ <br> Please explain how your firm allocates production capacity to products produced on the same equipment: $\qquad$ |  |  |  |  |  |

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

| Reconciliation | Calendar years |  |  | January-March |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| $B+C-D-F-H-J-L=$ should equal zero ("O") or provide an explanation. ${ }^{1}$ | 0 | 0 | 0 | 0 | 0 |

II-5. U.S. shipments by grade.--Report your firm's total U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) in 2017 by AISI (or equivalent) grade.

| Quantity (in short tons) |  |
| :--- | :--- |
| Item | Calendar year 2017 |
|  | Quantity |
| U.S. shipments.-- <br> AISI grade 303 (M) |  |
| AISI grade 304/304L (N) |  |
| AISI grade 316/316L (O) |  |
| AISI grade 410 (P) |  |
| AISI grade 416 (Q) |  |
| Other ${ }^{1}$ (R) |  |
| All grades |  |
| ${ }^{1}$ List the grade(s) for which you entered data in the "other" category |  |

RECONCILIATION OF SHIPMENTS BY GRADE AND TOTAL SHIPMENTS REPORTED IN 2017.--The total shipments by grade reported (i.e., lines $M, N, O, P, Q$, and $R$ ) should be equal to the total U.S. shipments reported in 2017 for question II-4 (i.e., lines D, F, and H). If the calculated fields below return values other than zero (i.e., " 0 "), the data reported must be revised prior to submission to the Commission.

| Item | Calendar year |
| :--- | :---: |
|  | 2017 |
| $\mathrm{M}+\mathrm{N}+\mathrm{O}+\mathrm{P}+\mathrm{Q}+\mathrm{R}-\mathrm{D}-\mathrm{F}-\mathrm{H}=$ should equal zero <br> (" 0 ") $)$, if not revise. |  |

II-6. U.S. shipments by product type.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by the product type for the specified periods.

| Quantity (in short tons) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Product Item | Calendar years |  |  | January-March |  |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| U.S. shipments.-- <br> Hot-rolled, hot-drawn or extruded: Quantity (S) |  |  |  |  |  |
| Value (T) |  |  |  |  |  |
| Cold-formed or cold-finished: Quantity (U) |  |  |  |  |  |
| Value (V) |  |  |  |  |  |
| Other: Quantity (W) |  |  |  |  |  |
| Value (X) |  |  |  |  |  |

RECONCILIATION OF SHIPMENTS.--Please ensure that the quantities and value reported for US shipments by product type (i.e., lines S through X) in each time period equal the quantities and values reported for U.S. shipments reported in question II-4 (i.e., lines D through I). If the calculated fields below return values other than zero (i.e., " 0 "), the data reported must be revised prior to submission to the Commission.

| Reconciliation | Calendar years |  |  | January-March |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| Quantity: $\mathrm{S}+\mathrm{U}+\mathrm{W}-\mathrm{D}-\mathrm{F}-\mathrm{H}=$ zero ("0"), if not revise. | 0 | 0 | 0 | 0 | 0 |
| Value: $\mathrm{T}+\mathrm{V}+\mathrm{X}-\mathrm{E}-\mathrm{G}-\mathrm{I}=$ zero ("0"), if not revise. | 0 | 0 | 0 | 0 | 0 |

II-7. Channels of distribution.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

| Quantity (in short tons) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-March |  |
|  | 2015 | $\mathbf{2 0 1 6}$ | $\mathbf{2 0 1 7}$ | $\mathbf{2 0 1 7}$ | $\mathbf{2 0 1 8}$ |
| Channels of distribution: <br> U.S. shipments: <br> To distributors (Y) |  |  |  |  |  |
| To fabricators or finishers (Z) |  |  |  |  |  |
| To end users (AA) |  |  |  |  |  |

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines $Y, Z$, and $A A$ ) in each time period equal the quantity reported for U.S. shipments (i.e., line $D, F$, and H) in each time period. If the calculated fields below return values other than zero (i.e., " 0 "), the data reported must be revised prior to submission to the Commission.

| Reconciliation | Calendar years |  |  | January-March |  |
| :--- | ---: | :---: | ---: | ---: | ---: |
|  | 2015 |  | 2016 | 2017 | 2017 |
| Y + Z + AA - D - F - H = zero ("O"), if <br> not revise. | 0 |  |  |  |  |

II-8. Employment data.--Report your firm's employment-related data related to the production of stainless steel bar and provide an explanation for any trends in these data.
"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations. Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to March periods, calculate similarly and divide by 3.
"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.
"Wages paid" - Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

| Item | Calendar years |  |  | January-March |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | $\mathbf{2 0 1 5}$ | $\mathbf{2 0 1 6}$ | $\mathbf{2 0 1 7}$ | $\mathbf{2 0 1 7}$ | $\mathbf{2 0 1 8}$ |
| Average number of PRWs (number) |  |  |  |  |  |
| Hours worked by PRWs (1,000 hours) |  |  |  |  |  |
| Wages paid to PRWs (\$1,000) |  |  |  |  |  |

Explanation of trends:
$\square$

II-9. Historical U.S. shipments.--Report your firm's U.S. shipments of stainless steel bar (i.e., inclusive of commercial U.S. shipment, internal consumption, and transfers to related firms) during the periods specified.

| Quantity (in short tons) and value (in \$1,000) |  |  |  |
| :---: | :---: | :---: | :---: |
| Item | Calendar year |  |  |
|  |  | 2012 | 2013 |
|  |  |  |  |
| Value |  |  |  |

II-10. Transfers to related firms.--If your firm reported transfers to related firms in question II-4, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

II-11. Purchases.--Other than direct imports, has your firm otherwise purchased stainless steel bar since January 1, 2012?
"Purchase" - A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.
"Import" -A transaction to buy from a foreign supplier where your firm is the importer of record.

| No | Yes | If yes-- Report such purchases below and explain the reasons for your <br> firms' purchases: |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |


| (Quantity in short tons) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Calendar years |  |  | January-March |  |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| Purchases from U.S. importers ${ }^{1}$ of stainless steel bar fromBrazil |  |  |  |  |  |
| India, other than Viraj and Venus |  |  |  |  |  |
| India, Viraj and Venus |  |  |  |  |  |
| Japan |  |  |  |  |  |
| Spain |  |  |  |  |  |
| All other sources ${ }^{2}$ |  |  |  |  |  |
| Purchases from domestic producers ${ }^{4}$ |  |  |  |  |  |
| Purchases from other sources ${ }^{3}$ |  |  |  |  |  |
| ${ }^{1}$ Please list the name of the importer(s) from which your firm purchased this product. If your firm's import suppliers differ by source, please identify the source for each listed supplier: $\qquad$ <br> ${ }^{2}$ All other sources should include data from Indian producers Viraj and Venus. <br> ${ }^{3}$ Please list the name of the producer(s) or U.S. distributor(s) from which your firm purchased this product: |  |  |  |  |  |

II-12. Toll production.--Since January 1, 2012, has your firm been involved in a toll agreement regarding the production of stainless steel bar?
"Toll agreement"--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

| No | Yes | If yes-- Please describe the toll arrangement(s) and name the firm(s) <br> involved. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

II-13. Foreign trade zones.--
(a) Firm's FTZ operations.--Does your firm produce stainless steel bar in and/or admit stainless steel bar into a foreign trade zone (FTZ)?
"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

| No | Yes | If yes-- Describe the nature of your firms operations in FTZs and identify <br> the specific FTZ site(s). |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

(b) Other firms' FTZ operations.--To your knowledge, do any firms in the United States import stainless steel bar into a foreign trade zone (FTZ) for use in distribution of stainless steel bar and/or the production of downstream articles?

| No | Yes | If yes--Identify the firms and the FTZs. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

II-14. Direct imports.--Since January 1, 2012, has your firm imported stainless steel bar?
"Importer" - The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

| No | Yes |  |
| :---: | :---: | :--- |
|  |  |  |
| $\square$ | $\square$ | If yes--COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE |

For questions II-15 and II-16, if your response differs for particular orders, please indicate and explain the particular effect of imposition and/or revocation of specific orders.

II-15. Effect of order.--Describe the significance of the existing antidumping duty order covering imports of stainless steel bar from Brazil, India, Japan, and Spain in terms of its effect on your firm's production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, and asset values. You may wish to compare your firm's operations before and after the imposition of the order.

II-16. Likely impact of revocation.--Would your firm anticipate any changes in the character of its operations or organization, including its production capacity, production, U.S. shipments, inventories, purchases, employment, revenues, costs, profits, cash flow, capital expenditures, research and development expenditures, or asset values relating to the production of stainless steel bar in the future if the antidumping duty orders on stainless steel bar from Brazil, India, Japan, and Spain were to be revoked?

|  |  | If yes, supply details as to the time, nature, and significance of <br> such changes and provide underlying assumptions, along with <br> relevant portions of business plans or other supporting <br> documentations that address this issue. Include in your <br> response a specific projection of your firm's capacity to <br> produce stainless steel bar (in short tons) for 2018 and 2019. |
| :---: | :---: | :--- |
| No | Yes |  |
| $\square$ | $\square$ |  |

II-17. Cold finishing operations.--Since January 1, 2015, has your firm purchased stainless steel bar covered by the investigations (either domestic or imported) and cold-finished those purchases into products that still match the definition of stainless steel bar?

| No | Yes | If yes—Please describe the nature and extent of the following items in <br> relation to your firm's cold finishing operations in the United States. |  |
| :--- | :--- | :--- | :--- |
| $\square$ | $\square$ | Capital investments |  |
|  | Technical expertise |  |  |
|  | Value added |  |  |
|  | Employment |  |  |
|  | Quantity, type and source <br> of parts |  |  |
|  | Costs and activities |  |  |

II-18. Cold finishing only processing operations' complexity and importance.--On a scale of 1 to 5, please provide your firm's subjective opinion as to the complexity, intensity, and importance of cold finishing only processing activities. 1 being minimally complex, intense or important. 5 being extremely complex, intense, and important.

| 1: <br> Minimally complex, <br> intense, and <br> important <br> $\square$ | 2 | 3 | 4 | 5: <br> Extremely complex, <br> intense, and <br> important |
| :---: | :---: | :---: | :---: | :---: |
| $\square$ | $\square$ | $\square$ | $\square$ |  |

II-19. Other explanations:--If your firm would like to explain further a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.
$\square$

## PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Emily Kim (202-205-1800, emily.kim@usitc.gov).

III-1. Contact information.--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

| Name |  |
| :--- | :--- |
| Title |  |
| Email |  |
| Telephone |  |

III-2. Accounting system.--Briefly describe your firm's financial accounting system.
A. When does your firm's fiscal year end (month and day)? $\qquad$
If your firm's fiscal year changed during the data-collection period, explain below:
B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include stainless steel bar:
2. Does your firm prepare profit/loss statements for stainless steel bar:
$\square$ Yes $\square$ No
3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.
$\square$ Audited, $\square$ unaudited, $\square$ annual reports, $\square$ 10Ks, $\square 10$ Qs,
$\square$ Monthly, $\square$ quarterly, $\square$ semi-annually, $\square$ annually
4. Accounting basis: $\square$ GAAP, $\square$ cash, $\square$ tax, or $\square$ other comprehensive basis of accounting (specify) $\qquad$

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes stainless steel bar, as well as specific statements and worksheets) used to compile these data.

III-3. Cost accounting system.--Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.).
$\square$
U.S. Producers' Questionnaire - Stainless steel bar (Fourth Review)

III-4. Allocation basis.--Briefly describe your firm's allocation basis, if any, for COGS, SG\&A, and interest expense and other income and expenses.
$\square$
III-5. Product listing.--Please list the products your firm produces in the facilities in which it produces stainless steel bar, and provide the share of net sales accounted for by these products in your firm's most recent fiscal year.

| Products | Share of sales |
| :--- | ---: |
| Stainless steel bar | $\%$ |
|  | $\%$ |
|  | $\%$ |
|  | $\%$ |
|  | $\%$ |

III-6. Does your firm purchase inputs (raw materials, labor, energy, or any services) used in the production of stainless steel bar from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?
$\square$ Yes--Continue to question III-7. No--Continue to question III-9a.

III-7. Inputs from related suppliers.--Please identify the inputs used in the production of stainless steel bar that your firm purchases from related suppliers and that are reflected in question III9a. For "Share of total COGS" please report this information by relevant input on the basis of your most recently completed fiscal year. For "Input valuation" please describe the basis, as recorded in the company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value.

| Input | Related supplier | Share of total COGS |
| :--- | :--- | :--- |
|  |  |  |
|  |  |  |
|  |  |  |
| Input valuation as recorded in the firm's accounting books and records |  |  |

III-8. Inputs from related suppliers at cost.--Please confirm that the inputs purchased from related suppliers, as identified in III-7, were reported in question III-9a (financial results on stainless steel bar) in a manner consistent with the firm's accounting books and records.


Yes
$\square$ No--In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in question III-9a.

III-9a. Operations on Stainless steel bar.--Report the revenue and related cost information requested below on the stainless steel bar operations of your firm's U.S. establishment(s). ${ }^{1}$ Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact Emily Kim at (202) 205-1800 before completing this section of the questionnaire.

| Quantity (in short tons) and value (in \$1,000) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Item | Fiscal years ended-- |  |  | January-March |  |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| Net sales quantities: ${ }^{1}$ Commercial sales ("CS") |  |  |  |  |  |
| Internal consumption ("IC") |  |  |  |  |  |
| Transfers to related firms ("Transfers") |  |  |  |  |  |
| Total net sales quantities | 0 | 0 | 0 | 0 | 0 |
| Net sales values: ${ }^{2}$ Commercial sales |  |  |  |  |  |
| Internal consumption |  |  |  |  |  |
| Transfers to related firms |  |  |  |  |  |
| Total net sales values | 0 | 0 | 0 | 0 | 0 |
| Cost of goods sold (COGS): ${ }^{3}$ Raw materials |  |  |  |  |  |
| Direct labor |  |  |  |  |  |
| Other factory costs |  |  |  |  |  |
| Total COGS | 0 | 0 | 0 | 0 | 0 |
| Gross profit or (loss) | 0 | 0 | 0 | 0 | 0 |
| Selling, general, and administrative (SG\&A) expenses: <br> Selling expenses |  |  |  |  |  |
| General and administrative expenses |  |  |  |  |  |
| Total SG\&A expenses | 0 | 0 | 0 | 0 | 0 |
| Operating income (loss) | 0 | 0 | 0 | 0 | 0 |
| Other expenses and income: Interest expense |  |  |  |  |  |
| All other expense items |  |  |  |  |  |
| All other income items |  |  |  |  |  |
| Net income or (loss) before income taxes | 0 | 0 | 0 | 0 | 0 |
| Depreciation/amortization included above |  |  |  |  |  |
| ${ }^{1}$ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations. <br> ${ }^{2}$ Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. <br> ${ }^{3}$ COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers. |  |  |  |  |  |

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

III-9b. Raw materials for stainless steel bar.--Please indicate the share of total raw material costs reported in III-9a in 2017 for the following raw material inputs:

| Products | Share of total raw <br> material costs <br> (percent) |
| :--- | ---: |
| Steel or iron (primary and scrap) costs | $\%$ |
| Alloy element costs | $\%$ |
| Other material inputs ${ }^{1}$ | $0.0 \%$ |
| Total (should sum to 100 percent) | $\%$ |
| ${ }^{1}$ Please indicate any other notable "other" raw materials not expressly identified above |  |
| and provide the share of the total raw material costs that they account for: |  |

III-9c. Financial data reconciliation.--The calculable line items from question III-9a (i.e., total net sales quantities and values, total COGS, gross profit (or loss), total SG\&A, and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?
$\square$ Yes $\square$ No--If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.

Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negative--instances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative).

If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-10. Nonrecurring items (charges and gains) included in the subject product financial results.--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in $\$ 1,000$ ), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

|  | Fiscal years ended-- |  |  | January-March |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| Nonrecurring item: In this column please provide a brief description of each nonrecurring item and indicate the specific line item in table III-9a where the nonrecurring item is classified. | Nonrecurring item: In these columns please report the amount of the relevant nonrecurring item reported in question III-9a. <br> Value $(\$ 1,000)$ |  |  |  |  |
| 1. , classified as |  |  |  |  |  |
| 2. , classified as |  |  |  |  |  |
| $3 . \quad$, classified as |  |  |  |  |  |
| 4. , classified as |  |  |  |  |  |
| 5. , classified as |  |  |  |  |  |
| 6. , classified as |  |  |  |  |  |
| 7. , classified as |  |  |  |  |  |

III-11. Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.--If non-recurring items were reported in question III-10 above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items are reported in question III-9a.
$\square$

III-12. Asset values.--Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of stainless steel bar. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for stainless steel bar in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a. Provide data as of the end of your firm's three most recently completed fiscal years.

Note: Total assets should reflect net assets after any accumulated depreciation and allowances deducted.

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

| Value (in \$1,000) |  |  |  |
| :--- | :--- | :--- | :--- |
| Item | Fiscal years ended-- |  |  |
|  | $\mathbf{2 0 1 5}$ | $\mathbf{2 0 1 6}$ |  |
|  |  |  |  |
| ${ }^{1}$ Describe |  |  |  |

III-13. Capital expenditures and research and development expenses.--Report your firm's capital expenditures and research and development (R\&D) expenses for stainless steel bar by top four largest and all others. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

|  | Fiscal years ended-- |  |  | January-March |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| Capital expenditures: In this column please describe the nature, focus, and location of each capital expenditure. | Capital expenditures: In these columns please report the amount of the relevant capital expenditure. <br> Value(in $\$ 1,000)$ |  |  |  |  |
| 1st largest (describe: ) |  |  |  |  |  |
| 2nd largest (describe: ) |  |  |  |  |  |
| 3rd largest (describe: ) |  |  |  |  |  |
| 4th largest (describe: ) |  |  |  |  |  |
| All other |  |  |  |  |  |
| Total capital expenditures | 0 | 0 | 0 | 0 | 0 |


|  | Fiscal years ended-- |  |  | January-March |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 2015 | 2016 | 2017 | 2017 | 2018 |
| Research and development expenses: In this column please describe the nature, focus, and location of each R\&D expense. | Research and development expenses: In these columns please report the amount of the relevant R\&D expense. <br> Value(in $\$ 1,000$ ) |  |  |  |  |
| 1st largest (describe: ) |  |  |  |  |  |
| 2nd largest (describe: ) |  |  |  |  |  |
| 3rd largest (describe: ) |  |  |  |  |  |
| 4th largest (describe: ) |  |  |  |  |  |
| All other |  |  |  |  |  |
| Total research and development expenses | 0 | 0 | 0 | 0 | 0 |

III-14. Data consistency and reconciliation.--Please indicate whether your firm's financial data for questions III-9a, 12, and 13 are based on a calendar year or your firm's fiscal year:

| Calendar year | Fiscal year | Specify fiscal year |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |
| $\square$ | $\square$ |  |

Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-4 (including export shipments) as long as they are reported on the same calendar year basis.

RECONCILIATION OF TRADE VS FINANCIAL DATA.--Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported for total net sales in part III of this questionnaire in each time period unless the financial data from part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., " 0 ") and both are being reported on a calendar basis, please explain the discrepancy below.

|  | Full year data |  |  | January-March |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Reconciliation | $\mathbf{2 0 1 5}$ | $\mathbf{2 0 1 6}$ | $\mathbf{2 0 1 7}$ | $\mathbf{2 0 1 7}$ | $\mathbf{2 0 1 8}$ |
| Quantity: Trade data from question <br> II-6 (lines D, F, H, and J) less financial <br> total net sales quantity data from <br> question III-9a, = zero ("O"). |  |  |  |  |  |
| Value: Trade data from question II-6 <br> (lines E, G, I, and K) less financial <br> total net sales value data from <br> question III-9a, = zero ("0"). |  | 0 |  |  |  |

Do these data in question III-9a reconcile with data in question II-4?

| Yes | No | If no, please explain. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

III-15. Other explanations.--If your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.


## PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Lauren Gamache (202-2053489, lauren.gamache@usitc.gov).

IV-1. Contact information.--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part IV.

| Name |  |
| :--- | :--- |
| Title |  |
| Email |  |
| Telephone |  |

## PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers of the following products produced by your firm.

Product 1.-- Stainless steel bar, grade AISI 304/304L, 3.000 inch in diameter, annealed, coldfinished, of round shape.

Product 2.-- Stainless steel bar, grade AISI 303, 1.000 inch in diameter, annealed, cold-finished, of round shape.

Product 3.--Stainless steel bar, grade AISI 303, 2.000 inch in diameter, annealed, cold-finished, of round shape.

Product 4.--Stainless steel bar, grade AISI 316, 3.000 inch in diameter, annealed, cold-finished, of round shape.

Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the final net amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).
(a) During January 2015-March 2018, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

| $\square$ | Yes.--Please complete the following pricing data table(s) as appropriate. |
| :--- | :--- |
| $\square$ | No.--Skip to question IV-3. |

IV-2(b). Price data.--Report below the quarterly price data ${ }^{1}$ for pricing products ${ }^{2}$ produced and sold by your firm.

Report data in short tons and actual dollars (not 1,000s).

| (Quantity in short tons, value in dollars) |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Period of shipment | Product 1 |  | Product 2 |  | Product 3 |  | Product 4 |  |
|  | Quantity | Value | Quantity | Value | Quantity | Value | Quantity | Value |
| 2015: January-March |  |  |  |  |  |  |  |  |
| April-June |  |  |  |  |  |  |  |  |
| July-September |  |  |  |  |  |  |  |  |
| October-December |  |  |  |  |  |  |  |  |
| 2016: <br> January-March |  |  |  |  |  |  |  |  |
| April-June |  |  |  |  |  |  |  |  |
| July-September |  |  |  |  |  |  |  |  |
| October-December |  |  |  |  |  |  |  |  |
| 2017: <br> January-March |  |  |  |  |  |  |  |  |
| April-June |  |  |  |  |  |  |  |  |
| July-September |  |  |  |  |  |  |  |  |
| October-December |  |  |  |  |  |  |  |  |
| 2018: <br> January-March |  |  |  |  |  |  |  |  |
| ${ }^{1}$ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment. <br> ${ }^{2}$ Pricing product definitions are provided on the first page of Part IV. <br> Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data. <br> Product 1: <br> Product 2: <br> Product 3: <br> Product 4: |  |  |  |  |  |  |  |  |

IV-2 (c). Price data checklist.--Please check that the pricing data in question IV-2(a) has been correctly reported.

| Is the price data reported above: | $\boldsymbol{V}$ if Yes |
| :--- | :---: |
| In actual dollars (not $\$ 1,000$ )? | $\square$ |
| F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)? | $\square$ |
| Net of all discounts and rebates? | $\square$ |
| Have returns credited to the quarter in which the sale occurred? | $\square$ |
| Less than reported commercial shipments in question II-4 in each year? | $\square$ |

IV-2(d). Pricing data methodology.--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.
$\square$
IV-3. Price setting.--How does your firm determine the prices that it charges for sales of stainless steel bar (check all that apply)? If your firm issues price lists, please submit sample pages of a recent list.

| Transaction <br> by <br> transaction | Contracts | Set <br> price <br> lists | Other |  |
| :---: | :---: | :---: | :---: | :--- |
| $\square$ | $\square$ | $\square$ | $\square$ |  |
| $\square$ | $\square$ | If other, describe |  |  |

IV-4. Discount policy.--Please indicate and describe your firm's discount policies (check all that apply).

| Quantity <br> discounts | Annual <br> total <br> volume <br> discounts | No <br> discount <br> policy | Other |  |
| :---: | :---: | :---: | :---: | :--- |
| $\square$ | $\square$ | $\square$ | $\square$ |  |
|  | $\square$ | $\square$ | Describe |  |

## IV-5. Pricing terms.--

(a) What are your firm's typical sales terms for its U.S.-produced stainless steel bar?

| Net 30 <br> days | Net 60 <br> days | 2/10 net <br> 30 days | Other | Other (specify) |
| :---: | :---: | :---: | :---: | :---: |
| $\square$ | $\square$ | $\square$ | $\square$ |  |

(b) On what basis are your firm's prices of domestic stainless steel bar usually quoted (check one)?

| Delivered | F.o.b. | If f.o.b., specify point |
| :---: | :---: | :---: |
| $\square$ | $\square$ |  |

IV-6. Contract versus spot.--Approximately what share of your firm's sales of its U.S.-produced stainless steel bar in 2017 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

|  | Type of sale |  |  |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | Long-term <br> contracts <br> (multiple <br> deliveries for <br> more than 12 <br> months) | Annual <br> contracts <br> (multiple <br> deliveries for 12 <br> months) | Short-term <br> contracts <br> (multiple <br> deliveries for <br> less than 12 <br> months) | Spot sales <br> (for a single <br> delivery) | Total <br> (should <br> sum to <br> $100.0 \%)$ |
|  | $\%$ | $\%$ | $\%$ | $\%$ | 0.0 |

IV-7. Contract provisions.--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced stainless steel bar (or check "not applicable" if your firm does not sell on a longterm, short-term and/or annual contract basis).

| Typical sales contract provisions | Item | Short-term contracts (multiple deliveries for less than 12 months) | Annual contracts (multiple deliveries for 12 months) | Long-term contracts (multiple deliveries for more than 12 months) |
| :---: | :---: | :---: | :---: | :---: |
| Average contract duration | No. of days |  | 365 |  |
| Price renegotiation (during contract period) | Yes | $\square$ | $\square$ | $\square$ |
|  | No | $\square$ | $\square$ | $\square$ |
| Fixed quantity and/or price | Quantity | $\square$ | $\square$ | $\square$ |
|  | Price | $\square$ | $\square$ | $\square$ |
|  | Both | $\square$ | $\square$ | $\square$ |
| Indexed to raw material costs ${ }^{1}$ | Yes | $\square$ | $\square$ | $\square$ |
|  | No | $\square$ | $\square$ | $\square$ |
| Meet or release provision | Yes | $\square$ | $\square$ | $\square$ |
|  | No | $\square$ | $\square$ | $\square$ |
| Not applicable |  | $\square$ | $\square$ | $\square$ |
| ${ }^{1}$ Please identify the indexes used: |  |  |  |  |

IV-8. Lead times.--What is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced stainless steel bar?

| Source | Share of 2017 <br> sales | Lead time <br> (Average number of <br> days) |
| :--- | ---: | :---: |
| From inventory | $\%$ |  |
| Produced to order | $\%$ |  |
| Total (should sum to $100.0 \%$ ) | $0.0 \%$ |  |

## IV-9. Shipping information.--

(a) What is the approximate percentage of the cost of U.S.-produced stainless steel bar that is accounted for by U.S. inland transportation costs? $\qquad$ \%
(b) Who generally arranges the transportation to your firm's customers' locations?Your firmPurchaser (check one)
(c) Indicate the approximate percentage of your firm's sales of stainless steel bar that are delivered the following distances from your firm's production facility.

| Distance from production facility | Share |
| :--- | :---: |
| Within 100 miles | $\%$ |
| 101 to 1,000 miles | $\%$ |
| Over 1,000 miles | $\%$ |
| Total (should sum to $100.0 \%)$ |  |

IV-10. Geographical shipments.--In which U.S. geographic market area(s) has your firm sold its U.S.produced stainless steel bar since January 1, 2012 (check all that apply)?

| Geographic area | v if applicable |
| :--- | :---: |
| Northeast.-CT, ME, MA, NH, NJ, NY, PA, RI, and VT. | $\square$ |
| Midwest.-IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI. | $\square$ |
| Southeast.-AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV. | $\square$ |
| Central Southwest.-AR, LA, OK, and TX. | $\square$ |
| Mountains.-AZ, CO, ID, MT, NV, NM, UT, and WY. | $\square$ |
| Pacific Coast.-CA, OR, and WA. | $\square$ |
| Other.-All other markets in the United States not previously listed, <br> including AK, HI, PR, and VI. | $\square$ |

IV-11. End uses.--
(a) List the end uses of the stainless steel bar that your firm manufactures. For each enduse product, what percentage of the total cost is accounted for by stainless steel bar and other inputs?

| End use product | Share of total cost of end use product <br> accounted for by |  | Total <br> (should sum to |
| :---: | :---: | :---: | :---: |
|  | Stainless steel bar | Other inputs |  |
|  | $\%$ | $\%$ | $0.0 \%$ |
|  | $\%$ | $\%$ | $0.0 \%$ |
|  | $\%$ | $\%$ | $0.0 \%$ |

(b) Have there been any changes in the end uses of stainless steel bar since January 1, 2012? Do you anticipate any future changes?

| Changes in end <br> uses | No | Yes | Explain |
| :--- | :---: | :--- | :--- |
| Changes since <br> January 1, 2012 | $\square$ | $\square$ |  |
| Anticipated <br> changes | $\square$ | $\square$ |  |

## IV-12. Substitutes.--

(a) Can other products be substituted for stainless steel bar?
$\square$ No $\quad \square$ Yes--Please fill out the table.

| Substitute |  | End use in which this substitute is used | Have changes in the price of this substitute affected the price for stainless steel bar? |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | No | Yes | Explanation |
| 1. |  |  |  | $\square$ | $\square$ |  |
| 2. |  |  | $\square$ | $\square$ |  |
| 3. |  |  | $\square$ | $\square$ |  |

IV-12. Substitutes.-- Continued
(b) Have there been any changes in the number or types of products that can be substituted for stainless steel bar since January 1, 2012? Do you anticipate any future changes?

| Changes in <br> substitutes | No | Yes |  |
| :--- | :--- | :--- | :--- |
| Changes since <br> January 1, 2012 | $\square$ | $\square$ |  |
| Anticipated <br> changes | $\square$ | $\square$ |  |

IV-13. Availability of supply.--Has the availability of stainless steel bar in the U.S. market changed since January 1, 2012? Do you anticipate any future changes?

| Availability in the U.S. market | No | Yes | Please explain, noting the countries and reasons for the changes. |
| :---: | :---: | :---: | :---: |
| Changes since January 1, 2012: |  |  |  |
| U.S.-produced product |  | $\square$ |  |
| Imports from Brazil, India, Japan, and/or Spain |  | $\square$ |  |
| Imports from all other countries |  | $\square$ |  |
| Anticipated changes: |  |  |  |
| U.S.-produced product |  | $\square$ |  |
| Imports from Brazil, India, Japan, and/or Spain |  | $\square$ |  |
| Imports from all other countries | $\square$ | $\square$ |  |

IV-14. Demand trends.-- Indicate how demand within the United States and outside of the United States (if known) for stainless steel bar has changed since January 1, 2012, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

| Market | Overall <br> increase | No change | Overall <br> decrease | Fluctuate with no clear trend | Explanation and factors |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Demand since January 1, 2012 |  |  |  |  |  |
| Within the United States | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Outside the United States | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Anticipated future demand |  |  |  |  |  |
| Within the United States | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Outside the United States | $\square$ | $\square$ | $\square$ | $\square$ |  |

IV-15. Product changes.--Have there been any significant changes in the product range, product mix, or marketing of stainless steel bar since January 1, 2012? Do you anticipate any future changes?

| Changes in <br> product range, <br> product mix, or <br> marketing | No | Yes |  |
| :--- | :--- | :--- | :--- |
| Changes since <br> January 1, 2012 | $\square$ | $\square$ |  |
| Anticipated <br> changes | $\square$ | $\square$ |  |

## IV-16. Conditions of competition.--

(a) Is the stainless steel bar market subject to business cycles (other than general economywide conditions) and/or other conditions of competition distinctive to stainless steel bar?

| Check all that apply. | Please describe. |
| :--- | :--- |
| $\square \quad$ No | Skip to question IV-17. |
| $\square$ | Yes-Business cycles (e.g. <br> seasonal business) |
| $\square$ | Yes-Other distinctive <br> conditions of competition |
| $\square$ |  |

(b) If yes, have there been any changes in the business cycles or conditions of competition for stainless steel bar since January 1, 2012?

| No | Yes | If yes, describe. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

IV-17. Supply constraints.--Has your firm refused, declined, or been unable to supply stainless steel bar since January 1, 2012 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?

| No | Yes | If yes, please describe. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

IV-18. Raw materials.--Indicate how stainless steel bar raw material prices have changed since January 1, 2012, and how you expect they will change in the future.

| Raw <br> materials <br> prices | Overall <br> increase | No <br> change | Fluctuate <br> with no <br> Overall <br> decrease | Explain, noting how raw material <br> price changes have affected your <br> trend |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Chang's selling prices for stainless steel <br> bar. |  |  |  |  |  |
| January 1, <br> 2012 | $\square$ | $\square$ | $\square$ | $\square$ |  |
| Anticipated <br> changes | $\square$ | $\square$ | $\square$ | $\square$ |  |

III-19. Surcharges.--
(a) Does your firm employ a surcharge for any of the following inputs (check all that apply)?

| Input | No | Yes | If yes, please describe the surcharge formula applied for each input and whether the formula has changed since 2012. |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  | Spot sales | Contract |
| Chrome | $\square$ | $\square$ |  |  |
| Copper | $\square$ | $\square$ |  |  |
| Iron | $\square$ | $\square$ |  |  |
| Molybdenum | $\square$ | $\square$ |  |  |
| Nickel | $\square$ | $\square$ |  |  |
| Energy (natural gas and /or electricity) | $\square$ | $\square$ |  |  |
| Fuel (for transport) | $\square$ | $\square$ |  |  |
| Other (describe: | $\square$ | $\square$ |  |  |

(b) How frequently does your firm change or adjust its surcharges for stainless steel bar?

| Daily | Weekly | Monthly | Quarterly | Annually | Other | If other, specify |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\square$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |

## IV-20. Base price.-

(a) How does your firm determine its base price for stainless steel bar?
$\square$
(b) Does your base price include any raw material costs that are not included in your surcharges?

| No | Yes | If yes, please identify the raw material(s) and explain how raw <br> material price changes have affected your firm's base price for <br> stainless steel bar |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

(c) How frequently does your firm change or adjust its base price for stainless steel bar?

| Daily | Weekly | Monthly | Quarterly | Annually | Other | If other, specify |
| :---: | :---: | :---: | :---: | :---: | :---: | :--- |
| $\square$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |  |

IV-21. Price comparisons.--Please compare market prices of stainless steel bar in U.S. and non-U.S. markets, if known. Provide specific information as to time periods and regions for any price comparisons.
$\square$
IV-21. Price comparisons.--Please compare market prices of stainless steel bar in U.S. and non-U.S. markets, if known. Provide specific information as to time periods and regions for any price comparisons.
$\square$
IV-22. Market studies.--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss stainless steel bar supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including Brazil, India, Japan, and Spain, and (3) the world as a whole. Of particular interest is such data from 2012 to the present and forecasts for the future.

IV-23. Export constraints.--Describe how easily your firm can shift its sales of stainless steel bar between the U.S. market and alternative country markets. In your discussion, please describe any contracts, other sales arrangements, or other constraints that would prevent or retard your firm from shifting stainless steel bar between the U.S. and alternative country markets within a 12-month period.
$\square$

IV-24. Barriers to trade.--Are your firm's exports of stainless steel bar subject to any tariff or non-tariff barriers to trade in other countries?

| No | Yes | If yes, please list the countries and describe any such barriers and any <br> significant changes in such barriers that have occurred since January 1, <br> 2012, or that are expected to occur in the future. |
| :---: | :---: | :--- |
| $\square$ | $\square$ |  |

IV-25. Effect of 232 investigation of steel on conditions of competition.--
(a) Are you familiar with the 232 investigation or the imposition of tariffs on imported steel products?
$\square$ No (skip to IV-26)
Yes (complete part b)
(b) Did the announcement of the 232 investigation in April 2017 or the imposition of tariffs on imported steel products in March 2018 impact on the conditions of competition for stainless steel bar?

|  | No | Yes | If yes, describe. |
| :--- | :--- | :--- | :--- |
| Announcement of the 232 investigation in <br> April 2017 | $\square$ | $\square$ |  |
| Imposition of tariffs on imported steel <br> products in March 2018 | $\square$ | $\square$ |  |

IV-26. Interchangeability.--Is stainless steel bar produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate $A, F, S, N$, or 0 in the table below:
$A=$ the products from a specified country-pair are always interchangeable
$\mathrm{F}=$ the products are frequently interchangeable
$\mathrm{S}=$ the products are sometimes interchangeable
$\mathrm{N}=$ the products are never interchangeable
$0=n o$ familiarity with products from a specified country-pair

| Country-pair | Brazil | India | Japan | Spain | Other <br> countries |
| :--- | :--- | :--- | :--- | :--- | :--- |
| United States |  |  |  |  |  |
| Brazil |  |  |  |  |  |
| India |  |  |  |  |  |
| Japan |  |  |  |  |  |
| Spain |  |  |  |  |  |

For any country-pair producing stainless steel bar that is sometimes or never interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

IV-27. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between stainless steel bar produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate $\mathrm{A}, \mathrm{F}, \mathrm{S}, \mathrm{N}$, or O in the table below:
$\mathrm{A}=$ such differences are always significant
$\mathrm{F}=$ such differences are frequently significant
$\mathrm{S}=$ such differences are sometimes significant
$\mathrm{N}=$ such differences are never significant
$0=$ no familiarity with products from a specified country-pair

| Country-pair | Brazil | India | Japan | Spain | Other <br> countries |
| :--- | :--- | :--- | :--- | :--- | :--- |
| United States |  |  |  |  |  |
| Brazil |  |  |  |  |  |
| India |  |  |  |  |  |
| Japan |  |  |  |  |  |
| Spain |  |  |  |  |  |

For any country-pair for which factors other than price always or frequently are a significant factor in your firm's sales of stainless steel bar, identify the country-pair and report the advantages or disadvantages imparted by such factors:

## IV-28. Other explanations.--

(a) If your firm would like to further explain a response to any question that did not provide a narrative response box, please note the question number and the explanation in the space provided below.
(b) If your firm's responses are substantially different for a subset of years since January 2012, please describe below.
$\square$

## HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at: https://www.usitc.gov/investigations/701731/2017/stainless steel bar brazil india ja pan and spain/fourth review full.htm<br>Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- Upload via Secure Drop Box.-Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: SSBAR

- E-mail.—E-mail the MS Word questionnaire to kristina.lara@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.-If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR $\S$ 207.7). Service of the questionnaire must be made in paper form.

