U.S. PRODUCERS' QUESTIONNAIRE

GLASS CONTAINERS FROM CHINA

This questionnaire must be received by the Commission by October 9, 2019

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping and countervailing duty investigations concerning glass containers from China (Inv. No. 701-TA-630 and 731-TA-1462 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm

Address				
City		State	Zip Code	e
Website				
Has your fire	m produced glas	ss containers (as defined on next pa	age) at any time s	since January 1, 2016?
☐ NO	(Sign the certi	ification below and promptly return o n	ly this page of the	questionnaire to the Commission)
YES	(Complete all	parts of the questionnaire, and return	the entire questior	nnaire to the Commission)
•		the U.S. International Trade Coropbox.usitc.gov/oinv/. (PIN: G	•	p Box by clicking on the
		CERTIFICATIO	N	
mation provided in commission on the condersigned, acceding or other properties onnel (a) for develows, and evaluation	in this question in this question in same or similar in the knowledge that roceedings may loping or maint ons relating to J.S. government	naire and throughout this proceed r merchandise. It information submitted in respo to be disclosed to and used: (i) by taining the records of this or a re to the programs, personnel, and	nse to this requ the Commission, lated proceeding operations of th	yees and contract personnel, to use or import-injury proceedings conducted uest for information and throughout , its employees and Offices, and con g, or (b) in internal investigations, au the Commission including under 5 L persecurity purposes. I understand the
e of Authorized Oj	fficial	Title of Authorized Official		
	-	The of Authorized Official		Date

PART I.—GENERAL INFORMATION

Background.-- This proceeding was instituted in response to a petition filed on September 25, 2019, by the American Glass Packaging Coalition, Tampa, Florida and Chicago, Illinois. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://www.usitc.gov/investigations/701731/2019/glass_containers_china/preliminary.htm.

<u>Glass containers</u> covered by these investigations are certain glass containers with a nominal capacity of 0.059 liters (2.0 fluid ounces) to 4.0 liters (135.256 fluid ounces) and an opening or mouth with a nominal outer diameter of 14 millimeters to 120 millimeters. The scope includes glass jars, bottles, flasks and similar containers; with or without their closures; whether clear or colored; and with or without, design or functional enhancements (including, but not limited to, handles, embossing, labeling, or etching).

Excluded from the scope of the investigation are: (1) Glass containers made of borosilicate glass, meeting United States Pharmacopeia requirements for Type 1 pharmaceutical containers; (2) Glass containers produced by 'free blown' method or otherwise without the use of a mold (i.e., without 'mold seems', 'joint marks', or 'parting lines'); and (3) Glass containers without a 'finish' (i.e., the section of a container at the opening including the lip and ring or collar, threaded or otherwise compatible with a type of closure, including but not limited to a lid, cap, or cork).

Glass containers are currently imported under statistical reporting numbers 7010.90.5009, 7010.90.5019, 7010.90.5029, 7010.90.5039, 7010.90.5049, 7010.90.5055, 7010.90.5005, 7010.90.5015, 7010.90.5025, 7010.90.5035, and 7010.90.5045 of the Harmonized Tariff Schedule of the United States (HTSUS). The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Gross: 1 gross = 144 discrete glass containers

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in

connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

In addition, if your firm is a U.S. producer, the information you provide on your production and imports of glass containers and your responses to the questions in Part I of the producer questionnaire will be provided to the U.S. Department of Commerce, upon its request, for use in connection with (and only in connection with) its requirement pursuant to section 702(c)(4)/732(c)(4) of the Act (19 U.S.C. § 1671a(c)(4)/1673a(c)(4)) to make a determination concerning the extent of industry support for the petition requesting this proceeding. Any information provided to Commerce will be transmitted under the confidentiality and release guidelines set forth above. Your response to these questions constitutes your consent that such information be provided to Commerce under the conditions described above.

<u>D-GRIDS tool.</u>--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macroenabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1a. <u>OMB statistics</u>.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-1b.	TAA information release In the event that the U.S. International Trade Commission (USITC)
	makes an affirmative final determination in this proceeding, do you consent to the USITC's
	release of your contact information (company name, address, contact person, contact person's
	title, telephone number, email address) appearing on the front page of this questionnaire to the
	Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its
	workers can be made eligible for benefits under the Trade Adjustment Assistance program?
	- · · · · · · · · · · · · · · · · · · ·

	Yes		No
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I-2a. <u>Establishments covered</u>.--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. Firms operating more than one establishment should combine the data for all establishments into a single report.

"<u>Establishment</u>"--Each facility of a firm involved in the <u>production</u> of glass containers, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments covered ¹	City, State	Zip (5 digit)	Description	
1				
2				
3				
4				
5				
6				
¹ Additional discussion on establishments consolidated in this questionnaire:				

2b.			<u>ı-</u> If your firm or parent firm is publicly traded, please specify the g symbol:		
2c.			firm or parent firm is represented by external counsel in relation to ecify the name of the law firm and the lead attorney(s).		
	Law firm: Lead attorney				
3.	<u>Petitioner status.</u> Is your firm a petitioner in this proceeding or a member firm of the petitioning entity?				
	No	Yes			

I-4. Petition support.--Does your firm support or oppose the petition?

Petition	Support	Oppose	Take no position
China AD			
China CVD			

U.S. Producers' Questionnaire - Glass Containers (Preliminary) I-5. Ownership.--Is your firm owned, in whole or in part, by any other firm? No Yes--List the following information, relating to the ultimate parent/owner. Extent of ownership (percent) Firm name Country I-6. Related importers/exporters.--Does your firm have any related firms, either domestic or foreign, that are engaged in importing glass containers from China into the United States or that are engaged in exporting glass containers from China to the United States? Yes--List the following information. Affiliation Firm name Country

7.	<u>Related producers</u> Does your firm have any related firms, either domestic or foreign, that are engaged in the production of glass containers?				
	☐ No ☐ YesList the following information.				
	Firm name	Country	Affiliation		

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Christopher W. Robinson (202-205-2542, chris.robinson@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1.	•	nationPlease identify the responsible aff may contact that individual regardir	individual and the manner by which ng the confidential information submitted
	Name		
	Title		
	Email		
	Telephone		

II-2. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the production of glass containers since January 1, 2016.

(chec	k as many as appropriate)	(If checked, please describe; leave blank if not applicable)
	plant openings	
	plant closings	
	relocations	
	expansions	
	acquisitions	
	consolidations	
	prolonged shutdowns or production curtailments	
	revised labor agreements	
	other (e.g., technology)	

II-3a. **Production using same machinery.--**Please report your firm's production of products using the same equipment, machinery, or employees as used to produce glass containers, and the combined production capacity on this shared equipment, machinery, or employees in the periods indicated.

"Overall production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise then the "overall production capacity" numbers reported in this question should be exactly equal to the "average production capacity" numbers reported in question II-7. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope merchandise, then the "average production capacity" reported in question II-7 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise.

"**Production**" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"Gross"--1 gross = 144 discrete glass containers

Quantity (in gross)					
		Calendar years		January-June	
ltem	2016	2017	2018	2018	2019
Overall production capacity ¹					
Production of: Glass containers ²	0	0	0	0	0
Out-of-scope production Containers made of borosilicate glass (pharmaceutical containers)					
Glass containers produced by "free blow" method or otherwise without use of a mold					
Glass containers without a "finish," (the part at the opening compatible with a closure, e.g., cap, cork, lid)					
Other products ³					
Subtotal, out-of-scope production	0	0	0	0	0
Total production using same machinery or workers	0	0	0	0	0

¹ Data reported for capacity (first line) should be greater than data reported for total production (last line).

² Data entered for production of glass containers will populate here once reported in question II-7.

³ Please identify these products: _____.

 D I /	O	- Glass Containers	/D., - I: :\
 Producers	i illectionnaire -	- (-lacc (Antainarc	i preliminarvi

Production Production Production Product	on constration capacity	nPlease don II-3a, and e	explain any	/ changes i	n reported	l capacit	ty.	
producti Product	on capacit		e describe	the constr	aint(s) tha	t set the	· limit(s)	on your firm
	•	able to swit sing the sam	e equipme	ent and/or	labor?			ners and oth
No	Yes	Please iden	-		-			
k	etween p	cribe the fact roducts (e.g. rs enhance o	, time, cos	t, relative	price chan	-	-	
		ary 1, 2016, containers?	•	ïrm been i	nvolved in	a toll ag	greemen	t regarding
material	s and the s	Agreement l econd firm u h a charge fo	uses the ra	w materia	ls to produ	ice a pro		

II-6.	Foreign	trade	zones

(a) <u>Firm's FTZ operations</u>.--Does your firm produce glass containers in and/or admit glass containers into a foreign trade zone (FTZ)?

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	Yes	If yesDescribe the nature of your firms operations in FTZs and identify the specific FTZ site(s).

(b) Other firms' FTZ operations.--To your knowledge, do any firms in the United States import glass containers into a foreign trade zone (FTZ) for use in distribution of glass containers and/or the production of downstream articles?

No	Yes	If yesIdentify the firms and the FTZs.

- II-7. <u>Production, shipment, and inventory data</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of glass containers in its U.S. establishment(s) during the specified periods.
 - "Average production capacity" or "capacity" The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).
 - "**Production**" All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.
 - "Commercial U.S. shipments" Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report <u>net values</u> (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.
 - "Internal consumption" Product consumed internally by your firm. Such transactions are valued at fair market value.
 - "Transfers to related firms" Shipments made to related firms. Such transactions are valued at fair market value.
 - "Related firm" —A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.
 - "Export shipments" Shipments to destinations outside the United States, including shipments to related firms.
 - "Inventories" Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-7. Production, shipment, and inventory data.--Continued

Quant	tity (<i>in gross</i>) ar	nd value (<i>in dolla</i>	ars)		
		Calendar years	January-June		
ltem	2016	2017	2018	2018	2019
Average production capacity ¹ (quantity) (A)					
Beginning-of-period inventories (<i>quantity</i>) (B)					
Production (quantity) (C)					
U.S. shipments: Commercial shipments: Quantity (D)					
Value (E)					
Internal consumption: ² Quantity (F)					
Value² (G)					
Transfers to related firms: ² Quantity (H)					
Value² (I)					
Export shipments: ³ Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
¹ The production capacity reported is based methodology used to calculate production capacity internal consumption and transfers to relations for valuing these transactions in your receive the data provided above in this table should be included a light of the data provided above in the data provided above in this table should be included a light of the data provided above in this table should be included a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided above in this table should be included as a light of the data provided as a light of the data provided above in the data provided as a light of th	acity, and expla ted firms must b ords, please spe based on fair n	in any changes in be valued at fair cify that basis (e	n reported capa market value. I	city f your firm use	s a different

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.</u>--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years			Januar	y-June
Reconciliation	2016	2017	2018	2018	2019
B + C - D - F - H - J - L = should equal zero					
("0") or provide an explanation. ¹	0	0	0	0	0
					-

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:_____.

II-8. <u>Channels of distribution</u>.--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

Quantity (in gross)						
	Calendar years			January-June		
Item	2016	2017	2018	2018	2019	
Channels of distribution: U.S. shipments: To distributors (M)						
To retailers (N) To alcoholic beverage manufacturers (O)						
To other beverage manufacturers (P)						
To food manufacturers and other end users (Q)						

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines M through Q) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

		Calendar years		Januar	y-June
Reconciliation	2016	2017	2018	2018	2019
M + N+ O + P + Q - D - F - H =					
zero ("0"), if not revise.	0	0	0	0	0

Explanation of trends:

II-9. <u>Employment data</u>.--Report your firm's employment-related data related to the production of glass containers and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to June periods, calculate similarly and divide by 6.

If your firm had the same number of PRWs in all calendar years and had not experienced any changes in PRWs in the most recent interim period, you would have the same number of PRWs for the interim periods, regardless of whether the interim periods are Jan-Mar (Q1), Jan-June (Q1+Q2), or Jan-Sept (Q1+Q2+Q3)."

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" – Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

		Calendar years		Januar	y-June
Item	2016	2017	2018	2018	2019
Average number of PRWs (number)					
Hours worked by PRWs (hours)					
Wages paid to PRWs (dollars)					

0.	Related firmsIf your firm reported transfers to related firms in question II-7, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.
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record.

II-11.	<u>Purchases</u> Has your firm purchased glass containers produced in the United States or in other countries since January 1, 2016? (Do not include imports for which your firm was the importer of record. These should be reported in an importer questionnaire).
	"Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

"Import" –A transaction to buy from a foreign supplier where your firm is the importer of

No	If yesReport such purchases in the table below and explain the reasons for your firms' purchases.

Note: If your firm served as the importer of record for any purchases from foreign suppliers, either for your own account or as a service for another entity, those purchases are to be considered "imports" not "purchases" and **should not** be included in the table below

	(Quantit	y in gross)		T	
		Calendar year:	s	Januar	y-June
ltem	2016	2017	2018	2018	2019
Purchases from U.S. importers ¹ of glass containers from— China					
Mexico					
All other sources					
Purchases from domestic producers ²					
Purchases from other sources ²					
¹ Please list the name of the importer(suppliers differ by source, please identify) ² Please list the name of the producer(the source for ϵ	each listed sup	plier:	,	·

II-12. Imports.--Since January 1, 2016, has your firm imported glass containers?

No	Yes	
		If yes <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

II-13.	Product mix.—Does your Clear beer bottles Colored beer bottles 750 mL Claret style (Bord 750 mL wine bottles of of Wine bottles smaller or I 750 mL liquor bottles 1L liquor bottles 1.75L liquor bottles Clear glass non-alcoholic Colored glass non-alcoholic Glass jars Glass containers other th Glass perfume bottles Other glass containers (p	deaux style) wine bo vine bottles, green co other styles or colors arger than 750 mL beverage bottles blic beverage bottles man jars for food stor	olor
	Flint (clear) Blue Green Amber Other colors (please list) Glass containers with the Handles	:	r functional elements:
II-14.	Embossing Etching Labeling Other (please describe):		ross unit value for the different glass container type:
		Unit value (dollars per	
	Туре	gross)	Description of the product
	Highest per unit value glass container product produced by your firm		
	Highest volume glass container product produced by your firm		
	Lowest per unit value glass container product		

II-15.	<u>Production method</u> —Please select the answer that best describes your firm's production
	method (check all that apply):
	Press and blow method
	Blow and blow method
	Other (please describe):
II-16.	Other explanationsIf your firm would like to further explain a response to a question in Part II for which a narrative box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART III.--FINANCIAL INFORMATION

Address questions on this part	of the questionnaire to Jennifer	Brinckhaus (202-205-3188,
jennifer.brinckhaus@usitc.gov		

Name	
Title	
Email	
Telephone	
Accounting	systemBriefly describe your firm's financial accounting system.
A.	When does your firm's fiscal year end (month and day)?
	If your firm's fiscal year changed during the data-collection period, explain below:
B.1.	Describe the lowest level of operations (e.g., plant, division, company-wide which financial statements are prepared that include glass containers:
2	Does your firm prepare profit/loss statements for glass containers: No
3.	How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below. Audited, unaudited, annual reports, 10Ks, 10 Qs, Monthly, quarterly, semi-annually, annually
4.	Accounting basis: GAAP, cash, tax, or other compreher basis of accounting (specify)
used regd subi prof	e: As requested in Part I of this questionnaire, please keep all supporting documents/rec I in the preparation of the financial data, as Commission staff may contact your firm ording questions on the financial data. The Commission may also request that your comp onit copies of the supporting documents/records (financial statements, including internal oritic it-and-loss statements for the division or product group that includes glass containers, as precific statements and worksheets) used to compile these data.
Cost accour	iting systemBriefly describe your firm's cost accounting system (e.g., standard

110	Draducare'	Questionnaire -	Class	Containors	/Dualinainami
U.S.	Producers	Questionnaire -	- Giass	Containers	(Preliminary)

<u>Allocation basis.</u> Briefly describe your firm's allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

III-5. **Product listing**.--Please list the products your firm produced in the facilities in which your firm produced glass containers, and provide the share of net sales accounted for by these products in your firm's most recent fiscal year.

Products	Share of sales
Glass containers	%
	%
	%
	%
	%

Ye	sContinue to questio	n III-7	NoContinue to	question III-9a.	
]	
the basis	of your most recently	y completed	please report this infor fiscal year. For "Input accounting system, of	valuation" please de	escribe t
related so	•	ed supplier's	s actual cost, cost plus,	negotiated transfer	price to
related si	upplier; e.g., the relat	ed supplier's	s actual cost, cost plus,	•	price to
related so	upplier; e.g., the relat	ed supplier's	s actual cost, cost plus,	negotiated transfer	price to
related so approxim	upplier; e.g., the relat	Related sup	s actual cost, cost plus,	Share of total	price to

III-9a. Operations on glass containers.--Report the revenue and related cost information requested below on the glass containers operations of your firm's U.S. establishment(s).¹ Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact Jennifer Brinckhaus at (202) 205-3188 before completing this section of the questionnaire.

Qua	ntity (<i>in gross</i>) and	value (in dollar	·s)		
	Fisc	al years ended-	-	January	/-June
Item	2016	2017	2018	2018	2019
Net sales quantities: ² Commercial sales ("CS")					
Internal consumption ("IC")					
Transfers to related firms ("Transfers")					
Total net sales quantities	0	0	0	0	(
Net sales values: ²	0	0	U	0	
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	(
Cost of goods sold (COGS): ³ Raw materials					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	C
Gross profit or (loss)	0	0	0	0	C
Selling, general, and administrative (SG&A) expenses: Selling expenses					
General and administrative expenses					
Total SG&A expenses	0	0	0	0	0
Operating income (loss)	0	0	0	0	0
Other expenses and income: Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	C
Depreciation/amortization included above					

¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u>.

Note --The table above contains calculations that will appear when you have entered data in the MS Word form fields.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

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III-9b.	<u>Financial data reconciliation</u> The calculable line items from question III-9a (i.e., total net sales
	quantities and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss))
	have been calculated from the data submitted in the other line items. Do the calculated fields
	return the correct data according to your firm's financial records ignoring non-material
	differences that may arise due to rounding?

Yes	No	If noIf the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise. Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negativeinstances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative). If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-9c. Raw materials.--Please report the share of total raw material costs in 2018 (reported in III-9a) for the following raw material inputs:

		Procurement method			
Input	Share of total raw material costs (percent)	Primarily produced by your firm	Primarily purchased by your firm		
Silica					
Soda ash					
Limestone					
Cullet					
Other material inputs ¹					
Total (should sum to 100 percent)	0.0				
¹ Please indicate any other notable "other" raw materials not expressly identified above and provide the share of the total raw material costs that they account for:					

III-9d. <u>Energy costs</u>.--Please indicate where the company's energy costs have been included in question III-9a, and report the share of total COGS these costs represented in 2018.

	Classification of these costs in III-9a:	Share of total COGS in 2018 (percent)
Energy costs		_

III-10. Nonrecurring items (charges and gains) included in the subject product financial results.--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (in dollars), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

	Fiscal years et		-	Januai	y-June	
Item	2016	2017	2018	2018	2019	
		Value (in dollars)				
Nonrecurring item 1						
Nonrecurring item 2						
Nonrecurring item 3						
Nonrecurring item 4						
Nonrecurring item 5						
Nonrecurring item 6						
Nonrecurring item 7						

Nonrecurring item: In this table please provide a brief description of each nonrecurring item reported above and indicate the specific line item in table III-9a where the nonrecurring item is classified.

	Description of the nonrecurring item	Income statement classification of the nonrecurring item
Nonrecurring item 1		
Nonrecurring item 2		
Nonrecurring item 3		
Nonrecurring item 4		
Nonrecurring item 5		
Nonrecurring item 6		
Nonrecurring item 7		

III-11.	Classification of identified nonrecurring items (charges and gains) in the accounting books and
	<u>records of the company</u> If non-recurring items were reported in question III-10 above, please
	identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items are reported in question III-9a.

III-12. <u>Asset values</u>.--Report the <u>total</u> assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of glass containers. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for glass containers in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a. Provide data as of the end of your firm's three most recently completed fiscal years.

Note: Total assets should reflect <u>net assets</u> after any accumulated depreciation and allowances deducted.

Total assets should be <u>allocated to the subject products</u> if these assets are also related to other products. Please provide a <u>brief explanation if there are any substantial changes</u> in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value (in dollars)						
Fiscal years ended						
ltem 2016 2017 2018						
Total assets (net) 1	Fotal assets (net) ¹					
¹ Describe						

III-13. <u>Capital expenditures and research and development expenses</u>.--Report your firm's capital expenditures and research and development expenses for glass containers. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

Value (in dollars)					
Fiscal years ended January-June					y-June
Item	2016	2017	2018	2018	2019
Capital expenditures ¹					
Research and development expenses ²					

¹ Please describe the nature, focus, and significance of your firm's capital expenditures on the subject product.

² Please describe the nature, focus, and significance of your firm's R&D expenses related to subject product.

III-14.	Data consistency and reconciliationPlease indicate whether your firm's financial data for
	questions III-9a, 12, and 13 are based on a calendar year or on your firm's fiscal year:

Calendar year	Fiscal year	Specify fiscal year

Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-7 (including export shipments) as long as they are reported on the same calendar year basis.

<u>RECONCILIATION OF TRADE VS FINANCIAL DATA</u>.--Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported for total net sales in part III of this questionnaire in each time period unless the financial data from part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.

	Fiscal years ended			January-June	
Reconciliation	2016	2017	2018	2018	2019
Quantity: Trade data from question II-7 (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0").	0	0	0	0	0
Value: Trade data from question II-7 (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0").	0	0	0	0	0

Do these data in question III-9a reconcile with data in question II-7?

Yes	No	If no, please explain.

III-15.		-	on investmentSince January 1, 2016, has your firm experienced any actual nits return on investment or the scale of capital investments as a result of
	J		ontainers from China?
	No	Voc	

	If yes, my firm has experience	ed actual negative effects as follows.
(check as many as appropriate)		(please describe)
	Cancellation, postponement, or rejection of expansion projects	
	Denial or rejection of investment proposal	
	Reduction in the size of capital investments	
	Return on specific investments negatively impacted	
	Other	

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No	Yes		-l
		If yes, my firm has experienced actu	al negative effects as follows.
	(che	eck as many as appropriate)	(please describe)
		Rejection of bank loans	
		Lowering of credit rating	
		Problem related to the issue of stocks or bonds	
		Ability to service debt	
		Other	
-		ts of importsDoes your firm anticorom China? If yes, my firm anticipates negative	cipate any negative effects due to im
Ш			er explain a response to a question in

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Craig Thomsen (202-205-3226, craig.thomsen@usitc.gov).

IV-1. <u>Contact information</u>.--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	

PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2016 of the following products produced by your firm.

Product 1.-- 750 ml, Claret style (also referred to as Bordeaux) wine bottle, green color

Product 2.-- 12 oz., long neck style beverage bottle, flint (clear) color

Product 3.-- 12 oz., sauce bottle, flint (clear) color

Product 4.-- 17 oz., wide mouth pickle style jar, flint (clear) color

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2a. During January 2016-June 2019, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data tables as appropriate.
NoSkip to question IV-3.

Product 2: Product 3: Product 4:

IV-2b. <u>Price data</u>.--Report below the quarterly price data¹ for pricing products² produced and sold by your firm.

Report data in gross and actual dollars (not 1,000s)

(1 gross = 144 individual glass containers)

Period of shipment Qu 2016: January-March	Produ uantity	uct 1 Value	Produ	ıct 2	Produ	ict 2	Drode	4	
2016:	uantity	Value				Product 3		Product 4	
			Quantity	Value	Quantity	Value	Quantity	Value	
lanuary-March									
January Waren									
April-June									
July-September									
October-December									
2017:									
January-March									
April-June									
July-September									
October-December									
2018:									
January-March									
April-June									
July-September									
October-December									
2019:									
January-March									
April-June									

IV-2c.	Price data checklist Please check that the pricing data in question IV-2(b) has been correctly
	reported.

Are the price data reported above:	
In actual dollars (<i>not</i> dollars)?	
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	
Net of all discounts and rebates?	
Have returns credited to the quarter in which the sale occurred?	
Less than reported commercial shipments in question II-7 in each year?	

IV-2d.	Pricing data methodology. Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3.	Price settingHow does your firm determine the prices that it charges for sales of glass
	containers (check all that apply)? If your firm issues price lists, please submit sample pages of a
	recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

IV-4. <u>Discount policy</u>.--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

IV-5. **Pricing terms.**--On what basis are your firm's prices of domestic glass containers usually quoted *(check one)*?

Delivered	F.o.b.	If f.o.b., specify point

IV-6. <u>Contract versus spot.</u>—Approximately what share of your firm's sales of its U.S.-produced glass containers in 2018 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

		Туре о	f sale			
ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	Spot sales (for a single delivery)	Total (shoul sum to 100.0%	d o
Share of 2018 sales	%	%	%	%	0.0	%

IV-7. <u>Contract provisions.</u>--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced glass containers (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration			365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
3.1.5, 5.1 p.1.55	Both			
Indexed to raw	Yes			
material costs ¹	No			
Not applicable				
¹ Please identify the in	idexes used:	·		

IV-8. <u>Lead times.</u>—What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced glass containers?

Source	Share of 2018 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

IV-9.	Shipp	oing information
	(a)	What is the approximate percentage of the cost of U.Sproduced glass containers that is accounted for by U.S. inland transportation costs? percent
	(b)	Who generally arranges the transportation to your firm's customers' locations?

(c) Indicate the approximate percentage of your firm's sales of glass containers that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

IV-10. <u>Geographical shipments.</u>—In which U.S. geographic market area(s) has your firm sold its U.S.-produced glass containers since January 1, 2016 (check all that apply)?

Geographic area	√ if applicable
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
Midwest.–IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central Southwest.—AR, LA, OK, and TX.	
Mountains.–AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.—CA, OR, and WA.	
Other .—All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

IV-11. <u>End uses.</u>--List the end uses of the glass containers that your firm manufactures. For each enduse product, what percentage of the <u>total cost</u> is accounted for by glass containers and other inputs?

		t of end use product ted for by	Total
			(should sum to
End-use product	Glass containers	Other inputs	100.0% across)
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

		E	nd use in v	which this			nanges in the price ted the price for g	e of this substitute glass containers?
Substitute			substitute is used			Yes	Expl	lanation
1.								
2.								
3. IV-13.	States (if kr	nown) for gla	ss contain	ers has char	nged sin	ce Jar	States and outside nuary 1, 2016. Exp nges in demand.	e of the United Dlain any trends an
3.	States (if kr	nown) for gla	ss contain	ers has char	nged sin	ce Jar e char uate	nuary 1, 2016. Exp	
3.	States (if kr describe th	nown) for gla ne principal fa	ss contain actors that	ers has char have affect	nged sin ed these Fluctu	ce Jar e char uate no	nuary 1, 2016. Exp nges in demand.	
3. IV-13.	States (if kr describe th	nown) for gla ne principal fa Overall	ss containenctors that	ers has char have affect Overall	nged sin ed these Fluctu with	ce Jar e char uate no	nuary 1, 2016. Exp nges in demand.	olain any trends an

/-15.	<u>Conditions</u>	of comp	etition		
		itions) an		-	to business cycles (other than general economy-wide f competition distinctive to glass containers? If yes,
	Check all t	hat apply	.	F	Please describe.
		No		S	Skip to question IV-16.
	Yes-Business cycles (e.g. seasonal business)				
			her disting	_	
				ny changes ir nuary 1, 2016	n the business cycles or conditions of competition for ?
	No	Yes	If yes, o	describe.	
	since January 1, 2016 (examples include				declined, or been unable to supply glass containers cing customers on allocation or "controlled order or renew existing customers, delivering less than the mely shipment commitments, etc.)?
	No	Yes	If yes, plea	ase describe.	
/-17.	Raw mater	ialsHov	v have glas	s containers'	raw material prices changed since January 1, 2016?
	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Describe the raw materials and explain, noting how raw material price changes have affected your firm's selling prices for glass containers.

IV-18.	<u>Impact of section 301 tariffs.</u> Did the imposition of tariffs on Chinese-origin products
	under to section 301 have an impact on the glass container market in the United States?

Yes — Please indicate the impact in the table below.	No	Don't know

Factor	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how the imposition of tariffs under section 301 affected each factor of the glass container market in the United States.
Supply of U.S produced glass containers					
Supply of glass containers imported from China					
Supply of glass containers imported from other countries					
Prices for glass containers					
Overall U.S. demand for glass containers					
Raw material costs for glass containers					

IV-19. <u>Interchangeability.--</u>Are glass containers produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = no familiarity with products from a specified country-pair

Country-pair	China	Mexico	Other countries
United States			
China			
Mexico			

For any country-pair producing glass containers that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

IV-20. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between glass containers produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Mexico	Other countries
United States			
China			
Mexico			

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of glass containers, identify the country-pair and report the advantages or disadvantages imparted by such factors:

IV-21. <u>Customer identification.</u>--List the names and contact information for your firm's 10 largest U.S. customers for glass containers since January 1, 2016. Indicate the share of the quantity of your firm's total shipments of glass containers that each of these customers accounted for in 2018.

	Customer's name	City	State	Share of 2018 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

IV-22.	Com	petition	from	im	ports

(a) <u>Lost revenue</u>.--Since January 1, 2016: To avoid losing sales to competitors selling glass containers from China, did your firm:

Item	No	Yes
Reduce prices		
Roll back announced price increases		

(b) <u>Lost sales.</u>--Since January 1, 2016: Did your firm lose sales of glass containers to imports of this product from China?

No	Yes

IV-23.	Other explanationsIf your firm would like to further explain a response to a question in Part
	IV for which a narrative response box was not provided, please note the question number and
	the explanation in the space provided below. Please also use this space to highlight any issues
	your firm had in providing the data in this section, including but not limited to technical issues
	with the MS Word questionnaire.

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at: LINK

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: XXXX

• E-mail.—E-mail the MS Word questionnaire to chris.robinson@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.