
U.S. PRODUCERS' QUESTIONNAIRE

COMMON ALLOY ALUMINUM SHEET FROM CHINA

This questionnaire must be received by the Commission by August 31, 2018
See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping duty investigations concerning common alloy aluminum sheet from China (Inv. Nos. 701-TA-591 and 731-TA-1399 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____	
Address _____	
City _____	State _____ Zip Code _____
Website _____	
Has your firm produced common alloy aluminum sheet ("CAAS") or aluminum can stock (as defined on next page) at any time since January 1, 2015?	
<input type="checkbox"/> NO	(Sign the certification below and promptly return only this page of the questionnaire to the Commission)
<input type="checkbox"/> YES	(Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)
Return questionnaire via the U.S. International Trade Commission Drop Box by clicking on the following link: https://dropbox.usitc.gov/oinv/. (PIN: CAAS)	

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone:

Email address

PART I.—GENERAL INFORMATION

Background.--This proceeding was instituted in response to a notification of investigations self-initiated by the U.S. Department of Commerce ("Commerce"). That notification was deemed by the Commission as having been filed on December 1, 2017. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if Commerce makes an affirmative determination of subsidization and dumping. Questionnaires and other information pertinent to this proceeding are available at:

https://www.usitc.gov/investigations/701731/2018/common_alloy_aluminum_sheet_china/final.htm

Common alloy aluminum sheet ("CAAS").--The merchandise covered by these investigations is common alloy aluminum sheet ("CAAS"), which is a flat-rolled aluminum product having a thickness of 6.3 mm or less, but greater than 0.2 mm, in coils or cut-to-length, regardless of width. CAAS within the scope of this proceeding includes both not clad aluminum sheet, as well as multi-alloy, clad aluminum sheet. With respect to not clad aluminum sheet, CAAS is manufactured from a 1XXX-, 3XXX-, or 5XXX-series alloy as designated by the Aluminum Association. With respect to multi-alloy, clad aluminum sheet, CAAS is produced from a 3XXX-series core, to which cladding layers are applied to either one or both sides of the core.

CAAS may be made to ASTM specification B209-14, but can also be made to other specifications. Regardless of specification, however, all CAAS meeting the scope description is included in the scope. Subject merchandise includes CAAS that has been further processed in a third country, including but not limited to annealing, tempering, painting, varnishing, trimming, cutting, punching, and/or slitting, or any other processing that would not otherwise remove the merchandise from the scope of the investigations if performed in the country of manufacture of the CAAS.

Excluded from the scope of these investigations is aluminum can stock (as defined below).

Where the nominal and actual measurements vary, a product is within the scope if application of either the nominal or actual measurement would place it within the scope based on the definitions set for the above.

CAAS is currently classifiable under Harmonized Tariff Schedule of the United States ("HTSUS") statistical reporting numbers 7606.11.3060, 7606.11.6000, 7606.12.3090, 7606.12.6000, 7606.91.3090, 7606.91.6080, 7606.92.3090, and 7606.92.6080. Further, merchandise that falls within the scope of these investigations may also be entered into the United States under HTSUS statistical reporting numbers 7606.11.3030, 7606.12.3030, 7606.91.3060, 7606.91.6040, 7606.92.3060, 7606.92.6040, 7607.11.9090. Although the HTSUS statistical reporting numbers are provided for convenience and customs purposes, the written description of the scope of these investigations is dispositive.

Aluminum can stock.--Aluminum can stock is aluminum sheet or foil suitable for use in the manufacture of aluminum beverage cans bodies ("body stock"), lids of such cans, or tabs ("lid stock") used to open such cans. Aluminum can stock, for the purposes of data gathered in this questionnaire, is limited to gauges that range from 0.200 mm to 0.292 mm (i.e., thicknesses otherwise matching the in-scope thicknesses for CAAS). Most aluminum can stock is produced with an H-19, H-41, H-48, or H-391 temper. In addition, aluminum can stock may have a lubricant applied to its flat surfaces to facilitate its movement through machines used in the manufacture of beverage cans. Aluminum can stock is properly classified under HTSUS statistical reporting numbers 7606.12.3045 (body stock) and 7606.12.3055 (lid stock).

Aluminum foil.--Aluminum foil is defined as aluminum with a thickness of 0.2 mm or less.

Aluminum plate.--Aluminum plate is defined as aluminum with a thickness of greater than 6.3 mm.

Brazing aluminum tube stock ("brazing stock").--Aluminum brazing tube stock ("brazing stock") is defined as a composite material consisting of multiple sheets of aluminum alloy metallurgically bonded to one another, with the center or "core" alloy generally being much thicker than the outer "clad" (or "filler") layers. It consists of a high-end, often proprietary, core alloy and one or more layers of braze clad. The material is typically 0.05mm to 1.0mm in thickness, of which the cladding generally represents 10% ± 2%. Aluminum brazing tube stock is used in such applications as automotive heat exchangers (HEX) and heating, ventilation, and air conditioning (HVAC) equipment.

This definition of brazing stock covers both "in-scope brazing stock" and "out-of-scope brazing stock". In-scope brazing stock is defined as brazing stock meeting the scope thickness range (i.e. having a thickness of 6.3mm or less, but greater than 0.2mm), and data relating to in-scope brazing stock should be reported generally as a subset of data reported in parts II, III, and IV of this questionnaire, and on a stand-alone basis in part V of this questionnaire. Out-of-scope brazing stock is defined as brazing stock having a thickness of 0.2mm or less, and data relating to out-of-scope brazing stock should NOT be reported in most of this questionnaire, with the notable exception of question II-16 dedicated to this merchandise

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

D-GRIDS tool.--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1a. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-1b. **TAA information release.**--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?

Yes No

I-2. **Establishments covered**--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

"Establishment"--Each facility of a firm involved in the production of CAAS, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

Establishments covered ¹	City, State	Zip (5 digit)	Description
1			
2			
3			
4			
5			
6			
¹ Additional discussion on establishments consolidated in this questionnaire: _____.			

I-3. **Position on imposition of duties**--Does your firm support or oppose the imposition of duties?

Country	Support	Oppose	Take no position
China AD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
China CVD	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-4. **Ownership**--Is your firm owned, in whole or in part, by any other firm?

No Yes--List the following information.

Firm name	Address	Extent of ownership (percent)

I-5. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing CAAS from China into the United States or that are engaged in exporting CAAS from China to the United States?

No Yes--List the following information.

Firm name	Country	Affiliation

I-6. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of CAAS?

No Yes--List the following information.

Firm name	Country	Affiliation

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Nathanael Comly (202-205-3174, nathanael.comly@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	

II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of CAAS since January 1, 2015.

<i>(check as many as appropriate)</i>	<i>(If checked, please describe including dates and quantities as appropriate; leave blank if not applicable)</i>
<input type="checkbox"/> plant openings	
<input type="checkbox"/> plant closings	
<input type="checkbox"/> relocations	
<input type="checkbox"/> expansions	
<input type="checkbox"/> acquisitions	
<input type="checkbox"/> consolidations	
<input type="checkbox"/> prolonged shutdowns or production curtailments	
<input type="checkbox"/> revised labor agreements	
<input type="checkbox"/> other (e.g., technology)	

II-3a. Production using same machinery.—

“Overall production capacity” or “capacity” – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise then the "overall production capacity" numbers reported in this question should be exactly equal to the "average production capacity" numbers reported in question II-7. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope merchandise, then the "average production capacity" reported in question II-7 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise.

“Production” – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

Please report your firm’s production of products made on the the same machinery and equipment used to produce CAAS or using the same employees used to produce CAAS, and the combined production capacity in the periods indicated.

Quantity (in short tons)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Overall production capacity¹					
Production of:					
CAAS ²	0	0	0	0	0
Out-of-scope products :					
Aluminum can stock ³					
Aluminum foil ³					
Aluminum plate ³					
Other products ⁴					
Subtotal, out-of-scope products	0	0	0	0	0
Total production using same machinery or workers	0	0	0	0	0
¹ Data reported for capacity (first line) should be greater than data reported for total production (last line). ² Data entered for production of CAAS will populate here once reported in question II-7. ³ Defined on pages 2-3. ⁴ Please identify these products: _____.					

II-3b. **Operating parameters.**--The production capacity reported in II-3a is based on the following operating parameters:

Hours per week	Weeks per year

II-3c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-3a, and explain any changes in reported capacity.

II-3d. **Production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's production capacity.

II-3e. **Product shifting (CAAS).**—

(i) Is your firm able to switch production (capacity) between CAAS and the following other products using the same equipment and/or labor?

Able to switch to/from:	No	Yes	If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products:
Aluminum can stock	<input type="checkbox"/>	<input type="checkbox"/>	
Aluminum foil	<input type="checkbox"/>	<input type="checkbox"/>	
Aluminum plate	<input type="checkbox"/>	<input type="checkbox"/>	
Other products ¹	<input type="checkbox"/>	<input type="checkbox"/>	
¹ Please identify these products: _____.			

(ii) Please describe the factors that affect your firm's ability to shift production capacity between CAAS and other products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts (please note if this differs between the other products).

II-3f. **Product shifting (within scope: brazing stock vs other CAAS).**—

(i) Is your firm able to switch production (capacity) between in-scope brazing stock and all other in-scope CAAS products using the same equipment and/or labor?

No	Yes	If yes—(i.e., have produced other products or are able to produce other products) Please identify other actual or potential products:
<input type="checkbox"/>	<input type="checkbox"/>	

(ii) Please describe the factors that affect your firm's ability to shift production capacity between in-scope brazing stock and all other in-scope CAAS products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

II-4. **Tolling.**--Since January 1, 2015, has your firm been involved in a toll agreement regarding the production of CAAS?

"Toll agreement"--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

No	Yes	If yes-- Please describe the toll arrangement(s) and name the firm(s) involved.
<input type="checkbox"/>	<input type="checkbox"/>	

II-5. **Foreign trade zones.**--

- (a) **Firm's FTZ operations.**--Does your firm produce CAAS in and/or admit CAAS into a foreign trade zone (FTZ)?

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

No	Yes	If yes-- Describe the nature of your firms operations in the FTZs and identify the specific FTZ site(s).
<input type="checkbox"/>	<input type="checkbox"/>	

- (b) **Other firms' FTZ operations.**--To your knowledge, do any firms in the United States import CAAS into a foreign trade zone (FTZ) for use in distribution of CAAS and/or the production of downstream articles?

No	Yes	If yes--Identify the firms and the FTZs.
<input type="checkbox"/>	<input type="checkbox"/>	

II-6. **Importer.**--Since January 1, 2015, has your firm imported CAAS?

"Importer" – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

No	Yes	
<input type="checkbox"/>	<input type="checkbox"/>	If yes-- <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u>

II-7. **Production, shipment, and inventory data**--Report your firm's production capacity, production, shipments, and inventories related to the production of CAAS in its U.S. establishment(s) during the specified periods.

"Average production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"Commercial U.S. shipments" –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" –Shipments made to related domestic firms. Such transactions are valued at fair market value.

"Related firm" –A firm that your firm solely or jointly owns, manages, or otherwise controls.

"Export shipments" –Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" – Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-7. Production, shipment, and inventory data.--Continued

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Average production capacity¹ (quantity) (A)					
Beginning-of-period inventories (quantity) (B)					
Production (quantity) (C)					
U.S. shipments:					
Commercial shipments:					
Quantity (D)					
Value (E)					
Internal consumption:²					
Quantity (F)					
Value ² (G)					
Transfers to related firms:²					
Quantity (H)					
Value ² (I)					
Export shipments:³					
Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
<p>¹ The production capacity reported is based on operating _____ hours per week, _____ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity _____.</p> <p>² Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.</p> <p>³ Identify your firm's principal export markets: _____.</p>					

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
B + C – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-8. **U.S. shipments by product type.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of CAAS produced by your firm.

Quantity (in short tons), value (in \$1,000)					
Item	Calendar year			January-June	
	2015	2016	2017	2017	2018
U.S. shipments.--					
Non-clad 1XXX series: Quantity (M)					
Value (N)					
Non-clad 3XXX series: Quantity (O)					
Value (P)					
Non-clad 5XXX series: Quantity (Q)					
Value (R)					
Clad or multi-alloy: ¹ Quantity (S)					
Value (T)					
All other in-scope products ² Quantity (U)					
Value (V)					
U.S. shipments Quantity	0	0	0	0	0
Value	0	0	0	0	0
¹ Includes brazing stock.					
² Describe these products:					

RECONCILIATION OF U.S. SHIPMENTS.--Please ensure that the quantities and values reported for US shipments by product type (i.e., lines M through V) equal the quantity and value reported for U.S. shipments (i.e., lines D through I) in question II-7. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity: M + O + Q + S + U – D – F – H = zero ("0"), if not revise.	0	0	0	0	0
Value: N + P + R + T + V – E – G – I = zero ("0"), if not revise.	0	0	0	0	0

II-9. **Channels of distribution.**--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution in the specified periods.

Quantity (in short tons)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Channels of distribution:					
U.S. shipments:					
To Distributors (W)					
To Converters (X)					
To End users (Y)					

***RECONCILIATION OF CHANNELS.**--Please ensure that the quantities reported for channels of distribution (i.e., lines W, X, and Y) in each time period equal the quantity reported for U.S. shipments (i.e., lines D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.*

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
W + X + Y - D - F - H = zero ("0"), if not revise.	0	0	0	0	0

II-10. **Employment data.**--Report your firm's employment-related data related to the production of CAAS and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to June periods, calculate similarly and divide by 6.

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Average number of PRWs (<i>number</i>)					
Hours worked by PRWs (<i>1,000 hours</i>)					
Wages paid to PRWs (<i>\$1,000</i>)					

Explanation of trends:

II-11. **Related firms.**--If your firm reported transfers to related firms in question II-7, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

II-12. **Purchases**.--Other than imports, has your firm otherwise purchased CAAS (either domestic or imported) since January 1, 2015?

"Purchase" – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

"Import" –A transaction to buy from a foreign supplier where your firm is the importer of record or consignee.

No	Yes	If yes-- Report such purchases in the table below and explain the reasons for your firms' purchases:
<input type="checkbox"/>	<input type="checkbox"/>	

Note: If your firm served as the importer of record for any purchases from foreign suppliers, either for your own account or as a service for another entity, those purchases are to be considered "imports" not "purchases" and **should not** be included in the table below

Quantity (in short tons)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Purchases from U.S. importers¹ of CAAS from—					
China					
Canada					
All other sources					
Purchases from domestic producers²					
Purchases from other sources²					
¹ Please list the name of the importer(s) from which your firm purchased this product. If your firm's import suppliers differ by source, please identify the source for each listed supplier: _____. ² Please list the name of the producer(s) or U.S. distributor(s) from which your firm purchased this product: _____.					

II-13. **In-scope wide width CAAS**--Has your firm produced and sold CAAS that is 72 inches or wider at any time since January 1, 2015?

- No Yes--Report the following data related to your firm's production and U.S. shipments of CAAS 72 inches or wider (such data should be reported using the same valuation basis as U.S. shipments in II-7).

Quantity (in short tons) and value (in \$1,000)					
Item¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
Production (quantity)²					
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ Subset of data reported in question II-7. ² Is your firm's production of extra wide CAAS produced on dedicated equipment? <input type="checkbox"/> No (same equipment as other forms of CAAS) <input type="checkbox"/> Yes--Please describe the equipment and how it differs from other CAAS-producing machinery: _____.					

II-14. **In-scope CAAS between 0.200 mm to 0.292mm**--Has your firm produced and sold CAAS with gauges between 0.200 mm to 0.292 mm at any time since January 1, 2015?

- No Yes-- Please report the following data related to your firm's production and U.S. shipments of CAAS with gauges between 0.200 mm to 0.292 mm.

Quantity (in short tons) and value (in \$1,000)					
Item¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
Production (quantity)²					
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ Subset of data reported in question II-7. ² Describe these products, and how they compare to/differ from aluminum can stock: _____.					

II-15. **Production characteristics.**--Please indicate whether your firm has produced any in-scope CAAS and/or aluminum can stock (as defined on page 2) with any of the following characteristics (check all that apply).

Characteristic	Any in-scope CAAS	Any aluminum can stock
H-19 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-41 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-48 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-391 temper	<input type="checkbox"/>	<input type="checkbox"/>
Lubricant applied to the flat surface to facilitate movement through machines used in manufacturing	<input type="checkbox"/>	<input type="checkbox"/>

II-16. **Out-of-scope brazing stock.**--Has your firm produced and sold brazing stock that is not CAAS at any time since January 1, 2015?

No Yes-- Please report the following data related to your firm's U.S. production and U.S. shipments of out-of-scope brazing stock.

Quantity (in short tons) and value (in \$1,000)					
Item ¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
Production (quantity)²					
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ These data should <i>not be included</i> in the data reported in question II-7. ² Is your firm's production of out-of-scope brazing stock produced on dedicated equipment? <input type="checkbox"/> No (same equipment as in-scope CAAS) <input type="checkbox"/> Yes--Please describe the equipment and how it differs from other CAAS-producing machinery: _____.					

II-17. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to Emily Kim (202-205-1800, emily.kim@usitc.gov).

III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	

III-2. **Accounting system.**--Briefly describe your firm's financial accounting system.

- A. When does your firm's fiscal year end (month and day)? _____
If your firm's fiscal year changed during the data-collection period, explain below:

- B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include CAAS:

- 2. Does your firm prepare profit/loss statements for CAAS:
 Yes No
- 3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.
 Audited, unaudited, annual reports, 10Ks, 10 Qs,
 Monthly, quarterly, semi-annually, annually
- 4. Accounting basis: GAAP, cash, tax, or other comprehensive basis of accounting (specify) _____

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes CAAS, as well as specific statements and worksheets) used to compile these data.

III-3. **Cost accounting system.**--Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.).

III-4a. **Allocation basis.**--Briefly describe your firm's allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

--

III-4b. **Segregation of financial data.**--If your firm was engaged in the production of can stock, aluminum foil, or other non-subject aluminum products, please describe how you were able to segregate the financial data for those operation from your CAAS operations.

--

III-5. **Product listing.**--Please list the products your firm produced in the facilities in which your firm produced CAAS, and provide the share of net sales accounted for by these products in your firm's most recent fiscal year.

Products	Share of sales
CAAS	%
	%
	%
	%
	%

III-6. Does your firm purchase **inputs** (raw materials, labor, energy, or any services) used in the production of CAAS from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?

Yes--Continue to question III-7. No--Continue to question III-9a.

III-7. **Inputs from related suppliers.**--Please identify the inputs used in the production of CAAS that your firm purchases from related suppliers and that are reflected in question III-9a. For "Share of total COGS" please report this information by relevant input on the basis of your most recently completed fiscal year. For "Input valuation" please describe the basis, as recorded in your company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value.

Input	Related supplier	Share of total COGS
Input valuation as recorded in the firm's accounting books and records		

III-8. **Inputs purchased from related suppliers.**--Please confirm that the inputs purchased from related suppliers, as identified in III-7, were reported in III-9a (financial results on CAAS) in a manner consistent with your firm's accounting books and records.

Yes	No	If no--In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in question III-9a.:
<input type="checkbox"/>	<input type="checkbox"/>	

III-9a. **Operations on CAAS.**--Report the revenue and related cost information requested below on the CAAS operations of your firm's U.S. establishment(s).¹ Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact Emily Kim at (202) 205-1800 before completing this section of the questionnaire.

Quantity (in short tons) and value (in \$1,000)					
Item	Fiscal years ended--			January-June	
	2015	2016	2017	2017	2018
Net sales quantities: ²					
Commercial sales ("CS")					
Internal consumption ("IC")					
Transfers to related firms ("Transfers")					
Total net sales quantities	0	0	0	0	0
Net sales values: ²					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold (COGS): ³					
Raw materials					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
Selling, general, and administrative (SG&A) expenses:					
Selling expenses					
General and administrative expenses					
Total SG&A expenses	0	0	0	0	0
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0
Depreciation/amortization included above					
¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u> . ² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. ³ COGS (whether for domestic or export sales) should include <u>costs associated with CS, IC, and Transfers</u> .					

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

III-9b. **Raw materials for CAAS.**--Please indicate the share of total raw material costs reported in III-9a in 2017 for the following raw material inputs:

Products	Share of 2017 total raw material costs (percent)
Primary aluminum	%
Aluminum scrap	%
Other ¹	%
Total (should sum to 100 percent)	0.0 %
¹ Please indicate any other notable "other" raw materials not expressly identified above and provide the share of the 2016 total raw material costs that they account for:	

III-9c. **Active management of aluminum costs.**—Does your firm actively manage its metal costs (i.e., the price of acquiring aluminum to roll) used in the production of CAAS?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-9d. **Energy costs for CAAS.**--Please indicate the total value of electricity and gas costs included in III-9a in 2017 and indicate where electricity cost has been classified in your data,

Cost	Total cost (in \$1,000) in 2017
Electricity (classified in COGS as:)	
Gas (classified in COGS as:)	

III-9e. **Active management of energy costs.**--Does your firm actively manage its energy costs used in the production of CAAS?

Energy	No	Yes	If yes, please describe.
Electricity	<input type="checkbox"/>	<input type="checkbox"/>	
Gas	<input type="checkbox"/>	<input type="checkbox"/>	

III-9f. **Financial data reconciliation.**--The calculable line items from question III-9a (i.e., total net sales quantities and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?

Yes No--If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.

Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negative--instances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative).

If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-10. **Nonrecurring items (charges and gains) included in the subject product financial results.**--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (*in \$1,000*), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

Item	Fiscal years ended--			January-June	
	2015	2016	2017	2017	2018
Nonrecurring item 1	<i>Value (in \$1,000)</i>				
Nonrecurring item 2					
Nonrecurring item 3					
Nonrecurring item 4					
Nonrecurring item 5					
Nonrecurring item 6					
Nonrecurring item 7					

Nonrecurring item: In this table please provide a brief description of each nonrecurring item reported above and indicate the specific line item in table III-9a where the nonrecurring item is classified.

	Description of the nonrecurring item	Income statement classification of the nonrecurring item
Nonrecurring item 1		
Nonrecurring item 2		
Nonrecurring item 3		
Nonrecurring item 4		
Nonrecurring item 5		
Nonrecurring item 6		
Nonrecurring item 7		

III-11. **Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.**--If non-recurring items were reported in question III-10 above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items are reported in question III-9a.

--

III-12. **Asset values.**--Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of CAAS. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for CAAS in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a. Provide data as of the end of your firm's three most recently completed fiscal years.

Note: Total assets should reflect net assets after any accumulated depreciation and allowances deducted.

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

Value (in \$1,000)			
Item	Fiscal years ended--		
	2015	2016	2017
Total assets (net) ¹			
¹ Describe _____			

III-13. **Capital expenditures and research and development expenses.**--Report your firm's capital expenditures and research and development expenses for CAAS. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

Value (in \$1,000)					
Item	Fiscal years ended--			January-June	
	2015	2016	2017	2017	2018
Capital expenditures ¹					
Research and development expenses ²					
¹ Please describe the nature, focus, and significance of your firm's capital expenditures on the subject product. _____					
² Please describe the nature, focus, and significance of your firm's R&D expenses related to subject product. _____					

III-14. **Data consistency and reconciliation.**--Please indicate whether your firm's financial data for questions III-9a, 12, and 13 are based on a calendar year or on your firm's fiscal year:

Calendar year	Fiscal year	Specify fiscal year
<input type="checkbox"/>	<input type="checkbox"/>	

Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-7 (including export shipments) as long as they are reported on the same calendar year basis.

***RECONCILIATION OF TRADE VS FINANCIAL DATA.**--Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported for total net sales in part III of this questionnaire in each time period unless the financial data from part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.*

Reconciliation	Full year data			January-June	
	2015	2016	2017	2017	2018
Quantity: Trade data from question II-7 (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0").	0	0	0	0	0
Value: Trade data from question II-7 (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0").	0	0	0	0	0

Do these data in question III-9a reconcile with data in question II-7?

Yes	No	If no, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Effects of imports on investment.**--Since January 1, 2015, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of CAAS from China?

- No Yes--My firm has experienced actual negative effects as follows:

<i>(check as many as appropriate)</i>		<i>(please describe)</i>
<input type="checkbox"/>	Cancellation, postponement, or rejection of expansion projects	
<input type="checkbox"/>	Denial or rejection of investment proposal	
<input type="checkbox"/>	Reduction in the size of capital investments	
<input type="checkbox"/>	Return on specific investments negatively impacted	
<input type="checkbox"/>	Other	

III-16. **Effects of imports on growth and development.**--Since January 1, 2015, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of CAAS from China?

No Yes--My firm has experienced actual negative effects as follows:

<i>(check as many as appropriate)</i>		<i>(please describe)</i>
<input type="checkbox"/>	Rejection of bank loans	
<input type="checkbox"/>	Lowering of credit rating	
<input type="checkbox"/>	Problem related to the issue of stocks or bonds	
<input type="checkbox"/>	Ability to service debt	
<input type="checkbox"/>	Other	

III-17. **Anticipated effects of imports.**--Does your firm anticipate any negative effects due to imports of CAAS from China?

No	Yes	If yes, my firm anticipates negative effects as follows:
<input type="checkbox"/>	<input type="checkbox"/>	

III-18. **Other explanations.**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

--

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Emily Burke (202-205-3191, emily.burke@usitc.gov).

IV-1. **Contact information.**--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

Name	
Title	
Email	
Telephone	

PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2015 of the following products produced by your firm.

Product 1.--Alloy 5052, H-32 temper, non-clad, 0.063 inch thickness, 48 inches wide.

Product 2.--Alloy 5052, H-32 temper, non-clad, 0.080 inch thickness, 48 inches wide.

Product 3.--Alloy 5052, H-32 temper, non-clad, 0.125 inch thickness, 48 inches wide.

Product 4.--Alloy 5052, H-32 temper, non-clad, 0.125 inch thickness, 60 inches wide.

Product 5.--Alloy 3003, H-14 temper, non-clad, 0.090 inch thickness, 48 inches wide.

Product 6.--Alloy 3003, H-14 temper, non-clad, 0.125 inch thickness, 48 inches wide.

Product 7.--Alloy 3003, H-14 temper, non-clad, 0.125 inch thickness, 60 inches wide.

Product 8.--Alloy 3003 modified, 4343 5% one side clad, O temper, 0.063 inch thickness, 24 inches wide.

Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2a. During January 2015-June 2018, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	Yes. --Please complete the following pricing data tables as appropriate.
<input type="checkbox"/>	No. --Skip to question IV-3.

IV-2b. **Price data.**--Report below the quarterly price data¹ for pricing products² produced and sold by your firm.

Report data in **pounds** (not short tons) and **actual dollars** (not 1,000s).

(Quantity in pounds, value in dollars)								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part IV.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:

Product 2:

Product 3:

Product 4:

IV-2b. **Price data.--Continued.**

Report data in **pounds** (not short tons) and **actual dollars** (not 1,000s).

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 5		Product 6		Product 7		Product 8	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part IV.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:

Product 6:

Product 7:

Product 8:

IV-2c. **Price data checklist.**--Please check that the pricing data in question IV-2b has been correctly reported.

Is the price data reported above:	√ if Yes
Value data reported in actual dollars (<i>not</i> \$1,000)	<input type="checkbox"/>
Quantity data reported in pounds (<i>not</i> short tons)?	<input type="checkbox"/>
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	<input type="checkbox"/>
Net of all discounts and rebates?	<input type="checkbox"/>
Have returns credited to the quarter in which the sale occurred?	<input type="checkbox"/>
Less than reported commercial shipments in question II-7 in each year?	<input type="checkbox"/>

IV-2d. **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3. **Price setting.**--How does your firm determine the prices that it charges for sales of CAAS (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-5. **Pricing terms.**--

(a) What are your firm's typical sales terms for its U.S.-produced CAAS?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) On what basis are your firm's prices of domestic CAAS usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

IV-6. **Contract versus spot.**--Approximately what share of your firm's sales of its U.S.-produced CAAS in 2017 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale				Total (should sum to 100.0%)
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	
Share of 2017 sales	%	%	%	%	0.0 %

IV-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced CAAS (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>No. of days</i>		365	
Price renegotiation (during contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

IV-8. **Lead times.**--What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced CAAS?

Source	Share of 2017 sales	Lead time (Average number of days)
From inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

IV-9. **Shipping information.**--

- (a) What is the approximate percentage of the cost of U.S.-produced CAAS that is accounted for by U.S. inland transportation costs? _____ percent
- (b) Who generally arranges the transportation to your firm's customers' locations?
 Your firm Purchaser (*check one*)
- (c) Indicate the approximate percentage of your firm's sales of CAAS that are delivered the following distances from its production facility.

Distance from production facility	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

IV-10. **Geographical shipments.**--In which U.S. geographic market area(s) has your firm sold its U.S.-produced CAAS since January 1, 2015 (check all that apply)?

Geographic area	√ if applicable
Northeast. --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
Midwest. --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
Southeast. --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
Central Southwest. --AR, LA, OK, and TX.	<input type="checkbox"/>
Mountains. --AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
Pacific Coast. --CA, OR, and WA.	<input type="checkbox"/>
Other. --All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

IV-11. **End uses.**--List the end uses of the CAAS that your firm manufactures. For each end-use product, what percentage of the total cost is accounted for by CAAS and other inputs?

End-use product	Share of total cost of end-use product accounted for by		Total (should sum to 100.0% across)
	CAAS	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

IV-12. **Substitutes.**--Can other products be substituted for CAAS?

No Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for CAAS?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

IV-13. **Demand trends.**--Indicate how demand within the United States and outside of the United States (if known) for CAAS has changed since January 1, 2015. Explain any trends and describe the principal factors that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

IV-14. **Product changes.**--Have there been any significant changes in the product range, product mix, or marketing of CAAS since January 1, 2015?

No	Yes	If yes, please describe, quantify, and specify which supplier(s) have made these changes if possible.
<input type="checkbox"/>	<input type="checkbox"/>	

IV-15. **Conditions of competition.**--

(a) Is the CAAS market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to CAAS? If yes, describe.

Check all that apply.	Please describe.
<input type="checkbox"/> No	Skip to question IV-16.
<input type="checkbox"/> Yes-Business cycles (e.g. seasonal business)	
<input type="checkbox"/> Yes-Other distinctive conditions of competition	

(b) If yes, have there been any changes in the business cycles or conditions of competition for CAAS since January 1, 2015?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

IV-16. **Supply constraints.**--Has your firm refused, declined, or been unable to supply CAAS since January 1, 2015 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

IV-17. Raw materials.—

(a) How have CAAS raw material prices changed since January 1, 2015?

Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for CAAS.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) Do changes in raw material costs affect your firm's price negotiations with your CAAS purchasers?

No	Yes	If yes, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

(c) Are your firm's sales of CAAS indexed to raw material costs or indices, including, but not limited to, indices such as the London Metals Exchange or the Midwest Premium?

No	Yes	If yes, please specify the indices, how they are indexed (e.g., to the last month's average value), and how those indices may have changed since January 1, 2015.
<input type="checkbox"/>	<input type="checkbox"/>	

IV-18. Conversion prices.—Report the average conversion price charged for all subject products since January 1, 2015 and provide an explanation for any trends.

Item	Calendar Years			January - June	
	2015	2016	2017	2017	2018
Average conversion price (\$/pound)					
Explanation of trends:					

IV-19. Effect of 232 investigation of aluminum on conditions of competition.--

(a) Are you familiar with the 232 investigation conducted by the United States under section 232 of the Trade Expansion Act of 1962 as amended (19 U.S.C. § 1862) on imports of certain aluminum products and the related proclamations issued by the President or the subsequent imposition of tariffs on imported aluminum products?

- No--Skip to IV-20 Yes--Please fill out the table.

(b) Did the announcement of the section 232 investigation in April 2017 or the President's subsequent issuance of proclamations and imposition of tariffs on certain imported aluminum products beginning in March 2018 impact the conditions of competition for CAAS?

	No	Yes	If yes, describe.
Announcement of the section 232 investigation in April 2017	<input type="checkbox"/>	<input type="checkbox"/>	
Issuance of proclamations on certain imported aluminum products beginning in March 2018	<input type="checkbox"/>	<input type="checkbox"/>	

IV-20. **Interchangeability.**--Is CAAS produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Canada	Other countries
United States			
China	X		
Canada	X	X	
For any country-pair producing CAAS that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:			

IV-21. **Factors other than price.**--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between CAAS produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Canada	Other countries
United States			
China			
Canada			
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of CAAS, identify the country-pair and report the advantages or disadvantages imparted by such factors:			

IV-22. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for CAAS since January 1, 2015. Indicate the share of the quantity of your firm's total shipments of CAAS that each of these customers accounted for in 2017.

	Customer's name	City	State	Share of 2017 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

IV-23. **Competition from imports.**--

(a) **Lost revenue.**--Since January 1, 2015: To avoid losing sales to competitors selling CAAS from China, did your firm:

Item	No	Yes
Reduce prices	<input type="checkbox"/>	<input type="checkbox"/>
Roll back announced price increases	<input type="checkbox"/>	<input type="checkbox"/>

(b) **Lost sales.**--Since January 1, 2015: Did your firm lose sales of CAAS to imports of this product from China?

No	Yes
<input type="checkbox"/>	<input type="checkbox"/>

IV-24. **Other explanations.**--If your firm would like to further explain a response to a question in Part IV that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART V.--ALTERNATIVE PRODUCT INFORMATION

Further information on this part of the questionnaire can be obtained from Nathanael Comly (202-205-3174, nathanael.comly@usitc.gov) and/or Emily Kim (202-205-1800, emily.kim@usitc.gov).

V-1. **Comparability of certain in-scope and out of scope aluminum products.**-- For each of the following indicate whether listed aluminum products are: fully comparable or the same, *i.e.*, have no differentiation between them; mostly comparable or similar; somewhat comparable or similar; never or not-at-all comparable or similar; or no familiarity with products.

F: fully comparable or the same, *i.e.*, have no differentiation between them;

M: mostly comparable or similar;

S: somewhat comparable or similar;

N: never or not-at-all comparable or similar; or

O: no familiarity with products.

(a) **Physical Characteristics and End Uses.**--The differences and similarities in the physical characteristics and end uses.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <i>physical characteristics and uses</i> :
In-scope <u>brazing stock</u> vs all other in-scope <u>CAAS</u>		
Out-of-scope <u>aluminum can stock</u> vs all forms of in-scope <u>CAAS</u>		

(b) **Interchangeability.**--The ability to substitute the products in the same application.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <i>interchangeability</i> :
In-scope <u>brazing stock</u> vs all other in-scope <u>CAAS</u>		
Out-of-scope <u>aluminum can stock</u> vs all forms of in-scope <u>CAAS</u>		

V-1. **Comparability of certain in-scope and out of scope aluminum products.--Continued**

- F: fully comparable or the same, *i.e.*, have no differentiation between them;
- M: mostly comparable or similar;
- S: somewhat comparable or similar;
- N: never or not-at-all comparable or similar; or
- O: no familiarity with products.

(c) **Manufacturing facilities, production processes, and production employees.**--Whether manufactured in the same facilities, from the same inputs, on the same machinery and equipment, and using the same employees.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>manufacturing facilities, production processes, and production employees</u> :
In-scope <u>brazing stock</u> vs all other in-scope <u>CAAS</u>		
Out-of-scope <u>aluminum can stock</u> vs all forms of in-scope <u>CAAS</u>		

(d) **Channels of distribution.**--Channels of distribution/market situation through which the products are sold (i.e., sold direct to end users, through wholesaler/distributors, etc.).

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>channels of distribution</u> :
In-scope <u>brazing stock</u> vs all other in-scope <u>CAAS</u>		
Out-of-scope <u>aluminum can stock</u> vs all forms of in-scope <u>CAAS</u>		

V-1. **Comparability of certain in-scope and out of scope aluminum products.--Continued**

- F: fully comparable or the same, *i.e.*, have no differentiation between them;
- M: mostly comparable or similar;
- S: somewhat comparable or similar;
- N: never or not-at-all comparable or similar; or
- O: no familiarity with products.

(e) **Customer and producer perceptions.**--Perceptions as to the differences and/or similarities in the market (*e.g.*, sales/marketing practices).

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>customer and product perceptions</u> :
In-scope <u>brazing stock</u> vs all other in-scope <u>CAAS</u>		
Out-of-scope <u>aluminum can stock</u> vs all forms of in-scope <u>CAAS</u>		

(f) **Price.**--Whether prices are comparable or differ between the products.

Product-pair	Comparison	Please provide a narrative discussion for the comparability ratings you provided in terms of their <u>price</u> :
In-scope <u>brazing stock</u> vs all other in-scope <u>CAAS</u>		
Out-of-scope <u>aluminum can stock</u> vs all forms of in-scope <u>CAAS</u>		

V-2. **Production, shipment, and inventory data**--Report your firm's production capacity, production, shipments, and inventories related to the production of in-scope brazing stock in its U.S. establishment(s) during the specified periods. (Note.--These data are a subset of data your firm reported in part II of this questionnaire.)

IN-SCOPE BRAZING STOCK

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-June	
	2015	2016	2017	2017	2018
Average production capacity¹ (quantity) (A)					
Beginning-of-period inventories (quantity) (B)					
Production (quantity) (C)					
U.S. shipments:					
Commercial shipments:					
quantity (D)					
value (E)					
Internal consumption:²					
quantity (F)					
value (G)					
Transfers to related firms:²					
quantity (H)					
value (I)					
Export shipments:³					
quantity (J)					
value (K)					
End-of-period inventories (quantity) (L)					

¹ The production capacity reported is based on operating _____ hours per week, _____ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity (use additional pages as necessary). _____.

² Internal consumption and transfers to related firms must be valued at fair market value. Does your firm use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.): _____(however, provide the data above at fair market value).

³ Identify your firm's principal export markets: _____.

V-2. Production, shipment, and inventory data.--Continued

***RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.**--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.*

Reconciliation item	Calendar year			January-June	
	2015	2016	2017	2017	2018
B + C – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

V-3. Channels of distribution.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of in-scope brazing stock by channel of distribution.

IN-SCOPE BRAZING STOCK

Quantity (in short tons)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Channels of distribution:					
U.S. shipments:					
To distributors (M)					
To converters (N)					
To end users (O)					

***RECONCILIATION OF CHANNELS.**--Please ensure that the quantities reported for channels of distribution (i.e., lines M through O) in each time period equal the quantity reported for U.S. shipments (i.e., lines D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.*

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
M + N + O – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

V-4. **Employment data.**--Report your firm's employment-related data related to the domestic (U.S.) production of in-scope brazing stock and provide an explanation for any trends in these data.

"Production Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above domestic (U.S.) production operations. Do not report employment data for production occurring outside of the United States or employment data for U.S. sales of products manufactured outside the United States.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to September periods, calculate similarly and divide by 6.

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

IN-SCOPE BRAZING STOCK

Employment data	Calendar year			January-June	
	2015	2016	2017	2017	2018
Average number of PRWs (<i>number</i>)					
Hours worked by PRWs (<i>1,000 hours</i>)					
Wages paid to PRWs (<i>\$1,000</i>)					

Explanation of trends:

V-5. **Operations on in-scope brazing stock.**--Report the revenue and related cost information requested below on in-scope brazing stock operations of your firm's U.S. establishment(s).¹ Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact Emily Kim (202-205-1800, emily.kim@usitc.gov) before completing this section of the questionnaire. (Note.--These data are a subset of data your firm reported in part III of this questionnaire.)

IN-SCOPE BRAZING STOCK

Item	Quantity (in short tons) and value (in \$1,000)				
	Fiscal years ended--			January-June	
	2015	2016	2017	2017	2018
Net sales quantities: ¹					
Commercial sales ("CS")					
Internal consumption ("IC")					
Transfers to related firms ("Transfers")					
Total net sales quantities	0	0	0	0	0
Net sales values: ²					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold (COGS): ³					
Raw materials					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
Selling, general, and administrative (SG&A) expenses:					
Selling expenses					
General and administrative expenses					
Total SG&A expenses	0	0	0	0	0
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0
Depreciation/amortization included above					

¹ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

RECONCILIATION OF TRADE VS FINANCIAL DATA.--Please ensure that the quantities and values reported for total shipments in V-2 the quantities and values reported total net sales in V-5 in each time period unless the financial data from part V-5 are reported on a fiscal year basis, in which case only the interim periods must reconcile.

Reconciliation	Full year data			Partial year periods	
	2015	2016	2017	2017	2018
Quantity: Trade data from question V-2 (lines D, F, H, and J) less financial total net sales quantity data from question V-5, = zero ("0").	0	0	0	0	0
Value: Trade data from question V-2 (lines E, G, I, and K) less financial total net sales value data from question V-5, = zero ("0").	0	0	0	0	0

V-6. **Asset values.**--As applicable, report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of in-scope brazing stock. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets related to operations on in-scope brazing stock in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question V-5. Provide data as of the end of your firm's three most recently completed fiscal years. (Note: Total assets should reflect net assets after any accumulated depreciation and allowances deducted. As reported in this table, total assets should be allocated if they are also related to the operations of other products.) In the note below, please provide a brief explanation if there were any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

IN-SCOPE BRAZING STOCK

Value (in \$1,000)			
	Fiscal years ended--		
	2015	2016	2017
Total assets (net) ¹			
¹ Describe _____			

V-7. **Capital expenditures and R&D expenses.**--Report your firm's capital expenditures and R&D expenses for in-scope brazing stock. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

IN-SCOPE BRAZING STOCK

Value (in \$1,000)					
Item	Fiscal years ended--			January-June	
	2015	2016	2017	2017	2018
Capital expenditures ¹					
R&D expenses ²					
¹ Please describe the nature, focus, and significance of your firm's capital expenditures on aluminum can stock: _____ ² Please describe the nature, focus, and significance of your firm's R&D expenses related to operations on aluminum can stock: _____ :_____					

V-8. **Production, shipment, and inventory data.**--Report your firm's production capacity, production, shipments, and inventories related to the production of aluminum can stock in its U.S. establishment(s) during the specified periods. (Note.--These data are **not** a subset of data your firm reported in part II of this questionnaire.)

ALUMINUM CAN STOCK

Quantity (in short tons) and value (in \$1,000)					
Item	Calendar year			January-June	
	2015	2016	2017	2017	2018
Average production capacity¹ (quantity) (A)					
Beginning-of-period inventories (quantity) (B)					
Production (quantity) (C)					
U.S. shipments:					
Commercial shipments:					
quantity (D)					
value (E)					
Internal consumption:²					
quantity (F)					
value (G)					
Transfers to related firms:²					
quantity (H)					
value (I)					
Export shipments:³					
quantity (J)					
value (K)					
End-of-period inventories (quantity) (L)					

¹ The production capacity reported is based on operating _____ hours per week, _____ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity (use additional pages as necessary). _____.

² Internal consumption and transfers to related firms must be valued at fair market value. Does your firm use a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.): _____(however, provide the data above at fair market value).

³ Identify your firm's principal export markets: _____.

V-8. Production, shipment, and inventory data.--Continued

***RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.**--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.*

Reconciliation item	Calendar year			January-June	
	2015	2016	2017	2017	2018
B + C – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

V-9. Channels of distribution.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of aluminum can stock by channel of distribution.

ALUMINUM CAN STOCK

Quantity (in short tons)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Channels of distribution:					
U.S. shipments:					
To distributors (M)					
To converters (N)					
To end users (O)					

***RECONCILIATION OF CHANNELS.**--Please ensure that the quantities reported for channels of distribution (i.e., lines M through O) in each time period equal the quantity reported for U.S. shipments (i.e., lines D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.*

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
M + N + O – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

V-10. **Employment data.**--Report your firm's employment-related data related to the domestic (U.S.) production of aluminum can stock and provide an explanation for any trends in these data.

"Production Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above domestic (U.S.) production operations. Do not report employment data for production occurring outside of the United States or employment data for U.S. sales of products manufactured outside the United States.

Average number employed may be computed by adding the number of employees, both full time and part time, for the 12 pay periods ending closest to the 15th of the month and divide that total by 12. For the January to September periods, calculate similarly and divide by 6.

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

ALUMINUM CAN STOCK

Employment data	Calendar year			January-June	
	2015	2016	2017	2017	2018
Average number of PRWs (<i>number</i>)					
Hours worked by PRWs (<i>1,000 hours</i>)					
Wages paid to PRWs (<i>\$1,000</i>)					

Explanation of trends:

V-11. **Operations on aluminum can stock.**-- Report the revenue and related cost information requested below on aluminum can stock operations of your firm's U.S. establishment(s).¹ Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact Emily Kim (202-205-1800, emily.kim@usitc.gov) before completing this section of the questionnaire. (Note.--These data are **not** a subset of data your firm reported in part III of this questionnaire.)

ALUMINUM CAN STOCK

Quantity (in short tons) and value (in \$1,000)					
Item	Fiscal years ended--			January-June	
	2015	2016	2017	2017	2018
Net sales quantities: ²					
Commercial sales ("CS")					
Internal consumption ("IC")					
Transfers to related firms ("Transfers")					
Total net sales quantities	0	0	0	0	0
Net sales values: ²					
Commercial sales					
Internal consumption					
Transfers to related firms					
Total net sales values	0	0	0	0	0
Cost of goods sold (COGS): ³					
Raw materials					
Direct labor					
Other factory costs					
Total COGS	0	0	0	0	0
Gross profit or (loss)	0	0	0	0	0
Selling, general, and administrative (SG&A) expenses:					
Selling expenses					
General and administrative expenses					
Total SG&A expenses	0	0	0	0	0
Operating income (loss)	0	0	0	0	0
Other expenses and income:					
Interest expense					
All other expense items					
All other income items					
Net income or (loss) before income taxes	0	0	0	0	0
Depreciation/amortization included above					

¹ Include only sales (whether domestic or export) and costs related to your U.S. manufacturing operations.

² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire.

³ COGS (whether for domestic or export sales) should include costs associated with CS, IC, and Transfers.

V-11. Operations on aluminum can stock.--Continued

RECONCILIATION OF TRADE VS FINANCIAL DATA.--Please ensure that the quantities and values reported for total shipments in V-8 the quantities and values reported total net sales in V-11 in each time period unless the financial data from part V-11 are reported on a fiscal year basis, in which case only the interim periods must reconcile.

Reconciliation	Full year data			Partial year periods	
	2015	2016	2017	2017	2018
Quantity: Trade data from question V-8 (lines D, F, H, and J) less financial total net sales quantity data from question V-11, = zero ("0").	0	0	0	0	0
Value: Trade data from question V-8 (lines E, G, I, and K) less financial total net sales value data from question V-11, = zero ("0").	0	0	0	0	0

V-12. Asset values.--As applicable, report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of aluminum can stock. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets related to operations on aluminum can stock in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question V-11. Provide data as of the end of your firm's three most recently completed fiscal years. (Note: Total assets should reflect net assets after any accumulated depreciation and allowances deducted. As reported in this table, total assets should be allocated if they are also related to the operations of other products.) In the note below, please provide a brief explanation if there were any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

ALUMINUM CAN STOCK

Value (in \$1,000)			
	Fiscal years ended--		
	2015	2016	2017
Total assets (net) ¹			
¹ Describe _____			

V-13. **Capital expenditures and R&D expenses.**--Report your firm's capital expenditures and R&D expenses for aluminum can stock. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

ALUMINUM CAN STOCK

Value (in \$1,000)					
Item	Fiscal years ended--			January-June	
	2015	2016	2017	2017	2018
Capital expenditures ¹					
R&D expenses ²					
¹ Please describe the nature, focus, and significance of your firm's capital expenditures on aluminum can stock: _____ ² Please describe the nature, focus, and significance of your firm's R&D expenses related to operations on aluminum can stock: :_____					

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

https://www.usitc.gov/investigations/701731/2018/common_alloy_aluminum_sheet_china/final.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/> **Pin:** **CAAS**

- **E-mail.**—E-mail the MS Word questionnaire to nathanael.comly@usitc.gov; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.