

U.S. PRODUCERS' QUESTIONNAIRE

UNCOATED GROUNDWOOD PAPER FROM CANADA

This questionnaire must be received by the Commission by **May 2, 2018**

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning uncoated groundwood paper ("UGW paper") from Canada (Inv. Nos. 701-TA-584 and 731-TA-1382 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____

Address _____

City _____ State _____ Zip Code _____

Website _____

Has your firm produced UGW paper (as defined on next page) at any time since January 1, 2015?

NO (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)

YES (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Return questionnaire via the U.S. International Trade Commission *Drop Box* by clicking on the following link: <https://dropbox.usitc.gov/oinv/>. (PIN: **UGWP**)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone:

Email address

Fax:

PART I.—GENERAL INFORMATION

Background.-- This proceeding was instituted in response to a petition filed on August 9, 2017, by North Pacific Paper Company (“NORPAC”), Longview, Washington. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce (“Commerce”) makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://usitc.gov/investigations/701731/2018/uncoated_groundwood_paper_canada/final.htm.

UGW paper covered by these investigations include certain paper that has not been coated on either side and with 50 percent or more of the cellulose fiber content consisting of groundwood pulp, including groundwood pulp made from recycled paper, weighing not more than 90 grams per square meter. Groundwood pulp includes all forms of pulp produced from a mechanical pulping process, such as thermo-mechanical process (TMP), chemi-thermo mechanical process (CTMP), bleached chemi-thermo mechanical process (BCTMP), or any other mechanical pulping process. The scope includes paper shipped in any form, including but not limited to both rolls and sheets.

Certain uncoated groundwood paper includes but is not limited to standard newsprint, high bright newsprint, book publishing, and printing and writing papers. The scope includes paper that is white, off-white, cream, or colored.

Specifically excluded from the scope are imports of certain uncoated groundwood paper printed with final content of printed text or graphic. Also excluded are papers that otherwise meet this definition, but which have undergone a supercalendering process. Additionally, excluded are papers that otherwise meet this definition, but which have undergone a creping process over the entire surface area of the paper.

Also excluded are uncoated groundwood construction paper and uncoated groundwood manila drawing paper in sheet or roll format. Excluded uncoated groundwood construction paper and uncoated groundwood manila drawing paper: (a) Have a weight greater than 61 grams per square meter; (b) have a thickness greater than 6.1 caliper, i.e., greater than .0061” or 155 microns; (c) are produced using at least 50 percent thermomechanical pulp; and (d) have a shade, as measured by CIELAB, as follows: L* less than or 75.0 or b* greater than or equal to 25.0.

Also excluded is uncoated groundwood directory paper that: (a) Has a basis weight of 34 grams per square meter or less; and (b) has a thickness of 2.6 caliper mils or 66 microns or less.

Certain uncoated groundwood paper is classifiable in the Harmonized Tariff Schedule of the United States (HTSUS) in several subheadings, including 4801.00.0120, 4801.00.0140, 4802.61.1000, 4802.61.2000, 4802.61.3110, 4802.61.3191, 4802.61.6040, 4802.62.1000, 4802.62.2000, 4802.62.3000, 4802.62.6140, 4802.69.1000, 4802.69.2000, and 4802.69.3000. Subject merchandise may also be imported under several additional subheadings including 4805.91.5000, 4805.91.7000, and 4805.91.9000.

Newsprint.—UGW paper designed exclusively for newspapers and similar publications commonly disposed within a day. Standard newsprint has a weight typically ranging from 40 grams per square meter (gsm) to 48.8 gsm and has brightness levels ranging from 56 to 62.

Directory paper.—Any paper that meets the definition of certain uncoated groundwood paper (defined above) with basis weight of 36 grams per square meter or less and ISO brightness of 56 or less.

High bright paper. – Any paper that meets the definition of certain uncoated groundwood paper (defined above) with ISA brightness of 65 or greater.

Reporting of information.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

I-1a. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

| Hours | Dollars |
|-------|---------|
| | |

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 50 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-1b. **TAA information release.**--In the event that the U.S. International Trade Commission (USITC) makes an affirmative final determination in this proceeding, do you consent to the USITC's release of your contact information (company name, address, contact person, telephone number, email address) appearing on the front page of this questionnaire to the Departments of Commerce, Labor, and Agriculture, as applicable, so that your firm and its workers can be made eligible for benefits under the Trade Adjustment Assistance program?

Yes No

I-2. **Establishments covered.**--Provide the city, state, zip code, and brief description of each establishment covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol in the footnote to the table. **Firms operating more than one establishment should combine the data for all establishments into a single report.**

"Establishment"--Each facility of a firm involved in the production of UGW paper, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

| Establishments covered ¹ | City, State | Zip (5 digit) | Description |
|-------------------------------------|-------------|---------------|-------------|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |

¹ Additional discussion on establishments consolidated in this questionnaire: _____.

I-3. **Petition support.**--Does your firm support or oppose the petition?

| Country | Support | Oppose | Take no position |
|--------------|--------------------------|--------------------------|--------------------------|
| Canada (AD) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Canada (CVD) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

I-4. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No Yes--List the following information.

| Firm name | Address | Extent of ownership (percent) |
|-----------|---------|-------------------------------|
| | | |
| | | |
| | | |

I-5. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing UGW paper from Canada into the United States or that are engaged in exporting UGW paper from Canada to the United States?

No Yes--List the following information.

| Firm name | Country | Affiliation |
|-----------|---------|-------------|
| | | |
| | | |
| | | |

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from **Calvin Chang (202-205-3062, hangyul.chang@usitc.gov)**. Supply all data requested on a calendar-year basis.

II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

| | |
|-----------|--|
| Name | |
| Title | |
| Email | |
| Telephone | |
| Fax | |

II-2a. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the production of UGW paper since January 1, 2015.

| <i>(check as many as appropriate)</i> | | <i>(If checked, please describe; leave blank if not applicable)</i> |
|---------------------------------------|--|---|
| <input type="checkbox"/> | plant openings | |
| <input type="checkbox"/> | plant closings | |
| <input type="checkbox"/> | relocations | |
| <input type="checkbox"/> | expansions | |
| <input type="checkbox"/> | acquisitions | |
| <input type="checkbox"/> | consolidations | |
| <input type="checkbox"/> | prolonged shutdowns or production curtailments | |
| <input type="checkbox"/> | revised labor agreements | |
| <input type="checkbox"/> | other (e.g., technology) | |

II-2b. **Effect of changes in operations on UGW paper products.**--Please describe the effect of any change in your firm's operations on the production and shipment of UGW paper.

II-2c. **Effect of changes in operations on export markets.**--Please describe the effect of any change in your firm's operations on exports of UGW paper.

II-2d. **Change in ownership or corporate structure.**—Did your firm experience a change in ownership or corporate structure during the POI?

- No Yes—Supply details as to the time, nature, and significance of such changes.

II-3. **Anticipated changes in operations.**--Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of UGW paper in the future?

- No Yes—Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation that address this issue. **Include in the response a specific projection of your firm's capacity to produce UGW paper (in metric tons) for 2018 and 2019.**

II-4a. **Production using same machinery.**--Please report your firm's production of products made on the same equipment and machinery used to produce UGW paper, and the combined production capacity on this shared equipment and machinery in the periods indicated.

"Overall production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

| Quantity (in metric tons) | | | |
|--|-----------------------|-------------|-------------|
| Item | Calendar years | | |
| | 2015 | 2016 | 2017 |
| Overall production capacity | | | |
| Production of: UGW paper ¹ | 0 | 0 | 0 |
| Other products ^{2,3} | | | |
| Total | 0 | 0 | 0 |
| ¹ Data entered for production of UGW paper will populate here once reported in question II-8. ² Please identify the other types of paper products your firm can produce on your paper making machinery: _____. ³ Describe any production trends away from UGW paper: _____. | | | |

II-4b. **Operating parameters.**--The production capacity reported in II-4a is based on operating ____ hours per week, ____ weeks per year.

II-4c. **Capacity calculation.**--Please describe the methodology used to calculate overall production capacity reported in II-4a, and explain any changes in reported capacity.

II-4d. **Production constraints.**--Please describe the constraint(s) that set the limit(s) on your firm's production capacity of UGW paper.

II-4e. **Product shifting.**—

(i) Is your firm able to switch production (capacity) between UGW paper, and other products using the same equipment and/or labor?

No Yes-- (i.e., have produced other products or are able to produce other products). Please identify other actual or potential products:
_____.

(ii) Does your firm produce newsprint and other UGW paper products on the same equipment and/or labor?

No Yes-- (i.e., have produced other UGW paper products or are able to produce other UGW paper products). Please identify other UGW paper products: _____.

(iii) Please describe the factors that affect your firm's ability to shift production capacity between products (e.g., time, cost, relative price change, etc.), and the degree to which these factors enhance or constrain such shifts.

| |
|--|
| |
|--|

II-5. **Tolling.**--Since January 1, 2015, has your firm been involved in a toll agreement regarding the production of UGW paper?

“Toll agreement”--Agreement between two firms whereby the first firm furnishes the raw materials and the second firm uses the raw materials to produce a product that it then returns to the first firm with a charge for processing costs, overhead, etc.

| No | Yes | If yes-- Please describe the toll arrangement(s) and name the firm(s) involved. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

II-6. **Foreign trade zones.**--

- (a) **Firm's FTZ operations.**--Does your firm produce UGW paper in and/or admit UGW paper into a foreign trade zone (FTZ)?

“Foreign trade zone” is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

| No | Yes | If yes-- Describe the nature of your firms operations in FTZs and identify the specific FTZ site(s). |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

- (b) **Other firms' FTZ operations.**--To your knowledge, do any firms in the United States import UGW paper into a foreign trade zone (FTZ) for use in distribution of UGW paper and/or the production of downstream articles?

| No | Yes | If yes--Identify the firms and the FTZs. |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

II-7. **Importer.**--Since January 1, 2015, has your firm imported UGW paper?

“Importer” – The person or firm primarily liable for the payment of any duties on the merchandise, or an authorized agent acting on his behalf. The importer may be the consignee, or the importer of record.

| No | Yes | |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | If yes-- <u>COMPLETE AND RETURN A U.S. IMPORTERS' QUESTIONNAIRE</u> |

- II-8. **Production, shipment, and inventory data**--Report your firm's production capacity, production, shipments, and inventories related to the production of UGW paper in its U.S. establishment(s) during the specified periods.

"Average production capacity" or "capacity" – The level of production that your establishment(s) could reasonably have expected to attain during the specified periods. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"Production" – All production in your U.S. establishment(s), including production consumed internally within your firm and production for another firm under a toll agreement.

"Commercial U.S. shipments" –Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" –Shipments made to related domestic firms. Such transactions are valued at fair market value.

"Related firm" –A firm that your firm solely or jointly owns, manages, or otherwise controls.

"Export shipments" –Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" – Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-8. **Production, shipment, and inventory data.--Continued**

| Quantity (in metric tons) and value (in \$1,000) | | | |
|--|-----------------------|-------------|-------------|
| Item | Calendar years | | |
| | 2015 | 2016 | 2017 |
| Average production capacity¹ (quantity) (A) | | | |
| Beginning-of-period inventories (quantity) (B) | | | |
| Production (quantity) (C) | | | |
| U.S. shipments: | | | |
| Commercial shipments: | | | |
| Quantity (D) | | | |
| Value (E) | | | |
| Internal consumption:² | | | |
| Quantity (F) | | | |
| Value ² (G) | | | |
| Transfers to related firms:² | | | |
| Quantity (H) | | | |
| Value ² (I) | | | |
| Export shipments:³ | | | |
| Quantity (J) | | | |
| Value (K) | | | |
| End-of-period inventories⁴ (quantity) (L) | | | |
| <p>¹ The production capacity reported is based on operating ___ hours per week, ___ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity ____.</p> <p>² Internal consumption and transfers to related firms must be valued at fair market value. In the event that your firm uses a different basis for valuing these transactions, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for each of the periods noted above: ____.</p> <p>³ Identify your firm's principal export markets: ____.</p> | | | |

RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather reflect your firm's actual records; and, also provide explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

| Reconciliation | Calendar years | | |
|--|-----------------------|-------------|-------------|
| | 2015 | 2016 | 2017 |
| B + C – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹ | 0 | 0 | 0 |
| ¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: ____. | | | |

II-9. **Channels of distribution.**--Report your firm's commercial U.S. shipments by channel of distribution.

| Quantity (in metric tons) | | | |
|-----------------------------------|-----------------------|-------------|-------------|
| Item | Calendar years | | |
| | 2015 | 2016 | 2017 |
| Channels of distribution: | | | |
| Commercial U.S. shipments: | | | |
| To national distributors (M) | | | |
| To regional distributors (N) | | | |
| To publishers/newspapers (O) | | | |
| To other end users (P) | | | |

***RECONCILIATION OF CHANNELS.**--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for commercial U.S. shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.*

| Reconciliation | Calendar years | | |
|--|-----------------------|-------------|-------------|
| | 2015 | 2016 | 2017 |
| M + N + O + P – D = zero ("0"), if not revise. | 0 | 0 | 0 |

II-10. **Commercial U.S. shipments by product type.**--Report your firm's commercial U.S. shipments of UGW paper, by product type, during the specified periods.

| Quantity (in metric tons); Value (in \$1,000) | | | |
|--|-----------------------|-------------|-------------|
| Item | Calendar years | | |
| | 2015 | 2016 | 2017 |
| Commercial U.S. shipments: | | | |
| Newsprint | | | |
| 40 gsm or less | | | |
| Quantity (Q) | | | |
| Value (R) | | | |
| More than 40 gsm but less than 45 gsm | | | |
| Quantity (S) | | | |
| Value (T) | | | |
| 45 gsm | | | |
| Quantity (U) | | | |
| Value (V) | | | |
| More than 45 gsm | | | |
| Quantity (W) | | | |
| Value (X) | | | |
| High bright paper | | | |
| Quantity (Y) | | | |
| Value (Z) | | | |
| Directory paper | | | |
| Quantity (AA) | | | |
| Value (AB) | | | |
| Other UGW paper¹ | | | |
| Quantity (AC) | | | |
| Value (AD) | | | |
| ¹ Please list the other UGW paper products: _____ | | | |

| Reconciliation | Calendar years | | |
|--|-----------------------|-------------|-------------|
| | 2015 | 2016 | 2017 |
| Quantity: Q + S + U + W + Y + AA + AC – D should equal zero ("0"), revise if not. | 0 | 0 | 0 |
| Value: R + T + V + X + Z + AB + AD – E should equal zero ("0"), revise if not. | 0 | 0 | 0 |

II-11. **Commercial U.S. shipments by geographical region.**--Report your firm's commercial U.S. shipments of UGW paper in 2017 by geographical region.

| Quantity (in metric tons); Value (in \$1,000) | | | |
|--|---------------------------|-----------------------------|----------------------|
| Item | Calendar year 2017 | | |
| | Newsprint | Other than newsprint | All UGW paper |
| Northeast. —CT, ME, MA, NH, NJ, NY, PA, RI, and VT. <i>Quantity (AE)</i> | | | 0 |
| <i>Value (AF)</i> | | | 0 |
| Central. —IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI. <i>Quantity (AG)</i> | | | 0 |
| <i>Value (AH)</i> | | | 0 |
| South. —AL, AR, DE, DC, FL, GA, KY, LA, MD, MS, NC, OK, SC, TN, TX, VA, and WV. <i>Quantity (AI)</i> | | | 0 |
| <i>Value (AJ)</i> | | | 0 |
| West. —AZ, CA, CO, ID, MT, NV, NM, OR, UT, WA, and WY. <i>Quantity (AK)</i> | | | 0 |
| <i>Value (AL)</i> | | | 0 |
| Other. —All other markets in the United States not previously listed, including AK, HI, PR, and VI. <i>Quantity (AM)</i> | | | 0 |
| <i>Value (AN)</i> | | | 0 |
| Entire US market <i>Quantity (AO)</i> | 0 | 0 | 0 |
| <i>Value (AP)</i> | 0 | 0 | 0 |

| Reconciliation | Other UGW paper |
|---|------------------------|
| Quantity: AO (in last column) – D (in 2017) = zero ("0"), if not revise. | 0 |
| Value: AP (in last column) – E (in 2017) = zero ("0"), if not revise. | 0 |

II-12. **Employment data.**--Report your firm's employment-related data related to the production of UGW paper and provide an explanation for any trends in these data.

"Production and Related Workers" (PRWs) includes working supervisors and all nonsupervisory workers (including group leaders and trainees) engaged in fabricating, processing, assembling, inspecting, receiving, storage, handling, packing, warehousing, shipping, trucking, hauling, maintenance, repair, janitorial and guard services, product development, auxiliary production for plant's own use (e.g., power plant), recordkeeping, and other services closely associated with the above production operations.

"Hours worked" includes time paid for sick leave, holidays, and vacation time. Include overtime hours actually worked; do not convert overtime pay to its equivalent in straight time hours.

"Wages paid" --Total wages paid before deductions of any kind (e.g., withholding taxes, old-age and unemployment insurance, group insurance, union dues, bonds, etc.). Include wages paid directly by your firm for overtime, holidays, vacations, and sick leave.

| Item | Calendar years | | |
|---------------------------------------|----------------|------|------|
| | 2015 | 2016 | 2017 |
| Average number of PRWs (number) | | | |
| Hours worked by PRWs (1,000 hours) | | | |
| Wages paid to PRWs (\$1,000) | | | |

Explanation of trends:

II-13. **Related firms.**--If your firm reported transfers to related firms in question II-8, please indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary), whether the transfers were priced at market value or by a non-market formula, whether your firm retained marketing rights to all transfers, and whether the related firms also processed inputs from sources other than your firm.

II-14. **Purchases.**--Other than direct imports, has your firm otherwise purchased UGW paper since January 1, 2015?

“Purchase” – A transaction to buy product from a U.S. corporate entity such as another U.S. producer, a U.S. distributor, or a U.S. firm that has directly imported the product.

“Direct import” –A transaction to buy from a foreign supplier where your firm is the importer of record or consignee.

No Yes--Report such purchases below and explain the reasons for your firms' purchases:

| (Quantity in metric tons) | | | |
|--|-----------------------|-------------|-------------|
| Item | Calendar years | | |
| | 2015 | 2016 | 2017 |
| Purchases from U.S. importers¹ of UGW paper from-- | | | |
| Canada – White Birch | | | |
| Canada – Resolute | | | |
| Canada – All other firms | | | |
| All other sources | | | |
| Purchases from domestic producers² | | | |
| Purchases from other sources² | | | |
| ¹ Please list the name of the importer(s) from which your firm purchased this product. If your firm’s import suppliers differ by source, please identify the source for each listed supplier: _____. ² Please list the name of the producer(s) or U.S. distributor(s) from which your firm purchased this product: _____. | | | |

II-15. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART III.--FINANCIAL INFORMATION

Address questions on this part of the questionnaire to **Charles Yost (202-205-3432, charles.yost@usitc.gov)**.

III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

| | |
|-----------|--|
| Name | |
| Title | |
| Email | |
| Telephone | |
| Fax | |

III-2. **Accounting system.**--Briefly describe your firm's financial accounting system.

A. When does your firm's fiscal year end (month and day)? _____
If your firm's fiscal year changed during the data-collection period, explain below:

B.1. Describe the lowest level of operations (e.g., plant, division, company-wide) for which financial statements are prepared that include UGW paper:

2. Does your firm prepare profit/loss statements for UGW paper:
 Yes No

3. How often did your firm (or parent company) prepare financial statements (including annual reports, 10Ks)? Please check relevant items below.
 Audited, unaudited, annual reports, 10Ks, 10 Qs,
 Monthly, quarterly, semi-annually, annually

4. Accounting basis: GAAP, cash, tax, or other comprehensive basis of accounting (specify) _____

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the financial data, as Commission staff may contact your firm regarding questions on the financial data. The Commission may also request that your company submit copies of the supporting documents/records (financial statements, including internal profit-and-loss statements for the division or product group that includes UGW paper, as well as specific statements and worksheets) used to compile these data.

III-3. **Cost accounting system.**--Briefly describe your firm's cost accounting system (e.g., standard cost, job order cost, etc.).

III-4. **Allocation basis.**--Briefly describe your firm's allocation basis, if any, for COGS, SG&A, and interest expense and other income and expenses.

| |
|--|
| |
|--|

III-5. **Product listing.**--Please list the products your firm produced in the facilities in which your firm produced UGW paper, and provide the share of net sales accounted for by these products in your firm's most recent fiscal year.

| Products | Share of sales |
|-----------|----------------|
| UGW paper | % |
| | % |
| | % |
| | % |
| | % |

III-6. Does your firm purchase **inputs** (raw materials, labor, energy, or any services) used in the production of UGW paper from any related suppliers (e.g., inclusive of transactions between related firms, divisions and/or other components within the same company)?

Yes--Continue to question III-7.
 No--Continue to question III-9a.

III-7. **Inputs from related suppliers.**--Please identify the inputs used in the production of UGW paper that your firm purchases from related suppliers and that are reflected in question III-9a. For "Share of total COGS" please report this information by relevant input on the basis of your most recently completed fiscal year. For "Input valuation" please describe the basis, as recorded in your company's own accounting system, of the purchase cost from the related supplier; e.g., the related supplier's actual cost, cost plus, negotiated transfer price to approximate fair market value.

| Input | Related supplier | Share of total COGS |
|---|------------------|---------------------|
| | | |
| | | |
| | | |
| | | |
| Input valuation as recorded in the firm's accounting books and records | | |
| | | |

III-8. **Inputs purchased from related suppliers.**--Please confirm that the inputs purchased from related suppliers, as identified in III-7, were reported in III-9a (financial results on UGW paper) in a manner consistent with your firm's accounting books and records.

Yes

No--In the space below, please report the valuation basis of inputs purchased from related suppliers as reported in table III-9a.

| |
|--|
| |
|--|

III-9a. Operations on UGW paper.--Report the revenue and related cost information requested below on the UGW paper operations of your firm's U.S. establishment(s).¹ Do not report resales of products. Note that internal consumption and transfers to related firms must be valued at fair market value. Input purchases from related suppliers should be consistent with and based on information in the firm's accounting books and records. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods. If your firm was involved in tolling operations (either as the toller or as the tollee), please contact **CHARLES YOST** at (202) **205-3432** before completing this section of the questionnaire.

| Quantity (in metric tons) and value (in \$1,000) | | | |
|--|-----------------------------|-------------|-------------|
| Item | Fiscal years ended-- | | |
| | 2015 | 2016 | 2017 |
| Net sales quantities: ² | | | |
| Commercial sales ("CS") | | | |
| Internal consumption ("IC") | | | |
| Transfers to related firms ("Transfers") | | | |
| Total net sales quantities | 0 | 0 | 0 |
| Net sales values: ² | | | |
| Commercial sales | | | |
| Internal consumption | | | |
| Transfers to related firms | | | |
| Total net sales values | 0 | 0 | 0 |
| Cost of goods sold (COGS): ³ | | | |
| Raw materials | | | |
| Direct labor | | | |
| Other factory costs | | | |
| Total COGS | 0 | 0 | 0 |
| Gross profit or (loss) | 0 | 0 | 0 |
| Selling, general, and administrative (SG&A) expenses: | | | |
| Selling expenses | | | |
| General and administrative expenses | | | |
| Total SG&A expenses | 0 | 0 | 0 |
| Operating income (loss) | 0 | 0 | 0 |
| Other expenses and income: | | | |
| Interest expense | | | |
| All other expense items | | | |
| All other income items | | | |
| Net income or (loss) before income taxes | 0 | 0 | 0 |
| Depreciation/amortization included above | | | |
| ¹ Include only sales (whether <u>domestic or export</u>) and costs related to your <u>U.S. manufacturing operations</u> . ² Less discounts, returns, allowances, and prepaid freight. The quantities and values should approximate the corresponding shipment quantities and values reported in Part II of this questionnaire. ³ COGS (whether for domestic or export sales) should include <u>costs associated with CS, IC, and Transfers</u> . Explain the cause of changes in costs | | | |

Note -- The table above contains calculations that will appear when you have entered data in the MS Word form fields.

III-9b. **Raw materials for uncoated groundwood.**--Please indicate the share of total raw material costs reported in III-9a in 2017 for the following raw material inputs:

| Products | Share of total raw material costs (percent) |
|--|--|
| Own production of pulp cost | % |
| Purchased pulp cost | % |
| Other material inputs ¹ | % |
| Total (should sum to 100 percent) | 0.0 % |
| ¹ Please indicate any other notable "other" raw materials not expressly identified above and provide the share of the total raw material costs that they account for: | |

III-9c. **Financial data reconciliation.**--The calculable line items from question III-9a (i.e., total net sales quantities and values, total COGS, gross profit (or loss), total SG&A, and net income (or loss)) have been calculated from the data submitted in the other line items. Do the calculated fields return the correct data according to your firm's financial records ignoring non-material differences that may arise due to rounding?

Yes No--If the calculated fields do not show the correct data, please double check the feeder data for data entry errors and revise.

Also, check signs accorded to the post operating income line items; the two expense line items should report positive numbers (i.e., expenses are positive and incomes or reversals are negative--instances of the latter should be rare in those lines) while the income line item also in most instances should have its value be a positive number (i.e., income is positive, expenses or reversals are negative).

If after reviewing and potentially revising the feeder data your firm has provided, the differences between your records and the calculated fields persist please identify and discuss the differences in the space below.

III-10. **Nonrecurring items (charges and gains) included in the subject product financial results.**--For each annual and interim period for which financial results are reported in question III-9a, please specify all material (significant) nonrecurring items (charges and gains) in the schedule below, the specific question III-9a line item where the nonrecurring items are included, a brief description of the relevant nonrecurring items, and the associated values (*in \$1,000*), as reflected in question III-9a; i.e., if an aggregate nonrecurring item has been allocated to question III-9a, only the allocated value amount included in question III-9a should be reported in the schedule below. Note: The Commission's objective here is to gather information only on material (significant) nonrecurring items which impacted the reported financial results of the subject product in question III-9a.

| Item | Fiscal years ended-- | | |
|---|--|------|------|
| | 2015 | 2016 | 2017 |
| <p>Nonrecurring item: In this column please provide a brief description of each nonrecurring item and indicate the specific line item in table III-9a where the nonrecurring item is classified.</p> | <p>Nonrecurring item: In these columns please report the amount of the relevant nonrecurring item reported in question III-9a.</p> <p align="center">Value (\$1,000)</p> | | |
| 1. , classified as | | | |
| 2. , classified as | | | |
| 3. , classified as | | | |
| 4. , classified as | | | |
| 5. , classified as | | | |
| 6. , classified as | | | |
| 7. , classified as | | | |

III-11. **Classification of identified nonrecurring items (charges and gains) in the accounting books and records of the company.**--If non-recurring items were reported in question III-10 above, please identify where your company recorded these items in your accounting books and records in the normal course of business; i.e., just as responses to question III-10 identify where these items are reported in question III-9a. Explain and provide detail about nonrecurring items.

| |
|--------------------------------------|
| <p>Identify:</p> <p>Explanation:</p> |
|--------------------------------------|

III-12a. **Asset values.**--Report the total assets (i.e., both current and long-term assets) associated with the production, warehousing, and sale of UGW paper. If your firm does not maintain some or all of the specific asset information necessary to calculate total assets for UGW paper in the normal course of business, please estimate this information based upon a method (such as production, sales, or costs) that is consistent with relevant cost allocations in question III-9a. Provide data as of the end of your firm's three most recently completed fiscal years.

Note: Total assets should reflect net assets after any accumulated depreciation and allowances deducted.

Total assets should be allocated to the subject products if these assets are also related to other products. Please provide a brief explanation if there are any substantial changes in total asset value during the period; e.g., due to asset write-offs, revaluation, and major purchases.

| Value (in \$1,000) | | | |
|---|----------------------|------|------|
| Item | Fiscal years ended-- | | |
| | 2015 | 2016 | 2017 |
| Total assets (net) ¹ | | | |
| ¹ Explain changes in asset values (e.g., writeoffs, impairments, etc.) _____ | | | |

III-12b. **Integrated ownership structure.**— Does your firm own all of the capital assets used in the production of UGW? If not, please identify the most important capital assets that are owned by another party:

| Yes | No | If no, please identify the capital assets owned by another party |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |

III-12c. **Wastewater treatment.**--Does your firm own the facility that treats wastewater effluent? If not, please identify the owner:

| | | |
|--------------------------|--------------------------|--|
| Yes | No | If no, please identify the owner and describe the manner by which you contract and pay for wastewater effluent treatment services. |
| <input type="checkbox"/> | <input type="checkbox"/> | _____ |

If you pay another company for the treatment of wastewater effluent, please indicate whether there have been interruptions or failures in treatment operations, and, if so specify the dates that treatment was stopped and started. Also, please indicate how costs associated with any interruptions are accounted for in the data reported in question III-9a or elsewhere in Part III of this questionnaire.

III-13. **Capital expenditures and research and development expenses.**--Report your firm's capital expenditures and research and development expenses for UGW paper. Provide data for your firm's three most recently completed fiscal years, and for the specified interim periods.

| Value (in \$1,000) | | | |
|--|-----------------------------|-------------|-------------|
| Item | Fiscal years ended-- | | |
| | 2015 | 2016 | 2017 |
| Capital expenditures ¹ | | | |
| Research and development expenses ² | | | |
| ¹ Please describe the nature, focus, and significance of your firm's capital expenditures on the subject product. _____ ² Please describe the nature, focus, and significance of your firm's R&D expenses related to subject product. _____ | | | |

III-14. **Data consistency and reconciliation.**--Please indicate whether your firm's financial data for questions III-9a, 12a, and 13 are based on a calendar year or on your firm's fiscal year:

| Calendar year | Fiscal year | Specify fiscal year |
|--------------------------|--------------------------|---------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

Please note the quantities and values reported in question III-9a should reconcile with the data reported in question II-7 (including export shipments) as long as they are reported on the same calendar year basis.

***RECONCILIATION OF TRADE VS FINANCIAL DATA.**-- Please ensure that the quantities and values reported for total shipments in part II equal the quantities and values reported for total net sales in part III of this questionnaire in each time period unless the financial data from part III are reported on a fiscal year basis, in which case only the interim periods must reconcile. If the calculated fields below return values other than zero (i.e., "0") and both are being reported on a calendar basis, please explain the discrepancy below.*

| Reconciliation | Full year data | | |
|--|----------------|------|------|
| | 2015 | 2016 | 2017 |
| Quantity: Trade data from question II-8 (lines D, F, H, and J) less financial total net sales quantity data from question III-9a, = zero ("0"). | 0 | 0 | 0 |
| Value: Trade data from question II-8 (lines E, G, I, and K) less financial total net sales value data from question III-9a, = zero ("0"). | 0 | 0 | 0 |

Do these data in question III-9a reconcile with data in question II-8?

| Yes | No | If no, please explain. |
|--------------------------|--------------------------|------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

III-15. **Effects of imports on investment.**--Since January 1, 2015, has your firm experienced any actual negative effects on its return on investment or the scale of capital investments as a result of imports of UGW paper from Canada?

- No Yes--My firm has experienced actual negative effects as follows:

| <i>(check as many as appropriate)</i> | | <i>(please describe)</i> |
|---------------------------------------|--|--------------------------|
| <input type="checkbox"/> | Cancellation, postponement, or rejection of expansion projects | |
| <input type="checkbox"/> | Denial or rejection of investment proposal | |
| <input type="checkbox"/> | Reduction in the size of capital investments | |
| <input type="checkbox"/> | Return on specific investments negatively impacted | |
| <input type="checkbox"/> | Other | |

III-16. **Effects of imports on growth and development.**--Since January 1, 2015, has your firm experienced any actual negative effects on its growth, ability to raise capital, or existing development and production efforts (including efforts to develop a derivative or more advanced version of the product) as a result of imports of UGW paper from Canada?

No Yes--My firm has experienced actual negative effects as follows:

| <i>(check as many as appropriate)</i> | | <i>(please describe)</i> |
|---------------------------------------|---|--------------------------|
| <input type="checkbox"/> | Rejection of bank loans | |
| <input type="checkbox"/> | Lowering of credit rating | |
| <input type="checkbox"/> | Problem related to the issue of stocks or bonds | |
| <input type="checkbox"/> | Ability to service debt | |
| <input type="checkbox"/> | Other | |

III-17. **Anticipated effects of imports.**--Does your firm anticipate any negative effects due to imports of UGW paper from Canada?

| No | Yes | If yes, my firm anticipates negative effects as follows: |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

III-18. **Other explanations.**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

| |
|--|
| |
|--|

PART IV.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from **Amelia Preece (202-205-3250, amelia.preece@usitc.gov)**.

IV-1. **Contact information.**--Please identify the individual that Commission staff may contact regarding the confidential information submitted in part IV.

| | |
|-----------|--|
| Name | |
| Title | |
| Email | |
| Telephone | |
| Fax | |

PRICE DATA

IV-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2015 of the following products produced by your firm.

Product 1.--Newsprint, 45.0 gsm (27.7 lb. newsprint weight), with ISO Brightness of 55-62, in rolls.
Sold in the Northeast (CT, ME, MA, NH, NJ, NY, PA, RI, and VT).

Product 2.--Newsprint, 45.0 gsm (27.7 lb. newsprint weight), with ISO Brightness of 55-62, in rolls.
Sold in the Central U.S. (IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI).

Product 3.--Newsprint, 45.0 gsm (27.7 lb. newsprint weight), with ISO Brightness of 55-62, in rolls.
Sold in the South (AL, AR, DE, DC, FL, GA, KY, LA, MD, MS, NC, OK, SC, TN, TX, VA, and WV).

Product 4.--Newsprint, 45.0 gsm (27.7 lb. newsprint weight), with ISO Brightness of 55-62, in rolls.
Sold in the West (AZ, CA, CO, ID, MT, NV, NM, OR, UT, WA, and WY).

Product 5.--65 Bright, 44.0-46.0 gsm (27-28 lb. newsprint weight) with ISO brightness of 65, in rolls.

Product 6.--80 Bright, 51.5-52.5 gsm (35.0 lb. book weight), with ISO brightness of 80, in rolls.

Product 7.--Directory, 34.0 gsm (20.9 lb. directory weight), with ISO brightness of 56 in rolls.

Please note that values should be delivered and should include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

IV-2(a). During January 2015-December 2017, did your firm produce and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

| | |
|--------------------------|---|
| <input type="checkbox"/> | Yes. --Please complete the following pricing data tables as appropriate. |
| <input type="checkbox"/> | No. --Skip to question IV-3. |

IV-2(b). **Price data.**--Report below the quarterly price data¹ for pricing products² produced and sold in the United States by your firm.

Report data in **kilograms** (not metric tons) and **actual dollars** (not 1,000s).

| (Quantity in kilograms, value in dollars) | | | | | | | | |
|--|------------------|--------------|------------------|--------------|------------------|--------------|------------------|--------------|
| Period of shipment | Product 1 | | Product 2 | | Product 3 | | Product 4 | |
| | Quantity | Value | Quantity | Value | Quantity | Value | Quantity | Value |
| 2015: | | | | | | | | |
| January-March | | | | | | | | |
| April-June | | | | | | | | |
| July-September | | | | | | | | |
| October-December | | | | | | | | |
| 2016: | | | | | | | | |
| January-March | | | | | | | | |
| April-June | | | | | | | | |
| July-September | | | | | | | | |
| October-December | | | | | | | | |
| 2017: | | | | | | | | |
| January-March | | | | | | | | |
| April-June | | | | | | | | |
| July-September | | | | | | | | |
| October-December | | | | | | | | |

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, and the value of returned goods), delivered.
² Pricing product definitions are provided on the first page of Part IV.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:
 Product 2:
 Product 3:
 Product 4:

IV-2(b). **Price data (continued)**.--Report below the quarterly price data¹ for pricing products² produced and sold in the United States by your firm.

Report data in **kilograms** (not metric tons) and **actual dollars** (not 1,000s).

| <i>(Quantity in kilograms, value in dollars)</i> | | | | | | |
|--|-----------|-------|-----------|-------|-----------|-------|
| Period of shipment | Product 5 | | Product 6 | | Product 7 | |
| | Quantity | Value | Quantity | Value | Quantity | Value |
| 2015: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2016: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |
| 2017: | | | | | | |
| January-March | | | | | | |
| April-June | | | | | | |
| July-September | | | | | | |
| October-December | | | | | | |

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, and the value of returned goods), delivered.
² Pricing product definitions are provided on the first page of Part IV.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:
 Product 6:
 Product 7:

IV-2(c). **Price data checklist.**--Please check that the pricing data in question IV-2(b) has been correctly reported.

| Is the price data reported above: | √ if Yes |
|--|--------------------------|
| In actual dollars (not \$1,000)? | <input type="checkbox"/> |
| In kilograms (not metric tons)? | <input type="checkbox"/> |
| Delivered price (i.e., includes U.S. transport costs)? | <input type="checkbox"/> |
| Net of all discounts and rebates? | <input type="checkbox"/> |
| Have returns credited to the quarter in which the sale occurred? | <input type="checkbox"/> |
| Less than reported commercial shipments in question II-8 in each year? | <input type="checkbox"/> |

IV-2(d). **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

IV-3. **Price setting.**--How does your firm determine the prices that it charges for sales of UGW paper (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

| Transaction by transaction | Contracts | Set price lists | Other | If other, describe |
|----------------------------|--------------------------|--------------------------|--------------------------|--------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

IV-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

| Quantity discounts | Annual total volume discounts | No discount policy | Other | Describe |
|--------------------------|-------------------------------|--------------------------|--------------------------|----------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

IV-5. **Pricing terms.**--

(a) What are your firm's typical sales terms for its U.S.-produced UGW paper?

| Net 30 days | Net 60 days | 2/10 net 30 days | Other | Other (specify) |
|--------------------------|--------------------------|--------------------------|--------------------------|-----------------|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

(b) On what basis are your firm's prices of domestic UGW paper usually quoted (*check one*)?

| Delivered | F.o.b. | If f.o.b., specify point |
|--------------------------|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-6. **Pricing factors.**—

(a) Rate and describe the effect of the following factors on your firm's ability to price UGW paper.

| Factor | Rating of the factor | | | | | Not applicable |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| | No/minimal effect | | | Substantial effect | | |
| | 1 | 2 | 3 | 4 | 5 | |
| The decline in print media | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other factors causing demand for paper products to decline | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Availability of substitute products | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Competition from U.S. producers | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Availability of subject imports | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Please describe: | | | | | | |

(b) Are there any internal benchmarks you use to determine prices of UGW paper, e.g., pricing UGW paper at a certain percentage of another paper's price? Please explain.

| No | Yes | Explain. |
|--------------------------|--------------------------|----------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-7. **Contract versus spot.**--Approximately what share of your firm's sales of its U.S.-produced UGW paper in 2017 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

| | Type of sale | | | | Total (should sum to 100.0%) |
|----------------------------|---|--|--|------------------------------------|------------------------------|
| | Long-term contracts (multiple deliveries for more than 12 months) | Annual contracts (multiple deliveries for 12 months) | Short-term contracts (multiple deliveries for less than 12 months) | Spot sales (for a single delivery) | |
| Share of 2017 sales | % | % | % | % | 0.0 % |

IV-8. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for U.S.-produced UGW paper (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

| Typical sales contract provisions | Item | Short-term contracts (multiple deliveries for less than 12 months) | Annual contracts (multiple deliveries for 12 months) | Long-term contracts (multiple deliveries for more than 12 months) |
|--|--------------------|--|--|---|
| Average contract duration | <i>No. of days</i> | | 365 | |
| Price renegotiation (during contract period) | <i>Yes</i> | | | <input type="checkbox"/> |
| | <i>No</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Fixed quantity and/or price | <i>Quantity</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <i>Price</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <i>Both</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Meet or release provision | <i>Yes</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | <i>No</i> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Not applicable | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

IV-9. **Lead times.**--What is your firm's share of sales from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of its U.S.-produced UGW paper?

| Source | Share of 2017 sales | Lead time (Average number of days) |
|---------------------------------------|----------------------------|--|
| Newsprint | % | |
| From inventories | | |
| Produced to order | % | |
| UGW paper other than newsprint | % | |
| From inventory | | |
| Produced to order | % | |
| Total (should sum to 100.0%) | 0.0 % | |

IV-10. **Warehouses.**--Does your firm hold inventories at warehouses located in a different state than your production? Where are these warehouses located? What products do you hold in these warehouses?

| No | Yes | If yes, list the State(s) in which these warehouse(s) is/are located | Products held in these warehouses | | | |
|--------------------------|--------------------------|--|-----------------------------------|--------------------------|--------------------------|--------------------------|
| | | | Newsprint | High bright | Directory paper | Other |
| <input type="checkbox"/> | <input type="checkbox"/> | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

IV-11. **Shipping information.**--

- (a) What is the approximate percentage of the cost of U.S.-produced UGW paper that is accounted for by U.S. inland transportation costs? _____ percent
- (b) Who generally arranges the transportation to your firm's customers' locations?
 Your firm Purchaser (*check one*)
- (c) Indicate the approximate percentage of your firm's sales of UGW paper that are delivered the following distances from its production facility.

| Distance from production facility | Share |
|-------------------------------------|-------|
| Within 500 miles | % |
| 501 to 1,000 miles | % |
| 1,001 to 1,500 miles | % |
| 1,501 to 2,000 miles | % |
| Over 2,000 miles | % |
| Total (should sum to 100.0%) | 0.0 % |

IV-12. **End uses.**--List the end uses of the UGW paper that your firm manufactures. For each end-use product, what percentage of the total cost is accounted for by UGW paper and other inputs? Other inputs include: labor (salaries and benefits for printers, writers, editors, etc.); energy; other raw materials; and overhead (depreciation, marketing, and finance).

| End use product | Share of total cost of end use product accounted for by | | Total (should sum to 100.0% across) |
|-----------------------|---|--------------|--|
| | UGW paper | Other inputs | |
| Newspaper publication | % | % | 0.0 % |
| | % | % | 0.0 % |
| | % | % | 0.0 % |

IV-13. **Substitutes.**--Can other products be substituted for UGW paper?

No Yes--Please fill out the table.

| Substitute | End use in which this substitute is used | Have changes in the price of this substitute affected the price for UGW paper? | | |
|------------|--|--|--------------------------|-------------|
| | | No | Yes | Explanation |
| 1. | | <input type="checkbox"/> | <input type="checkbox"/> | |
| 2. | | <input type="checkbox"/> | <input type="checkbox"/> | |
| 3. | | <input type="checkbox"/> | <input type="checkbox"/> | |

IV-14. **Demand trends.**--

(a) Indicate how demand within the United States and outside of the United States (if known) for UGW paper has changed since January 1, 2015. Explain any trends and describe the principal factors that have affected these changes in demand.

| Market | Overall increase | No change | Overall decrease | Fluctuate with no clear trend | Explanation and factors |
|---------------------------|--------------------------|--------------------------|--------------------------|-------------------------------|-------------------------|
| Within the United States | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| Outside the United States | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

(b) Has demand for UGW paper been affected by purchasers shifting between different types of paper?

| No | Yes | If yes, specify the types of papers that have shifted and if this shift has increased or reduced your overall demand for UGW paper |
|--------------------------|--------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-15. **Factors affecting UGW paper demand.**--For each factor, rate how it has affected the overall demand for UGW paper since January 1, 2015 and discuss the effect of each factor.

| Factor | Impact on demand in the U.S. market for UGW paper products | | | | | | | Do not know/ no opinion |
|--|--|--------------------------|--------------------------|--------------------------|--|--------------------------|--------------------------|----------------------------|
| | Decreased demand in U.S. for UGW paper | | | No impact | Increased demand in U.S. for UGW paper | | | |
| | Substantial | Moderate | Minimal | | Minimal | Moderate | Substantial | |
| Demand shift between UGW paper and other paper | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Decline in demand for print media/ periodical | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Use of digital media | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Shift to lighter weight newsprint | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Narrative discussion of each factor | | | | | | | | |
| Demand shift between UGW paper and other paper (please report the type of other paper) | | | | | | | | |
| Decline in demand for print media/ periodical | | | | | | | | |
| Use of digital media | | | | | | | | |
| Shift to lighter weight newsprint | | | | | | | | |

IV-16. **Substitutability by product type.**--Please compare the substitutability of various UGW papers with each other (*i.e.*, can they be substituted for each another)?

Please indicate A, F, S, N, or O in the table below:

A = the products being compared are *always* substitutable with each other in the same end uses

F = the products are *frequently* substitutable

S = the products are *sometimes* substitutable

N = the products are *never* substitutable

O = *no familiarity* with products from a specified product-pair

| Product-pair | Directory paper | High bright | Other |
|---|-----------------|-------------|-------|
| Newsprint | | | |
| Directory paper | | | |
| High bright | | | |
| For any product comparison that is <i>sometimes</i> or <i>never</i> substitutable, identify the factors that limit or preclude substitutability between those products. | | | |

IV-17. **Product changes.**--Have there been any significant changes in the product range, product mix, or marketing of UGW paper since January 1, 2015?

| No | Yes | If yes, please describe and quantify if possible. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-18. Conditions of competition.--

(a) Is the UGW paper market (or any specific type of UGW paper) subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to UGW paper? If yes, describe.

| Check all that apply. | Please describe. |
|---|-------------------------|
| <input type="checkbox"/> No | Skip to question IV-9. |
| <input type="checkbox"/> Yes-Business cycles (e.g. seasonal business) | |
| <input type="checkbox"/> Yes-Other distinctive conditions of competition | |

(b) If yes, have there been any changes in the business cycles or conditions of competition for UGW paper since January 1, 2015?

| No | Yes | If yes, describe. |
|--------------------------|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-19. Supply constraints.--Has your firm refused, declined, or been unable to supply UGW paper since January 1, 2015 (examples include placing customers on allocation or “controlled order entry,” declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?

| No | Yes | If yes, please describe. |
|--------------------------|--------------------------|---------------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-20. Decision to cease or curtail production.—Does your firm have plans, or has your firm announced plans, to eliminate or significantly reduce production of a particular weight of newsprint?

| No | Yes | If yes, please describe product weights and plans. |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | |

IV-21. **Raw materials.**--How have UGW paper raw material prices changed since January 1, 2015?

| Overall increase | No change | Overall decrease | Fluctuate with no clear trend | Explain, noting how raw material price changes have affected your firm's selling prices for UGW paper. |
|--------------------------|--------------------------|--------------------------|-------------------------------|--|
| <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

IV-22. **Interchangeability.**--Is UGW paper produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

| Country-pair | Canada | Other countries |
|---|--------|-----------------|
| United States | | |
| Canada | X | |
| <p>For any country-pair producing UGW paper that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:</p> <p>If this differs between the types of UGW paper you purchase please report the products and the interchangeability of each different product by country.</p> | | |

IV-23. **Factors other than price.**--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between UGW paper produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

| Country-pair | Canada | Other countries |
|---|--------|-----------------|
| United States | | |
| Canada | X | |
| For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of UGW paper, identify the country-pair and report the advantages or disadvantages imparted by such factors: | | |

IV-24. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for UGW paper since January 1, 2015. Indicate the share of the quantity of your firm's total shipments of UGW paper that each of these customers accounted for in 2017.

| Customer's name | City | State | Share of 2017 sales (%) | Newsprint | High bright | Directory paper | Other |
|-----------------|------|-------|-------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| 1 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 2 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 3 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 4 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 5 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 6 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 7 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 8 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 9 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| 10 | | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

IV-25. **Competition from imports**

(a) **Lost revenue.**--Since January 1, 2015: To avoid losing sales to competitors selling UGW paper from Canada, did your firm:

| Item | No | Yes |
|-------------------------------------|--------------------------|--------------------------|
| Reduce prices | <input type="checkbox"/> | <input type="checkbox"/> |
| Roll back announced price increases | <input type="checkbox"/> | <input type="checkbox"/> |

(b) **Lost sales.**--Since January 1, 2015: Did your firm lose sales of UGW paper to imports of this product from Canada?

| No | Yes |
|--------------------------|--------------------------|
| <input type="checkbox"/> | <input type="checkbox"/> |

IV-26. **Other explanations.**--If your firm would like to further explain a response to a question in Part IV that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

| |
|--|
| |
|--|

HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at: https://usitc.gov/investigations/701731/2018/uncoated_groundwood_paper_canada/final.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/> **Pin:** UGWP

- **E-mail.**—E-mail the MS Word questionnaire to hangyul.chang@usitc.gov; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm does not produce this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.