FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE

STEEL RACKS FROM CHINA

This questionnaire must be received by the Commission by <u>July 5, 2018</u>

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning steel racks from China (Inv. No. 701-TA-608 and 731-TA-1420 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

| Name of firm | | |
|--|---|---|
| Address | | |
| Website | | |
| Has your firm produ | uced or exported steel racks (as defined on next | t page) at any time since January 1, 2015? |
| NO (Sigr | the certification below and promptly return only th | is page of the questionnaire to the Commission) |
| YES (Con | nplete all parts of the questionnaire, and return the e | entire questionnaire to the Commission) |
| • | aire via the Commission <i>Drop Box</i> by click sitc.gov/oinv/. (PIN: SRACK) | ing on the following link: |
| | CERTIFICATION | _ |
| means of this certification I information provided in this of the Commission on the same of the undersigned, acknowle proceeding or other proceeding personnel (a) for developing of reviews, and evaluations rel Appendix 3; or (ii) by U.S. governments | also grant consent for the Commission, and questionnaire and throughout this proceeding or similar merchandise. dge that information submitted in responseings may be disclosed to and used: (i) by the formation of this or a related ating to the programs, personnel, and open the ernment employees and contract personnel, suppropriate nondisclosure agreements. | to this request for information and throughout this Commission, its employees and contract personnel, to use the in any other import-injury proceedings conducted by to this request for information and throughout this Commission, its employees and Offices, and contract d proceeding, or (b) in internal investigations, audits, rations of the Commission including under 5 U.S.C. colely for cybersecurity purposes. I understand that all |
| Name of Authorized Official | Title of Authorized Official | Date |
| | Phone: | |
| Signature | Fax: | Email address |

PART I.—GENERAL INFORMATION

Background. --This proceeding was instituted in response to a petition filed on June 20, 2018, by Bulldog Rack Company, Weirton, West Virginia; Hannibal Industries, Inc., Los Angeles, California; Husky Rack and Wire, Denver, North Carolina; Ridg-U-Rak, Inc., North East, Pennsylvania; SpaceRAK, A Division of Heartland Steel Products, Inc., Marysville, Michigan; Speedrack Products Group, Ltd., Sparta, Michigan; Steel King Industries, Inc., Stevens Point, Wisconsin; Tri-Boro Shelving & Partition Corp., Farmville, Virginia; and UNARCO Material Handling, Inc., Springfield, Tennessee. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://www.usitc.gov/investigations/701731/2018/steel_racks_china/preliminary.htm.

<u>Steel racks</u> covered by these investigations is steel racks and parts thereof, assembled or unassembled. Steel racks are racks made of steel of dimensions and configurations that can be adjusted as required, with or without locking tabs or slots, and with or without bolted, clamped, or welded connections, including any of the following: uprights, posts, columns, braces, frames, beams, arms, locking devices, and rails.

A steel rack is a structure typically made from cold-formed or hot-rolled steel structural members and includes, but is not limited to, components such as plates, rods, angles, shapes, sections, tubes and the like. Welding, bolting, and clipping are the typical methods of assembly. Connections may also be made with locking devices such as clips, end plates, and beam connectors. Steel racks may be made to ANSI MH16.1 or ANSI MH16.3. All steel racks and parts thereof meeting the physical description set out herein are covered by the scope of this investigation, whether or not produced according to a particular standard.

The vertical components of steel racks may be referred to as posts, columns, or uprights, and may be connected with horizontal or diagonal braces to form upright frames. A typical storage configuration comprises upright frames perpendicular to the aisles, independently adjustable, positive locking beams parallel to the aisle and spanning between the upright frames, and braces designed to support unit loads between the beams. A cantilever rack consists of uprights running parallel to the aisle and cantilever beams or arms connected to the uprights and running perpendicular to the aisle rather than spanning two upright frames.

The scope includes all steel racks and parts thereof meeting the description above, regardless of: (1) dimensions, weight, strength, gauge, or load rating; (2) vertical upright or frame type (including structural, roll-form, or other); (3) horizontal support or beam / brace type (including but not limited to structural, roll-form, slotted, unslotted, Z-beam, C-beam, L-beam, step beam, cantilever beam, and cargo rack); (4) number of supports; (5) number of levels; (6) surface coating, if any (including but not limited to paint, epoxy, powder coating, zinc, and other metallic coating); (7) shape (including but not limited to rectangular, square, corner, and cantilever); (8) the method by which the vertical and horizontal supports connect (including but not limited to locking tabs or slots, bolting, clamping, and welding); and (9) the inclusion or not of moving components (including but not limited to rails, wheels, rollers, tracks, channels, carts, and conveyors).

Steel racks may be referred to as pallet racks, storage racks, stacker racks, retail racks, pick modules, or cantilever racks. Steel racks that incorporate moving components may also be referred to as pallet flow racks, carton flow racks, push back racks, movable shelf racks, drive-in racks, and drive-through racks.

Subject merchandise includes material matching the above description that has been finished, assembled, or packaged in a third country, including by coating, painting, assembling, attaching to, or packaging with another product, or any other finishing, assembly, or packaging that would not otherwise remove the merchandise from the scope of the investigation if performed in the country of manufacture of the steel racks and parts thereof.

Steel racks and parts thereof are covered whether or not imported attached to, or in conjunction with, other parts and accessories such as wire decking, nuts, and bolts. If steel racks and parts thereof are imported attached to, or in conjunction with, such non-subject merchandise, only the steel racks and parts thereof are covered by the scope.

Specifically excluded from the scope of this investigation are any products covered by the existing antidumping and countervailing duty orders on boltless steel shelving units prepackaged for sale from the People's Republic of China. See Boltless Steel Shelving Units Prepackaged for Sale From the People's Republic of China: Antidumping Duty Order, 80 Fed. Reg. 63,741 (October 21, 2017); Boltless Steel Shelving Units Prepackaged for Sale From the People's Republic of China: Amended Final Affirmative Countervailing Duty Determination and Countervailing Duty Order, 80 Fed. Reg. 63,745 (October 21, 2017).

Merchandise covered by this investigation is currently classified in the Harmonized Tariff Schedule of the United States (HTSUS) under the following subheadings: 7326.90.8688¹ and 9403.20.0080.² Subject merchandise may also enter under subheadings 7308.90.3000, 7308.90.6000, 7308.90.9590, and 9403.20.0090. The HTSUS subheadings set forth above are provided for convenience and U.S. Customs purposes only. The written description of the scope is dispositive.

Reporting of information.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. §1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in

¹ Prior to January 1, 2017, such merchandise was classified under HTS statistical reporting number 7326.90.8588.

² From July 1, 2015 through December 31, 2017, such merchandise was classified under HTS statistical reporting number 9403.20.0080. Prior to July 1, 2015 such merchandise was classified under HTS statistical reporting number 9403.20.0020.

connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

<u>Valid number error messages.</u>—If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Amelia Shister (202-205-2047, Amelia.Shister@usitc.gov).

I-1. <u>OMB statistics</u>.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire

| Hours | Dollars |
|-------|---------|
| | |

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. <u>Establishments covered</u>.--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

"<u>Establishment</u>"-- Each facility of a firm in China involved in the production or export of steel racks, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities. Firms operating more than one establishment in China should combine the data for all establishments into a single report.

| I-3. | | | -Does your firm or any related firm produce, have the capability to produce, produce steel racks in the United States or other countries? |
|------|----|-----|--|
| | No | Yes | If yes, please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire.: |
| | | | |
| I-4. | | | <u>ers</u> Does your firm or any related firm import or have any plans to import United States? |
| | No | Yes | If yes, please name the firm(s) below and ensure that they complete the Commission's importer questionnaire: |
| | | | |
| | | | • |

I-5. <u>U.S. importers</u>.--Please provide the names, contacts, telephone numbers, and e-mail addresses of the <u>FIVE</u> largest U.S. importers of your firm's steel racks in 2017.

| | Importer's name | Contact person | Email | Telephone | Share of your firm's 2017 U.S. exports (%) |
|---|-----------------|----------------|-------|-----------|--|
| 1 | | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Amelia Shister (202-205-2047, amelia.shister@usitc.gov). Supply all data requested on a calendar-year basis.

| II-1. | • | oonsible individual and the manner by which regarding the confidential information submitte |
|-------|-----------|---|
| | Name | |
| | Title | |
| | Email | |
| | Telephone | |
| | Fax | |

II-2a. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the production of steel racks since January 1, 2015.

| (che | ck as many as appropriate) | (If checked, please describe; leave blank if not applicable) |
|------|--|--|
| | plant openings | |
| | plant closings | |
| | relocations | |
| | expansions | |
| | acquisitions | |
| | consolidations | |
| | prolonged shutdowns or production curtailments | |
| | revised labor agreements | |
| | other (e.g., technology) | |

II-2b. <u>Anticipated changes in operations.</u>—Does your firm anticipate any changes in the character of its operations or organization (as noted above) relating to the production of steel racks in the future?

| No | If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions. |
|----|---|
| | |

II-3a. **Production using same machinery**.-- Please report your firm's production of products using the same equipment, machinery, or employees as used to produce steel racks, and the combined production capacity on this shared equipment, machinery, or employees in the periods indicated.

"Overall production capacity" or "capacity" –The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup).

Note.--If your firm does not produce any out-of-scope merchandise on the same machinery and equipment as scope merchandise then the "overall production capacity" numbers reported in this question should be exactly equal to the "average production capacity" numbers reported in question II-8. If, however, your firm does produce out-of-scope merchandise using the same machinery and equipment as scope mercandhise, then the "average production capacity" reported in question II-8 should exclude the portion of "overall production capacity" that was used to produce this out-of-scope merchandise.

"Production" -- All production in your establishment(s) in China, including production consumed internally within your firm.

| Quantity (in pounds) | | | | | | | |
|--|------|----------------|---------------|------|------|--|--|
| | | Calendar years | January-March | | | | |
| Item | 2015 | 2016 | 2017 | 2017 | 2018 | | |
| Overall production capacity | | | | | | | |
| Production of: Steel racks ¹ | 0 | 0 | 0 | 0 | 0 | | |
| Out-of-scope production ² | | | | | | | |
| Total | 0 | 0 | 0 | 0 | 0 | | |

¹ Data entered for production of steel racks will populate here once reported in question II-8.

² Please identify these products: _____

| ŀ | lours per w | veek . | Wee | ks per year | | | | |
|--------------|---|--------------------------|--------------------------------|--|----------|------------|-------------------------|---------|
| | | | scribe the me | | | | verall produc | ction |
| | on constra | | describe the c | constraint(s) | that set | the limit(| (s) on your fi | rm's |
| | | | | | | | | |
| | hifting.— | | | | | | | |
| (i). Is | your firm | | h production nt and/or labo | | etween s | teel rack | s and other I | oroduct |
| (i). Is | your firm | If yes—(i.e. | | or? ced other pr | oducts o | r are able | e to produce | |
| (i). Is u | your firm sing the sa | If yes—(i.e. | nt and/or labo | or? ced other pr | oducts o | r are able | e to produce | |
| No | your firm sing the sa Yes lease descetween pr | If yes—(i.e. products) P | nt and/or labo | ced other proceed other actual your firm's lative price of | oducts o | r are able | e to produce oducts: | other |

| | | at percentage of your firm's total sales in its most recent fiscal year was sof steel racks? percent. |
|-------------|-------------|--|
| of steel ra | cks in the | are of production in ChinaPlease estimate the percentage of total production country specified on the certification page accounted for by your firm's percent. |
| the United | d States of | are of country's exportsPlease estimate the percentage of total exports to steel racks from the country specified on the certification page accounted ports in 2017 percent. |
| | - | <u>actions</u> Is the steel racks exported by your firm subject to ervailing duty/safeguard findings, remedies, or proceedings? |
| No | Yes | If yesList the products(s), countries affected, and the date of such findings/remedies/proceedings. |
| | | |

II-8. <u>Trade data</u>.--Report your firm's production capacity, production, shipments, and inventories related to the production of steel racks in your establishment(s) in China during the specified periods. Do not include resales of steel racks that your firm did not produce in this question; those data to the degree they are exported to the United States should only be reported in question II-9.

<u>Do not submit data by manufacturing facility if they are in the same country.</u> If your firm has multiple manufacturing establishments within one country, you are required to combine data for those establishments within one foreign producer questionnaire response.

"Average production capacity" or "capacity" –The level of production that your establishment(s) could reasonably have expected to attain during the specified periods for all products manufactured in that establishment using the same manufacturing equipment. Assume normal operating conditions (i.e., using equipment and machinery in place and ready to operate; normal operating levels (hours per week/weeks per year) and time for downtime, maintenance, repair, and cleanup; and a typical or representative product mix).

"Production" -- All production in your establishment(s) in China, including production consumed internally within your firm.

"Shipments"--Shipments of products produced in your establishment(s) in China. Quantities reported should be net of returns.

"Home market commercial shipments"--Shipments, other than internal consumption and transfers to related firms, within China.

"Home market internal consumption/transfers to related firms"--Shipments made to related firms in China, including product consumed internally by your firm.

"Export shipments"--Shipments to destinations outstide of the country indicacted on page 1 (China), including shipments to related firms.

"Inventories"--Finished goods inventory, not raw materials or work-in-progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-8. **Trade data.**--Continued.

| | | Quanti | ty (in pounds) |) | | | |
|--|------|--------------|----------------|----------|-------|----------|------------------|
| | | Actu | al experience | 1 | | Projecti | ons ¹ |
| | C | alendar year | | January- | March | Calenda | ryear |
| Item | 2015 | 2016 | 2017 | 2017 | 2018 | 2018 | 2019 |
| Average production capacity ² (A) | | | | | | | |
| Beginning-of-period inventories (B) | | | | | | | |
| Production (C) | | | | | | | |
| Home market shipments: Internal consumption/ transfers (D) | | | | | | | |
| Commercial shipments (E) | | | | | | | |
| Exports to the United States (F) | | | | | | | |
| Exports to all other markets ³ (G) | | | | | | | |
| Total exports (H) (should equal F+G) | 0 | 0 | 0 | 0 | 0 | 0 | C |
| Total shipments (I) (should equal D+E+F+G) | 0 | 0 | 0 | 0 | 0 | 0 | C |
| End-of-period inventories (J) | | | | | | | |

II-8. **Trade data.**--*Continued*.

<u>RECONCILIATION OF SHIPMENTS, PRODUCTION, AND INVENTORY</u>.--Generally, the data reported for the end-of-period inventories (i.e., line J) should be equal to the beginning-of-period inventories (i.e., line B), plus production (i.e., line C), less total shipments (i.e., lines D, E, F, and G). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

| | Actual experience | | | | Projections | | |
|---|-------------------|------|------|---------------|-------------|---------------|------|
| | Calendar year | | | January-March | | Calendar year | |
| Item | 2015 | 2016 | 2017 | 2017 | 2018 | 2018 | 2019 |
| B + C - D - E - F - G - J = should equal | | | | | | | |
| zero ("0") or provide an explanation.1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ¹ Explanation if the calculated fields above are returning values other than zero (i.e. "0") but are nonetheless | | | | | | | |

¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:

II-9. Exports to the United States not produced by your firm.--Report your firm's exports to the United States of steel racks that was produced in China but not by your firm during the specified periods. Note these data should <u>not</u> be included in question II-8.

| Quantity (in pounds) | | | | | | | |
|--|-------------------|------|------|---------------|-------------|---------------|----------|
| | Actual experience | | | | Projections | | |
| | Calendar year | | | January-March | | Calendar year | |
| Item | 2015 | 2016 | 2017 | 2017 | 2018 | 2018 | 2019 |
| Exports of steel racks to the United States not produced by your firm ¹ | | | | | | | |
| ¹ List the producer(s). | | | | | | | <u>.</u> |

| Other explanationsIf your firm would like to further explain a response to a question in Part II |
|--|
| that did not provide a narrative box, please note the question number and the explanation in |
| the space provided below. Please also use this space to highlight any issues your firm had in |
| providing the data in this section, including but not limited to technical issues with the MS Word |
| questionnaire. |
| |

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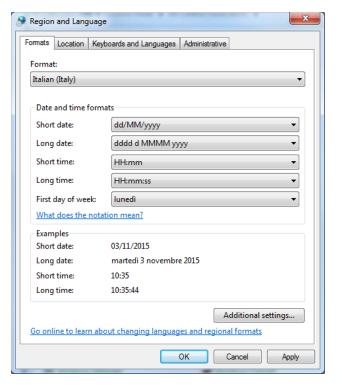
Correcting Valid number error messages.—If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The US International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

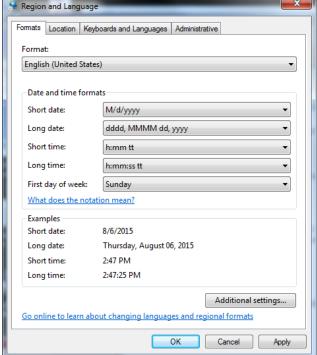
The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the USITC foreign producer questionnaire form. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.





HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://www.usitc.gov/investigations/701731/2018/steel racks china/preliminary.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: https://dropbox.usitc.gov/oinv/ Pin: SRACK

• E-mail.—E-mail your questionnaire to Amelia.Shister@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

If your firm did not produce or export this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, you are required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.