## **U.S. IMPORTERS' QUESTIONNAIRE**

## **GLASS CONTAINERS FROM CHINA**

This questionnaire must be received by the Commission by October 9, 2019

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping and countervailing duty investigations concerning glass containers from China (Inv. No. 701-TA-630 and 731-TA-1462 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Website	City	State	Zip Code
NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission  YES (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)  Return questionnaire via the U.S. International Trade Commission Drop Box by clicking on the following link: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> . (PIN: GLASS)  CERTIFICATION  that the information herein supplied in response to this questionnaire is complete and correct to the ge and belief and understand that the information submitted is subject to audit and verification by the Coff this certification I also grant consent for the Commission, and its employees and contract personners in this questionnaire and throughout this proceeding in any other import-injury proceedings mission on the same or similar merchandise.  dersigned, acknowledge that information submitted in response to this request for information and the general of the proceedings of the commission, its employees and Offices, all (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigation and evaluations relating to the programs, personnel, and operations of the Commission including units of the commission including units of the programs, personnel, and operations of the Commission including units of the programs, personnel, solely for cybersecurity purposes. I under the commission including units of the programs and contract personnel, solely for cybersecurity purposes. I under the commission including units of the	Website		
YES (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)  Return questionnaire via the U.S. International Trade Commission Drop Box by clicking on the following link: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> . (PIN: GLASS)  CERTIFICATION  that the information herein supplied in response to this questionnaire is complete and correct to the ge and belief and understand that the information submitted is subject to audit and verification by the Coff this certification I also grant consent for the Commission, and its employees and contract personne ion provided in this questionnaire and throughout this proceeding in any other import-injury proceedings mission on the same or similar merchandise.  Idersigned, acknowledge that information submitted in response to this request for information and the geor other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, all (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigation and evaluations relating to the programs, personnel, and operations of the Commission including used in the programs of the commission including used in the program of the programs of the commission including used in the program of the commission in the program of the commission in the program of the commi		d glass containers (as defined on next pa	age) from any country at any time since January 1
Return questionnaire via the U.S. International Trade Commission <i>Drop Box</i> by clicking on the following link: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> . (PIN: GLASS)  CERTIFICATION  that the information herein supplied in response to this questionnaire is complete and correct to the ge and belief and understand that the information submitted is subject to audit and verification by the Coff this certification I also grant consent for the Commission, and its employees and contract personne for provided in this questionnaire and throughout this proceeding in any other import-injury proceedings mission on the same or similar merchandise.  I dersigned, acknowledge that information submitted in response to this request for information and the geor other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, I (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigation and evaluations relating to the programs, personnel, and operations of the Commission including used in the programs of the Commission including used in the program of the programs of the Commission including used in the program of the program of the Commission including used in the program of the commission in the commission in the commission in the commission	NO (Sign the	e certification below and promptly return or	nly this page of the questionnaire to the Commission)
CERTIFICATION  that the information herein supplied in response to this questionnaire is complete and correct to the geand belief and understand that the information submitted is subject to audit and verification by the Confit this certification I also grant consent for the Commission, and its employees and contract personne for provided in this questionnaire and throughout this proceeding in any other import-injury proceedings mission on the same or similar merchandise.  I dersigned, acknowledge that information submitted in response to this request for information and the geor other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, I (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigation and evaluations relating to the programs, personnel, and operations of the Commission including used in the programs of the Commission including used in the program of the commission including used in the commission in the commiss	YES (Comple	te all parts of the questionnaire, and return	the entire questionnaire to the Commission)
ng or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, of (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigo and evaluations relating to the programs, personnel, and operations of the Commission including used; as; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I under	about about the		
	e and belief and under this certification I als on provided in this que	erein supplied in response to this questand that the information submitted or grant consent for the Commission, stionnaire and throughout this procee	uestionnaire is complete and correct to the k is subject to audit and verification by the Comi , and its employees and contract personnel,
Authorized Official Title of Authorized Official Date	e and belief and under this certification I als on provided in this que hission on the same or s dersigned, acknowledge g or other proceedings I (a) for developing or I and evaluations relations; or (ii) by U.S. govern	erein supplied in response to this question that the information submitted or grant consent for the Commission, stionnaire and throughout this proceed in the information submitted in responsation by the disclosed to and used: (i) by maintaining the records of this or a reage to the programs, personnel, and ment employees and contract personnel.	uestionnaire is complete and correct to the bis subject to audit and verification by the Comp, and its employees and contract personnel, ding in any other import-injury proceedings compose to this request for information and through the Commission, its employees and Offices, and alter a proceeding, or (b) in internal investigation operations of the Commission including under
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#### PART I.—GENERAL INFORMATION

**Background.**-- This proceeding was instituted in response to a petition filed on September 25, 2019, by the American Glass Packaging Coalition, Tampa, Florida and Chicago, Illinois. Countervailing and/or antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. Questionnaires and other information pertinent to this proceeding are available at https://www.usitc.gov/investigations/701731/2019/glass\_containers\_china/preliminary.htm.

<u>Glass containers</u> covered by these investigations are certain glass containers with a nominal capacity of 0.059 liters (2.0 fluid ounces) to 4.0 liters (135.256 fluid ounces) and an opening or mouth with a nominal outer diameter of 14 millimeters to 120 millimeters. The scope includes glass jars, bottles, flasks and similar containers; with or without their closures; whether clear or colored; and with or without, design or functional enhancements (including, but not limited to, handles, embossing, labeling, or etching).

Excluded from the scope of the investigation are: (1) Glass containers made of borosilicate glass, meeting United States Pharmacopeia requirements for Type 1 pharmaceutical containers; (2) Glass containers produced by 'free blown' method or otherwise without the use of a mold (i.e., without 'mold seems', 'joint marks', or 'parting lines'); and (3) Glass containers without a 'finish' (i.e., the section of a container at the opening including the lip and ring or collar, threaded or otherwise compatible with a type of closure, including but not limited to a lid, cap, or cork).

Glass containers are currently imported under statistical reporting numbers 7010.90.5009, 7010.90.5019, 7010.90.5029, 7010.90.5039, 7010.90.5049, 7010.90.5055, 7010.90.5005, 7010.90.5015, 7010.90.5025, 7010.90.5035, and 7010.90.5045 of the Harmonized Tariff Schedule of the United States (HTSUS). The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

<u>Importer</u>.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing glass containers (as defined above) into the United States from a foreign manufacturer or through its selling agent.

**Reporting of information**.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

"Gross": 1 gross = 144 discrete glass containers

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting

documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

<u>Release of information</u>.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

<u>Valid number error messages.</u>--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than dollars,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Christopher W. Robinson (202-205-2542, <a href="mailto:christopherson">christopherson</a> (202-205-2542, <a href="mailto:

<u>D-GRIDS tool.</u>--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macroenabled MS Excel file available for download from the Commission's generic questionnaires webpage (<a href="https://www.usitc.gov/trade\_remedy/question.htm">https://www.usitc.gov/trade\_remedy/question.htm</a>) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1. <u>OMB statistics</u>.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2a.	<u>Establishments covered</u> Provide the name and address of establishment(s) covered by this questionnaire.
	"Establishment" Each facility of a firm involved in the importation of glass containers, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

U.S. In	nporters' Questionnaire – <b>Glass Co</b>	ontainers (Preliminary)	Page !
I-2b.	Stock symbol information If y stock exchange and trading sym	your firm or parent firm is publicly traded, pleable.	ease specify the
I-2c.		or parent firm is represented by external cou the name of the law firm and the lead attorne	
	Law firm: Lead attorney(s):		
I-3.	OwnershipIs your firm owned	I, in whole or in part, by any other firm?	
	☐ No ☐ YesList the	following information	
	Firm name	Address	Extent of ownership (percent)
I-4.	foreign, that are engaged in imp	Does your firm have any related firms, either porting glass containers from China into the Lontainers from China to the United States?	
	No YesList the	following information.	
	Firm name	Country	Affiliation

I-8.	FTZ, TIB, or bonded warehouses Please indicate whether your firm enters glass containers
	into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also
	indicate whether your firm imports glass containers under the TIB (temporary importation
	under hond) program.

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

"Bonded warehouse" is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

"Temporary Importation under Bond ("TIB") program" is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule of the United States (HTS).

Item	No	Yes
Foreign trade zones		
Bonded warehouses		
Temporary importation under bond		

I-9. Other trade actions.--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No	Yes	If yes, Yes–Please specify.

## PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Christopher W. Robinson (202-205-2542, chris.robinson@usitc.gov). **Supply all data requested on a** <u>calendar-year</u> **basis**.

II-1.	<u>Contact information</u> Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.				
	Name				
	Title				
	Email				
	Telephone				

II-2. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the importation of glass containers since January 1, 2016.

(check as many as appropriate)		(If checked, please describe; leave blank if not applicable)
	Office/warehouse openings	
	Office/warehouse closings	
	Relocations	
	Expansions	
	Acquisitions	
	Consolidations	
	Prolonged shutdowns or importation curtailments	
	Revised labor agreements	
	Other (e.g., technology)	

115	Importers'	Questionnaire -	<ul> <li>Glass Containers</li> </ul>	(Preliminary)
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II-3a.			Has your firm impo ine <b>30, 2019</b> ?	orted or arrange	d for the importation of	glass containers
	_	t mercha	•	•	is placed an order with a is not scheduled to occu	
	No	Yes				
			If yes, fill out the	table below.		
					Davied	
	Sou	ırce	Jul-Sep 2019	Oct-Dec 201	Period  9 Jan-Mar 2020	Apr-Jun- 2020
	300		зиі-эер 2013		tity (in gross¹)	Apr-3011- 2020
	China					
	Mexico					
	All other sources					
II-3b.	container	s from an		eptember 1, 201	nHas your firm import .8 and August 31, 2019? ed)	
	No	Yes				
			If yes, report the quantity of such import below by source.			e.
	Quantity (in gr					
	Source China				September 2018 thro	ugn August 2019
	Mexico					
	All other	sources				
II-4.	Reasons f	or import	ting if producerIf value the reasons for		oduces glass containers	in the United

### **Definitions**

"Imports" – Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty).

"Import quantities" –Quantities reported should be net of returns.

"Import values" — Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

"Commercial U.S. shipments"— Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" – Shipments made to related firms. Such transactions are valued at fair market value.

"Related firm" —A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

**"Export shipments"**— Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" -- Finished goods inventory, not raw materials or work in progress.

"Gross"-- Official U.S. import statistics report import volumes of glass container products in gross (one gross =12 dozen, or 144 units).

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-5a. <u>U.S. imports from China</u>.—Report your firm's imports and your firm's shipments and inventories of glass containers imported from China by your firm during the specified periods.

# China

	Quantity (in	gross), value (in	dollars)		
		Calendar years	Januar	y-June	
ltem	2016	2017	2018	2018	2019
Beginning-of-period inventories (quantity) (A)					
Imports: <sup>1</sup> Quantity (B)					
Value (C)					
U.S. shipments:  Commercial shipments:  Quantity (D)					
Value (E)					
Internal consumption: <sup>2</sup> Quantity (F)					
Value² (G)					
Transfers to related firms: <sup>2</sup> Quantity (H)					
Value² (I)					
Export shipments: <sup>3</sup> Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
<sup>1</sup> Please identify the foreign producer <sup>2</sup> Internal consumption and transfers basis for valuing these transactions in you the data provided above in this table should be a provided by the provided above in this table should be a provided by the provided by the provided above in the provided by the prov	to related firms rour records, pleasould be based on	must be valued at se specify that ba a fair market value	sis (e.g., cost, cost	•	

#### II-5a. U.S. imports from China.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years			January-June	
Reconciliation	2016	2017	2018	2018	2019
A + B - D - F - H - J -L = should equal					
zero ("0") or provide an explanation.1	0	0	0	0	0

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-5b. <u>Channels of distribution: China</u>.-- Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from China by channel of distribution in the specified periods.

## China

	Calendar years			January-June	
ltem	2016	2017	2018	2018	2019
		C	uantity (in gros	ss)	
Channels of distribution: U.S. shipments: To distributers (M)					
To alcoholic beverage manufacturers (N)					
To other beverage manufacturers (O)					
To retailers (P)					
To food manufacturers and other end users (Q)					

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines M through QL) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			Calendar years January-June		y-June
Reconciliation item	2016	2017	2018	2018	2019	
M + N + O + P + Q - D - F - H = zero						
("0"), if not revise.	0	0	0	0	0	

II-6a. <u>U.S. imports from Mexico</u>.—Report your firm's imports and your firm's shipments and inventories of glass containers imported from Mexico by your firm during the specified periods.

# Mexico

	Quantity (in g	gross), value (in	dollars)		
		Calendar years	5	Januar	y-June
ltem	2016	2017	2018	2018	2019
Beginning-of-period inventories (quantity) (R)					
Imports: <sup>1</sup> Quantity (S)					
Value (T)					
U.S. shipments: Commercial shipments: Quantity (U)					
Value (V)					
Internal consumption: <sup>2</sup> Quantity (W)					
Value² (X)					
Transfers to related firms: <sup>2</sup> Quantity (Y)					
Value² (Z)					
Export shipments: <sup>3</sup> Quantity (AA)					
Value (AB)					
End-of-period inventories (quantity) (AC)					
<sup>1</sup> Please identify the foreign producers <sup>2</sup> Internal consumption and transfers t basis for valuing these transactions in yo the data provided above in this table sho <sup>3</sup> Identify your firm's principal export r	to related firms nur records, pleas ould be based on	nust be valued at se specify that ba	sis (e.g., cost, cost	•	

### II-6a. U.S. imports from Mexico.—Continued

<u>RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES</u>.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years			January-June	
Reconciliation	2016	2017	2018	2018	2019
R + S - U - W - Y - AA -AC = should equal zero ("0") or provide an					
explanation. <sup>1</sup>	0	0	0	0	0

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-6b. <u>Channels of distribution: Mexico</u>.-- Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from Mexico by channel of distribution in the specified periods.

## Mexico

	Calendar years			January-June	
Item	2016	2017	2018	2018	2019
		Q	uantity (in gros	s)	
Channels of distribution: U.S. shipments: To distributers (AD)					
To alcoholic beverage manufacturers (AE)					
To other beverage manufacturers (AF)					
To retailers (AG)					
To food manufacturers and other end users (AH)					

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines AD through AH) in each time period equal the quantity reported for U.S. shipments (i.e., line U, W, Y) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			Calendar years January-June		y-June
Reconciliation item	2016	2017	2018	2018	2019	
AD + AE + AF + AG + AH - U - W - Y =						
zero ("0"), if not revise.	0	0	0	0	0	

II-7a. <u>Imports from all other sources</u>.—Report your firm's imports and your firm's shipments and inventories of glass containers imported from **all other sources** by your firm during the specified periods. .

# All other sources

	Quantity (in g	ross), value (in	dollars)		
		Calendar years	1	Januar	y-June
Item	2016	2017	2018	2018	2019
Beginning-of-period inventories (quantity) (AI)					
Imports: <sup>1</sup> Quantity (AJ)					
Value (AK)					
U.S. shipments: Commercial shipments: Quantity (AL)					
Value (AM)					
Internal consumption: <sup>2</sup> Quantity (AN)					
Value² (AO)					
Transfers to related firms: <sup>2</sup> Quantity (AP)					
Value² (AQ)					
Export shipments: <sup>3</sup> Quantity (AR)					
Value (AS)					
End-of-period inventories (quantity) (AT)					
<sup>1</sup> Please identify the foreign producers <sup>2</sup> Internal consumption and transfers to basis for valuing these transactions in youthe data provided above in this table should be a support of the data provided above in the stable should be a support of the support	to related firms m our records, pleaso ould be based on	nust be valued at e specify that bas	sis (e.g., cost, cost	•	

#### II-7a. Imports from all other sources.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years			January-June	
Reconciliation	2016	2017	2018	2018	2019
AI + AJ - AL - AN - AP - AR - AT = should equal zero ("0") or provide an					
explanation. <sup>1</sup>	0	0	0	0	0

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-7b. <u>Channels of distribution: All other sources</u>.-- Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports from all other sources by channel of distribution in the specified periods.

## All other sources

	Calendar years			January-June	
ltem	2016	2017	2018	2018	2019
		C	uantity (in gros	ss)	
Channels of distribution: U.S. shipments: To distributers (AU)					
To alcoholic beverage manufacturers (AV)					
To other beverage manufacturers (AW)					
To retailers (AX)					
To food manufacturers and other end users (AY)					

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines AU through AY) in each time period equal the quantity reported for U.S. shipments (i.e., line AL, AN, AP) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

		Calendar years		Januar	y-June
Reconciliation item	2016	2017	2018	2018	2019
AU + AV + AW + AX + AY - AL -AN -					
AP= zero ("0"), if not revise.	0	0	0	0	0

II-8.	<u>Transfers to related firms</u> If your firm reported transfers to related firms in any of the data tables in part II, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary) and whether the transfers were priced at market value or by a non-market formula.			

II-9 <u>Out-of-scope imports of glass containers.</u>—Please report your firm's imports of any out-of-scope glass containers (as defined on page 3).

	q	uantity (in g	ross) and va	lue ( <i>in dollar</i>	s)
	(	Calendar yea	r	Januar	y-June
Item	2016	2017	2018	2018	2019
U.S. imports of out-of-scope merchandise <sup>1</sup> reported under primary HTS numbers <sup>2</sup> imported					
from:					
China:					
Quantity					
Value					
Mexico:					
Quantity					
Value					
All other sources:					
Quantity					
Value					

<sup>&</sup>lt;sup>1</sup>Please describe:

<sup>&</sup>lt;sup>2</sup>Please provide the HTS numbers used for any out-of-scope imports reported in this table:

II-10.		<u>Product mix.</u> —Does your firm import and use or sell the following products? (check all that							
	apply):								
	Clear beer bottles								
	Colored beer bottles								
	750 mL Claret style (Bordeaux style) wine bottles, green color								
		750 mL Burgundy style wine bottles, green color							
		☐ 750 mL wine bottles of other styles or colors ☐ Wine bottles smaller or larger than 750 mL							
	750 mL liquor bottles								
	1 L liquor bottles								
	1.75L liquor bottles								
	Clear glass non-alcoholic	beverage bottles							
	Colored glass non-alcoho		5						
	Glass jars	•							
	Glass containers other th	nan jars for food sto	rage/packaging						
	Glass perfume bottles								
	Other glass containers (p	lease list):							
	Glass containers of the fo	llowing colors:							
	Flint (clear)								
	Blue								
	Green								
	Amber								
	Other colors (please list)	:							
	Handles Embossing Etching Labeling	Embossing Etching							
II-11.	Pange of ALIVe What is the range of per gross unit value for the different glass container type								
11-11.	<u>Range of AUVs.</u> What is the range of per gross unit value for the different glass container types used or sold by your firm?								
		Unit value							
		(dollars per							
	Туре	gross)	Description of the product						
	Highest per unit value								
	glass container product								
	produced by your firm								
	· · · · · · · · · · · · · · · · · · ·								
	Highest volume glass								
	container product								
	produced by your firm								
	Lowest per unit value								
	glass container product								
	produced by your firm								

II-12.	Other explanationsIf your firm would like to further explain a response to a question in Part II for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

#### PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Craig Thomsen (202-205-3226, craig.thomsen@usitc.gov).

III-1. <u>Contact information.</u>--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	

### **PRICE DATA**

III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2016 of the following products your firm imported from China:

Product 1.-- 750 ml, Claret style (also referred to as Bordeaux) wine bottle, green color

Product 2.-- 12 oz., long neck style beverage bottle, flint (clear) color

Product 3.-- 12 oz., sauce bottle, flint (clear) color

Product 4.-- 17 oz., wide mouth pickle style jar, flint (clear) color

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

During January 2016-June 2019, did your firm import from China and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data tables as appropriate.
NoSkip to question III-3.

Product 2: Product 3: Product 4:

III-2a. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from China and sold by your firm.

# China

Report data in *gross* and *actual dollars* (not 1,000s) (1 gross = 144 individual glass containers)

	Product 1		Product 2		Product 3		Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								
July-September								
October-December								
2019:								
January-March								
April-June								
<sup>1</sup> Net values (i.e., gros	s sales values le	ss all discount	ts, allowances, r	ebates, prep	aid freight, and t	the value of r	eturned goods),	f.o.b. you
firm's U.S. point of shipme	nt.							
<sup>2</sup> Pricing product defir	itions are provi	ded on the fir	st page of Part I	II.				
	_							_
<b>Note</b> If your firm's produ					competitive witl reported pricing		d product, provi	de a

III-2c.

III-2b.	Price data checklistPlease check that the pricing data in question III-2(a) has been correctly
	reported.

Are the price data reported above:	√ if Yes
In actual dollars (not dollars) and gross?	
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	
Net of all discounts and rebates?	
Have returns credited to the quarter in which the sale occurred?	
Less than reported commercial shipments in part II in each year?	

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

115	Importers'	Questionnaire -	<ul> <li>Glass Containers</li> </ul>	(Preliminary)
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III-3.	<u>Price setting.</u> How does your firm determine the prices that it charges for sales of glass
	containers (check all that apply)? If your firm issues price lists, please submit sample pages of a
	recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

III-4. <u>Discount policy</u>.--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

III-5. **Pricing terms.**--On what basis are your firm's prices of glass containers imported from China usually quoted *(check one)*?

Delivered	F.o.b.	If f.o.b., specify point

III-6. <u>Contract versus spot.</u>—Approximately what share of your firm's sales of glass containers imported from China in 2018 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

	Type of sale						
ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	<b>Spot sales</b> (for a single delivery)	(shoul sum to	Total (should sum to 100.0%)	
Share of 2018 sales	%	%	%	%	0.0	%	

III-7. <u>Contract provisions.</u>--Please fill out the table regarding your firm's typical sales contracts for glass containers imported from China (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)		
Average contract duration	No. of days		365			
Price renegotiation	Yes					
(during contract period)	No					
	Quantity					
Fixed quantity and/or price	Price					
3.1.5, 5.1 p.1.55	Both					
Indexed to raw	Yes					
material costs <sup>1</sup>	No					
Not applicab	le					
<sup>1</sup> Please identify the indexes used:						

III-8. <u>Lead times.--</u>What is your firm's share of sales of glass containers imported from China from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of glass containers?

Source	Share of 2018 sales	Lead time (Average number of days)
From your firm's U.S. inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

III-9.

Shipp	oing information.—								
(a)	What is the approximate percentage of the cost of glass containers imported from China that is accounted for by U.S. inland transportation costs? percent.								
(b)	Who generally arranges the transportation to your firm's customers' locations?  Your firm Purchaser (check one)								
(c)	When your firm sells glass containers imported from China, from where is it shipped?  Point of importation Storage facility (check one)								
(d)	Indicate the approximate percentage of your firm's sal from China that are delivered the following distances shipment.	-	•						
	Distance from your firm's U.S. point of shipment	Share							
	Within 100 miles	%							
	101 to 1,000 miles	%							
	Over 1,000 miles	%							

III-10. <u>Geographical shipments.</u>—In which U.S. geographic market area(s) has your firm sold glass containers imported from subject countries since January 1, 2016 (check all that apply)?

Total (should sum to 100.0%)

Geographic area	China
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.	
Midwest.–IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	
Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	
Central Southwest.—AR, LA, OK, and TX.	
Mountains.—AZ, CO, ID, MT, NV, NM, UT, and WY.	
Pacific Coast.—CA, OR, and WA.	
<b>Other</b> .—All other markets in the United States not previously listed, including AK, HI, PR, and VI.	

0.0

%

III-11. <u>End uses</u>.--List the end uses of the glass containers that your firm imports. For each end-use product, what percentage of the <u>total cost</u> is accounted for by glass containers and other inputs?

		t of end-use product ted for by	Total	
			(should sum to	
End-use product	Glass containers	Other inputs	100.0% across)	
	%	%	0.0 %	
	%	%	0.0 %	
	%	%	0.0 %	

	□ N	0	YesP	lease fill ou	t the tak	le.		
		F	nd use in v	which this			hanges in the price of this substi	
Substitute		-	substitute is used			Yes	Explanation	
1.								
2.								
3.								
III-13	States (if kno	wn) for gla	ss contain	ers has chai	nged sin	ce Jar e char	States and outside of the United inuary 1, 2016. Explain any trendinges in demand.	
Market		increase	change	decrease	no clea	ar trei	end Explanation and fact	ors
in the	United States							
	e United States							

U.S. Im	porters' Qu	iestionnai	re – <b>Glass Contain</b>	ers (Preliminary)	Page 29	
III-14.		_	lave there been ar ontainers since Jar	ny significant changes in the product range, product nuary 1, 2016?	ct mix or	
	No	Yes	If yes, please des	cribe.		
III-15.		glass cont	ainer market subje	ect to business cycles (other than general economy of competition distinctive to glass containers?	/-wide	
	Check all	that apply	<b>y</b> .	Please describe.		
	☐ No			Skip to question III-16.		
	Yes-Business cycles (e.g. seasonal business)					
	Yes-Other distinctive conditions of competition					
	(b) If yes, have there been any changes in the business cycles or conditions of competition for glass containers since January 1, 2016?					
	No	Yes	If yes, describe	е.		
III-16.	since Janu entry," de	ary 1, 201 clining to	6 (examples includance) accept new custon	used, declined, or been unable to supply glass condeption or "controlled of mers or renew existing customers, delivering less the timely shipment commitments, etc.)?	order	
	No	Yes	If yes, please des	cribe.		
	-					

U.S. Import	ters' Questionn	aire – Glass C	Containers (	(Preliminary
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111 47	<b>Raw materials</b> How have glass			-l	1 20162
111-1/	Raw materials How have diace	containers ra	aw material hrices	Changed Since Janijai	(1) / 11 / 16 /
111 1/.	INAW IIIALCI IAIS I IOW HAVE SIASS	CONTAINED 16	avv illatellal bilees	CHAILECA SILICE JALIAAI	V 1. ZUIU:

Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Describe the raw materials and explain, noting how raw material price changes have affected your firm's selling prices for glass containers.

III-18. <u>Impact of section 301 tariffs.</u>-- Did the imposition of tariffs on Chinese-origin products under to section 301 have an impact on the glass container market in the United States?

<b>Yes</b> — Please indicate the impact in the table below.	Don't know

Factor	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how the imposition of tariffs under section 301 affected each factor of the glass container market in the United States.
Supply of U.S produced glass containers					
Supply of glass containers imported from China					
Supply of glass containers imported from other countries					
Prices for glass containers					
Overall U.S. demand for glass containers					
Raw material costs for glass containers					

III-19. <u>Interchangeability</u>.--Are glass containers produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = no familiarity with products from a specified country-pair

Country-pair	China	Mexico	Other countries
United States			
China			
Mexico			

For any country-pair producing glass containers that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

III-20. <u>Factors other than price</u>.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between glass containers produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Mexico	Other countries
United States			
China			
Mexico			

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of glass containers, identify the country-pair and report the advantages or disadvantages imparted by such factors:

U.S.	Importers'	Questionnaire -	Glass	<b>Containers</b>	(Preliminary
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III-21. <u>Customer identification</u>.--List the names and contact information for your firm's 10 largest U.S. customers for glass containers since January 1, 2016. Indicate the share of the quantity of your firm's total shipments of glass containers that each of these customers accounted for in 2018.

	Customer's name	City	State	Share of 2018 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

III-21.	Other explanationsIf your firm would like to further explain a response to a question in Part III for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

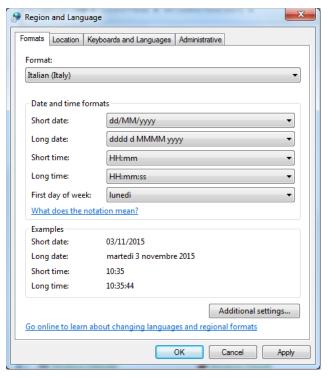
Correcting Valid number error messages.--If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as dollars,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The US International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

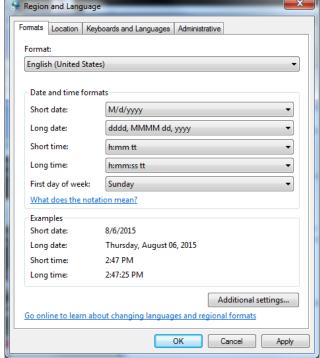
The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the questionnaire. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.





# **HOW TO FILE YOUR QUESTIONNAIRE RESPONSE**

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://www.usitc.gov/investigations/701731/2019/glass containers china/preliminary .htm.

**Please do not attempt to modify the format or permissions of the questionnaire document**. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> Pin: GLASS

• E-mail.—E-mail the MS Word questionnaire to <a href="mailto:chris.robinson@usitc.gov">chris.robinson@usitc.gov</a>; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

**If your firm** did not import this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.