

**BUREAU OF CONSUMER FINANCIAL PROTECTION  
REQUEST FOR APPROVAL UNDER THE “GENERIC INFORMATION  
COLLECTION PLAN TO CONDUCT COGNITIVE AND PILOT TESTING  
OF RESEARCH METHODS, INSTRUMENTS, AND FORMS”  
(OMB CONTROL NUMBER: 3170-0055)**

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**PART A. GENERAL INFORMATION**

**1. Title of the Information Collection (Study):**

**2. Study Abstract:**

**3. Type of Collection:**

- a. **Will there be an informed consent?**  Yes  No  N/A

**Explain why or why not an informed consent is being used.**

- b. **How will you collect the information?** (Check all that apply)

Cognitive Laboratory Study  Pilot Testing

Other, Explain \_\_\_\_\_

- c. **Will interviewers or facilitators be used?**  Yes  No  N/A

**4. Personally Identifiable Information:**

- a. **Is personally identifiable information (PII) collected?**  Yes  No

**1. If yes, describe what PII collected, why it is needed and intended uses.**

- b. **If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974?**  Yes  No  Not Applicable

**1. If Applicable, has a System or Records Notice (SORN) been published?**

Yes  No

**2. If Yes, provide SORN title and *Federal Register* citation for the SORN** \_\_ FR \_\_\_\_  
**Title:** \_\_\_\_\_.

- c. **1. Has the Privacy Impact Assessment (PIA) been published?**

Yes  No  Not Applicable

**2. If Yes, provide link to Privacy Impact Analysis (PIA). If No, please describe that status of the PIA:**



**PART B. JUSTIFICATION**

1. Purpose of the Study and Intended Uses of the Data:

2. Payments or Gifts (Incentives) to Respondents:

3. Assurances of Confidentiality and Justification for Sensitive Questions:

4. Estimated Burden of Information Collection:

Information Collection	No. of Respondents	Frequency (Response per Respondent )	Total Annual Responses	Average Response Time (hours)	Total Burden Hours
[Delete or insert rows as needed]					
<b>Totals:</b>	//////////	//////////		//////////	

5. Federal Costs (estimated annual cost to the Federal government):

\$



**PART D. CERTIFICATION PURSUANT TO 5 CFR 1320.9, AND THE RELATED PROVISIONS OF 5 CFR 1320.8(b)(3) :**

By submitting this document, the Bureau certifies the following to be true:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (d) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (e) It indicates the retention period for recordkeeping requirements;
- (f) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (i) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (g) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected;
- (h) It uses effective and efficient statistical survey methodology; and
- (i) It makes appropriate use of information technology.

**PART E. CERTIFICATION FOR INFORMATION COLLECTIONS SUBMITTED UNDER A GENERIC INFORMATION COLLECTION PLAN**

- (a) The collection is voluntary.
- (b) The collection is low-burden for respondents and low-cost for the Federal Government.
- (c) The collection is non-controversial and does not raise issues of concern to other federal agencies.
- (d) The collection is not intended to be published to the public as an official government statistic to be externally valid and representative of a population of interest. The results are intended to be internally valid, not necessarily externally valid.
- (e) Information gathered will not be used for the purpose of substantially informing influential policy decisions.
- (f) The collection is targeted to the solicitation of opinions from respondents who have experience with the topics or issues being studied.
- (g) The results will not be used to measure regulatory compliance or for CFPB program performance evaluation.
- (h) The results are not intended to be generalizable or otherwise draw inferences beyond the survey population.