

U.S. Department of State DS-7787: Disclosure of Information to the Office of Defense Trade Controls Compliance

(SEE INSTRUCTIONS)

DO NOT INCLUDE CLASSIFIED INFORMATION ON THIS FORM

*PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 10 hours per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (AGIS/DIR) Washington, D.C. 20520.

Block 1: Identifying Information

Registered with DDTC? Yes No

If "Registered with DDTC" is Yes

Registration Code:

Organization Type Information:

Corporation	Partnership	Limited Liability Company
Sole Proprietorship	Individual	Educational Institution
Other. If Other, please specify:		

Is this organization a Non-Profit? Yes No

Submitter Identifying Information: Natural Person Entity

If "Submitter Identifying Information" is Natural Person

First Name:

Middle Name:

None

Last Name:

Parent Company or Organization is Entity

Parent company should be listed here; applicable subsidiaries will be listed on page 2.

Company or Organization:

Doing Business as Name:

Address Line 1:

Address Line 2:

Address Line 3:

City:

Country:

State/Province:

ZIP/Postal Code:

Website:

Does the submitter own, or otherwise control, any U.S. or foreign affiliates or subsidiaries that are involved in the disclosure of information to DDTC? Yes No

If yes:

Company or Organization:

Doing Business as Name:

Address Line 1:

Address Line 2:

Address Line 3:

City:

Country:

State/Province:

ZIP/Postal Code:

Website:

U.S. Person: Yes No

Relationship: Affiliate Subsidiary

Block 2. Point of Contact Information

Name:

Telephone:

Email:

Point of Contact Type: Submitter Third Party

Company or Organization:

Address Line 1:

Address Line 2:

Address Line 3:

City:

Country:

State/Province:

ZIP/Postal Code:

Website:

Block 3. Disclosure Information

Submission Type:	Initial Voluntary Disclosure	Full Voluntary Disclosure	Supplemental Information
	Response to DTCC Inquiry	Extension Request	Notification
	Other		

If "Submission Type" is Full Voluntary Disclosure

Disclosure Case Number:

If "Submission Type" is Supplemental Information

Disclosure Case Number:

If "Submission Type" is Response to DTCC Inquiry

Disclosure Case Number:

If "Submission Type" is Extension Request

Disclosure Case Number:

Proposed Due Date:

Explanation:

If "Submission Type" Notification

Notification Type:

126.1 Notification Third-Party Violation Notification Other (specify):

Identify the entity or natural person that the Notification is concerning. Provide name and address:

If "Submission Type" Other

Disclosure Case Number:

Purpose of Submission:

Block 4. Violation Summary Information

DDTC encourages respondents to review the DS-7787 instructions for clarifications related to completing this section.

Violation Start Date:

Violation End Date:

Description of and Circumstances Surrounding Violation: *(If providing a narrative, submitters should provide complete information by uploading a document in Block 5 and choosing "Voluntary Disclosure Narrative" for Document Type.)*

ITAR Section Violated:

Defense Article (including Technical Data) and/or Defense Service Description:

USML Category:

Significant Military Equipment Involved? Yes No

Classified Information Involved? Yes No *Please refer to DDTC's website, pmdtcc.state.gov for instructions on how to properly submit Classified Information.*

Identify any relevant Department of State license or authorization:

Authorization Number:

Exemption Citation:

If "Exemption Citation" is Other

Other Exemption Citation:

Foreign Country/Foreign Person Nationality:

126.1 Country/National Involved? Yes No

Identities and Addresses of Persons Involved:

Root Cause of Violation:

Corrective Actions:

Related to Previous Disclosures? Yes No

If "Related to Previous Disclosures" is Yes

Disclosure Case Number:

Block 5. Disclosure or Relevant Documents

File Name:

Document Type:

- | | |
|--|----------------------------------|
| Voluntary Disclosure Narrative | Technology Control Plan |
| Empowered Official/Senior Official Certification | Licensing/Authorization Document |
| Response to DTCC Inquiry | Shipping Document |
| Audit Report | Training Document |
| Compliance Program Manual, Policy, or Procedure | Notification Letter |
| Technical Data | Exhibit |
| Extension Request Letter | Other (specify): |

Block 6. Submission Authorization

I am an authorized employee of the company cited in Block 1, or an authorized third party as indicated in Block 2 to submit on behalf of the company in Block 1. I certify to the accuracy and completeness of the submission, and have not knowingly omitted information.

Disclaimers/Notices

The provisions of ITAR Section 127.12 do not, nor should they be relied on to, create, confer, or grant any rights, benefits, privileges, or protection enforceable by law or in equity by any person in any civil, criminal, administrative, or other matter.

Any relevant documents must be retained by the person making the disclosure until the Directorate of Defense Trade Controls requests them or until a final decision on the disclosed information has been made.

NOTICE TO SUBMITTER: If this submission (including supporting documentation) contains proprietary, business-sensitive, or other commercial or financial information about the submitter, its business, and/or its activities that would not customarily be made available to the general public, the Department of State recommends that the submitter mark all documents in accordance with the guidelines located at 22 CFR 171.12. Submitters should also be aware of the provisions and limitations of the Freedom of Information Act (5 U.S.C 552, as amended) when submitting information to the Directorate of Defense Trade Controls.

WARNING: False statements made knowingly or willfully to the federal government are punishable by fines and/or imprisonment under U.S. Law, including the provisions of 18 U.S.C. 1001 and/or 18 U.S.C 1621.

Privacy Act Statement

AUTHORITIES: The information is sought pursuant to the Arms Export Control Act (AECA, 22 U.S.C. 2778), the International Traffic in Arms Regulations (ITAR, 22 CFR 120-130) and Executive Order 13637.

PURPOSE: The principal purpose of gathering this information is to allow the Directorate of Defense Trade Controls (DDTC) to determine whether to take administrative action concerning any violation of the Arms Export Control Act that may have occurred. DDTC encourages the disclosure of information by persons who believe they may have violated any provision of the AECA, ITAR, or any order, license, or other authorization issued under the AECA.

ROUTINE USES: The information supplied on this form may be made available to appropriate agencies for law enforcement or pursuant to a court order. It may also be used to send required reports to Congress about certain defense transactions. More information on the Routine Uses for the system can be found in the System of Records Notice State-42, Munitions Records.

DISCLOSURE: Disclosure of this information is voluntary unless pursuant to 22 CFR 123.17, 126.1, 126.16, 126.17. Failure to report a violation may result in circumstances detrimental to the U.S. national security and foreign policy interests and will be an adverse factor in determining the appropriate disposition of such violations.