**BUREAU OF CONSUMER FINANCIAL PROTECTION**

**Request for Approval under the Generic INFORMATION COLLECTION PLAN FOR THE**

**Compliance Costs and Other Effects of Regulations**

**(OMB Control Number: 3170-0032)**

**PART A: JUSTIFICATION FOR THE INFORMATION COLLECTION**

## 1. TITLE OF INFORMATION COLLECTION:

**2. PURPOSE:**

**3. DESCRIPTION OF RESPONDENTS**:

4. **Federal Register Notice Citations:**

* 1. **60-day *Federal Register* Notice** 84 FR 26078 **Date:** 06/5/2019
  2. **30-day *Federal Register* Notice**  \_\_ FR \_\_\_\_\_\_ **Date: \_\_\_\_\_\_\_\_\_\_**
  3. **Comments received in response to the 30-day Notice**: [ ] Yes [ ] No
  4. **If yes, summarize the comments and the Bureau’s response to those comments**:

**5. TYPE OF COLLECTION (Administration of the instrument):**

1. **How will you collect the information?** (Check all that apply)

[ ] Web-based or other forms of Social Media [ ] Telephone

[ ] In-person [ ] Mail

[ ] Small Discussion Group [ ] Focus Group [ ] Other, Explain \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Will interviewers or facilitators be used?**

[ ] Yes [ ] No [ ] Not Applicable

**6. Personally Identifiable Information:**

1. **I Is personally identifiable information (PII) collected?** [ ] Yes [ ] No
2. **If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974?** [ ] Yes [ ] No [ ] Not Applicable

**If applicable, what is the link to the Privacy Impact Assessment?**

1. **If Applicable, has a System or Records Notice (SORN) been published?**

[ ] Yes [ ] No [ ] Not Applicable

If yes, provide the title and *Federal Register* citation for the SORN. Title:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_ FR \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_.

**7. INCENTIVES:**

1. **Is an incentive provided to participants?**  [ ] Yes [ ] No
2. I**f Yes, provide the amount or value of the incentive?** $\_\_\_\_\_\_\_\_\_\_\_.
3. **If Yes, provide a statement justifying the use and amount of the incentive.**

**8. BURDEN HOURS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Collection of Information** | **Number of Respondents** | **Responses per Respondent**  **(Frequency)** | **Number of Responses** | **Participation Time**  **(hours)** | **Burden**  **Hours** |
|  |  |  |  |  |  |
| [Insert Rows as Needed] |  |  |  |  |  |
| **Totals:** |  | ////////////////////// |  | //////////////////// |  |

**9. FEDERAL COST:** The estimated annual cost to the Federal government is $ \_\_\_\_\_\_\_\_\_\_\_\_.

**PART B: COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS OR CONDUCTING A SURVEY OF FOCUS GROUP**

**If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:**

**1. Respondent Universe and Selection Methods**

1. **Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe?**

[] Yes [] No

1. **If the answer is Yes, please provide a description below (or attach the sampling plan). If the answer is No, please provide a description of how you plan to identify your potential group of respondents and how you will select them.**
2. **The selection of your targeted respondents. Provide a description of how you plan to identify your potential group of respondents and how you will select them.**

**2. Information Collection Procedures**

**3. Methods to Maximize Response Rates and Address Issues of Non-Response**

**4. Testing of Procedures or Methods**

**5. Contact Information for Statistical Aspects of the Design**

**PART C: CERTIFICATIONS**

**CERTIFICATION PURSUANT TO 5 CFR 1320.9, AND THE RELATED PROVISIONS OF 5 CFR 1320.8(b)(3) :**

By submitting this document, the Bureau certifies the following to be true:

(a) It is necessary for the proper performance of agency functions;

(b) It avoids unnecessary duplication;

(c) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;

(d) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;

(e) It indicates the retention period for recordkeeping requirements;

(f) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):

(i) Why the information is being collected;

(ii) Use of information;

(iii) Burden estimate;

(iv) Nature of response (voluntary);

(v) Nature and extent of confidentiality; and

(vi) Need to display currently valid OMB control number;

(g) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected;

(h) It uses effective and efficient statistical survey methodology; and

(i) It makes appropriate use of information technology.

**CERTIFICATION FOR INFORMATION COLLECTIONS SUBMITTED UNDER THIS GENERIC INFORMATION COLLECTION PLAN**

By submitting this document, the Bureau certifies the following to be true:

* The collection is voluntary.
* The collection is low-burden for respondents and low-cost for the Federal Government.
* The collection is non-controversial and does not raise issues of concern to other federal agencies.
* Information gathered will not be used solely for the purpose of substantially informing influential policy decisions.
* The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.
* The results will not be used to measure regulatory compliance or for program evaluation.