

## Ryan White HIV/AIDS Program Parts A and B UOB and Rebate Addendum Tables

### Unobligated Balances

Ryan White HIV/AIDS Program (RWHAP) Parts A and B funds are subject to unobligated balances (UOB) provisions contained in Title XXVI of the Public Health Service (PHS) Act. **The exact amount of UOB must be reported on the final Federal Financial Report (FFR)**, due annually on July 30 after the end of the budget period, in accordance with the Reporting Requirements listed on the Notice of Award (NoA). In addition, RWHAP Part B recipients must report anticipated UOB on the interim FFR, due 150 days after receipt of their final award. The interim FFR is submitted to assess recipient compliance with the requirement to obligate 75% of the Part B award within 120 days. HRSA HAB added the Unobligated Balance of Federal Funds by Subprogram Table to both the interim and final FFRs.

The UOB tables are used to determine statutory penalties associated with non-compliance with the RWHAP Part B 75% obligation requirement and the RWHAP Parts A and B 5% UOB penalty.

For a subsequent fiscal year, these penalties can result in reductions to recipient formula award amounts, changes in recipient eligibility to apply for supplemental funding opportunities, and changes in the total amount of supplemental funding available for award.

In addition, the UOB tables provide amounts available for carryover. Since RWHAP Parts A and B awards include multiple subprogram categories with different requirements, UOB approved for carry over must be de-obligated and re-obligated to the same subprogram.

Below are the UOB tables and corresponding instructions.

### RWHAP Part A UOB Table

Unobligated Balance (UOB) of Federal Funds by Subprogram			
Category	Federal Funds Authorized	Unexpended Carryover	Current Year (FY 20XX)
Part A Formula	\$	\$	\$
Part A Supplemental	\$	\$	\$
Part A MAI	\$	\$	\$

### RWHAP Part B UOB Table

Unobligated Balance (UOB) of Federal Funds by Subprogram			
Category	Federal Funds Authorized	Unexpended Carryover	Current Year (FY 2018)
Part B Base	\$	\$	\$
Part B ADAP	\$	\$	\$
Part B Emerging Communities	\$	N/A	\$
Part B MAI	\$	\$	\$
Part B ADAP Supplemental	\$	\$	\$

Part A Transfer	\$	\$	\$
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Instructions:

1. **Federal Funds Authorized** – These amounts will auto-populate based on the awarded amounts reflected in the NoA and includes any approved carryover funds (If not auto-populated, amounts should be entered).
2. **Unexpended Carryover** – These amounts are entered by the recipient and are the unobligated balances of any funds previously carried over and approved for use in the current year/reporting year (these are not unobligated balances for which a carryover will be submitted).
3. **Current Year (FY 20XX)** – These amounts are the current year/reporting year unobligated balances. Recipients should report any amounts not expended in each subprogram.

**Drug Rebates (RWHAP Part B Only)**

The RWHAP legislation requires that rebates collected on ADAP medication purchases must be used for the statutorily permitted purposes under the RWHAP Part B Program. For any ADAP receiving rebates on medication purchases, the RWHAP legislation has a specific exemption from the UOB penalties provision that applies when a recipient is unable to expend grant funds because rebates must be spent first. If a recipient would otherwise incur a penalty, that recipient may request that the amount of the UOB balance be reduced by the amount of obligated rebates, and that such amount be carried forward to the next budget period without penalty. Such request should accompany the final FFR and final carryover request due July 30, and no later than August 30. RWHAP Part B recipients that fail to report rebates, fail to request a UOB balance reduction due to expenditure of rebates, and have an UOB of five percent (5%) or greater, are subject to the full UOB penalty.

To assess compliance with reporting requirements and process requests for reductions in UOB amounts used to determine the RWHAP Part B 5% obligation penalty, the following table for “Ryan White Rebate Funding” was added to the interim and final FFRs as an addendum.

**RWHAP Part B Rebate Table**

Ryan White Rebate Funding	
Total Rebates Available	\$
Expended Rebate Amount	\$
Unexpended Rebate	\$
Expended rebate amount to be used to reduce UOB	\$

Instructions:

1. **Total Rebates Available** – This is the total amount of rebates received during the reporting period.

2. **Expended Rebate Amount** – This is the amount of rebate funds spent during the reporting period.
3. **Unexpended Rebate** – This is the amount of rebate funds that were not spent during the reporting period (Total Rebates Available – Expended Rebate Amount = Unexpended Rebate).
4. **Expended Rebate Amount to be Used to Reduce UOB** – This is the amount of expended rebate funds being requested to adjust the UOB.