



# Application for Nonimmigrant Worker: E or TN Classifications

Department of Homeland Security  
U.S. Citizenship and Immigration Services

USCIS  
Form  
I-129E&TN  
OMB No. 1615-0009  
Expires 01/31/2022

▶ **START HERE - Type or print in black ink.**

## Part 1. Applicant Information

If you are an individual employer or sole proprietor filing this application, or are filing for yourself as the applicant, complete **Item Numbers 1. - 2.** If you are a company or an organization filing this application, **complete Item Number 3.** All filers should fill out **Item Numbers 4. - 11.**, as applicable.

1. Legal Name of Individual Employer, Sole Proprietor, or Applicant

Family Name (Last Name)

Given Name (First Name)

Middle Name

2. Date of Birth (dd/mm/yyyy)

3. Name of Company or Organization

4. Trade Name or "Doing Business As" Name (if applicable)

5. USCIS Online Account Number (if any)

6. Primary U.S. Office Address of the Company or Organization

Street Number and Name

Apt. Ste. Flr. Number

  

City or Town

State

ZIP Code

[\(USPS ZIP Code Lookup\)](#)

7. Is your mailing address different from your Primary U.S. Office Address?

Yes  No

If you answered "Yes" to **Item Number 7.**, provide your mailing address below.

8. Mailing Address

In Care Of Name (if any)

Street Number and Name

Apt. Ste. Flr. Number

  

City or Town

State

ZIP Code

[\(USPS ZIP Code Lookup\)](#)

Province or Region

Postal Code

Country

9. Applicant's Contact Information

U.S. Daytime Telephone Number

U.S. Mobile Telephone Number (if any)

Email Address (if any)

**Part 1. Applicant Information** (continued)

**10. Tax Payer Identification Numbers**

Provide the following information, as applicable:

**A. Employer Identification Number (EIN)**

▶

**B. Individual Taxpayer Identification Number (ITIN)**

▶

**C. U.S. Social Security Number (if applicable)**

▶

**11. E-Verify Information**

**A. Are you an employer who, or will you work for a principal employer who, participates in the E-Verify program?**  Yes  No

If you answered "Yes" to **Item A.** in **Number 11.**, provide the information requested in **Items B. - C.**

**B. Employer's Name as Listed in E-Verify**

**C. Employer's E-Verify Company Identification Number or an E-Verify Client Company Identification Number**

**Part 2. Information About This Application**

**1. Requested Nonimmigrant Classification (Select **only one** box)**

**A. E-1**  **B. E-2**  **C. E-2 CNMI Investor (extensions only)**  **D. E-3**  **E. NAFTA (TN)**

**2. Basis for Classification (Select **only one** box)**

- A. New employment/investment/trade.**
- B. Continuation of previously approved employment/investment/trade without change with the same employer.**
- C. Change in previously approved employment but continuation of employment with the same employer (provide an explanation in **Part 9. Additional Information.**)**
- D. New concurrent employment.**
- E. Change of employer or change of investment for an applicant already in the requested classification.**
- F. Amended application (provide an explanation in **Part 9. Additional Information.**)**

**3. Provide the most recent petition/application receipt number for the applicant. If none exists, indicate "None."**

**4. Requested Action (Select **only one** box)**

- A. Notify the office in **Part 4.** so that the applicant can apply for and obtain a visa or be admitted, if eligible.**
- B. Change the status and extend the stay of the applicant because the applicant is now in the United States in another status (see the Instructions for limitations). This is available only when you select **Item A.** New Employment/investment/trade in **Item Number 2.** above.**
- C. Extend the stay of the applicant because the applicant now holds this status.**
- D. Amend the terms of stay of the applicant because the applicant now holds this status.**
- E. Request for advice as to whether a change in the terms or conditions that relates to E eligibility is substantive.**

### Part 3. Applicant or Employee Information

Provide the information requested about the applicant or employee for whom you are filing.

1. Applicant's or Employee's Full Name (If you are applying for yourself and you provided this information in **Part 1. Item Number 1.**, leave these fields blank.)

Family Name (Last Name)	Given Name (First Name)	Middle Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

2. Provide all other names the applicant or employee has ever used, ever used, including aliases, maiden name, and nicknames. If you need extra space to complete this section, use the space provided in **Part 9. Additional Information.**

Family Name (Last Name)	Given Name (First Name)	Middle Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

### Other Information

3. Date of Birth (mm/dd/yyyy)

(If you provided this information in **Part 1. Item Number 2.**, leave this field blank.)

4. Gender

Male  Female

5. U.S. Social Security Number (if any)

(If you provided this information in **Part 1. Item Number 10.**, leave this field blank.)

6. Alien Registration Number (A-Number)

7. Place of Birth

City or Town/Province/Country

8. Country of Citizenship or Nationality

9. If the applicant or employee is in the United States, complete the following:

Date of Last Arrival (mm/dd/yyyy)

Form I-94 Arrival-Departure Record Number (if any)

Passport or Travel Document Number

Date Passport or Travel Document Issued (mm/dd/yyyy)

Date Passport or Travel Document Expires (mm/dd/yyyy)

Passport or Travel Document Country of Issuance

Current Nonimmigrant Status

Date Status Expires (mm/dd/yyyy) or Duration of Status (D/S) (see Form I-94 Arrival/Departure Document)

Student and Exchange Visitor Information System (SEVIS) Number (if any)

Employment Authorization Document (EAD) Number (if any)

**Part 3. Applicant or Employee Information (continued)**

10. Does the applicant or employee have a U.S. residential address?  Yes  No

If you answered "Yes" to **Item Number 10.**, you **must** provide the applicant or employee's U.S. residential address information in **Item Number 11.**

11. Applicant or Employee's Current U.S. Residential Address (Do not list a P.O. Box unless you are requesting E-2 Commonwealth of the Northern Mariana Islands (CNMI) classification.)

Street Number and Name	Apt.	Ste.	Flr.	Number
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
City or Town	State		ZIP Code	
<input type="text"/>	<input type="text"/>		<input type="text"/>	

**Part 4. Processing Information**

To be completed if the applicant or employee will be seeking a new visa or admission upon approval of this application.

1. If the applicant or employee named in **Part 3.** is requesting new employment, a continuation of previously approved employment/investment/trade without change with the same employer, a change in previously approved employment with the same employer, a change of employer for an applicant already in the requested classification, or is submitting an amended I-129E&TN application, indicate the U.S. Consulate or U.S. Customs and Border Protection (CBP) inspection facility you want notified if this application is approved.

A. Type of Office (Select **only one** box)

U.S. Consulate  CBP Pre-flight Inspection Facility  U.S. Port of Entry

B. City Where Office is Located

C. U.S. State or Foreign Country

2. Applicant or Employee's Foreign Address

Street Number and Name	Apt.	Ste.	Flr.	Number
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
City or Town	State		ZIP Code	
<input type="text"/>	<input type="text"/>		<input type="text"/>	
Province	Postal Code	Country		
<input type="text"/>	<input type="text"/>	<input type="text"/>		

3. Are you filing any other applications with this one?  Yes  No

If you answered "Yes" to **Item Number 3.**, how many?

4. Are you filing any applications for replacement/initial Form I-94, Arrival-Departure Records, with this application? (If the applicant was issued an electronic Form I-94 by CBP when he/she was admitted to the United States at an airport or seaport, he/she may be able to obtain the Form I-94 from the CBP website at [www.cbp.gov/i94](http://www.cbp.gov/i94) instead of filing an application for a replacement/initial Form I-94.)  Yes  No

If you answered "Yes" to **Item Number 4.**, how many?

5. Are you filing any applications for dependents with this application?  Yes  No

If you answered "Yes" to **Item Number 5.**, how many?

**Part 4. Processing Information** (continued)

6. Is the applicant or employee in removal proceedings?  Yes  No

If you are applying on behalf of someone else, answer **Item Numbers 7. - 12.**

7. Have you ever filed an immigrant petition on behalf of this applicant or employee?  Yes  No

If you answered "Yes" to **Item Number 7.**, identify the receipt number of each petition, if applicable, in **Part 9. Additional Information.**

8. Have you ever filed a nonimmigrant petition or application on behalf of this applicant or employee?  Yes  No

If you answered "Yes" to **Item Number 8.**, identify the receipt number for each petition and/or application in **Part 9. Additional Information.**

9. Has the applicant or employee in this application ever been granted the classification you are now requesting?  Yes  No

If you answered "Yes" to **Item Number 9.**, provide an explanation in **Part 9. Additional Information.**

10. Has the applicant or employee in this application ever been denied the classification you are now requesting?  Yes  No

If you answered "Yes" to **Item Number 10.**, provide an explanation in **Part 9. Additional Information.**

11. Has the applicant or employee ever been a J-1 exchange visitor or J-2 dependent of a J-1 exchange visitor?  Yes  No

If you answered "Yes" to **Item Number 11.**, provide a response to **Item Number 12.**

12. If you answered "Yes" to **Item Number 11.**, provide the dates the applicant or employee maintained status as a J-1 exchange visitor or J-2 dependent. Also, provide evidence of this status by attaching a copy of either a DS-2019, Certificate of Eligibility for Exchange Visitor (J-1) Status, a Form IAP-66, or a copy of the passport that includes the J visa stamp. Additionally, if applicable, provide evidence that the applicant or employee fulfilled the two-year foreign residence requirement or had such residence requirement waived.

If you are applying for yourself, answer **Item Numbers 13. - 18.**

13. Has anyone ever filed an immigrant petition on your behalf?  Yes  No

If you answered "Yes" to **Item Number 13.**, identify the receipt number of each petition, if applicable, in **Part 9. Additional Information.**

14. Has anyone ever filed a nonimmigrant petition or application on your behalf?  Yes  No

If you answered "Yes" to **Item Number 14.**, identify the receipt number of each petition and/or application in **Part 9. Additional Information.**

15. Have you ever been granted the classification you are now requesting?  Yes  No

If you answered "Yes" to **Item Number 15.**, provide an explanation in **Part 9. Additional Information.**

16. Have you ever been denied the classification you are now requesting?  Yes  No

17. Have you ever been a J-1 exchange visitor or J-2 dependent of a J-1 exchange visitor?  Yes  No

18. If you answered "Yes" to **Item Number 17.**, provide the dates you maintained status as a J-1 exchange visitor or J-2 dependent. Also, provide evidence of this status by attaching a copy of either a DS-2019, Certificate of Eligibility for Exchange Visitor (J-1) Status, a Form IAP-66, or a copy of the passport that includes the J visa stamp. Additionally, if applicable, provide evidence that the principal J-1 applicant or employee fulfilled the two-year foreign residence requirement or had such residence requirement waived.

**Part 5. Basic Information About the Proposed Employment and Employer**

Attach the Form I-129E&TN Supplement relevant to the classification you are requesting.

1. Job Title

2. Addresses where the applicant or employee will work if different from the address in **Part 1**. If you need to provide more than two additional addresses, use **Part 9. Additional Information**.

Address 1

Street Number and Name

Apt. Ste. Flr.

  

Number

City or Town

State

ZIP Code

Address 2

Street Number and Name

Apt. Ste. Flr.

  

Number

City or Town

State

ZIP Code

3. Will the applicant work for you off-site at another company or organization's location?

Yes  No

4. Will the applicant work exclusively in the CNMI?

Yes  No

5. Is this a full-time position?

Yes  No

6. If the answered "No" to **Item Number 5.**, how many hours per week for the position? ▶

7. Wages (in U.S. dollars): \$  per (Specify hour, week, month, or year) ▶

8. Other Compensation (Explain)

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9. Dates of intended employment

From (mm/dd/yyyy)

To (mm/dd/yyyy)

10. Type of Business

11. Year Established

12. Current Number of Employees in the United States ▶

13. Gross Annual Income

\$

14. Net Annual Income

\$

**Part 6. Statement, Contact Information, Certification, and Signature of the Employer, Applicant, or Authorized Signatory**

**NOTE:** Read the **Penalties** section of the Form I-129E&TN Instructions before completing this section.

***Employer's, Applicant's, or Authorized Signatory's Statement***

**NOTE:** Select the box for either **Item A.** or **B.** in **Item Number 1.** If applicable, select the box for **Item Number 2.**

- 1. Employer, Applicant, or Authorized Signatory's Statement Regarding the Interpreter
  - A.  I can read and understand English, and I have read and understand every question and instruction on this application and my answer to every question.
  - B.  The interpreter named in **Part 7.** read to me every question and instruction on this application and my answer to every question in , a language in which I am fluent, and I understood all of this information as interpreted.
- 2. Employer, Applicant, or Authorized Signatory's Statement Regarding the Preparer
  - At my request, the preparer named in **Part 8.**, , prepared this application for me based only upon information I provided or authorized.

***Employer's Applicant's, or Authorized Signatory's Certification***

Copies of any documents submitted are exact photocopies of unaltered, original documents, and I understand that, as the employer, applicant, or authorized signatory, I may be required to submit original documents to U.S. Citizenship and Immigration Services (USCIS) at a later date..

I authorize the release of any information contained in this application, in supporting documents, in my USCIS records, and in the organization's USCIS records, to USCIS or other entities and persons where necessary to determine eligibility for the immigration benefit sought or where authorized by law. I recognize the authority of USCIS to conduct audits of this application using publicly available open source information. I also recognize that any supporting evidence submitted in support of this application may be verified by USCIS through any means determined appropriate by USCIS, including but not limited to, on-site compliance reviews.

If filing this application on behalf of an organization, I certify that I am authorized to do so by the organization

I certify, under penalty of perjury, that I provided or authorized all of the information in my application, I understand all of the information contained in, and submitted with, my application, and that all of this information is complete, true, and correct.

***Employer's, Applicant's, or Authorized Signatory's Signature***

- 3. Employer, Applicant, or Authorized Signatory's Signature  Date of Signature (mm/dd/yyyy)

**If Part 6. is being completed by an Authorized Signatory, provide the following information:**

Name and Title of Authorized Signatory

- 4. Family Name (Last Name)  Given Name (First Name)
- 5. Title

Authorized Signatory's Contact Information

- 6. Daytime Telephone Number
- 7. Mobile Telephone Number (if any)
- 8. Email Address (if any)

**NOTE TO ALL EMPLOYERS, APPLICANTS, AND AUTHORIZED SIGNATORIES:** If you do not completely fill out this application or fail to submit required documents listed in the Instructions, USCIS may deny your application

**Part 7. Interpreter's Contact Information, Certification, and Signature**

Provide the following information about the interpreter.

**Interpreter's Full Name**

- 1. Interpreter's Family Name (Last Name)  Interpreter's Given Name (First Name)
- 2. Interpreter's Business or Organization Name (if any)

**Interpreter's Mailing Address**

- 3. Street Number and Name  Apt. Ste. Flr.    Number
- City or Town  State  ZIP Code
- Province  Postal Code  Country

**Interpreter's Contact Information**

- 4. Interpreter's Daytime Telephone Number
- 5. Interpreter's Mobile Telephone Number (if any)
- 6. Interpreter's Email Address (if any)

**Interpreter's Certification**

I certify, under penalty of perjury, that:

I am fluent in English and , which is the same language specified in **Part 6., Item B. in Item Number 1.**, and I have read to this employer, applicant, or the authorized signatory in the identified language every question and instruction on this application and his or her answer to every question. The employer, applicant, or authorized signatory informed me that he or she understands every instruction, question, and answer on the application, including the **Employer's, Applicant's, or Authorized Signatory's Certification**, and has verified the accuracy of every answer.

**Interpreter's Signature**

- 7. Interpreter's Signature  Date of Signature (mm/dd/yyyy)



**Part 8. Contact Information, Declaration, and Signature of the Person Preparing this Application, if Other Than the Employer, Applicant, or Authorized Signatory**

Provide the following information about the preparer.

**Preparer's Full Name**

1. Preparer's Family Name (Last Name)  Preparer's Given Name (First Name)
2. Preparer's Business or Organization Name (if any)

**Preparer's Mailing Address**

3. Street Number and Name  Apt. Ste. Fl.    Number
- City or Town  State  ZIP Code
- Province  Postal Code  Country

**Preparer's Contact Information**

4. Preparer's Daytime Telephone Number
5. Preparer's Mobile Telephone Number (if any)
6. Preparer's Email Address (if any)

**Preparer's Statement**

7. A.  I am not an attorney or accredited representative but have prepared this application on behalf of the applicant and with the employer's, applicant's, or authorized signatory's consent.
- B.  I am an attorney or accredited representative and my representation of the employer, applicant, or authorized signatory in this case  extends  does not extend beyond the preparation of this application.

**NOTE:** If you are an attorney or accredited representative, you may need to submit a completed Form G-28, Notice of Entry of Appearance as Attorney or Accredited Representative, with this application.

**Preparer's Certification**

By my signature, I certify, under penalty of perjury, that I prepared this application at the request of the employer, applicant, or authorized signatory. The employer, applicant, or authorized signatory has reviewed this completed application, including the **Employer's, Applicant's, or Authorized Signatory's Certification**, and informed me that all of the information in the application and in the supporting documents is complete, true, and correct.

**Preparer's Signature**

8. Preparer's Signature  Date of Signature (mm/dd/yyyy)

**Part 9. Additional Information About Your I-129E&TN Application for Nonimmigrant Workers**

If you need extra space to provide any additional information within this application, use the space below. If you require more space than what is provided, you may make copies of this page to complete and file with this application or attach a separate sheet of paper. Type or print the employer, sole proprietor, or applicant name at the top of each sheet; indicate the **Page Number, Part Number, and Item Number** to which your answer refers; and sign and date each sheet.

**1. Individual Employer, Sole Proprietor, or Applicant Name (same as in Part 1.)**

Family Name (Last Name)	Given Name (First Name)	Middle Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

2. A. Page Number  B. Part Number  C. Item Number

D.

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3. A. Page Number  B. Part Number  C. Item Number

D.

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4. A. Page Number  B. Part Number  C. Item Number

D.

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5. A. Page Number  B. Part Number  C. Item Number

D.

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6. A. Page Number  B. Part Number  C. Item Number

D.

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# E-1 or E-2 Classification Supplement to Form I-129E&TN

Department of Homeland Security  
U.S. Citizenship and Immigration Services

USCIS  
Form  
I-129E&TN  
OMB No. 1615-0009  
Expires 01/31/2022

## Part 1. Information About the U.S. Employer

1. Legal Name of Individual Employer, Sole Proprietor, or Applicant

Family Name (Last Name)	Given Name (First Name)	Middle Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

2. Name of Company or Organization

3. Classification or Action Sought (Select **only one** box)

- E-1 Treaty Trader
- E-1 Employee - Executive or Supervisory
- E-1 Employee - Special Qualifications
- E-2 Treaty Investor
- E-2 CNMI Investor (extensions only)
- E-2 Employee - Executive or Supervisory
- E-2 Employee - Special Qualifications
- Advice on Whether a Change in the Terms or Conditions of E Status is Substantive

4. Name of country signatory to the applicable treaty with the United States upon which you are basing your E application (if applicable).

5. How is the U.S. commercial enterprise related to the company or organization abroad, if applicable? (Select **only one** box)

Parent     Branch     Subsidiary     Affiliate     Joint Venture     Other

6. Provide the following information for each individual who has a percentage of ownership in the U.S. commercial enterprise.

Name (First/MI/Last)	Nationality	Immigration Status	Percent of Ownership

**NOTE:** Ownership of the commercial enterprise must be traced as best as is practicable to the individuals who are ultimately its owners. If the commercial enterprise is owned solely or partly by other organizations, you must establish the nationality of the individual owners of the other organizations (attach documentation).

**Part 1. Information About the U.S. Employer (continued)**

7. Commercial Enterprise's Assets  
\$
8. Commercial Enterprise's Net Worth  
\$
9. Commercial Enterprise's Liabilities  
\$
10. Commercial Enterprise's Net Annual Income  
\$

**Information About Staff in the United States**

11. How many executive and supervisory employees does the U.S. commercial enterprise have who are nationals of the treaty country in E nonimmigrant status?
12. How many persons with special qualifications that are essential to the successful or efficient operation of the U.S. commercial enterprise does the U.S. commercial enterprise employ who are in E nonimmigrant status?
13. Provide the total number of employees (U.S. and foreign) in executive and supervisory positions in the United States.
14. Provide the total number of positions in the United States that require persons with special qualifications that are essential to the successful or efficient operation of the U.S. commercial enterprise.
15. If the U.S. commercial enterprise is attempting to qualify the applicant as an executive or supervisor, provide the total number of employees he or she will supervise, if applicable. Alternatively, if the commercial enterprise is attempting to qualify the employee based on special qualifications, explain why the special qualifications are essential to the successful or efficient operation of the treaty enterprise, and what efforts, if any, you are taking to replace such persons with other U.S. workers.

DRAFT  
Not for  
Production  
10/23/2019

16. Has the U.S. company or organization met all legal requirements, including licensing, if applicable, for doing business in the jurisdiction where it is located?  Yes  No
17. Is the U.S. company or organization a real, active, and operating commercial undertaking which produces services or goods for profit?  Yes  No

If you answered "Yes" to **Item Number 17.**, provide an explanation. If you need extra space to provide your explanation, use the space provided in **Part 9. Additional Information.**

**Information About the Employer Outside the United States (if any)**

18. Employer's Name
19. Total Number of Employees

20. Employer's Address
- Street Number and Name
- Apt. Ste. Flr. Number
- City or Town
- State
- ZIP Code
- Province
- Postal Code
- Country

21. Principal Product, Merchandise or Service

**Part 2. Information About E-1 Treaty Trader or Employee of an E-1 Treaty Trader**

Provide the information requested in **Item Numbers 1. - 3.** if you are filing for or as an E-1 Treaty Trader. If you are filing for an **employee** of an E-1 Treaty Trader, complete **Item Numbers 4. - 20.**

**Complete Item Numbers 1. - 3. if filing for an E-1 Treaty Trader.**

- 1. Total Annual Gross International Trade/Business of the U.S. commercial enterprise
- 2. Select **only one** box for  Calender or  Fiscal Year Ending (yyyy)
- 3. Percent of total gross trade between the United States and the treaty trader country for each of the following categories (provide the dollar value and number of transactions, if applicable, for each):
  - A. Imports from treaty country to U.S. business  
\$  Number of Transactions
  - B. Exports from U.S. business to treaty country  
\$  Number of Transactions
  - C. Imports from third countries to U.S. business  
\$  Number of Transactions
  - D. Exports from U.S. business to third countries  
\$  Number of Transactions
  - E. Domestic U.S. production manufacturing  
\$  Number of Transactions
  - F. Total amount (Sum of **Items A. - E.**)  
\$  Number of Transactions

**Complete Item Numbers 4. - 20. if filing for an employee of an E-1 Treaty Trader.**

- 4. Employee's Position Title
- 5. Description of Duties (include names and title of all immediate subordinates, if applicable)
- 6. Number of Years Employee has been employed by Present Employer
- 7. Employee's Highest Level of Education  
Major/Subject  Degree  Year
- 8. Employee's Other Relevant Experience and Education
- 9. Provide the following information about the U.S. company or organization.  
Number of Executive Employees  Number of Supervisory Employees  Number of Employees having Special Qualifications

**Part 2. Information About E-1 Treaty Trader or Employee of an E-1 Treaty Trader (continued)**

10. Is the principal employer an individual person?  Yes  No  
If you answered "Yes" to **Item Number 10.**, complete **Item Numbers 11.** and **12.** If you answered "No" to **Item Number 10.**, skip to **Item Number 15.**
11. Does the principal employer have the nationality of the treaty country?  Yes  No
12. Is the principal employer in the United States?  Yes  No  
If you answered "Yes" to **Item Number 12.**, then complete **Item Number 13.** If you answered "No" to **Item Number 12.**, then skip to **Item Number 14.**
13. Is the principal employer maintaining nonimmigrant treaty trader status?  Yes  No
14. Would the principal employer be classifiable as a treaty trader?  Yes  No
15. Is the principal employer an enterprise or organization?  Yes  No
16. Indicate the percentage of ownership by persons having the nationality of the treaty country who are in the United States and are maintaining treaty investor status.
17. Indicate the percentage of ownership by persons having the nationality of the treaty country who are **not** in the United States and who would be classifiable as treaty investors.
18. Is this a replacement or an increase in staff? (Select **only one** box)  
 Replacement  Increase in Staff
19. If you indicated that this is a replacement in **Item Number 18.**, provide details regarding the position for which the replacement is being sought, including, in the case of a worker with special qualifications, any efforts the commercial enterprise has made to train locally available U.S. workers.
20. If you indicated that this is a replacement in **Item Number 18.**, indicate the length of time that this position has existed.

**Part 3. Information About E-2 Treaty Investor or Employee of an E-2 Treaty Investor**

Provide the information requested in **Item Numbers 1. - 7.** if you are filing for or as an E-2 Treaty Trader. If you are filing for an **employee** of an E-2 Treaty Trader, complete **Item Numbers 8. - 22.**

**Complete Item Numbers 1. - 7. if filing for an E-2 Treaty Investor.**

1. Type of Investment (Select **only one** box)
- Creation of a New Business  
Provide Total Start-Up Costs \$
- Purchase of an Existing Business  
Provide Total Purchase Price \$
- Continuation of an Existing Business  
Provide Fair Market Value of Business \$
2. Total Investment Made in the United States (attach documentation):
- |           |    |                      |           |    |                      |              |    |                      |
|-----------|----|----------------------|-----------|----|----------------------|--------------|----|----------------------|
| Cash      | \$ | <input type="text"/> | Equipment | \$ | <input type="text"/> | Other        | \$ | <input type="text"/> |
| Inventory | \$ | <input type="text"/> | Premises  | \$ | <input type="text"/> | <b>Total</b> | \$ | <input type="text"/> |

**Part 3. Information About E-2 Treaty Investor or Employee of an E-2 Treaty Investor (continued)**

3. Source of Investment Capital (for example, personal funds, loans, stocks, bonds, etc.)

4. Do you develop and direct the investment enterprise?  Yes  No

5. If you answered "Yes" to **Item Number 4.**, indicate which of the following apply to you (select all that apply):

- I control the enterprise through ownership of at least 50% of the enterprise.
- I possess operational control through a managerial position or other corporate device.
- I control the enterprise by other means.

6. Provide an explanation and supporting documentation for the items you selected in **Item Number 5.**

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7. Provide the number of U.S. company or organization employees in E status.

**Complete Item Numbers 8. - 22. if filing for an employee of an E-2 Treaty Investor.**

8. Does the Treaty Investor develop and direct the investment enterprise?  Yes  No

9. If you answered "Yes" to **Item Number 8.**, indicate which of the following apply to the Treaty Investor (select all that apply):

- The Treaty Investor controls the enterprise through ownership of at least 50% of the enterprise.
- The Treaty Investor possesses operational control through a managerial position or other corporate device.
- The Treaty Investor controls the enterprise by other means.

10. Provide an explanation and supporting documentation for the items you selected in **Item Number 9.** If you need extra space to complete this section, use the space provided in **Part 9. Additional Information.**

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11. Provide the following information about the U.S. company or organization:

Number of Executive Employees	Number of Supervisory Employees	Number of Employees having Special Qualifications
<input type="text"/>	<input type="text"/>	<input type="text"/>

12. Is the principal employer an individual person?  Yes  No

If you answered "Yes" to **Item Number 12.**, then complete **Item Numbers 13.** and **14.** If you answered "No" to **Item Number 12.**, then skip to **Item Number 17.**

13. Does the principal employer have the nationality of the treaty country?  Yes  No

14. Is the principal employer in the United States?  Yes  No

If you answered "Yes" to **Item Number 14.**, then complete **Item Number 15.** If you answered "No" to **Item Number 14.**, then skip to **Item Number 16.**

15. Is the principal employer maintaining nonimmigrant treaty investor status?  Yes  No

16. Would the principal employer be classifiable as a treaty investor?  Yes  No

17. Is the principal employer an enterprise or organization?  Yes  No

18. Indicate the percentage of ownership by persons having the nationality of the treaty country who are in the United States and are maintaining treaty investor status.

**Part 3. Information About E-2 Treaty Investor or Employee of an E-2 Treaty Investor (continued)**

19. Indicate the percentage of ownership by persons having the nationality of the treaty country who are **not** in the United States and who would be classifiable as treaty investors.
20. Is this a replacement or an increase in staff? (Select **only one** box)
- Replacement     Increase in Staff
21. If you indicated that this is a replacement in **Item Number 20.**, provide details regarding the position for which the replacement is being sought, including, in the case of a worker with special qualifications, any efforts the commercial enterprise has made to train locally available U.S. workers.
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22. If you indicated that this is a replacement in **Item Number 20.**, indicate the length of time that this position has existed.

**Part 4. E-2 CNMI (E-2C) Investor**

Provide the information requested in **Item Numbers 1. - 5.** if you are filing as an E-2 CNMI Investor.

1. If you are applying for an extension as an E-2 CNMI Investor, indicate which of the following applies to you:
- I am a long-term business investor who was issued a long-term business certificate by the CNMI based upon an investment of at least \$50,000.
- I am a foreign investor with a foreign investment certificate issued by the CNMI based upon an investment of at least \$100,000 in an aggregate approved investment in excess of \$2 million or at least \$250,000 in a single approved investment.
- I am a retiree investor over 55 years of age who was issued a foreign retiree investment certificate based upon a qualifying investment in an approved residence in the CNMI.
2. Provide an explanation for the item you selected in **Item Number 1.**
- 
3. Have there been any substantive changes to your investments, residence, or employment?  Yes  No
- If you answered "Yes" to **Item Number 3.**, provide details including dates the change occurred.
- 
4. For retiree investors only:
- Have you had any employment?  Yes  No
- If you answered "Yes" to **Item Number 4.**, provide an explanation including the name of employer, address, contact information, position, and dates of employment.
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5. Have you departed the CNMI during your current E-2C status?  Yes  No
6. If you answered "Yes" to **Item Number 5.**, provide a detailed list of all of your trips outside of the CNMI.
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7. Were you in the CNMI on the date you filed this application?  Yes  No





# E-3 Classification Supplement to Form I-129E&TN

Department of Homeland Security  
U.S. Citizenship and Immigration Services

USCIS  
Form  
I-129E&TN  
OMB No. 1615-0009  
Expires 01/31/2022

Only Australian nationals are eligible as principal applicants for E-3.

1. Legal Name of Individual Employer, Sole Proprietor, or Applicant

Family Name (Last Name)	Given Name (First Name)	Middle Name
<input type="text"/>	<input type="text"/>	<input type="text"/>

2. Name of Company or Organization

3. Labor Condition Application (LCA) or Employment and Training Administration (ETA) or ETA Case Number

### Requirements for the Offered Position

4. What level of education is required for the position?

5. What fields of study would qualify someone for this position?

6. How many years of experience, if any, are required in order to qualify for this position?

7. What special skills, if any, are required in order to qualify for the position?

8. Describe the proposed duties for the applicant's proffered position. If you need extra space to complete this section, use the space provided in **Part 9. Additional Information** or attach an additional sheet of paper.

9. Describe the applicant's present occupation and summary of prior work experience. If you need extra space to complete this section, use the space provided in **Part 9. Additional Information** or attach an additional sheet of paper.

10. Applicant's Highest Level of Education (Select **only one** box)

- |  |   |
|--|---|
| <input type="checkbox"/> No diploma  | <input type="checkbox"/> Bachelor's degree (for example, BA, AB, BS)                |
| <input type="checkbox"/> High School Graduate Diploma or the equivalent (for example, GED) | <input type="checkbox"/> Master's degree (for example, MA, MS, MEng, MEd, MSW, MBA) |
| <input type="checkbox"/> Some college credit, but less than one year                       | <input type="checkbox"/> Professional degree (for example, MD, DDS, DVM, LLB, JD)   |
| <input type="checkbox"/> One or more years of college, no degree                           | <input type="checkbox"/> Doctorate degree (for example, PhD, EdD)                   |
| <input type="checkbox"/> Associate's degree (for example, AA, AS)                          |   |

11. Major/Primary Field of Study

12. SOC Code

13. NAICS Code

14. The applicant will be assigned to work at an off-site location for all or part of the period for which E-3 classification sought.  Yes  No

If you answered "No" to **Item Number 14.**, you may leave **Item Number 15.** blank.

15. The applicant will be paid the higher of the prevailing or actual wage at any and all off-site locations.  Yes  No

**Statement for E-3 Specialty Occupations**

By filing this application, I agree to, and will abide by, the terms of the LCA (or ETA) for the duration of the applicant's authorized period of stay for E-3 employment. If the applicant is assigned to a position in a new location, I will obtain and post an LCA for that site prior to reassignment.

I further understand that any required reimbursement will be considered an offset against wages and benefits paid relative to the LCA.

Signature of Employer

Name of Employer

Date (mm/dd/yyyy)

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DRAFT  
Not for  
Production  
09/23/2019



North American Free Trade Agreement (NAFTA)  
Supplement to Form I-129E&TN

Department of Homeland Security  
U.S. Citizenship and Immigration Services

USCIS  
Form  
I-129E&TN  
OMB No. 1615-0009  
Expires 01/31/2022

1. Legal Name of Individual Employer, Sole Proprietor, or Applicant

Family Name (Last Name)

Given Name (First Name)

Middle Name

2. Name of Company or Organization

3. This is a request for status based on (select **only one** box):

NAFTA, Canada (TN1)     NAFTA, Mexico (TN2)

4. Employer is a (select **only one** box):

U.S. Employer     Foreign Employer

5. If Foreign Employer, Name the Foreign Country

6. Does the applicant intend to establish a business or practice in the U.S. in which he or she will be in substance self-employed?

Yes     No

7. Is the applicant the sole or controlling shareholder or owner of the U.S. corporation or entity where he/she will be employed?

Yes     No

8. Will the applicant perform business activities for a U.S. corporation or entity (including an individual) that were **not** arranged from outside the United States?

Yes     No

9. If you answered "Yes" to **Item Numbers 6., 7., or 8.**, provide an explanation, including but not limited to the percentage of ownership.

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10. Will the applicant depart upon completion of the assignment?

Yes     No