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Field Data Collection Using Geographic Information Systems Technologies and iPads on the **USDA's June Area Frame Survey**

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This paper was prepared for limited distribution to the research community outside the United States Department of Agriculture. The views expressed herein are not necessarily those of the National Agricultural Statistics Service or of the United States Department of Agriculture.

EXECUTIVE SUMMARY

The National Agricultural Statistics Service's (NASS) primary purpose is to provide timely, accurate and useful statistics on United States and Puerto Rico agriculture. NASS conducts over 400 surveys annually to estimate crop and livestock production, production practices, farm economics, etc. NASS has twelve regional field offices and thirty-three field offices across the United States that are responsible for collecting agricultural data. These regional offices employ various data collection methods, including: personal interview using a paper questionnaire, mail, Computer Assisted Telephone Interviewing, self-administered web and most recently, Computer Assisted Personal Interview (CAPI).

The June Agricultural Survey (JAS) is an annual survey that provides information on U.S. crops, livestock, grain storage capacity, as well as number, type and size of farms. The JAS is comprised of two components, the List Survey and the Area Survey. The List Survey is comprised of agricultural operations known to NASS. The Area Survey is comprised of designated land areas known as segments and is used in determining the incompleteness of the List. This study is focused on the Area portion, which will be addressed as JAS. The JAS's sample is comprised of nearly 11,000 designated land areas known as segments. A typical segment is about one square mile -- equivalent to 640 acres. Each segment is outlined on an aerial photo (typically 2' by 2' in size) and provided to NASS's field interviewers. Field interviewers (known as enumerators) visit these segments and identify the owners/operators of all land within the segment. Land is then categorized into agricultural or non-agricultural and recorded on a paper form. For land where agricultural activity is occurring, a separate paper questionnaire is completed for each agricultural operation operating on any land within the segment.

A team composed of NASS staff and Iowa State University's Center for Survey Statistics and Methodology staff developed a CAPI instrument to collect data for the JAS's aerial imagery portion and collect field level information. The instrument was tested in Pennsylvania, Indiana and Washington. Nine field enumerators participated in the live data collection study. Thirty-six grid segments (a new type of segment) were field enumerated. Budgetary constraints (travel and training funds) challenged the team, however, were overcome by developing remote/correspondence training of field enumerators.

The study shows that the conventional JAS enumeration is possible in a CAPI environment. The study demonstrates that the June Agricultural Survey can be collected by field enumerators via CAPI and that the CAPI instrument can also be utilized for evaluating the impact of moving to a permanent grid area frame. However, additional studies are required to see if both CAPI and grid segments are cost effective and practical.

This report is for both general and technical audiences and provides an overview of the CAPI instrument to the detailed underlying programming of the instrument. The report also shows how remote training can be utilized in training field enumerators.



RECOMMENDATIONS

- 1. Incorporate the key features of the current JAS-CAPI survey instrument into the next version.
 - a. Draw out and label tracts and fields using a stylus.
 - b. Zoom in and out of the aerial imagery.
 - c. Streamline Section D (detailed field level questions of the questionnaire) to a series of drop down menus and skip patterns.
 - d. Edit/data consistency checks to improve data quality and integrity.
 - e. Toggle between full screen mode showing the aerial imagery to split screen mode showing both aerial imagery and Section D.
 - f. Aerial imagery and Section D update each other accordingly.
 - g. Undo and redo options.
 - h. Ability to fix any drawn out tract's and field's boundaries as needed.
 - i. One touch ability to go back to the segment if the interviewer loses one location on the screen.
 - j. Ability to display various layers (like Cropland Data Layer) where practical.
 - k. Ability to display all or particular tracts and/or fields of interest.
 - 1. Ability to freeze the aerial imagery displayed on the screen so that a farmer can point and touch the screen, without having any tools activated.
 - m. Show the geospatial information systems calculated area for each field as a guide for the interviewer.
 - n. Display grid segment's ID, state and county.
- 2. Evaluate the amount of time required to conduct a JAS interview via the iPad compared to the current aerial photo and paper questionnaire approach.
- 3. Continue to research the use of grid segment frame process as a potential replacement for the current JAS area frame process.
- 4. Research ways to improve the iPad's screen visibility in direct sunlight.
- 5. Research the feasibility and practicality of full-scale implementation of CAPI for the JAS.
- 6. Continue to research the use of remote/correspondence training in the training of field enumerators.

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Table of Contents

Abstra	act	1
1.0	Background and Modernization of the June Agricultural Survey (Area)	2
	1.1 Reducing JAS' Preparation Time And Expense	3
	1.2 Improving Cropland Data Layer Accuracy and Efficiency	4
	1.3 Improving CAPI's Return on Investment	5
2.0	Project's Goals	6
	2.1 Anticipated Benefits	7
3.0	Development of JAS-CAPI Instrument	7
4.0	JAS-CAPI Instrument (Features, Screen Layout and Functionality)	8
5.0	Training - Pennsylvania and Indiana	18
6.0	Remote Training - Washington	19
7.0	Test Segments	20
8.0	Field Data Collection	21
9.0	Enumerator Feedback & Evaluation of the JAS-CAPI Instrument	25
	9.1 Respondents' Acceptance of this Technology and Perception of the	
	Interviews' Length	29
	9.2 Overall Feedback	30
10.0	Future Direction	31
11.0	Recommendations	32
12.0	References	33
Apper	ndices	
A	Indiana's 2012 JAS Questionnaire Section D – Crop and Land Use on Tract	A-1
В	JAS-CAPI Technical Requirements And Functional Overview	B-1
C	Pre-Survey Letter Provided to Agricultural Operator at the Time of Interview	C-1
D	Data Collection Feedback Form.	D-1

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Abstract

The National Agricultural Statistics Service (NASS) surveys farmers and ranchers across the United States and Puerto Rico in order to estimate crop production and number of livestock, to assess production practices, and to identify economic trends. The June Agricultural Survey (JAS) is an annual survey that provides information on U.S. crops, livestock, grain storage capacity, and number, type and size of farms. The JAS is comprised of two components, the List Survey and the Area Survey. The List Survey is comprised of agricultural operations known to NASS. The Area Survey is comprised of designated land areas known as segments and is utilized in measuring the incompleteness of the List. This study is focused on the Area portion, which will be abbreviated as JAS. The JAS sample is comprised of nearly 11,000 designated land areas known as segments. A typical segment is about one square mile -- equivalent to 640 acres. Each segment is outlined on an aerial photo (typically 2' by 2' in size) and provided to NASS's field interviewers. Field interviewers visit these segments and identify the owners/operators of all land within the segment. Land is then categorized as agricultural or nonagricultural. For land where agricultural activity is occurring, a separate paper questionnaire is completed for each agricultural operation operating land within the segment.

A team composed of staff from NASS and Iowa State University Center for Survey Statistics and Methodology developed a Computer Assisted Personal Interview (CAPI) instrument to conduct the JAS aerial imagery portion and collect field level information. Also, the team was tasked with testing field enumeration of grid segments (a new type of segment) that could make the JAS sample preparation process more efficient. The JAS-CAPI instrument was field tested in Pennsylvania, Indiana and Washington.

Key Words: Agriculture, CAPI, Data Collection, GIS, Area Frame Survey

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1.0 BACKGROUND AND MODERNIZATION OF THE JUNE AGRICULTURAL SURVEY

The National Agricultural Statistics Service's (NASS) primary purpose is to provide timely, accurate, and useful statistics on United States and Puerto Rico agriculture. NASS conducts over 400 surveys annually for making estimates on crops, livestock, production practices, and identifying economic trends. Most surveys conducted during the course of the year are based on NASS's extensive list frame of farm and ranch operations. However, the June Agricultural Survey (JAS) is one of the largest surveys conducted and utilizes an area sampling frame. The area frame consists of all land in the U.S. (except Alaska), stratified by land use. The JAS is conducted annually and provides mid-year estimates of U.S. crops, livestock, grain storage capacity, as well as number, type and size of farms. The JAS data are also used as the basis for several other surveys that are conducted throughout the year.

The JAS is comprised of two components, the List Survey and the Area Survey. The List Survey component is comprised of agricultural operations known to NASS. The Area Survey is comprised of designated land areas known as segments. This study is focused on the Area Survey, which will be abbreviated as JAS. The JAS sample is comprised of nearly 11,000 designated land areas known as segments. A typical segment is about one square mile, (640 square acres) with identifiable boundaries such as fields, ditches, roads, railroads, streams, etc. Each segment is outlined in red on an aerial photo (typically 2' by 2' in size) and provided to NASS's field interviewers (commonly called field enumerators). Determination and preparation of segments is labor intensive and expensive with overall costs around 2.6 million dollars. See Section 1.1 for additional detail.

Enumeration occurs in the first two weeks of June. Field enumerators visit these segments and identify the agricultural operators of all land within the segment. Personal interviews are required since operators within the selected segments are not known until the field enumerator actually visits the area of interest.

Land is categorized into agricultural or non-agricultural tracts and recorded on a paper form. A tract is an area of land inside a segment under one type of land operating arrangement. There are two types of tracts: (1) agricultural tracts consisting of agricultural land; and (2) non-agricultural tracts consisting of residential, industrial, and commercial areas, and land not considered agricultural (i.e., lakes, woods).

The field enumerator will complete a separate paper questionnaire for each agricultural operation operating any land within the segment on June 1st. Respondents identify each field boundary on the aerial photo and report acreage and the crop planted or other land use (pasture, woods, wasteland, etc.) Figure 1 shows a segment and corresponding tracts and fields drawn out.

Approximately 85,000 tracts are identified and over 35,000 personal interviews are conducted. The JAS's preparation annual expenses total 2.6 million dollars with another 3.6 million dollars in data collection costs.

Figure 1: A Segment from Pennsylvania



The area outlined in red is the segment.



Tracts are outlined in blue and labeled with letters. Individual fields are outlined in red within the tracts and labeled with numbers.

1.1 REDUCING JAS PREPARATION TIME AND EXPENSE

Currently, segment selection is composed of two processes.

First, all land in a state is stratified using geographic information system (GIS) technology such as satellite imagery, aerial photography, and a combination of aerial imagery showing various land and crop types known as the Cropland Data Layer (CDL) (Boryan & Yang, 2012). This step is a manual process where Primary Sampling Units (PSUs) are digitized (electronically identified using GIS software) and classified into the defined strata for a state. The PSU refers to the first unit of selection for the JAS. PSUs are typically four square miles in the highly cultivated land strata. This process takes five cartographic technicians approximately 4 months to complete one state.

Second is the selection of segments in the sampled PSUs. In general, staff divide a PSU of four square miles into four segments, one square mile each. Next, one segment is randomly chosen from within each sampled PSU. This process avoids segment delineation for non-selected PSUs thereby saving resources. Eight staff working year-round are required to select the rotating sample. Also, in the preparation of JAS segments, segment boundaries are adjusted (moved) to natural boundaries that can be easily identified outdoors like roads, ditches, edges of fields, rivers, tree lines, etc. This "tweaking" of boundaries is also a labor-intensive process. In the current sampling scheme, the JAS replaces the oldest 20% of the segments with new segments rotated in each year. A state receives a completely new area frame sample approximately every fifteen years. This annual process takes twenty-five staff with salary and benefits totaling about 2.5 million dollars and another 100,000 dollars in equipment, software, printing, and mailing of materials.

A proposed alternative sampling process is based on a permanent area frame with units having roughly equal-sized and shaped areas, and thus lacking physically identifiable boundaries. A prototype frame was created based on the Public Land Survey System (PLSS). PLSS is a surveying method used over large parts of 30 states in the United States to spatially identify parcels of land. PLSS was especially helpful in rural and undeveloped land. Land was divided up into (mostly) rectangular areas going from a 24 mile by 24 mile quadrangle down to a one mile by one mile square section. The PLSS began after the Revolutionary War as a way for the government to sell land for revenue, reward soldiers for their services, and to develop a cadastral system of land ordinance. In areas not covered by the PLSS (mainly the Northeast/Mid-Atlantic and Texas), an analogous grid would be generated.

Hence, the United States could be divided into roughly 1 mile x 1 mile squares, (commonly called grid segments). This grid segment construction and sampling process could then be automated to handle stratification and sample selection of these segments using data from the CDL. The field enumerator would then be responsible for collecting all agricultural data within the defined grid segment. This would reduce the resources required in the preparation for the JAS.

However, a challenge with grid area segments is that fields may not be fully contained within a segment boundary. In these instances, information must be collected for the portion of the field that lies within the segment. This may be difficult for an agricultural operator to report correctly viewing a printed aerial photo. Thus, having a Computer Assisted Personal Interview (CAPI) instrument incorporating GIS information in a geospatial display, combined with tools to delineate fields and tracts within the grid segment, could be used to eliminate the need for agricultural operators to report acreage for land within the segment. This report describes the development of a GIS CAPI instrument to collect JAS data and the enumeration of grid segments.

1.2 IMPROVING CROPLAND DATA LAYER ACCURACY AND EFFICIENCY

The Cropland Data Layer (CDL) is an annual crop specific land cover product, depicting more than one hundred unique crop categories across the nation. NASS derived this cropland area monitoring program via remote sensing (satellite data) using a supervised land cover classification approach. The national CDL product (Boryan, Yang, Mueller and Craig, 2011) is available at http://nassgeodata.gmu.edu/CropScape.

During the growing season, NASS derives monthly cropland area estimates from the CDL, delivering robust statistical estimates using a hierarchical regression approach for the major crops at the state, agricultural statistics district, and county levels. The CDL has classification accuracies of 85 to 95 percent for the major crops (Boryan, Yang, Mueller and Craig, 2011). The CDL's primary purpose is to provide acreage estimates to the Agricultural Statistics Board

for each state's major commodities and to produce digital, crop-specific, categorized georeferenced output products.

Currently, the CDL is a component in determining the stratification for the JAS. Initial results have shown that utilizing the CDL lends itself to better designs than in the past. The CDL relies on additional ground truth information to improve its accuracy. This is obtained from USDA's Farm Service Agency and works well for the major crops. However, for quality ground truth on minor crops, a field enumerator physically visits particular locations and determines the crops grown. This real-time ground truth is expensive to collect.

A CAPI instrument incorporating GIS ties the agriculture information collected on the JAS to geolocations. In the future, these geolocations could be another input into the CDL's geospatial statistical models, which would improve the CDL's accuracy of determining major and especially minor crops. These improvements to the CDL would, in turn, improve the sampling scheme of the JAS. The JAS sample processes and systems would have to be revised to accommodate this additional input.

1.3 IMPROVING CAPI'S RETURN ON INVESTMENT

Over the past two years, NASS has made a substantial investment in CAPI. Nearly all 1,700+ field enumerators have been provided an Apple Inc's iPad (\$750) with built in 3G/4G wireless broadband. Also, NASS modified the pure thin-client CAPI approach (Gerling & Harris, 2010; Gerling, 2004) where no data reside on the device to more of a thick-client. This thick client approach allows for interviews to be conducted in those instances when a wireless broadband signal is unavailable since the questionnaire and collected data are stored in the iPad's memory.

Field enumerators are instructed to download that day's questionnaires onto the iPad at the start of the day. During the actual interviews, the instrument's underlying technologies (Asynchronous JavaScript and XML (AJAX)) send individual survey data responses to NASS web servers via wireless broadband. If no usable signal is found to transmit the data, the instrument stores the data on the iPad. When a signal is available, the data are transmitted to the NASS web server. Thus, interviews are conducted independent of a wireless broadband signal.

Some of the potential benefits of having a JAS-CAPI are reduced mailing and printing costs of questionnaires, real-time access to field-collected data, reduced data entry staff, and improved data quality. Additionally, adding GIS functionality to delineate fields in the CAPI interface could eliminate the need and expense of printing, organizing and mailing of aerial photos.

In 2011, Iowa State University's Center for Survey Statistics and Methodology (ISU-CSSM) developed a GIS-based CAPI instrument for the 2012 National Resources Inventory Survey and the Conservation Effects and Assessment Program. NASS's iPads were utilized and the instrument displayed both aerial imagery and a questionnaire. Both of these surveys were funded by USDA's Natural Resources Conservation Service (NRCS) and conducted by NASS. Federal funding of the CAPI instrument made it no longer proprietary.

Thus, NASS and the ISU-CSSM jointly leveraged the basic structure of the CAPI instrument to accommodate the JAS, thereby providing the Agency with substantial savings compared to building a CAPI instrument from scratch.

2.0 PROJECT'S GOALS

- a. Develop a CAPI instrument displaying the digital aerial imagery on the iPad with the ability to draw out and label the various tracts and fields and the ability to complete Section D *Crop and Land Use on Tract* of the paper questionnaire. Section D is a complex multi-row-and-column table spanning two pages, focusing on the land use occurring within the particular fields that an operator has in the defined segment. See Appendix A for a copy of Section D.
- b. Utilize the CAPI instrument on grid segments. In the current segment creation process, a segment's borders are designed to follow physical features on the ground (i.e. edge of a field, a road, or a river, etc.) However using a permanent grid area frame, a grid segment's border could cut through a field or a particular tract of land, Figure 2. In these cases, information would be collected on the entire field or tract, including the portion of the field or tract that lies outside the segment and the portion outside the segment would then be removed from the data analysis. A sub-goal is to determine how many fields' boundaries extend beyond the segment boundary and how often the respondent utilized the GIS calculated acreage to assist in providing the fields' acreages.

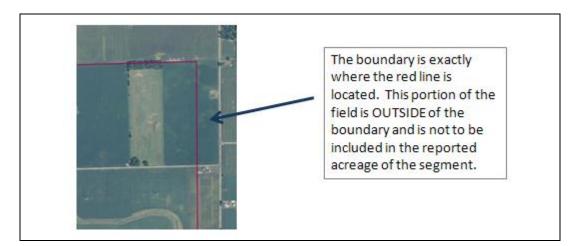


Figure 2: Grid Segment Boundary

2.1 ANITICPATED BENEFITS

- 1. Improved timeliness of the data.
- 2. Reduced printing, mailing and shipping costs of survey materials.
- 3. Minimized paper costs: (reduced printing of survey materials, storage and eventual shredding of questionnaires).
- 4. Ability for supervisory staff to review their staff's work throughout the data collection process.
- 5. Collection of data up to the last minute.
- 6. Transfer of field enumerator assignments without having to mail or drive materials between enumerators.
- 7. Improved data quality by having real-time edit checks.
- 8. Reduced data entry from the office.
- 9. Ability to provide the latest aerial imagery available, which may reduce errors in data collection.
- 10. Reduced resources (staffing) in the sampling and preparation of segments.

3.0 DEVELOPMENT OF JAS-CAPI INSTRUMENT

A team composed of staff from NASS (Research and Development Division, Census and Survey Division, Information and Technology Division, and the Regional Field Offices) and from ISU-CSSM's programmers was established. Since the regional and supporting field offices are major stakeholders, the team's initial task was to decide where to test in order to obtain support and input from field staff in those offices.

Three states were selected (Indiana, Pennsylvania, and Washington).

Indiana:

- a.) First state to adopt CAPI in NASS and thus had the most experience with CAPI.
- b.) Staff had co-authored CAPI training manuals and various other CAPI materials and was available.

Pennsylvania:

- a.) Close proximity to Research and Development Division for accessibility of training of field staff and for testing of the JAS-CAPI instrument.
- b.) Reorganization of NASS made Pennsylvania a regional office for the northeast. A regional office now oversees data collection for several states. Having representation of regional office staff was beneficial since their field interviewers would be the primary users of JAS-CAPI.
- c.) Test instrument on different agriculture than IN.

Washington:

- a.) Test different agriculture compared to IN and PA.
- b.) Had initial experience with CAPI.
- c.) Re-organization of NASS made Washington a regional office for the Pacific Northwest.
- d.) Good working rapport on past NASS research projects.

The next step was to create initial instrument specifications to start the development process. These are listed below:

- 1. Work independently of a wireless broadband connection.
- 2. Display the image of the segment on the iPad.
- 3. Draw tracts and fields in a reasonable amount of time without increasing respondent burden.
- 4. Have the drawn off tracts and fields automatically be connected to the corresponding information collected during the survey.
- 5. Streamline the Section D of the questionnaire that pertains to detailed questions on the drawn off fields and tracts.
- 6. Incorporate best practices in interface design and functionality, (user friendly).

Over the following six months, the JAS-CAPI instrument was developed to handle current JAS segments and evaluate grid segments. The instrument was also designed as a web application having both client and server side components. Appendix B provides the technical details.

4.0 JAS-CAPI INSTRUMENT (Features, Screen Layout and Functionality)

This section provides detailed documentation of the operation of the JAS-CAPI instrument. First, the field enumerator downloads the web application to the iPad from the created JAS-CAPI website. This website also displays the enumerator's assignment listing where the enumerator can check out his/her designated segments and downloads the aerial imagery and questionnaires to the iPad for that day's work. Once a segment is checked out no one else is able to check out the segment. This prevents another enumerator from mistakenly working on the same segment. Pre-loading segments to the iPad allows the interview to occur regardless of an available wireless broadband connection.

To begin the interview, the enumerator, utilizing the iPad, brings up the pre-loaded segment of interest. The field enumerator shows the imagery with the segment already outlined in red to the agricultural operator. Next, the enumerator asks about the land the operator operates within the segment boundary. The enumerator draws off the various fields that the operator points out. Tracts and fields are drawn out on the iPad using a stylus or finger. Various options (re-do, undo etc.) were also programmed into the instrument for improved usability.

The JAS-CAPI instrument can display the aerial imagery of the segment of interest in full screen mode (Figure 3) or can show both imagery and questionnaire in split screen mode (Figure 4).

Figure: 3: Full Imagery Mode

Figure 4: Dual Screen Mode



Next, the enumerator asks detailed questions about each particular field. The enumerator pulls up the first screen of the questionnaire and enters the tract and field names and any comments. Also, the enumerator can view the calculated GIS acreage for any particular field. Next, the enumerator presses a button on the application to bring up a streamlined Section D.

Section D asks for detailed information on the agricultural activity occurring for each drawn off field. On the paper questionnaire, Section D is a multi-row and multi-column table spanning two pages. This was condensed to 5-10 dropdowns. The form is also dynamically interactive. For example, once the particular land use (Homestead, Cropland, Waste, etc.) is specified, the rest of the form dynamically changes to those questions pertaining to that land use. Item non-response was also programmed into the instrument. For example, if all questions for a particular field are not completed, then the form cannot be marked as completed and those cells requiring completion highlighted. checks are Also, basic edit were coded

into the instrument. For example, irrigated acres in a field can be no more than the total number of field acres.

The enumerator continues to complete Section D and, if needed, can toggle back to the aerial imagery to draw out any additional fields. The enumerator can label tracts and fields either directly on the imagery or on the questionnaire.

Additionally, the JAS-CAPI instrument has a zoom feature to view from 2 to 32 inches per mile. Currently, the aerial photo only provides an 8-inch per mile view. The CDL is also available and provides functionality beyond the paper questionnaire and aerial photo. In the future, a roads layer will be added to help in finding and discussing the segment with the respondent.

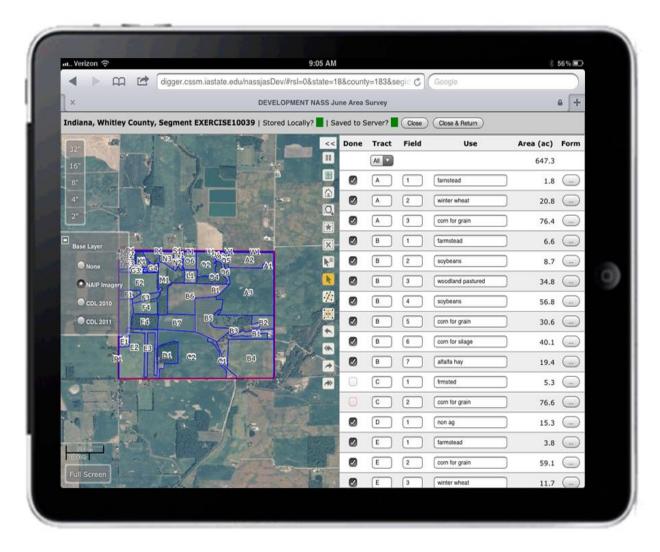
Next, the field enumerator visits any additional agricultural operators farming in the segment and repeats the above process.

The instrument was developed to save information automatically to the iPad and, if a broadband signal is available, the information is also saved to the NASS web server. The enumerator can work on multiple segments and has the ability to review data at any time before final submission. After final submission, the segment and the corresponding data are removed from the iPad automatically.

Figure 5 shows the JAS-CAPI instrument running on an iPad. The instrument's key features are summarized below:

- a. Draw out and label tracts and fields using a stylus.
- b. Zoom in and out of the aerial imagery.
- c. Streamline Section D (detailed field level questions of the questionnaire) to a series of drop downs and skip patterns.
- d. Edit/data consistency checks to improve data quality and integrity.
- e. Toggle between full screen mode showing the aerial imagery to split screen mode showing both aerial imagery and Section D.
- f. Aerial imagery and Section D update each other accordingly.
- g. Undo and redo options.
- h. Ability to fix any drawn out tract's and field's boundaries as needed.
- i. One touch ability to go back to the segment if the interviewer loses his/her place on the screen.
- j. Display Crop Land Data Layer as needed.
- k. Ability to display all or particular tracts and or fields of interest.
- 1. Ability to freeze the aerial imagery displayed on the screen so that a farmer can point and touch the screen, without having any tools activated.
- m. Show the geospatial information systems calculated imagery for each field as a guide for the interviewer.
- n. Display the grid segment's ID and the state and county.

Figure 5: JAS-CAPI Instrument operating on an iPad



In the current JAS's aerial photo enumeration process, the field enumerator uses a blue grease pencil to draw out tracts and a red grease pencil to draw out fields on the paper photo. JAS-CAPI instrument requires "splitting" a segment into tracts and fields instead of drawing them. Splitting ensures that every piece of the area within the segment is accounted for. Figure 6 shows splitting a segment into two tracts. Splits can take any shape. Figure 7 shows splitting out an irregular shaped tract from the segment.

Figure 6: Splitting Process

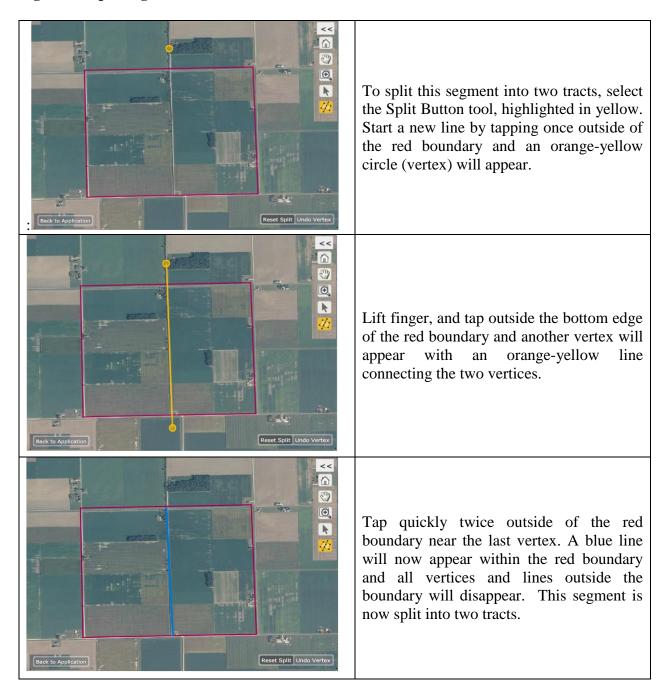
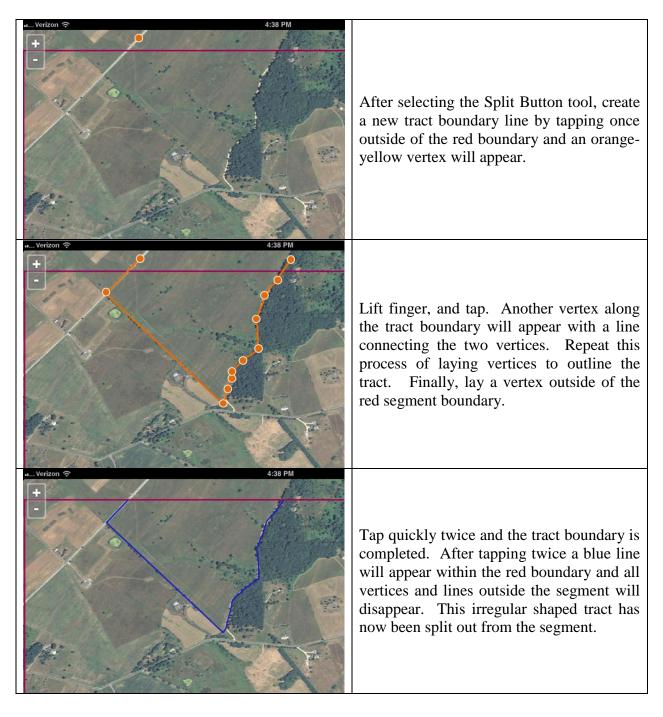


Figure 7: Splitting Process – Irregular Shaped Tract



The instrument's screen is composed of three sections: (1) The informational bar, located at the top of the screen, identifies the segment displayed; (2) The left side displays the aerial imagery and various tools available; and (3) the right side displays the CAPI electronic questionnaire. These sections will be described in greater detail below.

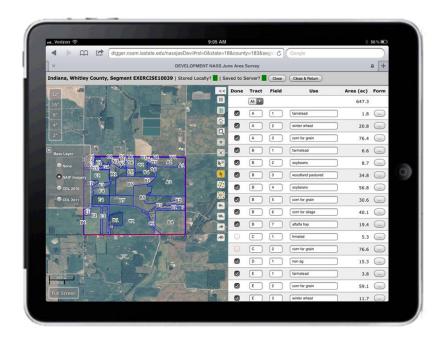


Figure 8: JAS-CAPI on iPad

The top of the screen (Figure 9) shows the State, County and Segment number. The items labeled "stored locally" and "saved to server" will be marked green when the collected data has been saved, either to the iPad or to the iPad and web server.

Figure 9: Informational Bar

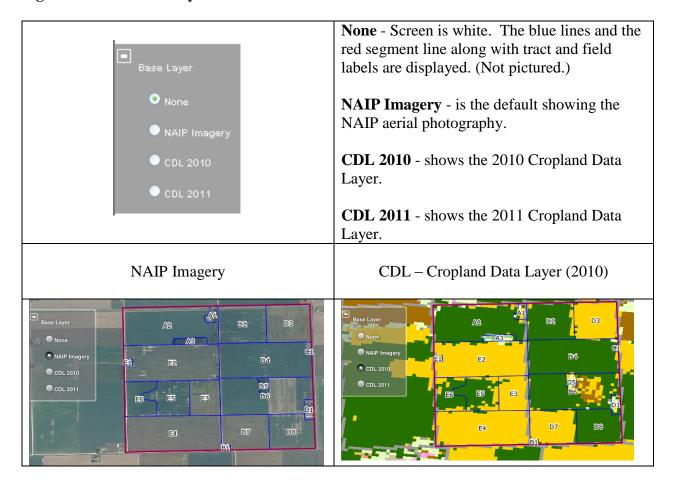


The "Close" option exits the segment and returns the user to the main screen with the segment still being checked out by the field enumerator on the server. "Close & Return" exits the segment, returns to the main screen and "releases" the segment from the field enumerator, allowing a field supervisor to review the work or another field enumerator to check out and complete the segment if needed. Visualize this as a trip to a local library.

One can "check out" a book to read and is therefore the only person who can read that copy of the book. After reading, the reader closes the book until the next reading time. Only when the person "closes" the book and "returns" the book to the library, can another person check out the book to read. This feature prevents a different field enumerator from mistakenly downloading and working on the same segment.

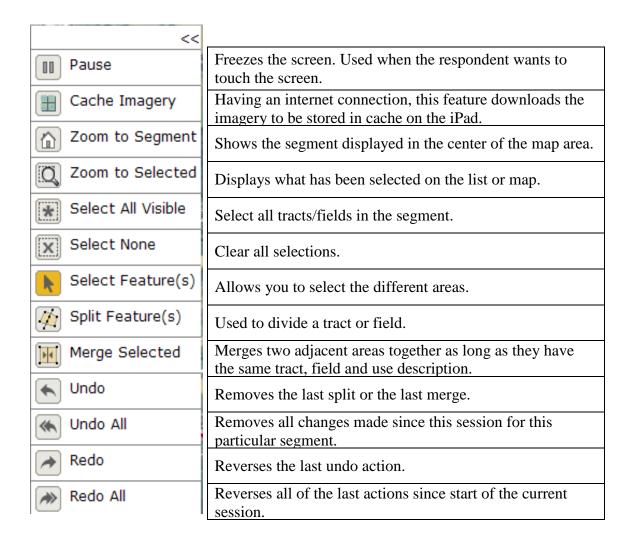
On the left hand side of the imagery screen, below the zoom level option is a "+" sign. Clicking on the "+" opens a drop down window. This allows the user to select the view or layer (NAIP, CDL, or None) shown with the segment. None is equivalent to "no layer" which displays only the segment's border and any drawn tracts and fields. NAIP stands for the National Agricultural Imagery Program, which acquires aerial imagery during the agricultural growing seasons in the continental U.S. Typically, this digital ortho-photography is available to governmental agencies and the public within two to four months after acquisition. The default for CAPI-JAS is NAIP, (Figure 10). An enumerator can also view the last two years of the CDL to assist in enumeration.

Figure 10: Available Layers in JAS-CAPI



On the right side of the screen is a vertical toolbar. Tapping the << button will display the various tools' definitions, Figure 11.

Figure 11: Toolbar and Explanation



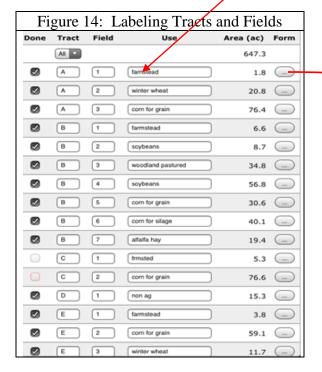
Focusing on the uppermost left side of the imagery screen, there are transparent buttons (32", 16", 8", 4", and 2"), where " refers to inches per mile, Figure 12. These appear when the instrument's screen is in imagery mode or dual (imagery/form) mode. These buttons control the zoom level of the imagery displayed. The number denotes the number of inches per mile. The 8-inch zoom level is equivalent to the zoom level on the current JAS aerial photos. Two-inch zoom is the default.

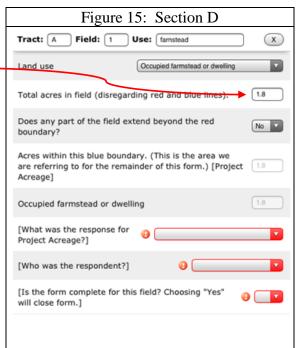
32° 16° 8° 4° 2°

On the right side of the instrument's screen, the enumerator can label the tracts and fields, Figures 13-15. In the JAS's protocol, tracts are labeled with capital letters and fields are labeled with numbers. The "Use" column is an area where the field enumerator can write a description of the field. The "Area (ac)" column displays the GIS calculated acres in the area that was drawn off. The "Form" column displays a button that brings up a streamlined, dynamic Section D, Figure 15. Section D was transformed from a complex two pages of multiple columns and rows into a mere series of drop downs. Three questions were added to determine how many fields' boundaries extended beyond the segment boundary and to determine if the respondent needed to view the GIS calculated acreage to assist in determining field acreage.

Distance, Working County, Segment EXECUTE 1993 | Bloom Location | Bloom Property | Bloom County | Bloom County

Figures 13-15: JAS-CAPI on an iPad





5.0 TRAINING – PENNSYLVANIA AND INDIANA

On August 17, 2012, the introduction and training of field staff on the JAS-CAPI instrument occurred at NASS's Northeast Regional Office (NERO) located in Harrisburg, PA. Attendees included nine field enumerators, three NERO staff, two staff from NASS headquarters, and two trainers from the JAS-CAPI training team.

There were two primary goals for the training: (1) provide an overview of the instrument to the field enumerators and (2) learn from the field enumerators what needed to be improved upon before data collection.

The JAS-CAPI instrument, however, was still evolving during the preparation of training materials (presentations, manual and practice exercises) and even during the actual training. This was a challenge for both the trainers and the audience. Overall, the training was successful, but could have been improved with additional time for applied practice and study time. The team members learned areas to improve the JAS-CAPI instrument and that the enumerators' skill level of using the iPad varied from beginner to highly proficient. To keep field enumerators active with the JAS-CAPI instrument, home study practice exercises were developed and sent to the field enumerators.

Two weeks after the Pennsylvania training, the instrument was modified and additional functionality added. Based on this initial training experience, the training in Indiana was expanded to a day and a half. Seven field enumerators, two staff from the Indiana Field Office, two staff from the training team, one staff from the Michigan Field Office and two staff from ISU-CSSM participated in the training.

The JAS-CAPI manual and practice exercises were developed and provided to each field enumerator. Training consisted of lecture (PowerPoint slides), chalkboard for the instructors to write notes on, hands-on practice exercises, role-playing exercises, and question and answer sessions. In the later afternoon, the field enumerators were provided practice exercises to complete. These exercises were evaluated the next morning by training staff. This allowed training staff to identify concepts that needed to be re-emphasized/clarified on the second day.

Overall, the training went smoothly. Indiana field enumerators were the first to adopt CAPI and had the advantage of using iPads for the longest period of time. This additional experience was seen in their overall proficiency with the iPads. However, one of the biggest challenges was explaining the grid segment data collection method, which involves drawing out partial fields and collecting information from the farmer on the part of the field inside the grid segment. This grid boundary training is not necessary in current JAS practice, as segment boundaries are modified in the segment creation to ensure no partial fields. Another challenge was when a segment boundary fell just beyond a road. This led to several smaller fields that had to be drawn off.

6.0 REMOTE TRAINING – WASHINGTON

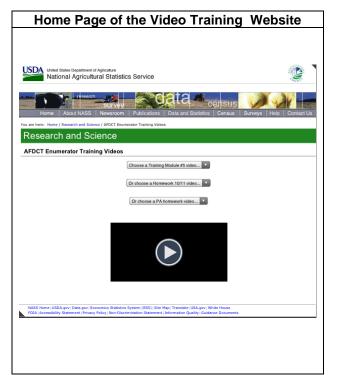
This section provides detailed information on the use of remote training of the field enumerators for the study. Due to limited training funds, only two supervisory field enumerators were selected in the state of Washington. One supervisor had used an iPad for a few days. The other supervisor had limited computer experience with no experience using the iPad. To minimize expenses, remote/on-line training was conducted. The Indiana Field Office developed a website to house all training materials (manuals, practice exercises and on-line training videos) for a field enumerator to learn the iPad and the JAS-CAPI instrument. Videos were also created that provided step by step instructions on how to complete each of the practice exercises, (Figure 16).

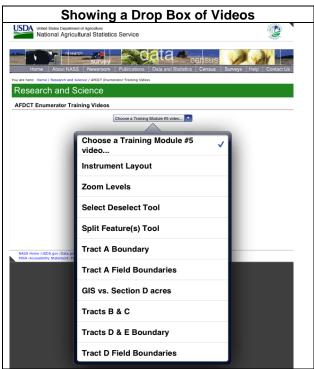
Training Home Page PURDUE USDA NASS due Agriculture Directory Area Survey: (Latest version 11/28/12) **Quick Start Guide Training Instrument Live Data Collection** Training: Videos FAQ PowerPoint Presentation Cropland Data Layer Legend Independent Training Modules (5,8 & 10 have been updated since the manual was mailed) . Manual (1, & 2 have been updated since the manual was mailed) States: Notes, Home Study & Road Maps: Indiana

Figure 16: Training Website's Home Page

Figure 17 shows the main video training page and a screenshot of the various available videos. Initially, field enumerators complained about the amount of time taken (up to 30 minutes) to download the videos. Although this is a function of the available wireless broadband speed, the problem was minimized by creating videos less than 5 minutes in length and by decreasing the video resolution.

Figure 17: Training Video Website





Several benefits of remote/correspondence training were noted:

- a. Standardization of training since everyone has access to the same material.
- b. Savings on printing and mailing costs of "paper" documentation and "paper" training materials.
- c. Ability to update on-line manuals readily and repost to website to reflect any changes to the instrument. In the past, pages of a manual would need to be revised, printed, and mailed out.
- d. If the change is major, a video can be filmed showing the change and the impact on the instrument.
- e. Ability to watch training videos for reference as often as needed.

7.0 TEST SEGMENTS

All three test states needed to be gridded. Indiana required the least amount of work because the state was already a Public Land Survey System state. Washington was partially gridded out and therefore the NASS geographer used ARCGIS software to grid the rest of the state fairly quickly.

Pennsylvania was not a PLSS state and had to be gridded out from scratch, which took a few days.

Afterwards, sixty grid segments were selected for this test: 30 for Indiana, 20 for Pennsylvania, and 10 for Washington. The segments' locations were based on field offices' input in representing that state's agriculture. Also, the field offices reviewed the aerial imagery and rated each segment as "easy" to "challenging" in enumerating. "Challenging" was defined as a segment having irregular shaped fields, having over fifty fields and/or several operators. The field enumerators were instructed to start with the easier segments and then move on to the more challenging ones. Since the test segments were not randomly selected, extrapolations or inferences made from this study may not truly reflect the findings of a full-scale implementation.

8.0 FIELD DATA COLLECTION

Field data collection occurred from late December, 2012, through February of 2013. Overall, 36 segments were attempted, and 355 agricultural cropland fields were completed. For testing purposes, enumerators were not required to enumerate the entire segment but to focus on the agricultural tracts on as many segments as possible. A survey letter was developed to provide to the agricultural operator at the beginning, to explain the purpose of the study, and to obtain support, (Appendix C).

A total of 18 field enumerators were either trained or self-trained on the JAS-CAPI instrument. Changes of workload and assignments (due to a two month delay of the final instrument), personal matters and finally due to difficulty in learning the instrument, eight field enumerators failed to complete training and were unable to conduct enumeration.

In Indiana and Pennsylvania, four field enumerators completed training and role playing, and conducted interviews.

In Washington, one supervisory field enumerator completed training on how to use an iPad and on the JAS-CAPI instrument.

Table 1 shows the number of segments, tracts, and fields completed by state and field enumerators. Indiana completed the most number of segments, twenty-six. This was anticipated since Indiana field enumerators had been using iPads for a year longer than the other states. Despite being primarily self-trained via the training manual and the instructional videos, Enumerator B (Table 1) was able to complete thirteen segments.

Table 1: Number of Attempted Segments, Tracts and Fields by State & Field Enumerator

State	Field Enumerator	Attempted Segments	Non-Ag Tracts	Ag Tracts ^{3/}	Cropland Fields	Waste, Woods Fields	Permanent Pasture Fields	Farmstead Fields
	Enumerator A	4	5	30	83	5	9	7
	Enumerator B	13	61	51	103	32	5	5
IN	Enumerator C	5	47	21	56	14	1	5
	Enumerator D	4	21	10	17	3	0	2
	Total	26	134	112	259	54	15	19
	Enumerator E	2	4	3	2	1	1	2
	Enumerator F ^{2/}	2	0	25	N/A	N/A	N/A	N/A
PA	Enumerator G	2	27	10	33	7	14	5
	Enumerator H	2	0	13	52	7	3	3
	Total	8	31	51	87	15	18	10
347.4	Enumerator I	2	6	5	9	2	0	2
WA	Total	2	6	5	9	2	0	2
Total		36	171	168	355	71	33	31

 $^{1/\ \}mbox{Names}$ were removed for confidentiality purposes.

Table 2 shows the number of completed fields that were fully and partially contained within the grid segment's boundary. Indiana had 18.2% of its completed fields with acreage partially contained outside the segment. Pennsylvania had 36.2% and Washington had 15.4%.

Table 2: Number and Percentage of Partially Contained Fields^{1/} by State

	Partially Contained		Fully Co	ontained	Total		
	No.	%	No.	%	No.	%	
Indiana	63	18.2	284	81.8	347	100.0	
Pennsylvania	47	36.2	83	63.8	130	100.0	
Washington	2	15.4	11	84.6	13	100.0	
All Three States	112	22.9	378	77.1	490	100.0	

1/ Excludes Non-Ag Tracts and incomplete fields.

^{2/} Did not complete the field use portion of data collection.

^{3/} Based on the number of evaluation forms completed for each agricultural tract attempted.

Table 3 shows the number of completed fields fully and partially contained within the grid segment's boundary by State and field use type. Cropland fields had the most number of partial fields followed by waste/woods. Indiana had 347 completed fields. Sixty-three fields (18%) were partial fields. In Pennsylvania, 47 (36%) of the 130 completed fields were partial fields.

Table 3: Number of Completed Fields^{1/} Fully or Partially Contained Within the Grid Segments' Boundaries by State and Field Use Type

		State										
		Indiana				Penns	sylvani	а	Washington			
		d in Rela egment			F	ield in Re Segmen			Field in Relation to Grid Segment Boundary			
	In	side	Pa	artial	Ir	nside	Pa	rtial	Ins	side	F	Partial
	No.	%	No.	%	No.	%	No.	%	No.	%	No.	%
Field Use												
Cropland	210	73.9	49	77.8	51	61.4	36	76.6	7	63.6	2	100.0
Waste/Woods	43	15.1	11	17.5	10	12.0	5	10.6	2	18.2	0	0.0
Permanent Pasture	14	4.9	1	1.6	12	14.5	6	12.8	0	0.0	0	0.0
Farmstead	17	6.0	2	3.2	10	12.0	0	0.0	2	18.2	0	0.0
Total ^{2/}	284	99.9	63	100.1	83	99.9	47	100.0	11	100.0	2	100.0

^{1/} Excludes Non-Ag Tracts.

Tables 4 and 5 show whether the respondent knew the acreage of the fields that were fully and partially contained within the grid segment or if the respondent asked for the GIS-calculated acreage to help them decide how much acreage was in the field. As expected, respondents relied on the GIS calculated more often for partial fields (55/112 = 49.1%) than for fully contained fields (78/378 = 20.6%).

^{2/} Total percent may not equal 100% due to rounding.

Table 4: Acreage Response on Completed Fields $^{1/}$ FULLY Contained Within the Grid Segment

		Acreage Response Full Field Containment									
	Respo		Neede Assis	ed GIS tance	Didn't Acre	-	Refused or No Response		Total ^{2/}		
	No.	%	No.	%	No.	%	No.	%	No.	%	
Field Use											
Cropland	195	72.8	42	15.7	15	5.6	16	6.0	268	100.1	
Waste/Woods	18	32.7	24	43.6	7	12.7	6	10.9	55	99.9	
Permanent Pasture	18	69.2	3	11.5	4	15.4	1	3.8	26	99.9	
Farmstead	15	51.7	9	31.0	2	6.9	3	10.3	29	99.9	
Total	246	65.1	78	20.6	28	7.4	26	6.9	378	100.0	

^{1/} Excludes Non-Ag Tracts. ^{2/} Total percent may not equal 100% due to rounding.

Table 5: Acreage Response on Completed Fields^{1/} PARTIALLY Contained Within the Grid Segment.

		Acreage Response Partial Field Containment										
	Respondent Knew		. 1 1466060 (412 1 12)001 1		Know Refused of No Respons			Total ^{2/}				
	No.	%	No.	%	No.	%	No.	%	No.	%		
Field Use												
Cropland	31	35.6	49	56.3	5	5.7	2	2.3	87	99.9		
Waste/ Woods	6	37.5	3	18.8	6	37.5	1	6.3	16	100.1		
Permanent Pasture	4	57.1	2	28.6	1	14.3	0	0.0	7	100.0		
Farmstead	0	0.0	1	50	1	50	0	0.0	2	100.0		
Total	41	36.6	55	49.1	13	11.6	3	2.7	112	100.0		

^{1/} Excludes Non-Ag Tracts and incomplete fields. ^{2/} Total percent may not equal 100% due to rounding.

9.0 ENUMERATOR FEEDBACK & EVALUATION OF THE JAS-CAPI INSTRUMENT

Field enumerators completed an evaluation form for each of the 168 agricultural tracts enumerated, (Appendix D). The field enumerators were asked if there were any problems with the aerial imagery part of the survey instrument (including but not limited to zooming, splitting fields, and overall functionality). Problems were experienced 15% of the time, Table 6. The zoom feature, however, was noted most often as being a very helpful feature in viewing smaller, detailed areas.

Indiana field enumerators commented that grid segment borders did not overlay the imagery 100% correctly. Indiana is a PLSS state that was gridded out in the 1800's. Many roads (especially in the rural part of the state) follow the grid lines. Confusion occurred when a road and a grid segment's boundary were slightly offset. For example if a grid segment boundary runs parallel to a road and the boundary falls 20 feet beyond a road, a field enumerator might have several partial fields. This grid segment rule differs from the current JAS rules which assume the boundary to be the middle of the road. Upon closer examination, nearly every one of Indiana's segments had a small sliver of land on one edge of the segment. To minimize this issue, field enumerators commented that grid segment boundaries need to be reviewed and shifted slightly, as in the current JAS segment preparation process.

Table 6: Problems with Aerial Imagery (Zooming, Splitting, Overall Functionality)

Problems with Aerial Imagery	Number of Tracts	Percentage
Yes	13	7.7
Sometimes	13	7.7
None	142	84.5
Total ^{1/}	168	99.9

^{1/} Total percent may not equal 100% due to rounding.

Table 7 shows that approximately 92% of the time field enumerators reported no problems (navigation, questions, drop downs, etc.) with Section D.

Table 7: Problems with Section D (Navigation, Questions, Dropdowns)

Problems with Section D	Number of Tracts	Percentage
Yes	5	3.0
Sometimes	6	3.6
No	154	91.7
No Answer	3	1.8
Total ^{1/}	168	100.1

^{1/} Total percent may not equal 100% due to rounding.

Enumerators were also asked several questions about iPad performance outside the JAS-CAPI instrument that may impact the effectiveness of the instrument. Connectivity problems were experienced four percent of the time, (Table 8). Connectivity is essential to download the initial imagery and the questionnaire. Afterwards, the field enumerator can conduct interviews regardless of a wireless broadband signal. Additional instruction on downloading the segments of interest ahead of time to the iPad could reduce this problem.

Table 8: Connectivity - 3G/4G Problems

Connectivity – 3G/4G Problems	Number of Tracts	Percent
Yes	2	1.2
Sometimes	5	3.0
No	158	94.0
No Answer	3	1.8
Total	168	100.0

Despite equipping the iPads with glare screen shields, 17% of the time screen visibility was a problem, (Table 9). Operationally, 38,000 agricultural tracts are enumerated annually. Extrapolating, this would equate to 6,460 agricultural tracts that might have screen visibility issues. This issue may actually be significantly understated since the study was conducted during the winter when most interviews are conducted indoors, (Table 12). Typically, the JAS is conducted outdoors in early June. Thus, future research is needed to improve the iPad's screen visibility in direct sunlight.

Some field enumerators suggested having a device with a larger screen and others suggested being provided a paper map on standard stock paper to accompany the instrument. These suggestions may diminish once the field enumerators become more proficient with the instrument and in utilizing the instrument's zooming feature. However, this does show that some of the interviewers were not completely comfortable using just the iPad for data collection.

Table 9: Screen Visibility Problems (glare, sunlight, etc.)

Screen Visibility Problems	Number of Tracts	Percent of Total Frequency
Yes	11	6.5
Sometimes	17	10.1
No	137	81.5
No Answer	3	1.8
Total ^{1/}	168	99.9

^{1/} Total percent may not equal 100% due to rounding.

Insufficient battery life of equipment (laptops, tablets, pads, netbooks, etc.) has been a major concern since the original implementation of CAPI data collection. Table 10, however, shows that the iPad's battery life appears to be sufficient for a full day's work. Instructions to emphasize the need to charge the iPad every night should be included in interviewer training. For those field enumerators where this solution may still not suffice, field enumerators should be encouraged to use their supplied car charger for the iPad.

Table 10: Battery Life Problems Encountered

Battery Life Problems Encountered	Number of Tracts	Percent
Yes	3	1.8
Sometimes	1	0.6
No	161	95.8
No Answer	3	1.8
Total	168	100.0

This study was conducted over the winter months while the JAS is conducted in early June. For this study, 66% of the interviews were conducted in the afternoon, Table 11. Also, Table 12 shows that at least 26% of the interviews were conducted outside. Based on past history of the JAS, a greater proportion of interviews are conducted outdoors in June due to improved weather conditions, the number of hours of daylight hours being greater, and the agricultural operator being more likely to be working outside planting/harvesting.

Table 11: Time of Day the Interview was Conducted

Time of Day	Number of Tracts	Percent
Afternoon	110	65.5
Morning	55	32.7
Evening	2	1.2
No Answer	1	0.6
Total	168	100.0

Table 12: Location of Interview

Location of Interview	Number of Tracts	Percent
Indoors	99	58.9
Outside	43	25.6
Other	5	3.0
No Answer	21	12.5
Total	168	100.0

9.1 RESPONDENTS' ACCEPTANCE OF THIS TECHNOLOGY AND PERCEPTION ON THE INTERVIEW LENGTH

Respondent burden is always a concern at NASS, and there were concerns that conducting the JAS via CAPI would increase respondent burden. In the past, drawing off tracts and fields on the paper aerial photos was completed rather quickly by using a grease pencil. However, the JAS-CAPI survey instrument ties the aerial imagery with Section D automatically saving time in labeling. Also developers were able to streamline Section D by utilizing a series of dropdowns and skip logic. An actual comparison of interview time via JAS-CAPI compared to the traditional paper-based interview was not possible. Instead, interviewers were asked to provide their opinion of how respondents reacted to the technology. Interviewers also recorded their own perception on the amount of time required to enumerate an agricultural tract. Interviewers stated that 33% of tract operators were enthusiastic about using this technology to complete the JAS, whereas only 4% were reluctant to report their information via CAPI, (Table 13).

Table 13: Respondent's Acceptance of the Technology

Respondents' Acceptance of the Technology	Number of Tracts	Percent
Enthusiastic	55	32.7
Ambivalent	79	47.0
Reluctant	7	4.2
No Answer	27	16.1
Total	168	100.0

Table 14 shows the perceived length of the interview compared to the current paper process. Approximately 36% of the time there was no difference in perceived time. Forty percent of the time, interviews were perceived to be shorter. However, since the actual time was not measured, one cannot say that the actual CAPI interviews were, in fact, shorter. In the future, a test comparing the enumeration time of the current paper process versus the CAPI process will need to be conducted.

Table 14: Perceived Length of Interview Compared to Paper Questionnaire

Length of Interview Compared to Paper Questionnaire	Number of Tracts	Percent
Shorter by at least 10 min	24	14.3
Shorter by 1 to 9 min	43	25.6
No Difference	60	35.7
Longer by 1 to 9 min	21	12.5
Longer by 10 min or more	12	7.1
No Answer	8	4.8
Total	168	100.0

9.2 OVERALL FEEDBACK

Pennsylvania's experience with JAS-CAPI was mixed. This was primarily due to the field enumerators being introduced to the iPads a few months before being shown the JAS-CAPI instrument. Also, the initial instrument shown was a prototype. A majority of the feedback was on the difficulty of merging fields and how to handle an operator if the operator only has five minutes. Field enumerators noted that the fields can be drawn off relatively quickly but wanted an improved way to readily note crops grown. Field enumerators also stated that fields in Pennsylvania are frequently irregularly shaped and these were challenging to draw off on the iPad. However, the field enumerators found that the JAS-CAPI instrument can handle 100+fields and tracts with no issues. Under the current JAS paper process, ten additional supplemental pages of Section D would be needed to handle 100 fields.

Indiana's experience with JAS-CAPI was mainly positive. This was primarily due to the field enumerators having used iPads for over a year and that the training lasted two days. Handling of harsh weather conditions (primarily rain) were noted and will need to be addressed in future iPad training.

In Washington, the supervisory field enumerator and staff from the Northwest Regional Office thought that as familiarity with the instrument increases, conducting the JAS survey via CAPI has the possibility of being as efficient as using the aerial photos and paper questionnaires.

10.0 FUTURE DIRECTIONS

The study shows that JAS enumeration is possible in a CAPI environment. However, additional research is required in studying the effect and practicality of changing to grid segments. Phases II and III are underway. Phase II focuses on evaluating whether there are any statistical differences in the acreages between drawing the tracts and fields on the current aerial photos to those drawn using the JAS-CAPI on an iPad. Phase III is a study similar to Phase I only in the states of North Carolina, Pennsylvania and South Dakota.

To incorporate the rest of the questionnaire and screening form into JAS-CAPI, the developers suggest three possible paths:

- 1.) Incorporate the rest of the JAS questionnaire into the current instrument with assistance from ISU-CSSM and transfer this JAS application to NASS's servers and systems.
- 2.) Modify the current instrument as a plug-in module that handles the aerial photos and Section D, and build the rest of the JAS questionnaire using NASS's current web survey system, Electronic Data Reporting System (EDR). The module would open up in one browser and the rest of the questionnaire would open up in a separate browser. The data collected from both the module and the EDR questionnaire would then be merged into NASS's data editing and analyses systems. This would still require the module to be transported over to NASS's servers and systems. However, this provides the flexibility to incorporate a possible future developed application/technology since it is modular based.

3.) Leverage the programming, functionality, and lessons learned from this study into a NASS-developed JAS-CAPI instrument. This could involve building the instrument from the ground up by leveraging and enhancing NASS's current EDR system and/or building a native application.

Independent of the path selected from above, the following enhancements would improve the JAS-CAPI instrument and associated processes.

1. JAS-CAPI Instrument

- a. Add a roads layer to the aerial imagery to assist the field enumerators in locating the grid segment and in helping the respondents orient themselves. (*This feature was incorporated in Phase III.*)
- b. Show the geo-location of the field enumerator in relation to the segment on the displayed aerial imagery. (*This feature was incorporated in Phase III.*)
- c. Add additional security requirements to meet all USDA-NASS policies.

2. Data Processing

a. Automate the process of transferring the collected data into the JAS's editing systems.

3. iPad

- a. Reiterate to field enumerators the importance of downloading questionnaires at the start of the day.
- b. Reinforce the importance of charging the iPad overnight to field enumerators. Supply those field enumerators with iPad car chargers on a need only basis.
- c. Research and test ways to improve outdoor screen visibility of the iPad.

11.0 RECOMMENDATIONS

- 1. Incorporate the key features of the current JAS-CAPI survey instrument into the next version.
 - a. Draw out and label tracts and fields using a stylus.
 - b. Zoom in and out of the aerial imagery.
 - c. Streamline Section D (detailed field level questions of the questionnaire) to a series of drop down menus and skip patterns.
 - d. Edit/data consistency checks to improve data quality and integrity.
 - e. Toggle between full screen mode showing the aerial imagery to split screen mode showing both aerial imagery and Section D.
 - f. Aerial imagery and Section D update each other accordingly.
 - g. Undo and redo options.
 - h. Ability to fix any drawn out tract's and field's boundaries as needed.

- i. One touch ability to go back to the segment if the interviewer loses one location on the screen.
- j. Ability to display various layers (like Cropland Data Layer) where practical.
- k. Ability to display all or particular tracts and/or fields of interest.
- 1. Ability to freeze the aerial imagery displayed on the screen so that a farmer can point and touch the screen, without having any tools activated.
- m. Show the geospatial information systems calculated area for each field as a guide for the interviewer.
- n. Display grid segment's ID, state and county.
- 2. Evaluate the amount of time required to conduct a JAS interview via the iPad compared to the current aerial photo and paper questionnaire approach.
- 3. Continue to research the use of grid segment frame process as a potential replacement for the current JAS area frame process.
- 4. Research ways to improve the iPad's screen visibility in direct sunlight.
- 5. Research the feasibility and practicality of full-scale implementation of CAPI for the JAS.
- 6. Continue to research the use of remote/correspondence training in the training of field enumerators.

12.0 REFERENCES

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APPENDIX A

Indiana's 2012 JAS Questionnaire Section D - Crops and Land Use on Tract

SECTION D - CROPS AND LAND USE ON TRACT

How many acres are inside this blue tract boundary drawn on the photo (map)?	•
Now I would like to ask about each field inside this blue treat boundary and its use during 2012	

	Field Number		01	1	02	2	0.	3	0-	4	0	5
1.	Total acres in field		828		828		828		828	•	828	
2.	Crop or land use. [Specify]											
3.	Occupied farmstead or dwelling		843									
4.	Waste, unoccupied dwellings, building and structures, roads, ditches, etc.	ngs	841		841		841		841		841	
5.		ot Pastured	83_		83_	•	83_		83_	•	83_	
٥.	P = Pa	stured	□NP	□ P	□NP	□ P	□NP	□ P	□NP	□ P	□NP	□ P
	Permanent (not in cro	p rotation)	842		842	•	842		842		842	•
6.	Pasture Cropland (used only f	or pasture)	856	•	856		856	•	856	•	856	•
8.	Idle cropland – idle all during 2012		857		857		857		857		857	
9.	Two crops planted in this field or two same crop.	o uses of the	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No
	[Specify sec	ond crop or use.]										
		Acres	844		844		844	•	844	•	844	
10.	Acres left to be planted		610		610		610		610	•	610	
11.	Acres irrigated and to be irrigated [Include acreage of each crop irrigated.]	f double cropped,	620		620	•	620		620	•	620	
16.	Winter Wheat Planted		540		540		540		540	•	540	
17.	(include cover crop) For grain o	r seed	541		541		541		541		541	
20.	Divided	l to be planted	533		533		533	· · ·	533		533	
	(include cover crop)		534	•	534	•	534	•	534	•	534	•
21.	For grain o		530	•	530	•	530	•	530	•	530	•
24.	Corn[exclude popcorn and sweet corn]	l to be planted	531	•	531	•	531	•	531	•	531	•
25.	For grain o	r seed		•		•		•		•		•
29.	Other uses of grains planted (Abandoned, silage,	Use										
	green chop, etc.)	Acres		•		•		•		•		•
30.	Hay Alfalfa and A	falfa Mixtures	653	•	653	•	653	•	653	٠	653	•
31.	[Cut and to be cut Grain		656		656		656		656		656	
33.	for dry hay.] Other Hay		654		654		654		654	•	654	•
34.	Planted and to be pla	anted	600		600		600	•	600		600	
35.	Soybeans Following another h		602	•	602	•	602	<u> </u>	602	•	602	•
<i>JJ</i> .	ronowing another n	ar vesied crop		•		•		•		•		•
51.	Other crops Acres planted or in t	ise		•		•		•		•		•

SECTION D - CROPS AND LAND USE ON TRACT

			[Add a	ll field acr	eages and	l record in	total trac	et acres (it	em 840).]	TOTA:	LTRACT CRES
	Field Number	0	6	0	7	0	8	0	9		00
1.	Total acres in field	828	•	828	•	828	•	828	•	840	•
2.	Crop or land use. [Specify]										
4.	Waste, unoccupied dwellings, buildings and structures, roads, ditches, etc.	841		841	•	841		841			
5.	$\label{eq:NP} NP = Not \ Pastured$ Woodland	83_	•	83_	•	83_	•	83_	•		
	P = Pastured	□ NP	□ P	□ NP	□ P	□ NP	□ P	□ NP	□ P		
_	Permanent (not in crop rotation)	842		842		842		842	•		
6.	Pasture Cropland (used only for pasture)	856	•	856		856		856	•		
8.	Idle cropland – idle all during 2012	857	•	857	•	857	•	857	•		
9.	Two crops planted in this field or two uses of the same crop.	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No	☐ Yes	□ No		
	[Specify second crop or use.]									
	Acre	s 844	•	844	•	844	•	844			
10.	Acres left to be planted	610	_	610		610		610			
	Acres irrigated and to be irrigated [If double cropped, include acreage of each crop irrigated.]	620	•	620	•	620	•	620	•		
16.	Winter Wheat Planted	540	•	540	•	540		540	•		
17.	(include cover crop) For grain or seed	541		541	•	541		541			
20.	Oats Planted and to be planted	533		533		533	•	533			
21.	(include cover crop) For grain or seed	534		534	•	534	•	534			
24.	Corn Planted and to be planted	530	•	530	•	530	•	530	•		
25.		531	•	531	•	531	•	531	•		
29.	Other uses of grains Us planted (Abandoned, silage,	e									
	green chop, etc.) Acre	S	•		•		•		•		
30.	Hay Alfalfa and Alfalfa Mixtures	653	•	653	•	653	•	653	•		
31.	[Cut and to be cut Grain	656	•	656	•	656	•	656	•		
33.	for dry hay.] Other Hay	654	•	654	•	654	•	654	•		
34.	Planted and to be planted	600		600		600		600	٠		
35.	Soybeans Following another harvested crop	602	•	602	•	602	•	602	•		
5 1	Other group										

APPENDIX B

JAS-CAPI Technical Requirements and Functional Overview

1.0 OVERVIEW

The JAS-CAPI instrument is an offline-capable web application that allows the capture of field boundaries as non-overlapping polygons whose areas sum to the area of the JAS segment. The instrument displays a segment boundary overlaid on NAIP imagery. The instrument is capable of presenting additional resource material using Web Map Service (WMS) overlays. This allowed the instrument to display NASS's Cropland Data Layers from 2010 and 2011 to assist the enumerator in data collection.

The instrument is based on a CATI (Computer Assisted Telephone Interview) optimized version of the JAS's Section D's questions. Tabular entry of the attributes can be directly associated with the tracts and fields delineated using the GIS portion of the instrument.

To specify skip rules and validation logic, a survey library was ported to JavaScript from a desktop application. This library allowed the survey's flow and edit logic to be specified perquestion dynamically. Specifications for Section D were detailed on two Excel spreadsheets: one that demonstrated the desired behavior and one that described the validation and skip logic per-question.

If a wireless broadband signal was available, the instrument was required to transmit a copy of the data to the web server as the data are entered or edited by an enumerator. Else, the data remains stored locally on the iPad. The instrument also maintains up-to-date status indicators telling the user where data have been stored, (iPad, sever or both).

1.1 SELECTING A DEVELOPMENT APPROACH

Early in the process, research and discussion were dedicated to determining whether to implement JAS-CAPI as a web application or as an iPad-native application. The iPad native application provides performance and storage management advantages over web applications. However, the web application approach was chosen because of issues with Apple's Inc's developer licensing and deployment approach through the iTunes Store. The web application approach was also preferred to be consistent with existing NASS-CAPI instruments.

The specification for the application to operate offline required researching software libraries and writing tools that allowed spatial operations such as splitting and merging to be done entirely client-side in JavaScript. Additional work was conducted to ensure the imagery could be cached on the client and data could be stored locally until the collected data were transmitted to the web server.

Initial research included computer-off-the-shelf (COTS) solutions and commercially available application libraries. Due to the custom nature of the JAS-CAPI application pure COTS solutions were not available while commercial software libraries to support GIS web applications were readily available. The following options were considered:

- a. Google Maps
- b. Bing Maps
- c. Leaflet
- d. ArcGIS API for JavaScript
- e. OpenLayers

Google Maps, Bing Maps, and Leaflet were rejected because of their lack of support for editing vector features.

While ArcGIS has all of the functionality required, the systems are heavily biased toward serverside processing and substantial work would have been required to modify the ArcGIS libraries to work in an offline mode. Furthermore, the extensive editing of the libraries to meet the off-line requirement would have eliminated most of the benefits provided by ArcGIS.

OpenLayers offered the best solution for on and offline operation. OpenLayers is an open-source JavaScript mapping library and provides basic web and GIS functionality. OpenLayers offered more client-side vector functionality and integrated easily with JavaScript Topology Suite (JSTS), a JavaScript computational geometry library that provided the needed algorithms for polygon splitting and merging. OpenLayers was also straightforward to modify and extend due to its open source nature and no external dependencies.

ISU's CSSM paid Sweco Position AB, (business solutions company located in Sweden), to port the Polygonizer class from the Java Topology Suite (JTS) to JSTS (A Polygonizer is a tool, used in user interfaces, for creating or editing polygons by selecting or manipulating other polygons.). This allowed for portage of the split tool from a desktop spatial application into JAS-CAPI. A merge tool was written using JSTS. These tools were integrated into a toolbar on an OpenLayers map in the instrument. The map allowed a loaded segment displayed over NAIP aerial imagery to be repeatedly split into component tracts and fields. A merge tool was also developed for updating/fixing mistakes on drawing out tracts and fields.

Several additional tools were added to the OpenLayers map, including zoom tools, selection tools, and undo/redo buttons. A "Cache Imagery" button was also added to automate the image caching process so that enumerators would have imagery at the time of their interviews. The map was integrated with a tabular list of features where users could enter the tract letter, field number, and "field use" information. Later in development, a "Full Screen" feature was added to hide the feature list and maximize the display of the map. Additional features were added and refined based on feedback from field staff.

1.2 DESIGN OVERVIEW

JAS-CAPI is a web application having server side and client side components.

1.2.1 JAS-CAPI SERVER COMPONENTS

The server-side code consisted of the following components:

- a. The main web page
- b. User login credential storage and login validation
- c. The CacheManifestServlet
- d. Survey Data Storage
- e. Segment List / Sample

Index.jsp is the main page of the application. Dynamic HTML and Cascading Style Sheets (CSS) are used to show and hide the various parts of the interface without leaving the main page.

The user login credentials and the survey data are stored in a survey specific SQLite database. SQLite is a relational database management system contained in a small (~350 KB) C programming library. SQLite is also a popular choice as an embedded database for local/client storage in application software such as web browsers. Each new survey year has a separate SQLite file. The database consists of three tables which hold user authentication information, all survey data enumerators have entered for each segment, and segment status. The segment status table keeps track of which segments are checked out and by whom. Access to the database is only available when the application is online. Data are transferred automatically to the web server once a connection has been established by the client.

The segment sample is stored in a comma separated values (csv) file format on the server. This file contains the list of segments eligible for JAS-CAPI data collection along with the associated location and geometry of the segment. This list is only available to the application when it is online. Thus, segments can only be checked out or checked in when a user has a network connection.

The contents of the application cache are specified in a file called the "Cache Manifest" that is referenced from the main page of the web application. The main page references the application cache in its html element: thml manifest="cache.manifest">. The web application's core functionality is written in JavaScript that is downloaded by the browser and stored in an offline application cache. The application's cache also stores HTML files and other static resources, such as stylesheets and images that are used by the application in offline mode. The cache.manifest file is dynamically generated by the "CacheManifestServlet" from the "cache.manifest" section of the WEB-INF/Web.xml file.

1.2.2 JAS-CAPI CLIENT SIDE COMPONENTS

The client application is written in JavaScript using the following open-source libraries:

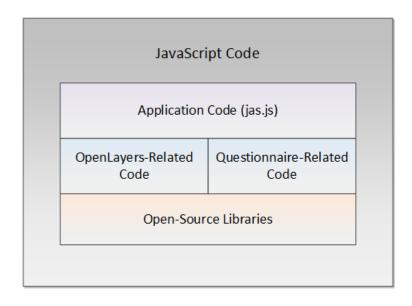
- a. jQuery a DOM selection and manipulation library
- b. json2.js a JSON parser and writer. JavaScript Object Notation is a text-based open standard designed for human readable data interchange. It is derived from the JavaScript scripting language for representing simple data structures and associative arrays, called objects.)
- c. OpenLayers a web mapping-library
- d. JSTS, the JavaScript Topology Suite, a computational geometry-library
- e. javascript.util.js a helper-library for JSTS
- f. attache.array.min.js a helper-library for JSTS on Internet Explorer X proj4js, a point projection library

All other JavaScript code was custom written specifically for JAS-CAPI or ported from other web applications and desktop survey applications. The custom client code can be described in three major divisions as shown in Figure 1.

- 1. Application Code procedural code specific to the application and not broken into classes for reuse.
- 2. Open Layers Code code tied to the OpenLayers library, written as JavaScript classes that deal with map interaction.
- 3. Questionnaire-Related Code code tied to the Section D form, written as JavaScript classes.

Figure 1: Web Application Software Components

Web App Software Components



1.2.3 APPLICATION-LEVEL CODE OVERVIEW

The application-level code in jas.js controls all interaction with the application that doesn't involve the map or the survey questions. Jas.js contains all the logic for logging in, choosing a segment, loading data, building the user interface for an open segment, saving locally and to the server, and closing the active segment. The challenging part of jas.js was developing the segment-opening code. Opening a segment is a several-step process with AJAX requests, asynchronous and callbacks. A significant amount of work was involved in constructing the data model, the OpenLayers map, and the Section D form that comprise the bulk of the application. Once the data model and the User Interface (UI) components were constructed, most of the code in use is class-based library code. The second challenging part of jas.js was programming the segment-saving code. This involved AJAX requests and asynchronous callbacks, with the additional requirement of continually retrying until changed data are all saved to the web server. Jas.js code was also utilized in developing the user interface and to hide or display UI components, and to check and modify data elements.

1.2.4 OPEN LAYERS CODE / MAP-RELATED CODE OVERVIEW

The map-related code hooks into the OpenLayers library by using its class system and interacting with its Map class and other OpenLayers types. Primarily, map-related code written for the JAS-CAPI instrument consists of additional "controls" not provided by the base library. These controls provide extra functionality to the map in the form of new tools and behind-the-scenes functionality like image caching. The two most complex controls written for the project were the SplitPolygon control and the MergePolygon control. These controls provide the polygon split and merge functionality that enumerators use to divide a segment into tracts and fields. Since OpenLayers provides minimal computational geometry code, the SplitPolygon and MergePolygon controls were developed utilizing the JSTS (the JavaScript Topology Suite).

Other controls written for JAS-CAPI include:

- CacheReadWrite a caching system that uses Web SQL Database to store WMS tiles.
- ControlMenu a subclass of OpenLayers which allows a vertical orientation with text descriptions accompanying toolbar items.
- FreezeNavigation a control that disables interactive panning and zooming while it is enabled (to implement the application's "Pause" feature).
- FullScreen a control that provides a button to switch the map to full-screen.
- TextButtonPanel a control for building palettes of text-based buttons on the map.
- AttributeTable a control that is more directly related to the Section D form.

1.2.5 QUESTIONNAIRE-RELATED (SECTION D) CODE OVERVIEW

The questionnaire-related code is written as JavaScript classes based on the OpenLayers class system and has few dependencies on OpenLayers. The only class in this collection of code that makes direct use of OpenLayers types is the AttributeTable. This table is a custom OpenLayers control that provides an interactive list of the features on the map. All other classes in this group are essentially independent of OpenLayers and have to do with the questions, their interactions, and the user-interface. Most questions in Section D are subclasses of a generic question class ported from desktop survey software. Custom validation logic is added for the specific question type. This validation logic contains the JAS business logic about crops, recorded acreages, and their interdependencies. If this survey instrument was to be generalized for use in other surveys, this business logic would have to be replaced with the business logic appropriate to each survey.

1.2.6 CLIENT-SERVER INTERACTIONS

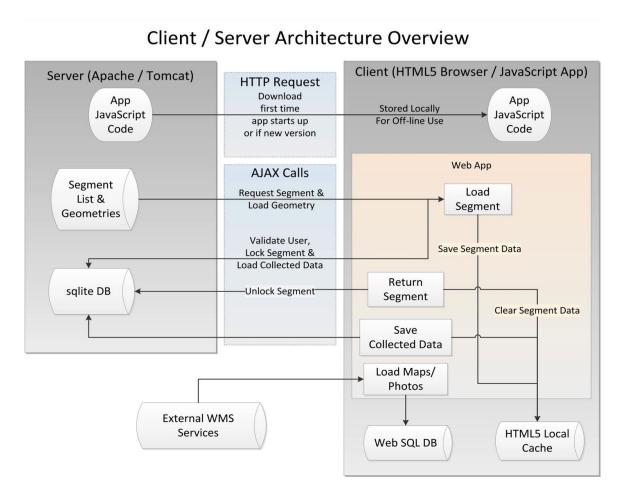
Once the JAS-CAPI web application is loaded from the server and cached in the application's cache, all communication with the server occurs via AJAX calls.

AJAX is used for:

- Logging in
- Loading the States, Counties, and Segments lists in the Segment Chooser
- Loading the data for a segment
- Marking the segment as checked out
- Storing the segment data
- Checking in the segment

AJAX calls for the state, county, and segment lists return XML; the other AJAX calls return JSON. These interactions are illustrated in Figure 2.

Figure 2: Client and Server Side Architecture



1.3. CHALLENGES, UNFORESEEN PROBLEMS AND SOLUTIONS

- 1. Substantial time was spent handling issues with HTML5 local cache size restrictions. The Safari browser, iPad's web browser, normally allows an application domain to cache no more than 5 MB of data. This was not enough space to cache images for offline use. Also, images are stored in the Web SQL database, which Safari has a 50MB limit. Hence, a compression algorithm was applied, allowing several segments to be stored for off-line enumeration.
- 2. iOS limits the amount of time a JavaScript application can use to process a request. If an application takes longer than the iOS limit, Safari will assume the application is hung and simply terminates the associated thread. If a thread is terminated then it does not complete its task and the data are left in an unknown and often broken state. The application continues to work, but data are typically corrupt. To resolve this issue, the application had to be broken down into small processing units that are guaranteed to return before the timeout expires. Given

- the process-intensive nature of the instrument's GIS processing this required extensive reorganizing of code to meet this requirement.
- 3. Caching of image tiles was initially unreliable due to a design decision in OpenLayers that introduced rounding error into the calculation of tile positions. Cached tiles could not be reliably retrieved because, after zooming and panning, the calculated URL for a tile would differ slightly from the URL of the original request, which also served as the lookup key for the tile in the cache. As a temporary solution until the OpenLayers code could be redesigned, a limit was applied to the precision of calculated tile boundaries to guarantee that calculated URLs would match.
- 4. Application loading time became an issue as new features and functionality were added. This was resolved by compressing the application code sent after the associated HTML5 request.
- 5. Significant effort was spent dealing with touch screen usability issues: (1) Ability to lay down points with accuracy and (2) Where best to "double click" to finish the split. These issues were resolved through training and the addition of controls that did not require a double click.

APPENDIX C

Pre-Survey Letter Provided to Agricultural Operator at the Time of Interview



United States Department of Agriculture National Agricultural Statistics Service



Month Day, 2012

Dear Agricultural Producer/Resident:

The National Agricultural Statistics Service is attempting to streamline the data collection process of our June Area Survey by moving to electronic data collection involving IPADs and a secure Web site. Although the time for an interview varies by farm, this is a test of a new data collection process and we anticipate it may take 30 minutes to complete. Your help in this test is greatly appreciated.

Please be assured that your responses to this test survey will be kept completely confidential, as required by federal law. Under Title 7 of the U.S. Code and CIPSEA (Public Law 107-347), facts about your operation are kept confidential and used only for statistical purposes in combination with similar reports from other producers. Response is voluntary.

Thank you in advance for your support of our programs and **STATE NAME** Agriculture. If you have any questions or concerns, please do not hesitate to contact the **STATE NAME** Field Office of the National Agricultural Statistics Service at (800) Phone Number.

Sincerely,

State Director

Burden Statement

According to the Paperwork Reduction Act of 1995, an agency may not conduct or aponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number is 0535-0548. The time required to complete this information collection is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

Fleid Office Address Telephone Number & Fax Number - www.nass.usda.gov

USDA is an equal opportunity provider and employer.

APPENDIX D

Data Collection Feedback Form (Page 1 of 1)

For	ımerator Evaluation m	State	Τ		Segr	ment		\exists	US ≥	DA	AG.		Agr Sta	tional priculti atistic	ural 8	Rm 5 S.W.	Depart 5030, S Washi ne: 1-80	South B Ington,	Bullding DC 20	j, 1400 025,0-2	Indepe			
Project	t Code: 504		0(00_				_][c ₀	II N'S	Ser	rvice			ne: 1-60 III: <u>nass</u>				:U2-05i	F2090		_
		ľ										TF	RACT	Lett	er									_
Item	Description		Α	В	С	D	Е	F	G	н	1	J	к	L	М	N	0	Р	Q	R	s	Т	U	v
									Er	iter:	1-	Yes	, 3-1	Vo,	2-5	ome	tim	es						
101	Problems with Aerial Imagery (Zooming, Splitting, Functional				Г								П											
201	Any Problems with Section D Experienced (Navigation, Que Drop Downs, etc.)																							
301	Connectivity Problems (3G/40	3)																						
302	Screen Visibility Problems (glasunlight, etc.)	are,																						
303	Battery Life Problems																							
								Ent	ter:	1-N	lorn	ing	, 2-/	Afte	rno	on,	3-E	ven	ing					
401	Time of Day that the Interview Conducted	/ was																						
								I	Ente	er: 1	1-In	doo	rs, 2	2-0	utsi	de,	3-0	the	r					
402	Where was the Interview Con	ducted				Г							П										П	
							Ent	ter:	1-E	nth	usia	astic	c, 2-	Am	biv	alen	ıt, 3-	-Rei	luct	ant				
403	Respondent's Receptiveness Technology in Capturing Date																							
	Enter: 1-Shorter by at le	east 10 m	nin.,	2- SI	horte	er by	1-9	min.,	3-1	Vo Di	ffere	nce,	4- L0	onge	r by	1-91	min.,	5-L	onge	er by	10 n	nin o	or mo	ore

Data Collection Feedback Form (Page 2 of 2)

		100
GIS/Aerial Imagery: Also, if Item 101 is" Yes" or "S	ometimes", please comment and include tract letter where applicable.	
Section D Form Comments: Also, if Item 201 is" Ye	es" or "Sometimes", please comment and include tract letter where applicable.	200
		300
iPAD Specific Comments: Also, if any of items 301	-303 are " Yes" or "Sometimes", please comment and include tract letter where applicable.	300
Control Community Delation to House 404 404 D	Consider Dunder Training or Austrian also	400
General Comments: Relating to Items 401-404, Re	espondent Burden, Training, or Anything else.	400
General Comments: Relating to Items 401-404, Re	espondent Burden, Training, or Anything else.	400
General Comments: Relating to Items 401-404, Re	espondent Burden, Training, or Anything else.	400
General Comments: Relating to Items 401-404, Re	espondent Burden, Training, or Anything else.	400
General Comments: Relating to Items 401-404, Re	espondent Burden, Training, or Anything else.	400
General Comments: Relating to Items 401-404, Re	espondent Burden, Training, or Anything else.	400
General Comments: Relating to Items 401-404, Re		400
General Comments: Relating to Items 401-404, Re	espondent Burden, Training, or Anything else. 501 502 MM DD YY	400