

**APPENDIX 2:**

**Negotiated Rate Machine-Readable File  
Data Elements**

The Departments of the Treasury, Labor, and Health and Human Services (the Departments) have proposed requiring group health plans and health insurance issuers (plans and issuers) in the individual and group markets to disclose certain cost-sharing information. Under the proposed rules, a plan or issuer must disclose in-network provider negotiated rates through a machine-readable file posted on an internet website. The “negotiated rate” is the amount a plan or issuer (or a third party on behalf of the plan or issuer) has contractually agreed to pay an in-network provider for covered items and services. The table below identifies proposed data elements that a plan or issuer would be required to include in each negotiated rate machine-readable file.

DATA ELEMENT	DESCRIPTION
<b>General Information</b>	
Name of Reporting Entity <sup>1</sup>	The legal name of the entity publishing the machine-readable file.
Type of Entity	The type of entity that is publishing the machine-readable file (a group health plan, health insurance issuer, or a third party with which the plan or issuer has contracted to provide the required information, such as a third-party administrator, a health care claims clearinghouse, or a health insurance issuer that has contracted with a group health plan sponsor).
Date of Last File Update	The date on which the machine-readable file was last updated.
<b>Identification of Plan or Coverage</b>	
Plan or Coverage Name	The plan name and name of plan sponsor and/or insurance company (for example, “Maximum Health Plan: Alpha Insurance Group”).
Plan Identifier	The Employer Identification Number (EIN) or the Health Insurance Oversight System Identification Number (HIOS ID), as applicable, for each plan option or coverage offered by a group health plan or health insurance issuer.
Type of Plan Identifier	The EIN or HIOS ID, as applicable.

<sup>1</sup>A plan or issuer may contract with a third party (such as a third party administrator, a health care claims clearinghouse, or a health insurance issuer that has contracted with a group health plan sponsor) to satisfy the disclosure requirements, subject to the requirements in the proposed rules.

DATA ELEMENT	DESCRIPTION
Type of Plan Market	The type of market in which the plan is offered (individual or group market coverage).
Type of Product Network	The particular type of product network (for example, health maintenance organization, preferred provider organization, exclusive provider organization, or point of service) through which a group health plan or health insurance issuer offers a discrete package of health coverage.
Network Name	The name of the network through which a group health plan or health insurance issuer offers a discrete package of health coverage.
<b>Identification of Providers</b>	
Provider Name	The legal name of the person or entity associated with a National Provider Identifier (NPI).
NPI	The unique 10-digit identification number issued to a provider by the Centers for Medicare & Medicaid Services.
Provider Zip Code	The postal ZIP code of the physical location where the servicing provider renders items or services or dispenses prescriptions. May include non-U.S. ZIP codes. For U.S. ZIP codes, the ZIP+4 (also referred to as the "plus-four" or "add-on" code) must be included. A complete record of each of the data elements listed in this table must be separately reported for each separate physical location of a provider.
<b>Negotiated Rates</b>	
Negotiated Rate for each Covered Item or Service	The negotiated rate, reflected as a dollar amount, for each covered item or service under the plan or coverage that is furnished by an in-network provider. If a plan or issuer reimburses a provider for an item or service based on a formula or reference-based pricing (such as a percentage of a Medicare reimbursement rate), the plan or issuer must provide the calculated dollar amount of the negotiated rate for each provider.
Contract Term for Negotiated Rate	The last date of the contract term for each provider-specific negotiated rate that applies to each covered item or service, including rates for both individual items and services and items and services in a bundled payment arrangement.
<b>Identification of Items and Services</b>	

DATA ELEMENT	DESCRIPTION
Billing Code	The code used by a group health plan or health insurance issuer or its in-network providers to identify health care items or services for purposes of billing, adjudicating, and paying claims for a covered item or service.
Type of Billing Code	The types of billing codes include the Current Procedural Terminology (CPT) code, Healthcare Common Procedure Coding System (HCPCS) code, Diagnosis-Related Group (DRG) code, National Drug Code (NDC), or other common payer identifier.
Billing Code Type Version	Any version designation associated with the billing code type. For example, Medicare is currently using the International Classification of Diseases (ICD) version 10.
Bundle Indicator	Indication as to whether the billing code applies to a bundle of items and services under a bundled payment arrangement or whether the billing code only applies to a singular item or service.
Covered Items and Services	The name of each item or service for which the costs are payable, in whole or in part, under the terms of the group health plan or health insurance coverage.

**Paperwork Reduction Act Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The Departments are seeking OMB approval for the model as part of the approval for a new OMB control number 0938-NEW. The time required to complete this information collection is estimated to average 1,190 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.