|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| SCHEDULE C(Form 5500) Department of the Treasury  Internal Revenue Service  Department of Labor Employee Benefits Security Administration  Pension Benefit Guaranty Corporation | Service Provider Information  This schedule is required to be filed under section 104 of the Employee Retirement Income Security Act of 1974 (ERISA).  ⏵ File as an attachment to Form 5500. | | OMB No. 1210-0110  2020  This Form is Open to Public Inspection. | |
| For calendar plan year 2020 or fiscal plan year beginning and ending | | | | | |
| A Name of plan  ABCDEFGHI | | | B Three-digit plan number (PN) ⏵ | | 001 |
|  | | |
| C Plan sponsor’s name as shown on line 2a of Form 5500 ABCDEFGHI | | | D Employer Identification Number (EIN) 012345678 | | |

|  |  |  |
| --- | --- | --- |
| Part I | Service Provider Information (see instructions) | |
| You must complete this Part, in accordance with the instructions, to report the information required for **each person** who received, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of monetary value) in connection with services rendered to the plan or the person's position with the plan during the plan year. If a person received **only** eligible indirect compensation for which the plan received the required disclosures, you are required to answer line 1 but are not required to include that person when completing the remainder of this Part. | | |
| 1 Information on Persons Receiving Only Eligible Indirect Compensation  a Check "Yes" or "No" to indicate whether you are excluding a person from the remainder of this Part because they received only eligible  indirect compensation for which the plan received the required disclosures (see instructions for definitions and conditions).. . . . . . . . . . . . . . . X Yes X No  b If you answered line 1a “Yes,” enter the name and EIN or address of each person providing the required disclosures for the service providers who  received only eligible indirect compensation. Complete as many entries as needed (see instructions). | | |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation | | |
|  | | |
|  | | |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation | | |
|  | | |
|  | | |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation | | |
|  | | |
|  | | |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation | | |
|  | | |
| For Paperwork Reduction Act Notice, see the Instructions for Form 5500. | | Schedule C (Form 5500) 2020  v. **191206** |

|  |
| --- |
|  |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|  |
|  |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|  |
|  |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|  |
|  |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|  |
|  |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|  |
|  |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|  |
|  |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|  |
|  |
| (b) Enter name and EIN or address of person who provided you disclosures on eligible indirect compensation |
|  |

|  |
| --- |
|  |
| 2. Information on Other Service Providers Receiving Direct or Indirect Compensation. Except for those persons for whom you answered “Yes” to line 1a above, complete as many entries as needed to list each person receiving, directly or indirectly, $5,000 or more in total compensation (i.e., money or anything else of value) in connection with services rendered to the plan or their position with the plan during the plan year. (See instructions). |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| (a) Enter name and EIN or address (see instructions) | | | | | | |
|  | | | | | | |
| (b)  Service Code(s) | (c)  Relationship to employer, employee organization, or person known to be a party-in-interest | (d)  Enter direct compensation paid by the plan. If none, enter -0-. | (e)  Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)  Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)  Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered “Yes” to element (f). If none, enter -0-. | (h)  Did the service provider give you a formula instead of an amount or estimated amount? |
|  | ABCDEFGHI  ABCDEFGHI  ABCD | 123456789012345 | Yes X No X | Yes X No X | 123456789012345 | Yes X No X |
|  | | | | | | |
| (a) Enter name and EIN or address (see instructions) | | | | | | |
|  | | | | | | |
| (b)  Service Code(s) | (c)  Relationship to employer, employee organization, or person known to be a party-in-interest | (d)  Enter direct compensation paid by the plan. If none, enter -0-. | (e)  Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)  Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)  Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered “Yes” to element (f). If none, enter -0-. | (h)  Did the service provider give you a formula instead of an amount or estimated amount? |
|  | ABCDEFGHI  ABCDEFGHI  ABCD | 123456789012345 | Yes X No X | Yes X No X | 123456789012345 | Yes X No X |
|  | | | | | | |
| (a) Enter name and EIN or address (see instructions) | | | | | | |
|  | | | | | | |
| (b)  Service Code(s) | (c)  Relationship to employer, employee organization, or person known to be a party-in-interest | (d)  Enter direct compensation paid by the plan. If none, enter -0-. | (e)  Did service provider receive indirect compensation? (sources other than plan or plan sponsor) | (f)  Did indirect compensation include eligible indirect compensation, for which the plan received the required disclosures? | (g)  Enter total indirect compensation received by service provider excluding eligible indirect compensation for which you answered “Yes” to element (f). If none, enter -0-. | (h)  Did the service provider give you a formula instead of an amount or estimated amount? |
|  | ABCDEFGHI  ABCDEFGHI  ABCD | 123456789012345 | Yes X No X | Yes X No X |  | Yes X No X |

|  |  |  |  |
| --- | --- | --- | --- |
| Part I | Service Provider Information (continued) | | |
| 3. If you reported on line 2 receipt of indirect compensation, other than eligible indirect compensation, by a service provider, and the service provider is a fiduciary or provides contract administrator, consulting, custodial, investment advisory, investment management, broker, or recordkeeping services, answer the following questions for (a) each source from whom the service provider received $1,000 or more in indirect compensation and (b) each source for whom the service provider gave you a formula used to determine the indirect compensation instead of an amount or estimated amount of the indirect compensation. Complete as many entries as needed to report the required information for each source. | | | |
| (a) Enter service provider name as it appears on line 2 | | (b) Service Codes  (see instructions) | (c) Enter amount of indirect compensation |
|  | |  |  |
| (d) Enter name and EIN (address) of source of indirect compensation | | (e) Describe the indirect compensation, including any formula used to determine the service provider’s eligibility for or the amount of the indirect compensation. | |
|  | |  | |
|  | | | |
| (a) Enter service provider name as it appears on line 2 | | (b) Service Codes  (see instructions) | (c) Enter amount of indirect compensation |
|  | |  |  |
| (d) Enter name and EIN (address) of source of indirect compensation | | (e) Describe the indirect compensation, including any formula used to determine the service provider’s eligibility for or the amount of the indirect compensation. | |
|  | |  | |
|  | | | |
| (a) Enter service provider name as it appears on line 2 | | (b) Service Codes  (see instructions) | (c) Enter amount of indirect compensation |
|  | |  |  |
| (d) Enter name and EIN (address) of source of indirect compensation | | (e) Describe the indirect compensation, including any formula used to determine the service provider’s eligibility for or the amount of the indirect compensation. | |
|  | |  | |
|  | |  | |

|  |  |  |  |
| --- | --- | --- | --- |
| Part II | Service Providers Who Fail or Refuse to Provide Information | | |
| 4 Provide, to the extent possible, the following information for each service provider who failed or refused to provide the information necessary to complete this Schedule. | | | |
| (a) Enter name and EIN or address of service provider (see instructions) | | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | | 10 11 12 13 | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  1234567890 | |
|  | | | |
| (a) Enter name and EIN or address of service provider (see instructions) | | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | | 10 11 12 13 | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  1234567890 | |
|  | | | |
| (a) Enter name and EIN or address of service provider (see instructions) | | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | | 10 11 12 13 | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  1234567890 | |
|  | | | |
| (a) Enter name and EIN or address of service provider (see instructions) | | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | | 10 11 12 13 | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  1234567890 | |
|  | | | |
| (a) Enter name and EIN or address of service provider (see instructions) | | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | | 10 11 12 13 | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  1234567890 | |
|  | | | |
| (a) Enter name and EIN or address of service provider (see instructions) | | (b) Nature of Service Code(s) | (c) Describe the information that the service provider failed or refused to provide |
| ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  1234567890 | |  | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDE |
|  | |  |  |

|  |  |
| --- | --- |
| Part III | Termination Information on Accountants and Enrolled Actuaries (see instructions)  (complete as many entries as needed) |

|  |  |  |  |
| --- | --- | --- | --- |
| a Name: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | b EIN: | 123456789 |
| c Position: | ABCDEFGHI ABCDEFGHI ABCD |  | |
| d Address: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | e Telephone: | 1234567890 |
|  | |
| Explanation: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI | | |
|  | | | |
| a Name: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | b EIN: | 123456789 |
| c Position: | ABCDEFGHI ABCDEFGHI ABCD |  | |
| d Address: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | e Telephone: | 1234567890 |
|  | |
| Explanation: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI | | |
|  | | | |
| a Name: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | b EIN: | 123456789 |
| c Position: | ABCDEFGHI ABCDEFGHI ABCD |  | |
| d Address: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | e Telephone: | 1234567890 |
|  | |
| Explanation: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI | | |
|  | | | |
| a Name: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | b EIN: | 123456789 |
| c Position: | ABCDEFGHI ABCDEFGHI ABCD |  | |
| d Address: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | e Telephone: | 1234567890 |
|  | |
| Explanation: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI | | |
|  | | | |
| a Name: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | b EIN: | 123456789 |
| c Position: | ABCDEFGHI ABCDEFGHI ABCD |  | |
| d Address: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD  ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCD | e Telephone: | 1234567890 |
|  | |
| Explanation: | ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI ABCDEFGHI | | |