

Guaranty Agency Servicer Compliance Audit Information Page:

1. Indicate the Period Audited (mm/dd/yyyy).
2. Review your auditor information. *Users will enter information regarding auditor firm/name of auditor for audit being submitted.*

Enter Auditor's TIN:

- **Auditor's Name*:**
- **Audit Firm Name*:**
- **Audit Firm Address*:** (Address 1, Address 2, City, State, Country)
- **Phone #*:**
- **Fax # (Optional):**
- **Email*:**

3. Does this Compliance audit contain any findings related to the Federal Family Education Loan Program (FELP)?

Completeness Checklist Page:

1. List of items required based to be included in audit.
2. Contact Information/Additional Information:

Please let us know who to contact with questions regarding this submission?

President CEO/Contact:

Name: **Email:** **Phone:**

Firm and CPA Contact:

Firm Name: **Auditor Name:** **Email:** **Phone:**

Enter any additional notes:

Upload Attachments Page: *User will attach required documents as required by submission:*

Compliance Audit
Corrective Action Plan (if required)
Other (Optional)

Submit Page – Submit to ED.