Lender Servicer Compliance Audit Information Pages

OIG Audit Guide: Determined based on indication of type of audit being submitted.

Compliance Audit Information Page:

- 1. Does your audit cover multiple lenders? Yes or No. *If yes, the user will enter the applicable lenders covered in a table.*
- 2. Indicate the Period Audited (mm/dd/yyyy).
- 3. Review your auditor information. *Users will enter information regarding auditor firm/name of auditor for audit being submitted.*

Enter A	\uditor'	's TIN:
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- o Auditor's Name*:
- O Audit Firm Name*:
- Audit Firm Address*: (Address 1, Address 2, City, State, Country)
- o Phone #*:
- o Fax # (Optional):
- o Email*:
- 4. Does this Compliance audit contain any findings related to the Federal Family Education Loan Program (FELP)?

Completeness Checklist Page:

- 1. List of items required to be included in audit.
- 2. Contact Information/Additional Information:

Please let us know who to contact with questions regarding this submission?

President CEO/Contact:

name:	Email:	Pnone:	
Firm and CPA Contact:			
Firm Name:	Auditor Name:	Email:	Phone:
Enter any additional notes:			

Upload Attachments Page: *User will attach required documents as required by submission*:

Com	npliance Audit
Corr	rective Action Plan (if required)
Oth	er (Optional)

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Submit Page – Submit to ED.

Uniform Guidance: Determined based on indication of type of audit being submitted.

Compliance Audit Information Page:

- 1. Is this submission covering multiple lenders? Yes or No. *If yes, user will enter the applicable lenders covered in a table.*
- 2. Indicate the Period Audited (mm/dd/yyyy).
- 3. Review your auditor information. *Users will enter information regarding auditor firm/name of auditor for audit being submitted.*

Enter Auditor's TIN:

- O Auditor's Name*:
- Audit Firm Name*:
- Audit Firm Address*: (Address 1, Address 2, City, State, Country)
- O Phone #*:
- o Fax # (Optional):
- Email*:
- 4. Was the Student Federal Family Education Loan Program (FELP) audited as the major program?
- 5. Does this A-133 report contain any findings related to the Federal Family Education Loan Program FELP?
- 6. Opinion Type. User will select Opinion type from list.

Completeness Checklist Page:

- 1. List of items required to be included in audit.
- 2. Contact Information/Additional Information:

Please let us know who to contact with questions regarding this submission?

President CEO/Contact: Name: Email: Phone:

Firm and CPA Contact: Firm Name: Auditor Name: Email: Phone:

Enter any additional notes:

Upload Attachments Page: *User will attach required documents as required by submission:*

Compliance Audit
Corrective Action Plan (if required)
Other (Optional)

Submit Page – Submit to ED.