

Lender Servicer Compliance Audit Information Pages

OIG Audit Guide: Determined based on indication of type of audit being submitted.

Compliance Audit Information Page:

1. Does your audit cover multiple lenders? Yes or No. *If yes, the user will enter the applicable lenders covered in a table.*
2. Indicate the Period Audited (mm/dd/yyyy).
3. Review your auditor information. *Users will enter information regarding auditor firm/name of auditor for audit being submitted.*

Enter Auditor's TIN:

- Auditor's Name*:**
- Audit Firm Name*:**
- Audit Firm Address*:** (Address 1, Address 2, City, State, Country)
- Phone #*:**
- Fax # (Optional):**
- Email*:**

4. Does this Compliance audit contain any findings related to the Federal Family Education Loan Program (FELP)?

Completeness Checklist Page:

1. List of items required to be included in audit.
2. Contact Information/Additional Information:

Please let us know who to contact with questions regarding this submission?

President CEO/Contact:

Name: **Email:** **Phone:**

Firm and CPA Contact:

Firm Name: **Auditor Name:** **Email:** **Phone:**

Enter any additional notes:

Upload Attachments Page: *User will attach required documents as required by submission:*

| |
|--------------------------------------|
| Compliance Audit |
| Corrective Action Plan (if required) |
| Other (Optional) |

Submit Page – Submit to ED.

Uniform Guidance: Determined based on indication of type of audit being submitted.

Compliance Audit Information Page:

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2. Indicate the Period Audited (mm/dd/yyyy).
3. Review your auditor information. *Users will enter information regarding auditor firm/name of auditor for audit being submitted.*

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- **Auditor's Name*:**
- **Audit Firm Name*:**
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- **Phone #*:**
- **Fax # (Optional):**
- **Email*:**

4. Was the Student Federal Family Education Loan Program (FELP) audited as the major program?
5. Does this A-133 report contain any findings related to the Federal Family Education Loan Program FELP?
6. Opinion Type. *User will select Opinion type from list.*

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