|  |  |
| --- | --- |
| DOT | FTA |

|  |  |
| --- | --- |
| **U.S. Department of Transportation** | **Federal Transit Administration** |

|  |  |  |
| --- | --- | --- |
|  | **Award** |  |

|  |  |
| --- | --- |
| **Federal Award Identification Number (FAIN)** | CA-2016-057-00 |
| **Temporary Application Number** | 2584-2016-4 |
| **Award Name** | FY15 5307 Formula Grant |
| **Award Status** | Active (Executed) |
| **Award Budget Number** | 0 |

**Part 1: Recipient Information**

|  |
| --- |
| **Name: Central Contra Costa Transit Authority** |
| |  |  |  |  | | --- | --- | --- | --- | | **Recipient ID** | **Recipient OST Type** | **Recipient Alias** | **Recipient DUNS** | | 2584 | Transit Authority | CENTRAL CONTRA COSTA TRANSIT AUTHORITY | 019569128 |  |  |  |  |  |  | | --- | --- | --- | --- | --- | | **Location Type** | **Address** | **City** | **State** | **Zip** | | Headquarters |  |  |  |  | | Physical Address | 2477 ARNOLD INDUSTRIAL WAY | CONCORD | CA | 94520 | | Mailing Address | 2477 ARNOLD INDUSTRIAL WAY | CONCORD | CA | 94520 | |

**Union Information**

|  |  |
| --- | --- |
| **Union Name** | **AMALGAMATED TRANSIT UNION** |
| Address 1 | 5025 Wisconsin Ave, N.W. |
| Address 2 |  |
| City | Washington |
| State | District of Columbia |
| Zipcode | 20016 |
| Contact Name | Leo E. Wetzel |
| Telephone | 202-537-1645 |
| Fax | 202-244-7824 |
| E-mail | lw@atu.org |
| Website |  |

|  |  |
| --- | --- |
| **Union Name** | **INTERNATIONAL ASSOCIATION OF MACHINISTS AND AEROSPACE WORKERS** |
| Address 1 | 1300 Connecticut Avenue, N.W. |
| Address 2 |  |
| City | Washington |
| State | District of Columbia |
| Zipcode | 20036 |
| Contact Name | R. Thomas Buffenbarger |
| Telephone | 301-967-4500 |
| Fax | 3019674594 |
| E-mail | websteward@goiam.org |
| Website | www.iamaw.org |

|  |  |
| --- | --- |
| **Union Name** | **INTERNATIONAL BROTHERHOOD OF TEAMSTERS** |
| Address 1 | 25 Louisiana Avenue, N.W. |
| Address 2 |  |
| City | Washington |
| State | District of Columbia |
| Zipcode | 20001 |
| Contact Name | James P. Hoffa |
| Telephone | 2026248950 |
| Fax | 2026246918 |
| E-mail |  |
| Website | www.teamsters.org |

**Part 2: Award Information**

|  |
| --- |
| **Title: FY15 5307 Formula Grant** |
| |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | **FAIN** | **Award Status** | **Award Type** | **Date Created** | **Last Updated Date** | **From TEAM?** | | CA-2016-057-00 | Active (Executed) | Grant | 6/14/2016 | 6/14/2016 | No | |
| **Award Executive Summary**  Application Executive Summary CCCTA FY15 5307 Formula Grant   This application requests $11,397,151 in FY 2015 Section 5307 funds. Funds will go toward fixed-route vehicle replacement and paratransit van replacement.   CCCTA - Replace (18) 30' Buses  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150006  FTA 5307: $5,995,811 Local Match: $1,498,953  CCCTA - Replace (13) 35' Buses  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150007  FTA 5307: $5,106,140  Local Match: $1,276,535  CCCTA - Replace (3) Paratransit Vans  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150008  FTA 5307: $295,200  Local Match: $73,80 |
| **Delinquent Federal Debt**  No, my organization does not have delinquent federal debt. |
| **Requires E.O. 12372 Review**  No, this application does not require E.O. 12372 Review. |
| **Subject to Pre-Award Authority**  Yes, this application is subject to Pre-Award Authority. |
| **Will this Grant be using Lapsing Funds?**  No, this Grant does not use Lapsing Funds. |
| **Frequency of Milestone Progress Reports (MPR)**  Quarterly |
| **Frequency of Federal Financial Reports (FFR)**  Quarterly |

**Award Point of Contact Information**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **First Name** | **Last Name** | **Title** | **E-mail Address** | **Phone** |
| Jeffrey | Davis |  | Jeffrey.S.Davis@dot.gov | 415-734-9456 |
| Lucinda | Eagle |  | Lucinda.Eagle@dot.gov | (415) 734-9457 |
|  | shari@mtc.ca.gov | Transit Capital Priorities Manager |  |  |

**Award Budget Control Totals**

|  |  |  |  |
| --- | --- | --- | --- |
| **Funding Source** | **Section of Statute** | **CFDA Number** | **Amount** |
| 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward) | 5307-2A | 20507 | $11,397,151 |
| Local |  |  | $2,849,288 |
| Local/In-Kind |  |  | $0 |
| State |  |  | $0 |
| State/In-Kind |  |  | $0 |
| Other Federal |  |  | $0 |
| Transportation Development Credit |  |  | $0 |
| **Total Eligible Cost** | | | **$14,246,439** |

**Award Budget**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Project Number** | **Budget Item** | | | **FTA Amount** | **Non-FTA Amount** | **Total Eligible Amount** | **Quantity** |
| CA-2016-057-01-00 | 111-00 (111-A1) | BUS - ROLLING STOCK | | $11,397,151.00 | $2,849,288.00 | $14,246,439.00 | 34 |
| CA-2016-057-01-00 |  | 11.12.02 | BUY REPLACEMENT 35-FT BUS | $5,106,140.00 | $1,276,535.00 | $6,382,675.00 | 13 |
| CA-2016-057-01-00 |  | 11.12.03 | BUY REPLACEMENT 30-FT BUS | $5,995,811.00 | $1,498,953.00 | $7,494,764.00 | 18 |
| CA-2016-057-01-00 |  | 11.12.04 | BUY REPLACEMENT | $295,200.00 | $73,800.00 | $369,000.00 | 3 |

**Earmark and Discretionary Allocations**

This application does not contain earmarks or discretionary allocations.

**Sources of Federal Financial Assistance**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **PO Number** | **Project Number** | **Scope Name** | **Scope Number** | **Scope Suffix** | **UZA Code** | **Area Name** | **Account Class Code** | **FPC** | **Description** | **Amendment Amount** | **Cumulative Amount** |
| CA-90-Z308 | CA-2016-057-01-00 | BUS - ROLLING STOCK | 111-00 (111) | A1 | 060650 | Concord, CA | 2015.25.90.91.2 | 00 | Urbanized Area Formula Grants | $11,397,151 | $11,397,151 |

**Part 3: Project Information**

|  |
| --- |
| **Project Title: FY15 5307 Formula Grant** |
| |  |  |  |  |  | | --- | --- | --- | --- | --- | | **Project Number** | **Temporary Project Number** | **Date Created** | **Start Date** | **End Date** | | CA-2016-057-01-00 | 2584-2016-4-P1 | 6/14/2016 | 5/31/2016 | 6/29/2017 | |
| **Project Description**  This application requests $11,397,151 in FY 2015 Section 5307 funds. Funds will go toward fixed-route vehicle replacement and paratransit van replacement.  CCCTA - Replace (18) 30' Buses  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150006  FTA 5307: $5,995,811 Local Match: $1,498,953  CCCTA - Replace (13) 35' Buses  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150007  FTA 5307: $5,106,140  Local Match: $1,276,535  CCCTA - Replace (3) Paratransit Vans  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150008  FTA 5307: $295,200  Local Match: $73,800 |
| **Project Benefits**  See Application Executive Summary |
| **Additional Information**  *None provided.* |
| **Location Description**  See Application Executive Summary |
| **Security**  Yes -- our organization will expend at least 1% of the 5307 funds in this application for security-related projects |

**Project Location (Urbanized Areas)**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| |  |  | | --- | --- | | **UZA Code** | **Area Name** | | 062650 | Antioch, CA | |

**Congressional District Information**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| |  |  |  | | --- | --- | --- | | **State** | **District** | **Representative** | | California | 7 | Ami Bera | | California | 10 | Jeff Denham | | California | 12 | Nancy Pelosi | |

**Project Control Totals**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| |  |  |  |  | | --- | --- | --- | --- | | **Funding Source** | **Section of Statute** | **CFDA Number** | **Amount** | | 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward) | 5307-2A | 20507 | $11,397,151 | | Local |  |  | $2,849,288 | | Local/In-Kind |  |  | $0 | | State |  |  | $0 | | State/In-Kind |  |  | $0 | | Other Federal |  |  | $0 | | Transportation Development Credit |  |  | $0 | | **Total Eligible Cost** | | | **$14,246,439** | |

**Project Budget**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | | **Project Number** | **Budget Item** | | | **FTA Amount** | **Non-FTA Amount** | **Total Eligible Amount** | **Quantity** | | CA-2016-057-01-00 | 111-00 (111-A1) | BUS - ROLLING STOCK | | $11,397,151.00 | $2,849,288.00 | $14,246,439.00 | 34 | | CA-2016-057-01-00 |  | 11.12.02 | BUY REPLACEMENT 35-FT BUS | $5,106,140.00 | $1,276,535.00 | $6,382,675.00 | 13 | | CA-2016-057-01-00 |  | 11.12.03 | BUY REPLACEMENT 30-FT BUS | $5,995,811.00 | $1,498,953.00 | $7,494,764.00 | 18 | | CA-2016-057-01-00 |  | 11.12.04 | BUY REPLACEMENT | $295,200.00 | $73,800.00 | $369,000.00 | 3 | |

**Project Budget Activity Line Items**

|  |
| --- |
| **Budget Activity Line Item: 11.12.02 - BUY REPLACEMENT 35-FT BUS** |
| |  |  |  |  |  | | --- | --- | --- | --- | --- | | **Scope Name / Code** | **Line Item #** | **Custom Item Name** | **Activity** | **Quantity** | | BUS - ROLLING STOCK (111-00) | 11.12.02 | BUY REPLACEMENT 35-FT BUS | BUY REPLACEMENTS - CAPITOL BUS | 13 | |
| **Extended Budget Description**  CCCTA will replace (13) 35' heavy duty over the road buses that have reached the end of their useful life.  CCCTA - Replace (13) 35' Buses  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150007  FTA 5307: $5,106,140  Local Match: $1,276,535  Vehicle ID: 400 VIN: 15GGB271021073328 Mileage: 403,851 Vehicle Year: 2002  Vehicle ID: 401 VIN: 15GGB271221073329 Mileage: 422,255 Vehicle Year: 2002  Vehicle ID: 402 VIN: 15GGB271921073330 Mileage: 414,848 Vehicle Year: 2002  Vehicle ID: 403 VIN: 15GGB271021073331 Mileage: 434,781 Vehicle Year: 2002  Vehicle ID: 404 VIN: 15GGB271221073332 Mileage: 433,870 Vehicle Year: 2002  Vehicle ID: 405 VIN: 15GGB271421073333 Mileage: 441,031 Vehicle Year: 2002  Vehicle ID: 406 VIN: 15GGB271621073334 Mileage: 455,696 Vehicle Year: 2002  Vehicle ID: 407 VIN: 15GGB271821073335 Mileage: 432,918 Vehicle Year: 2002  Vehicle ID: 408 VIN: 15GGB271X21073336 Mileage: 440,631 Vehicle Year: 2002  Vehicle ID: 409 VIN: 15GGB271121073337 Mileage: 428,081 Vehicle Year: 2002  Vehicle ID: 410 VIN: 15GGB271321073338 Mileage: 446,082 Vehicle Year: 2002  Vehicle ID: 411 VIN: 15GGB271521073339 Mileage: 440,327 Vehicle Year: 2002  Vehicle ID: 412 VIN: 15GGB271121073340 Mileage: 468,678 Vehicle Year: 2002 |
| **Will 3rd Party contractors be used to fulfill this activity line item?**  No, 3rd Party Contractors will not be used for this line item. |
| |  |  |  |  | | --- | --- | --- | --- | | **Propulsion** | **Fuel Type** | **Vehicle Condition** | **Vehicle Size (ft.)** | | N/A | N/A | N/A |  | |
| |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | **Funding Source** | **Section of Statute** | **CFDA Number** | **Amount** | | 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward) | 5307-2A | 20507 | $5,106,140 | | Local |  |  | $1,276,535 | | Local/In-Kind |  |  | $0 | | State |  |  | $0 | | State/In-Kind |  |  | $0 | | Other Federal |  |  | $0 | | Transportation Development Credit |  |  | $0 | | **Total Eligible Cost** | | | **$6,382,675** | | |
| |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **Milestone Name** | **Est. Completion Date** | **Description** | | RFP/IFB Issue Date | 6/1/2016 |  | | Contract Award Date | 6/30/2016 |  | | First Vehicle Delivery Date | 5/20/2017 |  | | All Vehicles Delivery Date | 5/31/2017 |  | | Contract Completion Date | 6/30/2017 |  | | |

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| --- |
| **Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT** |
| |  |  |  |  |  | | --- | --- | --- | --- | --- | | **Scope Name / Code** | **Line Item #** | **Custom Item Name** | **Activity** | **Quantity** | | BUS - ROLLING STOCK (111-00) | 11.12.04 | BUY REPLACEMENT | BUY REPLACEMENTS - CAPITOL BUS | 3 | |
| **Extended Budget Description**  CCCTA will replace (3) Paratransit Vans that have reached the end of their useful life.  CCCTA - Replace (3) Paratransit Vans  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150008  FTA 5307: $295,200  Local Match: $73,800 |
| **Will 3rd Party contractors be used to fulfill this activity line item?**  No, 3rd Party Contractors will not be used for this line item. |
| |  |  |  |  | | --- | --- | --- | --- | | **Propulsion** | **Fuel Type** | **Vehicle Condition** | **Vehicle Size (ft.)** | | N/A | N/A | N/A |  | |
| |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | **Funding Source** | **Section of Statute** | **CFDA Number** | **Amount** | | 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward) | 5307-2A | 20507 | $295,200 | | Local |  |  | $73,800 | | Local/In-Kind |  |  | $0 | | State |  |  | $0 | | State/In-Kind |  |  | $0 | | Other Federal |  |  | $0 | | Transportation Development Credit |  |  | $0 | | **Total Eligible Cost** | | | **$369,000** | | |
| |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **Milestone Name** | **Est. Completion Date** | **Description** | | RFP/IFB Issue Date | 6/1/2016 |  | | Contract Award Date | 6/30/2016 |  | | First Vehicle Delivery Date | 8/31/2016 |  | | All Vehicles Delivery Date | 9/1/2016 |  | | Contract Completion Date | 9/30/2016 |  | | |

|  |
| --- |
| **Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS** |
| |  |  |  |  |  | | --- | --- | --- | --- | --- | | **Scope Name / Code** | **Line Item #** | **Custom Item Name** | **Activity** | **Quantity** | | BUS - ROLLING STOCK (111-00) | 11.12.03 | BUY REPLACEMENT 30-FT BUS | BUY REPLACEMENTS - CAPITOL BUS | 18 | |
| **Extended Budget Description**  CCCTA will replace (18) 30' heavy duty over the road buses that have reached the end of their useful life.  CCCTA - Replace (18) 30' Buses  Program Year: 2015  Apportionment Year: 2015  TIP ID: CC-150006  FTA 5307: $5,995,811 Local Match: $1,498,953  Vehicle ID: 300 VIN: 15GGE271021090556 Mileage: 399,502 Vehicle Year: 2002  Vehicle ID: 301 VIN: 15GGE271221090557 Mileage: 379,034 Vehicle Year: 2002  Vehicle ID: 302 VIN: 15GGE271421090558 Mileage: 400,276 Vehicle Year: 2002  Vehicle ID: 303 VIN: 15GGE271621090559 Mileage: 428,365 Vehicle Year: 2002  Vehicle ID: 304 VIN: 15GGE271221090560 Mileage: 422,532 Vehicle Year: 2002  Vehicle ID: 305 VIN: 15GGE271421090561 Mileage: 404,104 Vehicle Year: 2002  Vehicle ID: 306 VIN: 15GGE271621090562 Mileage: 409,480 Vehicle Year: 2002  Vehicle ID: 307 VIN: 15GGE271821090563 Mileage: 404,277 Vehicle Year: 2002  Vehicle ID: 308 VIN: 15GGE271X21090564 Mileage: 412,348 Vehicle Year: 2002  Vehicle ID: 309 VIN: 15GGE271121090565 Mileage: 404,301 Vehicle Year: 2002  Vehicle ID: 310 VIN: 15GGE271121090632 Mileage: 423,517 Vehicle Year: 2002  Vehicle ID: 311 VIN: 15GGE271321090633 Mileage: 438,500 Vehicle Year: 2002  Vehicle ID: 312 VIN: 15GGE271521090634 Mileage: 437,446 Vehicle Year: 2002  Vehicle ID: 313 VIN: 15GGE271721090635 Mileage: 432,955 Vehicle Year: 2002  Vehicle ID: 314 VIN: 15GGE271921090636 Mileage: 404,583 Vehicle Year: 2002  Vehicle ID: 315 VIN: 15GGE271021090637 Mileage: 306,971 Vehicle Year: 2002  Vehicle ID: 316 VIN: 15GGE271221090638 Mileage: 300,452 Vehicle Year: 2002  Vehicle ID: 317 VIN: 15GGE271421090639 Mileage: 285,847 Vehicle Year: 2002 |
| **Will 3rd Party contractors be used to fulfill this activity line item?**  No, 3rd Party Contractors will not be used for this line item. |
| |  |  |  |  | | --- | --- | --- | --- | | **Propulsion** | **Fuel Type** | **Vehicle Condition** | **Vehicle Size (ft.)** | | N/A | N/A | N/A |  | |
| |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  |  | | --- | --- | --- | --- | | **Funding Source** | **Section of Statute** | **CFDA Number** | **Amount** | | 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward) | 5307-2A | 20507 | $5,995,811 | | Local |  |  | $1,498,953 | | Local/In-Kind |  |  | $0 | | State |  |  | $0 | | State/In-Kind |  |  | $0 | | Other Federal |  |  | $0 | | Transportation Development Credit |  |  | $0 | | **Total Eligible Cost** | | | **$7,494,764** | | |
| |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | | |  |  |  | | --- | --- | --- | | **Milestone Name** | **Est. Completion Date** | **Description** | | RFP/IFB Issue Date | 6/1/2016 |  | | Contract Award Date | 6/30/2016 |  | | First Vehicle Delivery Date | 5/20/2017 |  | | All Vehicles Delivery Date | 5/31/2017 |  | | Contract Completion Date | 6/30/2017 |  | | |

**Project Environmental Findings**

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| |  | | --- | | **Finding: Class II(c) - Categorical Exclusions (C-List)** | | **Class Level Description**  Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation. | | **Categorical Exclusion Description**  Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.   |  |  | | --- | --- | | **Date Description** | **Date** | | Class IIc CE Approved |  |  |  | | --- | |  | | **Scope Name / Code** | **Line Item Number** | **Line Item Name** | **Quantity** | **FTA Amount** | **Total Eligible Cost** | | BUS - ROLLING STOCK (111-00) | 11.12.03 | BUY REPLACEMENT 30-FT BUS | 18 | $5,995,811.00 | $7,494,764.00 | |  |  | | --- | | **Finding: Class II(c) - Categorical Exclusions (C-List)** | | **Class Level Description**  Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation. | | **Categorical Exclusion Description**  Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.   |  |  | | --- | --- | | **Date Description** | **Date** | | Class IIc CE Approved |  |  |  | | --- | |  | | **Scope Name / Code** | **Line Item Number** | **Line Item Name** | **Quantity** | **FTA Amount** | **Total Eligible Cost** | | BUS - ROLLING STOCK (111-00) | 11.12.04 | BUY REPLACEMENT | 3 | $295,200.00 | $369,000.00 | |  |  | | --- | | **Finding: Class II(c) - Categorical Exclusions (C-List)** | | **Class Level Description**  Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation. | | **Categorical Exclusion Description**  Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.   |  |  | | --- | --- | | **Date Description** | **Date** | | Class IIc CE Approved |  |  |  | | --- | |  | | **Scope Name / Code** | **Line Item Number** | **Line Item Name** | **Quantity** | **FTA Amount** | **Total Eligible Cost** | | BUS - ROLLING STOCK (111-00) | 11.12.02 | BUY REPLACEMENT 35-FT BUS | 13 | $5,106,140.00 | $6,382,675.00 | | |

**Part 4: Fleet Details**

**Fleet Type:** Fixed Route

**Fleet Comments**   
In March 2009, CCCTA cut 23% of its service hours due to the State of California eliminating State Transit Assistance (STA) funding for transit providers in order to balance its budget. To compound this loss, CCCTA suffered a loss of sales tax revenues as a result of the declining economy. As the economy recovers, CCCTA has been cautious in restoring service eliminated during the economic downturn. CCCTA has plans to expand service more widely as additional funding sources become available, i.e. a local tax measure, new developments, etc.  
  
Additionally, CCCTA has a regional responsibility to ensure passengers' mobility needs can be met with alternative modes of transportation. As an example, CCCTA provided 5 bus bridges for Bay Area Rapid Transit (BART) in FY15 alone. The bus bridges are BART's alternative when their transportation system is out of service due to BART car derailment, station fires, rail malfunction, and/or union strikes. These bus bridges required an average of 16 buses each. If we subtract the vehicles used for bus bridges as well as vehicles required for peak service, CCCTA's spare ratio shrinks to approximately 11%. For these reasons, CCCTA views its current fleet as necessary for current, future, and emergency scenarios service levels.

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| --- | --- | --- |
|  |  | **Current Value** |
| I. | **Active Fleet** |  |
|  | A. Peak Requirement | 93 |
|  | B. Spares | 28 |
|  | C. Total (A+B) | 121 |
|  | D. Spare Ratio (B/A) | 30.11% |
| II. | **Inactive Fleet** |  |
|  | A. Other | 0 |
|  | B. Pending Disposal | 0 |
|  | C. Total (A+B) | 0 |
| III. | **Total (I.C and II.C)** | 121 |

**Fleet Type:** Paratransit

**Fleet Comments**   
*None provided.*

|  |  |  |
| --- | --- | --- |
|  |  | **Current Value** |
| I. | **Active Fleet** |  |
|  | A. Peak Requirement | 57 |
|  | B. Spares | 6 |
|  | C. Total (A+B) | 63 |
|  | D. Spare Ratio (B/A) | 10.53% |
| II. | **Inactive Fleet** |  |
|  | A. Other | 0 |
|  | B. Pending Disposal | 0 |
|  | C. Total (A+B) | 0 |
| III. | **Total (I.C and II.C)** | 63 |

**Part 5: FTA Review Comments**

**DOL Certification**

|  |  |
| --- | --- |
| **Comment By** | **Lucinda Eagle** |
| Comment Type | DOL Review for Certification |
| Date | 7/22/2016 |
| Comment | Please review for Certification. |

**FTA Review Internal Comments**

|  |  |
| --- | --- |
| **Comment By** | **Raymond Sukys** |
| Comment Type | Environmental Concurrence |
| Date | 6/21/2016 |
| Comment |  |

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| **Comment By** | **Raymond Sukys** |
| Comment Type | Planning Concurrence |
| Date | 7/22/2016 |
| Comment |  |

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| **Comment By** | **Bernardo Bustamante** |
| Comment Type | Operations Concurrence |
| Date | 7/22/2016 |
| Comment |  |

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| --- | --- |
| **Comment By** | **Martia Fox** |
| Comment Type | Legal Concurrence |
| Date | 8/22/2016 |
| Comment | The Central Contra Costa (grantee 2584) "Recipient Documents" needs: 1) an Authorizing Resolution showing the grantee's legal authority to accept FTA funds; and 2) Designation of Signature Authority for Anne Muzzini to PIN.   Although there is an Opinion of Counsel, it does not reference the grantee's authority to accept FTA funds. Also, I did not see environmental concurrence -- it states: "Do Not Concur." |

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| **Comment By** | **Raymond Sukys** |
| Comment Type | Planning Concurrence |
| Date | 9/1/2016 |
| Comment | Please address Martia's comments. |

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| **Comment By** | **Raymond Sukys** |
| Comment Type | Planning Concurrence |
| Date | 9/2/2016 |
| Comment | Please address Martia's comments in an ad hoc review. |

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| **Comment By** | **Raymond Sukys** |
| Comment Type | Planning Concurrence |
| Date | 9/7/2016 |
| Comment |  |

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| --- | --- |
| **Comment By** | **Martia Fox** |
| Comment Type | Legal Concurrence |
| Date | 9/12/2016 |
| Comment |  |

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| --- | --- |
| **Comment By** | **Edward Carranza** |
| Comment Type | RA Concurrence |
| Date | 9/12/2016 |
| Comment |  |

**Application Review Comments**

|  |  |
| --- | --- |
| **Comment By** | **Lucinda Eagle** |
| Comment Type | Application Details |
| Date | 9/2/2016 |
| Comment | CCCTA has updated its Opinion of Counsel. |

**Application Review Comments**

|  |  |
| --- | --- |
| **Comment By** | **Martia Fox** |
| Comment Type | Environmental Findings |
| Date | 9/12/2016 |
| Project Title | FY15 5307 Formula Grant |
| Project Number | CA-2016-057-01-00 |
| Comment | The proposed acquisition and replacement of vehicles qualifies for a categorical exclusion pursuant to in 23 CFR 771.118(c)(7). |

**Part 6: Agreement**

UNITED STATES OF AMERICADEPARTMENT OF TRANSPORTATIONFEDERAL TRANSIT ADMINISTRATIONGRANT AGREEMENT(FTA G-22, October 1, 2015)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.  
  
The following documents are incorporated by reference and made part of this Grant Agreement:  
(1) "Federal Transit Administration Master Agreement," FTA MA(22), October 1, 2015, http://www.fta.dot.gov,   
(2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and   
(3) Any Award notification containing special conditions or requirements, if issued.  
  
WHEN THE TERM “FTA AWARD” OR “AWARD” IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, “AWARD” ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT   
  
FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE GRANTEE DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING THE DATE OF THIS FTA AWARD SET FORTH HEREIN.

**FTA AWARD**

Federal Transit Administration (FTA) hereby awards a Federal grant as follows:

**Recipient Information**

  Recipient Name:  Central Contra Costa Transit Authority

  Recipient ID:  2584

  DUNS No:  019569128

**Award Information**

  Federal Award Identification Number:  CA-2016-057-00

  Award Name:  FY15 5307 Formula Grant

  Award Executive Summary:  Application Executive Summary  
CCCTA FY15 5307 Formula Grant   
  
This application requests $11,397,151 in FY 2015 Section 5307 funds. Funds will go toward fixed-route vehicle replacement and paratransit van replacement.   
  
CCCTA - Replace (18) 30' Buses   
Program Year: 2015   
Apportionment Year: 2015   
TIP ID: CC-150006   
FTA 5307: $5,995,811  
Local Match: $1,498,953  
  
CCCTA - Replace (13) 35' Buses   
Program Year: 2015   
Apportionment Year: 2015   
TIP ID: CC-150007   
FTA 5307: $5,106,140   
Local Match: $1,276,535  
  
CCCTA - Replace (3) Paratransit Vans   
Program Year: 2015   
Apportionment Year: 2015   
TIP ID: CC-150008   
FTA 5307: $295,200   
Local Match: $73,80

  Total Award Budget:  $14,246,439.00

  Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars):  $11,397,151.00

  Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars):  $2,849,288.00

  Total FTA Amount Awarded and Obligated (in U.S. Dollars):  $11,397,151.00

  Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars):  $2,849,288.00

**Award Budget Control Totals**   
  
(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

|  |  |  |  |
| --- | --- | --- | --- |
| **Funding Source** | **Section of Statute** | **CFDA Number** | **Amount** |
| 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward) | 5307-2A | 20507 | $11,397,151 |
| Local |  |  | $2,849,288 |
| Local/In-Kind |  |  | $0 |
| State |  |  | $0 |
| State/In-Kind |  |  | $0 |
| Other Federal |  |  | $0 |
| Transportation Development Credit |  |  | $0 |
| **Total Eligible Cost** | | | **$14,246,439** |

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

**Project Information**

|  |  |  |
| --- | --- | --- |
| **Project Number** | **Project Title** | **Project Description** |
| CA-2016-057-01-00 | FY15 5307 Formula Grant | This application requests $11,397,151 in FY 2015 Section 5307 funds. Funds will go toward fixed-route vehicle replacement and paratransit van replacement. CCCTA - Replace (18) 30' Buses Program Year: 2015 Apportionment Year: 2015 TIP ID: CC-150006 FTA 5307: $5,995,811 Local Match: $1,498,953 CCCTA - Replace (13) 35' Buses Program Year: 2015 Apportionment Year: 2015 TIP ID: CC-150007 FTA 5307: $5,106,140 Local Match: $1,276,535 CCCTA - Replace (3) Paratransit Vans Program Year: 2015 Apportionment Year: 2015 TIP ID: CC-150008 FTA 5307: $295,200 Local Match: $73,800 |

**Project Funding Summary**

|  |  |  |  |
| --- | --- | --- | --- |
| **Funding Source** | **Section of Statute** | **CFDA Number** | **Amount** |
| 49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward) | 5307-2A | 20507 | $11,397,151 |
| Local |  |  | $2,849,288 |
| Local/In-Kind |  |  | $0 |
| State |  |  | $0 |
| State/In-Kind |  |  | $0 |
| Other Federal |  |  | $0 |
| Transportation Development Credit |  |  | $0 |
| **Total Eligible Cost** | | | **$14,246,439** |

**U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:**   
  
Original Certification Date:   7/22/2016 12:39 PM EDT   
  
  
**TERMS AND CONDITIONS**   
  
**Special Conditions**   
  
There are no special conditions.   
  
  
  
  
Awarded By:   
Edward Carranza   
Supervisor   
FEDERAL TRANSIT ADMINISTRATION   
U.S. DEPARTMENT OF TRANSPORTATION   
Contact Info: Edward.Carranza@dot.gov   
Award Date: 9/12/2016 2:37 PM EDT

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.   
By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:   
(1)  Affirms this FTA Award,  
(2)  Adopts and ratifies all of the following information it has submitted to FTA:  
      (a)  Statements,  
      (b)  Representations,  
      (c)  Warranties,  
      (d)  Covenants, and  
      (e)  Materials,  
(3)  Consents to comply with the requirements of this FTA Award, and  
(4)  Agrees to all terms and conditions set forth in this Grant Agreement.  
  
  
Executed By:  *Anne Muzzini   
Director of Planning & Technical Service   
Central Contra Costa Transit Authority   
9/21/2016 1:37 PM EDT*