# Notification of Public Housing Closeout or Future Development HUD- 5837

# U.S. Department of Housing and Urban Development Office of Public and Indian Housing

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This information is designed to supplement the HUD-52860 or RAD Application (both through the IMS/PIC application submission) for all inventory removal actions that will result in the removal of all ACC units from a Public Housing Authority's (PHA) inventory. HUD will use this information to provide targeted technical assistance, to identify potential issues, and to assess risk areas. Please refer to the instructions for guidance on completing this form. The information requested does not lend itself to confidentiality.

PHA Ifsical Vear End:   Expected date of removal of last ACC unit:   Close out public housing program   Close out provided   Close out public housing program   Close out provided   Close out provided   Close out public housing program   Close out provided   Close out provided   Close out public housing program   Close out provided   Close out provided   Close out public housing program   Close out provided   Close out provi	A. General PHA Information			
Does the PHA intend to closeout its public housing program or develop new ACC units in the future?    Closeout public housing program   Fill out Section B & D only	PHA Identification Number and Name:			
Does the PHA intend to closeout its public housing program or develop new ACC units in the future?    Closeout public housing program   Fill out Section B & D only	PHA Fiscal Year End:			
Does the PHA intend to closeout its public housing program or develop new ACC units in the future?    Develop new ACC Units   Develop new ACC Units	Expected date of removal of last ACC unit:			
Will the PHA have any Non-Dwelling Public Housing Real Property after it removes its last public housing unit? If yes, which removal action does the PHA intend to pursue to dispose of the remaining Public Housing Real Property?  Does the PHA have (or reasonably anticipate) any outstanding public housing litigation, claims, compliance reviews, monitoring reviews, PHA or MTW Plan reviews, audits, and/or fair housing or other civil rights complaints to resolve?  Will the PHA comply with all required closeout activities, including submission of board resolution, legal opinion, and quarterly financial reports as specified in PIH 2016-23 (or subsequent guidance)?  C. Future Development Information  How many new ACC units does the PHA intend to develop?  Does the PHA have sufficient Faircloth limit authority to develop new ACC units?  What is the PHA's proposed development method?  What is the PHA save a site(s) selected?  Does the PHA have a site(s) selected?	Does the PHA intend to closeout its public housing program or develop new ACC units in the future?		t Section B & D only new ACC Units	
Will the PHA have any Non-Dwelling Public Housing Real Property after it removes its last public housing unit? If yes, which removal action does the PHA intend to pursue to dispose of the remaining Public Housing Real Property?  Does the PHA have (or reasonably anticipate) any outstanding public housing litigation, claims, compliance reviews, monitoring reviews, PHA or MTW Plan reviews, audits, and/or fair housing or other civil rights complaints to resolve?  Will the PHA comply with all required closeout activities, including submission of board resolution, legal opinion, and quarterly financial reports as specified in PIH 2016-23 (or subsequent guidance)?  C. Future Development Information  How many new ACC units does the PHA intend to develop?  Does the PHA have sufficient Faircloth limit authority to develop new ACC units?  What is the PHA's proposed development method?  What is the PHA save a site(s) selected?  Does the PHA have a site(s) selected?	B. Public Housing Program Closeout Information			
housing litigation, claims, compliance reviews, monitoring reviews, PHA or MTW Plan reviews, audits, and/or fair housing or other civil rights complaints to resolve?  Will the PHA comply with all required closeout activities, including submission of board resolution, legal opinion, and quarterly financial reports as specified in PIH 2016-23 (or subsequent guidance)?  C. Future Development Information  How many new ACC units does the PHA intend to develop?  Does the PHA have sufficient Faircloth limit authority to develop new ACC units?  What is the PHA's proposed development method?  What is the PHA's proposed development method?  Does the PHA have a site(s) selected?  Does the PHA have a site(s) selected?	removes its last public housing unit? If yes, which removal action does the PHA intend to pursue to dispose of the remaining Public Housing Real		☐ Section 18 Application ☐ Retain under 2 CFR part 200.311 ☐ Transfer to 3rd Party ☐ Other	
submission of board resolution, legal opinion, and quarterly financial reports as specified in PIH 2016-23 (or subsequent guidance)?  C. Future Development Information  How many new ACC units does the PHA intend to develop?  Does the PHA have sufficient Faircloth limit authority to develop new ACC units?  What is the PHA's proposed development method?  What is the PHA's proposed development method?  What is the PHA have a site(s) selected?  Yes No  Conventional  Turnkey  Acquisition With or Without Rehabilitation  Force Account Labor  Mixed-Finance  Other Methods  Does the PHA have a site(s) selected?	housing litigation, claims, compliance reviews, monitoring reviews, PHA or MTW Plan reviews, audits, and/or fair housing or other civil rights		•	
How many new ACC units does the PHA intend to develop?  Does the PHA have sufficient Faircloth limit authority to develop new ACC units?  What is the PHA's proposed development method?  What is the PHA's proposed development method?  Does the PHA have a site(s) selected?  Turnkey  Acquisition With or Without Rehabilitation  Force Account Labor  Mixed-Finance  Other Methods  Does the PHA have a site(s) selected?	submission of board resolution, legal opinion, and quarterly financial reports		☐ Yes ☐ No	
How many new ACC units does the PHA intend to develop?  Does the PHA have sufficient Faircloth limit authority to develop new ACC units?  What is the PHA's proposed development method?  What is the PHA's proposed development method?  Does the PHA have a site(s) selected?  Turnkey  Acquisition With or Without Rehabilitation  Force Account Labor  Mixed-Finance  Other Methods  Does the PHA have a site(s) selected?	C. Future Development Information			
what is the PHA's proposed development method?  What is the PHA's proposed development method?  What is the PHA have a site(s) selected?  Conventional  Turnkey  Acquisition With or Without Rehabilitation  Force Account Labor  Mixed-Finance  Other Methods  Yes No				
What is the PHA's proposed development method?  Turnkey Acquisition With or Without Rehabilitation Force Account Labor Mixed-Finance Other Methods  Does the PHA have a site(s) selected?  Yes No	•		Yes No	
	What is the PHA's proposed development method?		<ul><li>☐ Turnkey</li><li>☐ Acquisition With or Without Rehabilitation</li><li>☐ Force Account Labor</li><li>☐ Mixed-Finance</li></ul>	
Anticipated date of development proposal submission:	Does the PHA have a site(s) selected?		Yes No	
	Anticipated date of development proposal submission:			

D. Identify any potential issues related to closeout or future development activities.

### **HUD-5837 Instructions**

All PHAs who plan to remove the last of its public housing units from their public housing inventory must choose to either closeout from the public housing program or notify HUD of their intention to develop new public housing units. This form indicates the PHA's choice and provides HUD information regarding the PHA's future plans, in order to provide appropriate oversight and technical assistance. This form should be submitted to HUD as an attachment to the Inventory Removal Application (HUD-52860) or RAD Application and may request some information that already exists in the PIH Inventory Management System (IMS/PIC).

#### A. General PHA Information

**Purpose:** To provide HUD with information regarding the PHA and its intended unit removal method to facilitate effective communication.

*Instructions:* Complete the following questions regarding the PHA, the removal action, and the PHA's plan to closeout from the public housing program or develop new public housing units.

### **B.** Public Housing Program Closeout Information

**Purpose:** To provide HUD with information regarding the PHA's outstanding assets, debts, and obligations that will require resolution prior to termination of the Public Housing ACC.

Instructions: PHAs should determine if they own any real property that remains subject to Public Housing requirements and the ACC. Note that Public Housing Real Property includes any real property that was acquired, maintained, or operated with 1937 Act funds. PHAs must identify legal issues and obligations that could be affected by removal – for further review by HUD. A removal action may not be used to relieve a PHA of legal liabilities arising from noncompliance with federal statutory or regulatory activities. Fair housing and civil rights matters may include charges, cause determinations, lawsuits, letters of findings, outstanding voluntary compliance agreements or consent decrees, and remedial orders or agreements with unfulfilled requirements. HUD will determine if actions to resolve the items listed above are sufficient to resolve the matter.

### C. Future Development Information

*Purpose:* To provide HUD with information regarding the PHA's plans to develop new ACC units and to continue operating a Public Housing program.

*Instructions:* Complete the following questions regarding the development of new ACC units. PHAs must determine if they are eligible to develop any new public housing ACC units based on the Quality Housing and Work Responsibility Act of 1998 (QHWRA) imposition of a statutory limit on new construction of Public Housing units (Faircloth limit) in Section 9(g)(3)(A) of the 1937 Act. Please note that any units converted through RAD will reduce a PHA's Faircloth Limit. The information included should reflect the PHA's proposed plans; however, the information has no effect on the content of the PHA's development proposal submission to HUD.

## D. Identify any potential issues related to closeout or future development activities.

**Purpose:** To alert HUD to any known issues or potential issues related to closeout or future development activities, in order to facilitate appropriate technical assistance.

*Instructions:* Provide a brief narrative that describes any known issues or potential issues related to closeout activities. For example, a PHA may wish to note that they are aware of existing long-term use restrictions on a prior Section 18 demolition/disposition approval and anticipate the need for an amendment to the approval or a revised use restriction. If the PHA is not aware of any issues or potential issues, the PHA may leave this section blank.