FR Y-7N OMB Number 7100-0125 Approval expires March 31, 2022 Page 1 of 8

Board of Governors of the Federal Reserve System



Financial Statements of U.S. Nonbank Subsidiaries Held by Foreign Banking Organizations—FR Y-7N

This Report is required by law: Section 5(c) of the Bank Holding Company Act (12 U.S.C. § 1844); Section 7 and 13(a) of the The Financial Statements of U.S. Nonbank Subsidiaries Held by Foreign Banking Organizations are to be prepared in accordance

(a)); Section 25 of the FRA (12 U.S.C. §§ 601-604(a)); Section 25A of the FRA (12 U.S.C. §§ 611-631); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)).	The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number.
NOTE: The Financial Statements of U.S. Nonbank Subsidiaries Held by Foreign Banking Organizations must be signed by an authorized officer of the foreign banking organization.	Date of Report: Month / Day / Year (FNBK 9999)
I, the undersigned officer of the foreign banking organization attest that the FR Y-7N reports listed on the following page(s) for this report date have been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.	
Printed Name of Officer (FNBT C490)	Legal Name of Foreign Banking Organization (RSSD 9017)
Title of Officer (FNBT C491)	City (RSSD 9130) Country (RSSD 9005)
Signature of Officer (FNBT H321)	
Date of Signature (MM/DD/YYYY) (FNBT J196)	
Number of reports attested to under this signature FNBK J444	Person in the U.S. to whom questions about these reports should be directed:
To be completed for the December report only.	
Indicate whether the subsidiary(ies) meets the	Name / Title (FNBT 8901)
annual or quarterly filing criteria for December FNBK	,
(Enter "1" for annual; enter "2" for quarterly) 6909	Area Code / Phone Number (FNBT 8902)
Return to the appropriate Federal Reserve District Bank the completed original and the number of copies specified by that District	Area Code / FAX Number (FNBT 9116)
Bank.	E-mail Address of Contact (FNBT 4086)
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FBO RSSD ID	
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C.I	

Reporting institutions must maintain in their files a manually signed and attested printout of the data submitted.

Public reporting burden for this information collection is estimated to vary from 3.2 to 8.6 hours per response, with an average of 6.8 hours per response, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing the burden, may be sent to Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0125), Washington, DC 20503.

Detailed Listing of Subsidiaries

Foreign banking organizations must provide the information requested below for each nonbank subsidiary included in this submission. This page must be attached to page 1 and placed in the foreign banking organization's file and should not be submitted to the Reserve Bank.

	Name(s) of Nonbank Subsidiary	N	Mailing Address		Subsidiary RSSD ID
1.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
2.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
3.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
4.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
5.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
6.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
7.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
8.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
9.	Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
		City	State	Zip Code	
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		City	State	Zip Code	

Note: If there are more than 10 submissions, attach additional pages.

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C.I.	

FR Y-7N Page 3 of 8

Legal Name of Nonbank Subsidiary (TEXT 9012)	(Mailing Address of Nonha	nk Subsidiary) Street / PO Box	(TEXT 0013)
	(in outside in the control of the con	(12/11/00/0)
If the name of the nonbank subsidiary has changed since the previous FR Y-7N was filed with the Federal Reserve, indicate the former name of the company. (TEXT 9023)	City (TEXT 9024)	State (TEXT 9026)	Zip Code (TEXT 9027)

Schedule IS—Income Statement (calendar year-to-date)

Dollar Amounts in Thousand	ds FNBK	Amount
Interest income:		
a. Interest and fee income from nonrelated organizations	A028	1
b. Interest and fee income from related organizations	A029	1
c. Total interest income (sum of items 1.a and 1.b)		1
2. Interest expense:	•	
a. Interest expense pertaining to nonrelated organizations	A030	
b. Interest expense pertaining to related organizations		
c. Total interest expense (sum of items 2.a and 2.b)		
3. Net interest income (item 1.c minus item 2.c)		3
4. Provision for loan and lease losses ¹		
5. Noninterest income:		
a. From nonrelated organizations:		
(1) Income from fiduciary activities	4070	5
(2) Service charges on deposit accounts		5
(3) Trading revenue		5
(4) Investment banking, advisory, brokerage, and underwriting fees and commissions		5
(5) Venture capital revenue		5
(6) Net servicing fees		5
(7) Net securitization income		
(8) Insurance commissions and fees.		5
(9) Fees and commissions from annuity sales	_	5
(10) Other noninterest income	****	5
b. From related organizations		
c. Total noninterest income (sum of items 5.a.(1) through 5.a.(10) and 5.b)	***	
6. Realized gains (losses) on securities not held in trading accounts		
	4001	
7. Noninterest expense: a. Pertaining to nonrelated organizations	A034	7
b. Pertaining to riomeiated organizationsb.		
c. Total noninterest expense (sum of items 7.a and 7.b)	****	
·	4093	
8. a. Income (loss) before unrealized holding gains (losses) on equity securities not held for		
trading, applicable income taxes, and discontinued operations (item 3, 5.c, and 6 minus	HT69	
items 4 and 7.c)		8
b. Unrealized holding gains (losses) on equity securities not held for trading ²	HT70	
c. Income (loss) before applicable income taxes and discontinued operations	4004	_
(sum of items 8.a and 8.b)		8
9. Applicable income taxes (benefits) (estimated)		9
0. Discontinued operations, net of applicable income taxes		10
1. Equity in undistributed income (loss) of subsidiary(ies)		11
2. Net income (loss) (sum of items 8.c, 10, and 11 minus item 9)	4340	12

^{1.} Entities that have adopted ASU 2016-13 should report item 4 the provision for credit losses for all financial assets that fall within the scope of the standard.

^{2.} Item 8.b is to be completed only by entities that have adopted ASU 2016-01, which includes provisions governing the accounting for investments in equity securities. See the instructions for further detail on ASU 2016-01.

Schedule IS—Continued

Memorandum

Dollar Amounts in Thousands	FNBK	Amount	
Memorandum item 1 is to be completed by nonbank subsidiaries that have elected to account for financial instruments or servicing assets and liabilities at fair value under a fair value option.			
1. Net change in fair values of financial instruments accounted for under a fair value			
option (included in items 5.a.(3), 5.a.(6), 5.a.(10), and 5.b above)	J980		

Schedule IS-A—Changes in Equity Capital

Dollar Amounts in	Thousands FNBK	Amount	
Equity capital most recently reported for the end of previous calendar year			
(i.e., after adjustments from amended Income Statements)	3217		1.
	FNBT		
2. Net income (loss) (must equal Income Statement, item 12)	4340		2.
	FNBK		
3. Sale, conversion, acquisition, or retirement of common stock and perpetual preferred	d stock A035		3.
4. LESS: Cash dividends declared	4598		4.
5. Other comprehensive income ¹	B511		5.
6. Other adjustments to equity capital			6.
7. Total equity capital at end of current period (sum of items 1, 2, 3, 5, and 6 minus ite	em 4) FNBT		
(must equal Schedule BS, item 18.g)			7.

^{1.} Includes changes in net unrealized holding gains (losses) on available-for-sale securities, changes in accumulated net gains (losses) on cash flow hedges, cumulative foreign currency translation adjustments, and changes in minimum pension liability adjustments.

Schedule IS-B—Changes in Allowance for Credit Losses¹

		(Column A) Loan and Leases		(Column B) Held-to-Maturity Debt Securities ²		(Column C) railable-for-Sale ebt Securities ²	
Dollar Amounts in Thousands	FNBK	Amount	FNBK	Amount	FNBK	Amount	
1. Balance most recently reported at end of pre-							
vious calendar year (i.e., after adjustments							
from amended Income Statements)	3124		JH88		JH94		1.
2. Recoveries	4605		JH89		JH95		2.
3. LESS: Charge-offs	C079		JH92		JH98		3.
4. Provision for credit losses ³	4230		JH90		JH96		4.
5. Adjustments	4815		JH91		JH97		5.
6. Balance at end of current period (sum of	FNBT						
items 1, 2, 4, and 5 minus item 3)							
(must equal Schedule BS, item 3.b)	3123		JH93		JH99		6.

Memorandum

Dollar Amounts in Thousands	FNBK	Amount	
Memorandum items 1 and 2 is to be reported by institutions that have adopted ASU 2016-13.			
Provisions for credit losses on other financial assets measured at amortized cost (not included in item 4 above)	JJ02		M.1.
Allowance for credit losses on other financial assets measured at amortized cost			
(not included in item 6 above)	JJ03		M.2.

- 1. Entities that have not adopted ASU 2016-13 should report changes in allowance for loan and lease losses.
- 2. Columns B and C are to be completed only by entities that have adopted ASU 2016-13.

^{3.} For Entities that have adopted ASU 2016-13, the sum of item 4, column A through C plus Schedule IS-B memorandum item 1, below, must equal Schedule IS item 4. Entities that have not adopted ASU 2016-13 should report provision for loan and lease losses in this item.

Schedule BS—Balance Sheet

	Dollar Amounts in Thousands	FNBK	Amount	
As	sets			
1.	Cash and balances due from depository institutions	0010	,	1.
	Securities:			
	a. Held-to-maturity securities ¹	JJ34	2	2.a.
	b. Available-for-sale securities	1773	2	2.b.
	c. Equity securities with readily determinable fair values not held for trading ²	JA22		2.c.
3.	Loans and lease financing receivables (including federal funds sold):			
	a. Loans and lease financing receivables, held for investment and held for sale			
	(from Schedule BS-A, item 6)	2122	3	3.a.
	b. LESS: Allowance for loan and lease losses ³	3123	(3.b.
	c. Loans and lease financing receivables, held for investment and held for sale, net of the	,		
	allowance for loan and lease losses (item 3.a minus 3.b)	2125		3.c.
4.	Trading assets	3545		4.
	Premises and fixed assets (including capitalized leases)	2145		5.
	Other real estate owned	2150		6.
_	All other assets ¹	1724		7.
	Claims on nonrelated organizations (sum of items 1, 2, 3.c through 7)	C377		8.
	Balances due from related institutions, gross	C378		9.
	Total assets (sum of items 8 and 9) (must equal item 19)	2170		0.
	bilities and Equity Capital			٠.
	Trading liabilities	3548	1.	1.
	Other borrowed money with a remaining maturity of one year or less (including	3340		١.
12.		C379	4.	2
40	commercial paper issued and federal funds purchased)	0373	14	2.
13.	Other borrowed money with a remaining maturity of more than one year (including	1729	4.	^
4.4	subordinated debt and limited-life preferred stock and related surplus)	2750		3.
	Other liabilities.	A012		4. -
	Liabilities to nonrelated organizations (sum of items 11 through 14)	C380		5.
	Balances due to related institutions, gross	-		6.
	Total liabilities (sum of items 15 and 16)	2948	1	7.
18.	Equity capital:	2020		_
	a. Stock	3230		8.a.
	b. Surplus (exclude all surplus related to preferred stock)	3240		8.b.
	c. Retained earnings	3247		8.c.
	d. Accumulated other comprehensive income ⁴	B530		8.d.
	e. General and limited partnership shares and interests	F033		8.e.
	f. Other equity capital components ⁵	A130		8.f.
	g. Total equity capital (sum of items 18.a through 18.f) (must equal Schedule IS-A, item 7)	3210		8.g.
19.	Total liabilities and equity capital (sum of items 17 and 18.g) (must equal item 10)	3300	19	9.

^{1.} Entities that have adopted ASU 2016-13 should report in item 2.a and 7, amounts net of any applicable allowance for credit loss.

^{2.} Item 2.c is to be completed only by entities that have adopted ASU 2016-01, which includes provisions governing the accounting for investments in equity securities. See the instructions for further detail on ASU 2016-01.

^{3.} Entities that have adopted ASU 2016-13 should report in item 3.b the allowance for credit losses on loans and leases.

^{4.} Includes net unrealized holding gains (losses) on available-for-sale securities, accumulated net gains (losses) on cash flow hedges, cumulative foreign currency translation adjustments, and minimum pension liability adjustments.

^{5.} Includes treasury stock and unearned Employee Stock Ownership Plan shares.

Schedule BS—Continued

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Dollar Amounts in Thousands	FNBK	Amount	
Derivatives and Off-Balance-sheet Items			
20. Unused commitments on securities underwriting	3817		20.
21. Unused commitments on loans and all other unused commitments	A013		21.
22. Standby letters of credit and foreign office guarantees	A014		22.
23. Commercial and similar letters of credit	3411		23.
24. Commitments to purchase foreign currencies and U.S. dollar exchanges (spot, forward,			
and futures)	3415		24.
25. All other futures and forward contracts (excluding contracts involving foreign exchange)	A015		25.
26. Option contracts:			
a. Written option contracts	A098		26.a.
b. Purchased option contracts	A099		26.b.
27. Notional value of interest rate swaps	3450		27.
28. Notional value of exchange swaps (e.g., cross currency swaps)	3826		28.
29. Notional value of other swaps	3829		29.
30. All other off-balance-sheet liabilities	A100		30.

Memoranda

Dollar Amounts in Thousands	FNBK	Amount]
Memoranda items 1.a. and 1.b. are to be completed by nonbank subsidiaries that have elected to account for financial instruments or servicing assets and liabilities at fair value under a fair value option.			
1. Financial assets and liabilities measured at fair value under a fair value option			
a. Total assets	F819		M.1.a.
b. Total liabilities	F820		M.1.b.

Schedule BS-A—Loans and Lease Financing Receivables¹

(exclude balances with related institutions)

Dollar Amounts in Thousands	FNBK	Amount	
Loans secured by real estate	1410		1.
2. Loans to depository institutions	3622		2.
3. Commercial and industrial loans	3623		3.
4. Loans to individuals for personal, household, and other personal expenditures	1975		4.
5. All other loans and lease financing receivables	A017		5.
6. Total loans and lease financing receivables (sum of items 1 through 5 above)	FNBT		
(must equal Schedule BS, item 3.a)	2122		6.
7. Past due and nonaccrual loans and leases:	FNBK		
a. Loans and leases past due 30 through 89 days	1406		7.a.
b. Loans and leases past due 90 days or more	1407		7.b.
c. Nonaccrual loans and leases	1403		7.c.
d. Loans restructured in troubled debt restructurings (included in items 7.a			
through 7.c above)	J979		7.d.

^{1.} Institutions that have adopted ASU 2016-13 should not deduct the allowance for credit losses on loans and leases from amounts reported on this schedule.

Schedule BS-M—Memoranda

С	ollar Amounts in Thousands	FNBK	Amount]
1. Loans to non-U.S. addressees		1722		1.
2. Assets held in trading accounts (excluding trading balances with relations):	ated			
a. Securities of U.S. government and its agencies		5468		2.a.
b. Securities of all foreign governments and official institutions		5469		2.b.
c. Equity securities		5470		2.c.
d. Corporate bonds, notes and debentures		5477		2.d.
Revaluation gains on interest rate, foreign exchange rate, and ot and equity contracts	-	A210		2.e.
f. Loans		G208		2.f.
(1) Loans that are past due 90 days or more:				1
(a) Fair value		F639		2.f.(1)(a)
(b) Unpaid principal balance		F640		2.f.(1)(b)
g. Other (including commercial paper)		5478		2.g.
3. Other assets:				
a. Accrued interest receivable		B556		3.a.
b. Prepaid expenses		A022		3.b.
c. Net deferred tax assets		A023		3.c.
d. Accounts receivable		A024		3.d.
e. Intangible assets		2143		3.e.
4. Balances due from related institutions, gross:				
a. Balances due from related institutions located in the United State	es, gross	C382		4.a.
b. Balances due from related institutions located outside the United	States, gross	C383		4.b.
5. Commercial paper issued		2309		5.
6. Other liabilities:				
Expenses accrued and unpaid		A025		6.a.
b. Net deferred tax liabilities		A026		6.b.
c. Accounts payable		A027		6.c.
7. Balances due to related institutions, gross:				1
a. Balances due to related institutions located in the United States,	gross	C384		7.a.
 Balances due to related institutions located outside the United St 	ates, gross	C385		7.b.

Notes to the Financial Statements

Enter in the lines provided below any additional information on specific line items on the financial statements that the foreign banking organization wishes to explain that are material in amount and cannot be disclosed separately in the existing line items.

Each additional piece of information disclosed should include the appropriate reference to the financial statement and item number, as well as a description of the additional information and the dollar amount (in thousands of dollars) associated with that disclosure.

Example

A foreign banking organization's nonbank subsidiary reports \$1 million in "All other assets." Of this amount, \$500,000 is related to accounts receivable. Enter on the line item below the following information:

FNBT		FNBK	Amount
0000	Accounts receivable of \$500,000 are included in line item 7,		
	"All other assets," on the balance sheet.		
		0000	500

Notes to the Financial Statements

	FNBT	Dollar Amounts in Thousands	FNBK	Amount	
1.	B057				
			Dosz		
2.	B058		B057		1.
۷.	B000				
			B058		2.
3.	B059				
			B059		3.
4.	B060				٥.
_	B061		B060		4.
5.	B001				
			B061		5.
6.	B062				
			B062		6.
7.	B063		2002		0.
			_		
0	B064		B063		7.
8.	B004				
			B064		8.
9.	B065				
			B065		9.
10.	B066		5000		J .
			B066		10.

Board of Governors of the Federal Reserve System



Abbreviated Financial Statements of U.S. Nonbank Subsidiaries Held by Foreign Banking Organizations—FR Y-7NS

Report at the close of business as of the last calendar day in December

This Report is required by law: Section 5(c) of the Bank Holding Company Act (12 U.S.C. § 1844); Section 7 and 13(a) of the International Banking Act of 1978 (12 U.S.C. §§ 3106 and 3108 (a)); Section 25 of the FRA (12 U.S.C. §§ 601-604(a)); Section 25A of the FRA (12 U.S.C. §§ 611-631); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)).

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25A of the FRA (12 U.S.C. §§ 611-631); and Section 225.5(b) of Regulation Y (12 C.F.R. § 225.5(b)).	or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number.				
NOTE: The Abbreviated Financial Statements of U.S. Nonbank Subsidiaries Held by Foreign Banking Organizations must be signed by an authorized officer of the foreign banking organization.	Date of Report: Month / Day / Year (FNBK 9999)				
I, the undersigned officer of the foreign banking organization attest that the FR Y-7NS reports listed on the following page(s) for this report date have been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.					
Printed Name of Officer (FNBT C490)	Legal Name of Foreign Banking Organization (TEXT 9010)				
Title of Officer (FNBT C491)	City (TEXT 9130) Country (TEXT 9005)				
Signature of Officer (FNBT H321)					
Date of Signature (MM/DD/YYYY) (FNBT J196)					
Number of reports attested to under this signature FNBK J444	Person in the U.S. to whom questions about these reports should be directed:				
pleted original and the number of copies specified by that District Bank.	Name / Title (TEXT 8901)				
	Area Code / Phone Number (TEXT 8902)				
For Federal Reserve Bank Use Only	Area Code / I Horie Nulliber (TEAT 0502)				
FBO RSSD ID SUB RSSD ID C.I.	Area Code / FAX Number (TEXT 9116)				
O.I	E-mail Address of Contact (TEXT 4086)				

Reporting institutions must maintain in their files a manually signed and attested printout of the data submitted.

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Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
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Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
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Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
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Legal Name of Nonbank Subsidiary	Street / PO Box			RSSD ID
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Abbreviated Financial Statements

Legal Name of Nonbank Subsidiary (TEXT 9012)	(Mailing Address of Nonbank Subsidiary) Street / PO Box (TEXT 9013)				
If the name of the nonbank subsidiary has changed since the previous FR Y-7NS was filed with the Federal Reserve, indicate the former name of the company. (TEXT 9023)	City (TEXT 9024)	State (TEX	(T 9026)	Zip Code (TEXT 9027)	
	Dollar Amounts in Th	ousands	FNBK	Amount	
1. Net income			4340		1.
2. Total assets			2170		2.
3. Equity capital			3210		3.
4. Total off-balance-sheet items			2013		4.
5. Has the nonbank subsidiary elected to account for certain a changes in fair value recognized in earnings? (Enter "1" for		·	*****	=No FNBK =Yes F822	