

FEDERAL FISH AND WILDLIFE PERMIT APPLICATION FORM

Migratory Bird Special Purpose – Utility (Migratory Bird Treaty Act, 50 CFR 21.27) U.S. Fish and Wildlife Service

Division of Migratory Bird Management



TYPE OF REQUEST

New Application

Renewal

BEFORE FILLING OUT THIS FORM, please access the Return Addresses (to obtain the email/postal addresses where this form can be returned), review the Frequently Asked Questions, and read the form Instructions. Please retain the "NOTICES" page for your records.

| Am If requesting a renewal or | nendment ramendment, provid | le Permit # | | | | | | |
|---|---|--------------------|--|---------------|----------------------------------|--|------------------------|--------------------|
| Complete Sections A or B, and instruction page(s) for inform | | | | | | | | etails. See |
| A. | | | te if applyi | | | | • | |
| 1.a. Last name | | | 1.b. First na | | | 1.c. Middle na | ame or initial | 1.d. Suffix |
| 2. Date of birth (mm/dd/yyyy) | 2. Date of birth (mm/dd/yyyy) 3.a. Telephone number | | 3.b. Alternate telephone number | | 3.d. E-mail a | 3.d. E-mail address | | |
| - | olete if applying | on behalf of | | | | oublic agency, | , Tribe, or instit | tution |
| 1.a. Name of business, agency, T | ribe, or institution | | 1.b. Doing b | ousiness as | (dba) | | | |
| 2. Tax identification no. | | 3. Description of | of business, a | gency, or i | nstitution | | | |
| 4.a. Principal officer Last name | | 4.b. Principal of | . Principal officer First name 4.c. Pr | | c. Principal officer | Middle name/ initial | 4.d. Suffix | |
| 5. Principal officer title 6. Primary contact name | | | | | | | | |
| 7.a. Business telephone number 7.b. Alternate telephone number | | 7.c. Busine | ss fax num | ıber | ber 7.d. Business e-mail address | | | |
| C. | | All applicant | ts comple | to addre | es inforr | mation | | |
| 1.a. Physical address (Street add | | | - | | 33 IIIIOIII | ilation . | | |
| | | | | | | | | |
| 1.b. City 1.c. State | | 1.d. | 1.d. Zip code/Postal code: 1.e. County/P | | ty/Province | 1.f. Country | | |
| 2.a. Mailing Address (include if different than physical address; include name of contact person if applicable) | | | | | | | | |
| 2.b. City | 2.c. State | 2.d. | Zip code/Pos | tal code: | 2.e. Count | y/Province | 2.f. Country | |
| | | A !! | | | | | | |
| D. | | | oplicants N | | | | | |
| 1. A nonrefundable processing SERVICE. Federal, Tribal, State, Please see <i>link for processing f</i> | , and local governmen | nt agencies, and | those acting of | on behalf o | of such ager | ler payable to the U ncies, are exempt f <mark>Click here for Pro</mark> | from the processing | DLIFE fee – |
| | the number of the mos | st current permit | t you have hel | ld or that yo | | | | |
| Certification: I hereby certify the other applicable parts in subcaccurate to the best of my knowledge. | chapter B of Chapter I | I of Title 50, and | I certify that the | the informat | tion submitt | ted in this application | ion for a permit is co | mplete and |
| Signature of applicant/Principa | al Officer (No stam | ped signatures. | . Electronics | ignatures | accepted.) | D | Date of signature (m | nm/dd/yyyy) |

FEDERAL FISH AND WILDLIFE PERMIT APPLICATION FORM



Special Purpose – Utility (Migratory Bird Treaty Act, 50 CFR 21.27) U.S. Fish and Wildlife Service Division of Migratory Bird Management



Note: A Federal Migratory Bird Special Purpose - Utility permit is required for utilities to collect, transport and temporarily possess migratory birds found dead on utility property, structures, and rights-of-way as well as, in emergency circumstances, relocate or destroy active nests. Utilities include facilities that generate or transmit electricity, gas, oil, water, or communications structures such as cellular towers, microwave transmitters and their related infrastructure, as well as resource development and recovery businesses. Permits will be issued to the utility; companies contracted to conduct the permitted work may act as subpermittees under the utility's permit. You must be at least 18 years old to apply for a migratory bird permit. Please read "Frequently Asked Questions" and the pertinent regulations before you sign and submit your application.

Please provide the information requested below. If additional information needs to be provided that does not fit in the designated spaces, add additional sheets to your application submission. You may use as many additional sheets as needed. Please number pages accordingly using the page number box provided at the bottom of the sheet, and the corresponding question number.

You should be as thorough and specific as possible in your responses. Incomplete applications will be returned.

As the permittee, you are legally responsible for ensuring that your subpermittees, staff, and volunteers adhere to the terms of your permit.

This permit does not authorize prohibited take of eagles or migratory birds and the utility is not absolved of liability for such prohibited take.

SECTION E.

Questions

- 1) Attachments In additions to this form, you should also attach the following to complete your application:
 - a) Provide copies of any supporting documents that may assist us in evaluating your application, such as your avian protection plan, post-construction monitoring protocols, etc.
- 2) Any permit issued as a result of this application is not valid unless you also have any required State or tribal permits or approvals associated with the activity. Have you obtained all required State or tribal permits or approvals to conduct this activity?

Yes, Have Attached

None Required

Have Applied, Will Provide Upon Request

A. UTILITY INFORMATION

3) Name of project(s), type of utilities to be covered(e.g. Windfarm Alpha - Wind, LineCo Beta - Transmission, etc.), and utility address(es) if different from Section C.

4) Location of each utility/project.

- a) Transmission utilities (e.g., electric, pipeline) provide the general location of the transmission territory where the collecting activity will be conducted. Including:
 - State(s)
 - i) ii) A map generally delineating the territory
 - iii) A general description of the type of habitat surrounding the structures (e.g., desert, wetland, mountain ridge, prairie, cropland, forest)

- b) Generation facilities (e.g., wind, solar, communications, mining) provide the location of each project or site where the collecting activity will be conducted. Including:
 - i) State(s)
 - ii) Location of property, including city, county, and latitude and longitude in decimal degrees.
 - iii) A map delineating the property
 - iv) A description of the type of habitat surrounding the structures (e.g., desert, wetland, mountain ridge, prairie, cropland, forest)

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| 5) | Description of utility/project. |
|----|---|
| | a) Transmission utilities, provide a general description of utility, such as number of poles/towers, number of substations, and any generation or other facilities. |
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| | Generation facilities: provide a detailed description of the utility footprint, equipment and structures, including the height and configuration of structures. |
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| | B. COLLECTING INFORMATION |
| 6) | Indicate whether collection will occur as standardized searches, opportunistic collections, or both. Standardized |
| | Opportunistic |
| | Both |
| | |

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|--|----------------------------------|
| 7) Specify the purpose and goals of the collection or activity. | |
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| 8) If standardized fatality monitoring studies are proposed, provide a detailed description of the pro | anaged collecting |
| project or activity, including: | posea conecung |
| a) Search methods proposed (e.g., transects) | |
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b) Search frequency, search months, and search years proposed

| c) Whether searcher efficiency trials and scavenger removal trials will be conducted and their frequency |
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| 9) If standardized fatality monitoring searches are proposed, provide the name, address, and qualifications of the individual(s) |
| who will be in charge of the monitoring and collecting activities. |
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| 10) Indicate the intended disposition of migratory bird specimens. If specimens will be temporarily stored, provide the |
| location. If specimens will be donated, provide the name and address of the prospective recipient (e.g., public scientific or |
| educational institution or State agency). |
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C. ADDITIONAL INFORMATION

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| they | | vners collecting bire | ds on your behalf m | ust be designa | | owners on what to do if Do you agree to inform |
|------|--|-----------------------|-----------------------|------------------|--|---|
| | Describe any measu ty infrastructure or op | | to avoid, reduce, or | mitigate migrato | ory bird mortalities tha | nt may be caused by the |
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| | If you are working w king with a State ager | | | | | t information. If you are |
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| offs | | by birds injured by | utility operations of | | e injured birds. We end by paying expenses fo | |
| | | | | | | |

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15) You must retain records legibly written or reproducible in English relating to the activities conducted under your permit for at least 5 years after the date of expiration of your permit. Is the physical address you provided in Section C on page 1 of this application the address where your records will be kept?

Yes

If no, provide the physical address.

16) Anyone who will be assisting you with the permitted activities or acting as your agent must either have their own Federal migratory bird permit for the activity or be identified by you, in writing, as a subpermittee under your permit. They may also require a State permit. Subpermittees must be at least 18 years old. As the primary permittee, you will be responsible for ensuring that your subpermittees are properly trained and adhere to the terms of your permit. Provide the name of any subpermittees who will be conducting activities under your permit.

- 17) Disqualification factor. Have you, the permittee, or your client (if a broker on behalf of your client):
 - Been assessed a civil penalty or convicted of any criminal provision of any statue or regulation relating to the activity for which the application is filed (50 CFR 13.21(b) (1);
 - Been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act. (50 CFR 13.21 (c)(1)):
 - Had a permit revoked within the last five years for willfully violating any Federal or State statute or regulation, or any Indian tribal law or regulation, or any law or regulation of any foreign country, which involves a violation of the conditions of the permit or of the laws or regulations governing the permitted activity (50 CFR 13.28 (a)(1)) or failing to correct deficiencies that were the cause of a permit suspension within 60 days (50 CFR 13.28 (a)(2)).

| Answer "yes" if ANY of the events listed immediately above have occ | urred. Answer "no" if none of the events listed immediately |
|---|---|
| above have occurred. | |

Yes

No

If you answered "Yes", provide: a) the individual's name; b) date of conviction, civil penalty assessment or revocation; c) charge(s), or reason(s) for revocation; d) location of the incident; e) court (if applicable, ticket, federal/state/tribal court etc.); and f) legal action taken for each violation (i.e. fine, incarceration, probation...). Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

18) Are you eligible for Fee Exempt Status? Fee exempt status applies to government agencies (Federal, State, Tribal, and municipal governments). Applicants acting on behalf of such agencies must submit a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency, or the agencies tax exempt form.

Yes - Government Agency

Yes - Acting on behalf of Government Agency Documentation Included

No - not eligible for Fee Exempt Status

19) I acknowledge that I have read the form <u>Instructions</u> and <u>Frequently Asked Questions</u>, and have accessed the page with the <u>Return Addresses</u> to obtain the address where I should return this form. I have also filled out all fields and questions in this application. Check this box to acknowledge:

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NOTICES

PRIVACY ACT STATEMENT

Authority: The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

Purpose: The collection of contact information is to verify the individual has an eligible permit to conduct activities that affect protected species. The information the individual provides helps the FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

Routine Uses: The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

Disclosure: The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

PAPERWORK REDUCTION ACT STATEMENT

In accordance with the Paperwork Reduction Act (44 U.S.C. 3501), the U.S. Fish and Wildlife Service collects information necessary to monitor take and disposition of migratory birds, under the applicable laws governing the requested activity, for which a permit is requested, and to respond to requests made under the Freedom of Information Act and the Privacy Act of 1974. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0022.

ESTIMATED BURDEN STATEMENT

We estimate public reporting for this collection of information averages:

Original submission - paper-based: 15 hours

Amended submission - paper-based: 10 hours 30 minutes Original submission - electronic: 12 hours 30 minutes

Amended submission - electronic: 8 hours

These estimates include time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB/PERMA (JAO), Falls Church, VA 22041-3803, or via email at Info Coll@fws.gov. Please do not send your completed form to this address.

FREEDOM OF INFORMATION ACT

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.26 – 2.33].