

U.S. Department of State  
**DS-2032: Statement of Registration**

(SEE INSTRUCTIONS)

\*PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 2 hours per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/GIS/DIR) Washington, D.C. 20520.

**1. General Information**

Applicant is a U.S. Person:  Yes  No

**2. Registration Information**

Registration Action:  New  Renew  Amend  Cancel

Registration Code (if applicable): \_\_\_\_\_

Registration Type:

- Manufacturer  Exporter  Broker  FMS Freight Forwarder (Exporter)  
 One Time Exemption  U.S. Government  Foreign Government

***If Registration Type is "FMS Freight Forwarder (Exporter)"***

Authorized Countries: \_\_\_\_\_

***If Registration Type is "Foreign Government"***

Designate an FMS Freight Forwarder

***If Designate an FMS Freight Forwarder is checked***

**FMS Freight Forwarder**

Legal Name: \_\_\_\_\_

Subsidiary/Affiliate Legal Name (if applicable): \_\_\_\_\_

Registration Code (if known): \_\_\_\_\_

Contract Duration (if known): \_\_\_\_\_

**Point of Contact**

First Name: \_\_\_\_\_

Last Name: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

***If Registration Action is "Cancel"***

Applicant is notifying DDTC that it intends to let its manufacturing, exporting, brokering, or FMS freight forwarder registration lapse. At the end of the registration period, it will no longer be in the business of  manufacturing,  exporting,  brokering, or  FMS freight forwarding (exporting) defense articles or defense services. The applicant will no longer receive renewal notices for the applicable registration.

***If Registration Action is "Renew"***

***If renewing a lapsed registration***

Did you conduct any ITAR business during the lapse?  Yes  No

**Lapsed Registration ITAR Activity Dates**

Start Date: \_\_\_\_\_ End Date: \_\_\_\_\_

Has a voluntary disclosure been submitted regarding the conduct of ITAR business during the lapse?

Yes  No

***If Registration Type is "Broker"***

Does the applicant have brokering activity to report (including successful/unsuccessful broker activity)?

Yes  No

***If Registration Action is "Amend"***

Does the amendment involve a material change to the registration?  Yes  No

***If Does the amendment involve a material change to the registration is "Yes"***

Material Change Type:

- Merger  Acquisition  Divestiture  Criminal Charge  Eligibility  Name  
 Address  Legal Organizational Structure  Directors, Senior Officers, Partners, and/or Owners  
 Establishment/Addition of Subsidiary/Controlled Affiliate

Notification Triggering Event Date: \_\_\_\_\_

Summarize the essentials of the transaction, including a statement of purpose and description of scope with an explanation of actions taken/to be taken inside and outside the U.S.:

**3. Foreign Ownership/Control Information**

A foreign person owns, or foreign persons own, more than 50% of the outstanding voting securities or equity of the applicant.

Yes  No

A foreign person has, or foreign persons have, the authority and/or the ability to establish or direct the general policies or day-to-day operations of the applicant.

Yes  No

A foreign person owns, or foreign persons own, 25% or more of the outstanding voting securities or equity and no other person controls an equal or larger percentage.

Yes  No

Foreign persons (including foreign governments) from countries specified in 22 CFR 126.1 have the authority and/or ability to establish and/or direct the general policies and/or day-to-day operations of the applicant.

Yes  No

Foreign persons (including foreign governments) from countries specified in 22 CFR 126.1 own more than 5% of the outstanding voting securities or equity of the applicant.

Yes  No

**4. Organization Type Information**

Organization Type:

- Corporation  Limited Liability Company  Partnership  Sole Proprietorship  
 Educational Institution  Nonprofit  Individual  Other

Date of Incorporation or Business Commencement (if applicable): \_\_\_\_\_

**Place of Incorporation or Business Commencement (if applicable)**

City: \_\_\_\_\_

Country: \_\_\_\_\_

State/Province: \_\_\_\_\_

The applicant has only a foreign parent (or foreign parents) and intends to list at least one affiliate.

Yes  No

**5. Identifying Information**

Applicant Type:  Natural Person  Entity

**Applicant Legal Name and Address**

***If Applicant Type is "Natural Person"***

First Name: \_\_\_\_\_

Middle Name: \_\_\_\_\_  None

Last Name: \_\_\_\_\_

***If Applicant Type is "Entity"***

Company/Organization Name: \_\_\_\_\_

Doing Business As: \_\_\_\_\_

Address Line 1: \_\_\_\_\_

Address Line 2: \_\_\_\_\_

Address Line 3: \_\_\_\_\_

City: \_\_\_\_\_

Country: \_\_\_\_\_

State/Province: \_\_\_\_\_

ZIP/Postal Code: \_\_\_\_\_

Website: \_\_\_\_\_

Mailing address is the same as legal address

***If Mailing address is the same as legal address is not checked***

**Mailing Address**

Address Line 1: \_\_\_\_\_

Address Line 2: \_\_\_\_\_

Address Line 3: \_\_\_\_\_

City: \_\_\_\_\_

Country: \_\_\_\_\_

State/Province: \_\_\_\_\_

ZIP/Postal Code: \_\_\_\_\_

**Point of Contact**

Point of Contact Type:       Applicant       Third Party

***If Point of Contact Type is "Third Party"***

Company/Organization Name: \_\_\_\_\_

First Name: \_\_\_\_\_

Last Name: \_\_\_\_\_

Position/Title: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

**6. Members of the Board of Directors, Senior Officers, Partners and Owners**

**Board Member/Officer/Partner/Owner**

Member Type:       Natural Person       Entity

U.S. Person:       Yes       No

Position/Title: \_\_\_\_\_

***If Member Type is "Natural Person"***

First Name: \_\_\_\_\_

Middle Name: \_\_\_\_\_  None

Last Name: \_\_\_\_\_

Citizenship: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Birth Country: \_\_\_\_\_

Country of Residence: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

***If Member Type is "Entity"***

Company/Organization Name: \_\_\_\_\_

Doing Business As Name: \_\_\_\_\_

Address Line 1: \_\_\_\_\_

Address Line 2: \_\_\_\_\_

Address Line 3: \_\_\_\_\_

City: \_\_\_\_\_

Country: \_\_\_\_\_

State/Province: \_\_\_\_\_

ZIP/Postal Code: \_\_\_\_\_

**Point of Contact**

First Name: \_\_\_\_\_  
Last Name: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
Email: \_\_\_\_\_

Has been indicted or otherwise charged (e.g., charged by criminal information in lieu of indictment) for or convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 or violating a foreign criminal law on exportation of defense articles where convicted of such violation carries a minimum term of imprisonment of greater than 1 year.

Yes  No

### 7. U.S. Munitions List Categories

U.S. Munitions List categories relevant to the applicant's manufacturing, exporting, and/or brokering activities:

- I Firearms, Close Assault Weapons and Combat Shotguns
- II Guns and Armament
- III Ammunition/Ordnance
- IV Launch Vehicles, Guided Missiles, Ballistic Missiles, Rockets, Torpedoes, Bombs, and Mines
- V Explosives and Energetic Materials, Propellants, Incendiary Agents, and Their Constituents
- VI Surface Vessels of War and Special Naval Equipment
- VII Ground Vehicles
- VIII Aircraft and Related Articles
- IX Military Training Equipment
- X Personal Protective Equipment
- XI Military Electronics
- XII Fire Control, Laser, Imaging and Guidance Equipment
- XIII Materials and Miscellaneous Articles
- XIV Toxicological Agents, Including Chemical Agents, Biological Agents, and Associated Equipment
- XV Spacecraft and Related Articles
- XVI Nuclear Weapons Related Articles
- XVII Classified Articles, Technical Data, and Defense Services Not Otherwise Enumerated
- XVIII Directed Energy Weapons
- XIX Gas Turbine Engines and Associated Equipment
- XX Submersible Vessels and Related Articles
- XXI Articles, Technical Data, and Defense Services Not Otherwise Enumerated

***If U.S. Munitions List categories relevant to the applicant's... is "XXI"***

Applicable Commodity Jurisdiction Number: \_\_\_\_\_

### 8. Affiliate/Subsidiary Information

Does the applicant own, or otherwise control, any U.S. or foreign affiliates or subsidiaries in the business of manufacturing, exporting, and/or brokering defense articles or services?

Yes  No

**If Does the applicant own, or otherwise control, any U.S. or foreign affiliates or subsidiaries... is "Yes"**

**Affiliate/Subsidiary**

Activity Type:

- Manufacturer       Exporter       Broker       FMS Freight Forwarder (Exporter)

Company/Organization Name: \_\_\_\_\_

Doing Business As Name: \_\_\_\_\_

Address Line 1: \_\_\_\_\_

Address Line 2: \_\_\_\_\_

Address Line 3: \_\_\_\_\_

City: \_\_\_\_\_

Country: \_\_\_\_\_

State/Province: \_\_\_\_\_

ZIP/Postal Code: \_\_\_\_\_

U.S. Person:                       Yes                       No

Relationship:                       Affiliate                       Subsidiary

**If Relationship is "Affiliate"**

Applicant has authority and/or ability to establish or direct the general policies or day-to-day operations of the affiliate.

Yes       No

Applicant owns 25% or more of the affiliate's outstanding voting securities or equity and no other person controls an equal or larger percentage.

Yes       No

A foreign person from a country specified in 22 CFR 126.1 has, or foreign persons from 22 CFR 126.1 countries have, the authority and/or ability to establish and/or direct the general policies and/or day-to-day operations of the affiliate.

Yes       No

A foreign person from a country specified in 22 CFR 126.1 controls, or foreign persons from 22 CFR 126.1 countries control, more than 5% of the affiliate's voting securities.

Yes       No

Separate Point of Contact for Affiliate/Subsidiary

**If Separate Point of Contact for Affiliate/Subsidiary is checked**

**Point of Contact**

First Name: \_\_\_\_\_

Last Name: \_\_\_\_\_

Position/Title: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

**If Registration Action is "Renew" and Activity Type is "Broker"**

Does the affiliate/subsidiary have brokering activity to report (including successful/unsuccessful broker activity)?

Yes       No

**If Registration Action is "Renew" or "Amend" and an Affiliate/Subsidiary is Added or Removed**

Select reason for Adding or Removing Affiliate/Subsidiary: \_\_\_\_\_

If reason selected is Merger, Acquisition or Divestiture, did you submit, as appropriate, 60 day pre-notification/5 day MAD material change notification letters?

Yes  No

Add

### 9. Parent Information

Does the applicant have any parent entities (U.S. and foreign, intermediate and ultimate)?

Yes  No

***If Does the applicant have any parent entities (U.S. and foreign, intermediate and ultimate) is "Yes"***

#### Parent Entity

Company/Organization Name: \_\_\_\_\_

Doing Business As Name: \_\_\_\_\_

Address Line 1: \_\_\_\_\_

Address Line 2: \_\_\_\_\_

Address Line 3: \_\_\_\_\_

City: \_\_\_\_\_

Country: \_\_\_\_\_

State/Province: \_\_\_\_\_

ZIP/Postal Code: \_\_\_\_\_

Separate Point of Contact for Parent

***If Separate Point of Contact for Parent is checked***

#### Point of Contact

First Name: \_\_\_\_\_

Last Name: \_\_\_\_\_

Position/Title: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email: \_\_\_\_\_

Parent Status:  Ultimate  Intermediate

U.S. Person:  Yes  No

Foreign Owned/Controlled:  Yes  No

Government Owned/Controlled:  Yes  No

Add

### 10. ITAR Written Policies

Does the applicant have written policies and procedures for compliance with the ITAR (including but not limited to 22 CFR 122.5)?

Yes  No

### 11. Statement of Registration

Under penalty according to federal law (22 U.S.C. 2278-2780; 22 CFR 120-130; 18 U.S.C. 1001), I warrant the truth of all statements made herein, together with any and all appendices and attachments thereto. I further warrant that:

In compliance with 22 CFR 122 with reference to 22 CFR 122.2(b)(1)(i) and (b)(1)(ii) and 22 CFR 129 with reference to 22 CFR 129.8(c)(1) (i) and c(1)(ii),

I hereby state that I am an authorized senior officer of the applicant and furthermore, I hereby certify that, with respect to the applicant or its parent, subsidiary, or other affiliate listed herein, or any of its chief executive officers, presidents, vice presidents, secretaries, partners, members, other senior officers or officials (e.g., comptroller, treasurer, general counsel), or any member of the board of directors of the applicant, or of any parent, subsidiary, or affiliate listed herein:

**Indicted/Charged/Convicted Status**

- No person has been indicted or otherwise charged (e.g., charged by criminal information in lieu of indictment) for or convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 or violating a foreign criminal law on exportation of defense articles where conviction of such law carries a minimum term of imprisonment of greater than 1 year.
- One or more persons has been indicted or otherwise charged (e.g., charged by criminal information in lieu of indictment) for or convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 or violating a foreign criminal law on exportation of defense articles where conviction of such law carries a minimum term of imprisonment of greater than 1 year. A copy of the relevant documentation is attached.

**Contract and License Eligibility**

- No person is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from, any agency of the U.S. Government.
- One or more persons is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from, any agency of the U.S. Government. A copy of the relevant documentation is attached.

**Supporting Documentation**

File Name: \_\_\_\_\_

Document Type:

- |  |  |
|--|--|
| <input type="radio"/> Organizational Chart                           | <input type="radio"/> Applicant Organization Type "Other" Explanation          |
| <input type="radio"/> Foreign Parent Designation                     | <input type="radio"/> Indicted/Charged/Convicted Status Documentation          |
| <input type="radio"/> Explanation of Applicant Control/Ownership     | <input type="radio"/> Contract and License Eligibility Status Documentation    |
| <input type="radio"/> IRS Nonprofit Authorization                    | <input type="radio"/> Proof Applicant is Currently Authorized to do Business   |
| <input type="radio"/> Documentation of Individual U.S. Person Status | <input type="radio"/> Subsidiary/Affiliate Add/Remove Type "Other" Explanation |
| <input type="radio"/> One Time Exemption Certification Letter        | <input type="radio"/> License Application for One Time Exemption               |
| <input type="radio"/> Broker Activity Report                         | <input type="radio"/> Other Supporting Documentation                           |

**Senior Officer Application and Certification Signature**

First Name: \_\_\_\_\_  
Middle Name: \_\_\_\_\_  None  
Last Name: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Date: \_\_\_\_\_

***If Registration Action is "New"***

**Designate a Corporate Administrator**

First Name: \_\_\_\_\_



Last Name: \_\_\_\_\_  
Position/Title: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
Email: \_\_\_\_\_

**Privacy Act Statement**

**AUTHORITIES:** U.S. Department of State's authorities to register persons engaged in the business of manufacturing, exporting or importing any defense article or defense service are 22 U.S.C. 2778(b)(1)(A)(i), 22 CFR Part 122, and Executive Order 13637. The authorities to register brokers are 22 U.S.C. 2778(b)(1)(A)(ii)(I), 22 CFR 129.3, and Executive Order 13637.

**PURPOSE:** The information gathered through registration is used to identify individuals and entities engaged in certain manufacturing, exporting and brokering activities. The Department of State will use this information to build and maintain records of ownership, management and transactions related to munitions manufacture, export and temporary import.

**ROUTINE USES:** The information solicited on this form is made available to appropriate agencies for law enforcement or pursuant to a court order. It may also be used to send required reports to Congress about certain defense transactions. More information on the Routine Uses for the system can be found in the System of Records Notice State-42, Munitions Control Records.

**DISCLOSURE:** Disclosure of this information is voluntary. Failure to provide the information requested will prevent completion of the registration process.