

U.S. Department of State DS-2032: Statement of Registration

(SEE INSTRUCTIONS)

*PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 2 hours per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/GIS/DIR) Washington, D.C. 20520.

1. General Information

Applicant is a U.S. Person: Yes No

2. Registration Information

Registration Action: New Renew Amend Cancel

Registration Code (if applicable): _____

Registration Type:

- Manufacturer Exporter Broker FMS Freight Forwarder (Exporter)
 One Time Exemption U.S. Government Foreign Government

If Registration Type is "FMS Freight Forwarder (Exporter)"

Authorized Countries: _____

If Registration Type is "Foreign Government"

Designate an FMS Freight Forwarder

If Designate an FMS Freight Forwarder is checked

FMS Freight Forwarder

Legal Name: _____

Subsidiary/Affiliate Legal Name (if applicable): _____

Registration Code (if known): _____

Contract Duration (if known): _____

Point of Contact

First Name: _____

Last Name: _____

Telephone: _____

Email: _____

If Registration Action is "Cancel"

Applicant is notifying DDTC that it intends to let its manufacturing, exporting, brokering, or FMS freight forwarder registration lapse. At the end of the registration period, it will no longer be in the business of manufacturing, exporting, brokering, or FMS freight forwarding (exporting) defense articles or defense services. The applicant will no longer receive renewal notices for the applicable registration.

If Registration Action is "Renew"

If renewing a lapsed registration

Did you conduct any ITAR business during the lapse? Yes No

Lapsed Registration ITAR Activity Dates

Start Date: _____ End Date: _____

Has a voluntary disclosure been submitted regarding the conduct of ITAR business during the lapse?

Yes No

If Registration Type is "Broker"

Does the applicant have brokering activity to report (including successful/unsuccessful broker activity)?

Yes No

If Registration Action is "Amend"

Does the amendment involve a material change to the registration? Yes No

If Does the amendment involve a material change to the registration is "Yes"

Material Change Type:

- Merger Acquisition Divestiture Criminal Charge Eligibility Name
 Address Legal Organizational Structure Directors, Senior Officers, Partners, and/or Owners
 Establishment/Addition of Subsidiary/Controlled Affiliate

Notification Triggering Event Date: _____

Summarize the essentials of the transaction, including a statement of purpose and description of scope with an explanation of actions taken/to be taken inside and outside the U.S.:

3. Foreign Ownership/Control Information

A foreign person owns, or foreign persons own, more than 50% of the outstanding voting securities or equity of the applicant.

Yes No

A foreign person has, or foreign persons have, the authority and/or the ability to establish or direct the general policies or day-to-day operations of the applicant.

Yes No

A foreign person owns, or foreign persons own, 25% or more of the outstanding voting securities or equity and no other person controls an equal or larger percentage.

Yes No

Foreign persons (including foreign governments) from countries specified in 22 CFR 126.1 have the authority and/or ability to establish and/or direct the general policies and/or day-to-day operations of the applicant.

Yes No

Foreign persons (including foreign governments) from countries specified in 22 CFR 126.1 own more than 5% of the outstanding voting securities or equity of the applicant.

Yes No

4. Organization Type Information

Organization Type:

- Corporation Limited Liability Company Partnership Sole Proprietorship
 Educational Institution Nonprofit Individual Other

Date of Incorporation or Business Commencement (if applicable): _____

Place of Incorporation or Business Commencement (if applicable)

City: _____

Country: _____

State/Province: _____

The applicant has only a foreign parent (or foreign parents) and intends to list at least one affiliate.

Yes No

5. Identifying Information

Applicant Type: Natural Person Entity

Applicant Legal Name and Address

If Applicant Type is "Natural Person"

First Name: _____

Middle Name: _____ None

Last Name: _____

If Applicant Type is "Entity"

Company/Organization Name: _____

Doing Business As: _____

Address Line 1: _____

Address Line 2: _____

Address Line 3: _____

City: _____

Country: _____

State/Province: _____

ZIP/Postal Code: _____

Website: _____

Mailing address is the same as legal address

If Mailing address is the same as legal address is not checked

Mailing Address

Address Line 1: _____

Address Line 2: _____

Address Line 3: _____

City: _____

Country: _____

State/Province: _____

ZIP/Postal Code: _____

Point of Contact

Point of Contact Type: Applicant Third Party

If Point of Contact Type is "Third Party"

Company/Organization Name: _____

First Name: _____

Last Name: _____

Position/Title: _____

Telephone: _____

Email: _____

6. Members of the Board of Directors, Senior Officers, Partners and Owners

Board Member/Officer/Partner/Owner

Member Type: Natural Person Entity

U.S. Person: Yes No

Position/Title: _____

If Member Type is "Natural Person"

First Name: _____

Middle Name: _____ None

Last Name: _____

Citizenship: _____

Date of Birth: _____

Birth Country: _____

Country of Residence: _____

Telephone: _____

Email: _____

If Member Type is "Entity"

Company/Organization Name: _____

Doing Business As Name: _____

Address Line 1: _____

Address Line 2: _____

Address Line 3: _____

City: _____

Country: _____

State/Province: _____

ZIP/Postal Code: _____

Point of Contact

First Name: _____
Last Name: _____
Telephone: _____
Email: _____

Has been indicted or otherwise charged (e.g., charged by criminal information in lieu of indictment) for or convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 or violating a foreign criminal law on exportation of defense articles where convicted of such violation carries a minimum term of imprisonment of greater than 1 year.

Yes No

7. U.S. Munitions List Categories

U.S. Munitions List categories relevant to the applicant's manufacturing, exporting, and/or brokering activities:

- I Firearms, Close Assault Weapons and Combat Shotguns
- II Guns and Armament
- III Ammunition/Ordnance
- IV Launch Vehicles, Guided Missiles, Ballistic Missiles, Rockets, Torpedoes, Bombs, and Mines
- V Explosives and Energetic Materials, Propellants, Incendiary Agents, and Their Constituents
- VI Surface Vessels of War and Special Naval Equipment
- VII Ground Vehicles
- VIII Aircraft and Related Articles
- IX Military Training Equipment
- X Personal Protective Equipment
- XI Military Electronics
- XII Fire Control, Laser, Imaging and Guidance Equipment
- XIII Materials and Miscellaneous Articles
- XIV Toxicological Agents, Including Chemical Agents, Biological Agents, and Associated Equipment
- XV Spacecraft and Related Articles
- XVI Nuclear Weapons Related Articles
- XVII Classified Articles, Technical Data, and Defense Services Not Otherwise Enumerated
- XVIII Directed Energy Weapons
- XIX Gas Turbine Engines and Associated Equipment
- XX Submersible Vessels and Related Articles
- XXI Articles, Technical Data, and Defense Services Not Otherwise Enumerated

If U.S. Munitions List categories relevant to the applicant's... is "XXI"

Applicable Commodity Jurisdiction Number: _____

8. Affiliate/Subsidiary Information

Does the applicant own, or otherwise control, any U.S. or foreign affiliates or subsidiaries in the business of manufacturing, exporting, and/or brokering defense articles or services?

Yes No

If Does the applicant own, or otherwise control, any U.S. or foreign affiliates or subsidiaries... is "Yes"

Affiliate/Subsidiary

Activity Type:

- Manufacturer Exporter Broker FMS Freight Forwarder (Exporter)

Company/Organization Name: _____

Doing Business As Name: _____

Address Line 1: _____

Address Line 2: _____

Address Line 3: _____

City: _____

Country: _____

State/Province: _____

ZIP/Postal Code: _____

U.S. Person: Yes No

Relationship: Affiliate Subsidiary

If Relationship is "Affiliate"

Applicant has authority and/or ability to establish or direct the general policies or day-to-day operations of the affiliate.

Yes No

Applicant owns 25% or more of the affiliate's outstanding voting securities or equity and no other person controls an equal or larger percentage.

Yes No

A foreign person from a country specified in 22 CFR 126.1 has, or foreign persons from 22 CFR 126.1 countries have, the authority and/or ability to establish and/or direct the general policies and/or day-to-day operations of the affiliate.

Yes No

A foreign person from a country specified in 22 CFR 126.1 controls, or foreign persons from 22 CFR 126.1 countries control, more than 5% of the affiliate's voting securities.

Yes No

Separate Point of Contact for Affiliate/Subsidiary

If Separate Point of Contact for Affiliate/Subsidiary is checked

Point of Contact

First Name: _____

Last Name: _____

Position/Title: _____

Telephone: _____

Email: _____

If Registration Action is "Renew" and Activity Type is "Broker"

Does the affiliate/subsidiary have brokering activity to report (including successful/unsuccessful broker activity)?

Yes No

If Registration Action is "Renew" or "Amend" and an Affiliate/Subsidiary is Added or Removed

Select reason for Adding or Removing Affiliate/Subsidiary: _____

If reason selected is Merger, Acquisition or Divestiture, did you submit, as appropriate, 60 day pre-notification/5 day MAD material change notification letters?

Yes No

Add

9. Parent Information

Does the applicant have any parent entities (U.S. and foreign, intermediate and ultimate)?

Yes No

If Does the applicant have any parent entities (U.S. and foreign, intermediate and ultimate) is "Yes"

Parent Entity

Company/Organization Name: _____

Doing Business As Name: _____

Address Line 1: _____

Address Line 2: _____

Address Line 3: _____

City: _____

Country: _____

State/Province: _____

ZIP/Postal Code: _____

Separate Point of Contact for Parent

If Separate Point of Contact for Parent is checked

Point of Contact

First Name: _____

Last Name: _____

Position/Title: _____

Telephone: _____

Email: _____

Parent Status: Ultimate Intermediate

U.S. Person: Yes No

Foreign Owned/Controlled: Yes No

Government Owned/Controlled: Yes No

Add

10. ITAR Written Policies

Does the applicant have written policies and procedures for compliance with the ITAR (including but not limited to 22 CFR 122.5)?

Yes No

11. Statement of Registration

Under penalty according to federal law (22 U.S.C. 2278-2780; 22 CFR 120-130; 18 U.S.C. 1001), I warrant the truth of all statements made herein, together with any and all appendices and attachments thereto. I further warrant that:

In compliance with 22 CFR 122 with reference to 22 CFR 122.2(b)(1)(i) and (b)(1)(ii) and 22 CFR 129 with reference to 22 CFR 129.8(c)(1) (i) and c(1)(ii),

I hereby state that I am an authorized senior officer of the applicant and furthermore, I hereby certify that, with respect to the applicant or its parent, subsidiary, or other affiliate listed herein, or any of its chief executive officers, presidents, vice presidents, secretaries, partners, members, other senior officers or officials (e.g., comptroller, treasurer, general counsel), or any member of the board of directors of the applicant, or of any parent, subsidiary, or affiliate listed herein:

Indicted/Charged/Convicted Status

- No person has been indicted or otherwise charged (e.g., charged by criminal information in lieu of indictment) for or convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 or violating a foreign criminal law on exportation of defense articles where conviction of such law carries a minimum term of imprisonment of greater than 1 year.
- One or more persons has been indicted or otherwise charged (e.g., charged by criminal information in lieu of indictment) for or convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR 120.27 or violating a foreign criminal law on exportation of defense articles where conviction of such law carries a minimum term of imprisonment of greater than 1 year. A copy of the relevant documentation is attached.

Contract and License Eligibility

- No person is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from, any agency of the U.S. Government.
- One or more persons is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from, any agency of the U.S. Government. A copy of the relevant documentation is attached.

Supporting Documentation

File Name: _____

Document Type:

- | | |
|--|--|
| <input type="radio"/> Organizational Chart | <input type="radio"/> Applicant Organization Type "Other" Explanation |
| <input type="radio"/> Foreign Parent Designation | <input type="radio"/> Indicted/Charged/Convicted Status Documentation |
| <input type="radio"/> Explanation of Applicant Control/Ownership | <input type="radio"/> Contract and License Eligibility Status Documentation |
| <input type="radio"/> IRS Nonprofit Authorization | <input type="radio"/> Proof Applicant is Currently Authorized to do Business |
| <input type="radio"/> Documentation of Individual U.S. Person Status | <input type="radio"/> Subsidiary/Affiliate Add/Remove Type "Other" Explanation |
| <input type="radio"/> One Time Exemption Certification Letter | <input type="radio"/> License Application for One Time Exemption |
| <input type="radio"/> Broker Activity Report | <input type="radio"/> Other Supporting Documentation |

Senior Officer Application and Certification Signature

First Name: _____
Middle Name: _____ None
Last Name: _____
Signature: _____
Date: _____

If Registration Action is "New"

Designate a Corporate Administrator

First Name: _____

Last Name: _____
Position/Title: _____
Telephone: _____
Email: _____

Privacy Act Statement

AUTHORITIES: U.S. Department of State's authorities to register persons engaged in the business of manufacturing, exporting or importing any defense article or defense service are 22 U.S.C. 2778(b)(1)(A)(i), 22 CFR Part 122, and Executive Order 13637. The authorities to register brokers are 22 U.S.C. 2778(b)(1)(A)(ii)(I), 22 CFR 129.3, and Executive Order 13637.

PURPOSE: The information gathered through registration is used to identify individuals and entities engaged in certain manufacturing, exporting and brokering activities. The Department of State will use this information to build and maintain records of ownership, management and transactions related to munitions manufacture, export and temporary import.

ROUTINE USES: The information solicited on this form is made available to appropriate agencies for law enforcement or pursuant to a court order. It may also be used to send required reports to Congress about certain defense transactions. More information on the Routine Uses for the system can be found in the System of Records Notice State-42, Munitions Control Records.

DISCLOSURE: Disclosure of this information is voluntary. Failure to provide the information requested will prevent completion of the registration process.