

**SUPPORTING STATEMENT FOR
Petition for Nonimmigrant Worker: H-1 Classifications
OMB Control No.: 1615-0009
COLLECTION INSTRUMENT(S): Form I-129H1**

A. Justification

- 1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

USCIS needs the information collected on Form I-129H1 to determine whether the petitioner and foreign national beneficiary are eligible for the H-1B or H-1B1 nonimmigrant classification. The statutory authority is section 101(a)(15)(H), 214(a), 214(c)(1), and 214(g) of the Immigration and Nationality Act (INA); 8 U.S.C. 1101(a)(15)(H), 1184(a), 1184(c)(1), 1184(g), and section 222 of the Immigration Act of 1990 (Pub. L. 101-649). The regulatory authority is 8 CFR 214.2(h).

The collection of this information is also required from a U.S. employer on a petition for an extension of stay or change of status for Free Trade H-1B1 Chile/Singapore nonimmigrants who are in the United States. If the foreign national is outside the United States, a petitioner is not required to file Form I-129H1 with USCIS as the foreign national may apply directly to Department of State for an H-1B1 nonimmigrant visa. A petition is only required to apply for a change to the H-1B1 classification from within the United States or extend a stay if already in H-1B1 classification in the United States.

In addition to the collection of data entries directly on the form, this form also provides the avenue through which employers or agents submit documentary evidence to establish eligibility for the nonimmigrant classification being sought. Biometric information is required to be collected from a beneficiary in the Commonwealth of the Northern Mariana Islands (CNMI) or if requested in accordance with 8 CFR 103.2(b)(9). DHS may collect and store for present or future use, by electronic or other means, the biometric information submitted by an individual. DHS may use this biometric information to conduct background and security checks, adjudicate the nonimmigrant petition, and perform other functions related to administering and enforcing the immigration and nationality laws.

Authority: See section 214 of the INA and 8 CFR 214.2(h).

- 2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.**

USCIS uses the data collected on this form to determine eligibility for the requested nonimmigrant classification and/or requests to extend or change nonimmigrant status. An employer (or agent, where applicable) uses this form to petition USCIS for an alien to temporarily enter as an H-1B or H-1B1 nonimmigrant. An employer (or agent, where applicable) also uses this form to request an extension of stay of an H-1B or H-1B1 nonimmigrant worker or to change the status of an alien currently in the United States as a nonimmigrant to H-1B or H-1B1. The form serves the purpose of standardizing requests for H-1B and H-1B1 nonimmigrant workers, and ensuring that basic information required for assessing eligibility is provided by the petitioner while requesting that beneficiaries be classified under the H-1B or H-1B1 nonimmigrant employment categories. It also assists USCIS in compiling information required by Congress annually to assess effectiveness and utilization of certain nonimmigrant classifications. Data collected on employers is provided to the media, researchers, and the general public on the H-1B Employer Data Hub to provide information on employers petitioning for H-1B workers.

- 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

Form I-129H1 provides the most efficient means for collecting and processing the required data. This form and its instructions reside on the USCIS website at <http://www.uscis.gov/i-129>. The form and the instructions can be downloaded, completed, and saved electronically, but the form must be mailed to USCIS.

- 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.**

USCIS has no other information collections that request the information needed to adjudicate petitions for nonimmigrant workers in an H-1B or H-1B1 classification.

- 5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I), describe any methods used to minimize burden.**

Form I-129H1 may have an impact on small businesses. The form requests only that information which is needed by officers to determine the petitioner's and beneficiary's eligibility. This includes information that will reduce the likelihood that USCIS may need to issue a request for evidence (RFE) to the petitioner upon review of the petition and initial evidence submission. USCIS has included information in the instructions of the Form I-129H1 to provide additional guidance to assist small businesses with the completion of the form as well as guidance for filing multiple petitions.

6. **Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.**

Without Form I-129H1, USCIS would not have the information needed to determine eligibility for the H-1B or H-1B1 classification.

7. **Explain any special circumstances that would cause an information collection to be conducted in a manner:**

- **Requiring respondents to report information to the agency more often than quarterly;**
- **Requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;**
- **Requiring respondents to submit more than an original and two copies of any document;**
- **Requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;**
- **In connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;**
- **Requiring the use of a statistical data classification that has not been reviewed and approved by OMB;**
- **That includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or**
- **Requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.**

This information collection is conducted in a manner consistent with the guidelines in 5 CFR 1320.5(d)(2).

8. **If applicable, provide a copy and identify the data and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address**

comments received on cost and hour burden.

Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years - even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.

On November 14, 2019, USCIS published a Notice of Proposed Rulemaking in the Federal Register at 84 FR 62280. USCIS has not received comments after publishing that notice.

On **XXXXX XX**, 20**XX**, USCIS published a Final Rule in the Federal Register at **XX FR XXXXX**. USCIS **did/did not** receive comments.

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

USCIS does not provide payments or gifts to respondents in exchange for a benefit sought.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation or agency policy.

There is no assurance of confidentiality.

The system of record notices associated with this information collection are:

- DHS/USCIS-001 Alien File, Index, and National File Tracking System of Records to cover the collection, maintenance, and use of information to process petitions for benefits;
- DHS/USCIS-007 Benefits Information System SORN to cover the collection of information from individuals who have filed on the behalf of others petitions for immigration benefits; and
- DHS/USCIS-018 Immigration Biometric and Background Check System of Records, to cover the collection, use, and maintenance of biometric information.

The privacy impact assessments associated with this information collection are:

- DHS/USCIS/PIA-003 Integrated Digitization Document Management Program (b), which covers the forms scanned into EDMS;

- DHS/USCIS/PIA-016 Computer Linked Application Information Management System (CLAIMS 3) and Associated Systems, which covers the processing and adjudication of I-129H1; and
- DHS/USCIS/PIA-061 Benefit Request Intake Process, which covers the processing of I-129H1.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

The only information collection of a sensitive nature is the beneficiary's Social Security Number (SSN), if they have one, Alien Registration Number (A Number), and employer's or applicant's Tax Identification Number. This information is required to ensure proper identification of the each alien beneficiary and helps USCIS positively identify individuals when other available data, such as names and date of birth, is the same as or similar to another individual's information. USCIS currently does not collect fingerprints for Form I-129H1 (with the exception of a very limited number of filings from the CNMI); therefore, this information may be the only way to verify an individual beneficiary's unique identity.

12. Provide estimates of the hour burden of the collection of information. The statement should:

- **Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.**
- **If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I.**
- **Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included in Item 14.**

| Type of Respondent | Form Name / Form Number | No. of Respondents | No. of Responses per Respondent | Total Number of Responses | Avg. Burden per Response (in hours) | Total Annual Burden (in hours) | Avg. Hourly Wage Rate | Total Annual Respondent Cost |
|--|--|--------------------|---------------------------------|---------------------------|-------------------------------------|--------------------------------|-----------------------|------------------------------|
| Business or other for-profit; Not-for-profit organizations | Petition for Nonimmigrant Worker: H-1B Classification (Form I-129H1) | 358,702 | 1 | 358,702 | 4 | 1,434,808 | \$53.95 | \$77,406,457 |
| Total | | | | 358,702 | | 1,434,808 | | \$77,406,457 |

* This Average Hourly Wage Rate is the May 2017 Bureau of Labor Statistics average wage for Business and Financial Operations Occupations of \$36.70 times the wage rate benefit multiplier of 1.47 (to account for benefits provided) equaling \$53.58.

13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information. (Do not include the cost of any hour burden shown in Items 12 and 14).

- **The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life); and (b) a total operation and maintenance and purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.**
- **If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collection services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.**

- **Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995; (2) to achieve regulatory compliance with requirements not associated with the information collection; (3) for reasons other than to provide information or keep records for the government; or, (4) as part of customary and usual business or private practices.**

There are no start-up, maintenance, and operating costs associated with this collection of information. For informational purposes, there is a \$560 fee associated with the filing of this information collection. Certain respondents also need to pay a \$500 Fraud Prevention and Detection Fee. Respondents who must pay the Fraud Detection and Prevention Fee may also be required to pay a \$4,000 H-1B fee mandated by Public Law 114-113 if they meet certain criteria, which are outlined in the Instructions. Respondents may also be required to pay a \$750 or \$1,500 American Competitiveness and Workforce Improvement Act fee to fund the training of U.S. workers.

This information collection may impose some out-of-pocket costs on respondents in addition to the time burden for the form's preparation.

For form preparation, legal services, translators, and document search and generation, USCIS estimates the average cost of this information collection may vary widely, from as little as \$20 to \$1000 per respondent. USCIS estimates that the average cost for these activities is \$515. The total estimated cost to respondents would generate as follows: 358,702 estimated respondents multiplied by the average cost per response of \$515 = **\$184,731,530.**

- 14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 in a single table.**

Government Cost

USCIS establishes its fees using an activity-based costing model to assign costs to an adjudication based on its relative adjudication burden and use of USCIS resources. Fees are established at an amount that is necessary to recover these assigned costs, plus an amount to recover unassigned overhead (which includes the clerical, officer, and managerial time with benefits) and immigration benefits provided for free. As a consequence of USCIS immigration fees being based on resource expenditures related to the benefit in question, USCIS uses the fee associated with an information collection as a reasonable measure of the collection's costs to USCIS. USCIS has established the fee for Form I-129H1 at \$550. USCIS also collects a Fraud Prevention and Detection fee of \$500 from certain respondents, and a \$4,000 fee mandated by Public Law 114-113 from respondents who meet certain criteria outlined in the Instructions. A \$750 or \$1,500 American Competitiveness and Workforce Improvement Act fee to fund the training of

U.S. workers may also be required.

The total estimated cost of the program to USCIS is calculated by multiplying the estimated number of respondents (358,702) by the fee charge (\$560), which equals \$200,873,120. This calculation is repeated for the Fraud Prevention and Detection fee, which is paid by a subset of all H-1B petitioners (19,196 multiplied by \$500 equals \$9,598,000.00). This same subset of H-1B petitioners must also pay the fee mandated by Public Law 114-113, which is \$4,000 (19,196 multiplied by \$4,000 equals \$76,784,000.00). These sums are added together for a total cost to USCIS of **\$287,255,120**. This total includes the suggested average hourly rate for clerical, officer, and supervisory time with benefits, plus a percent for the estimated overhead cost for printing, stocking, and distributing the form.

15. Explain the reasons for any program changes or adjustments reporting in Items 13 or 14 of the OMB Form 83-I.

USCIS is changing the Form number associated with this OMB Control Number from Form I-129 to Form I-129H1. The title of this information collection is changing from “Petition for Nonimmigrant Worker” to “Petition for Nonimmigrant Worker: H-1 Classifications.” This form may now be used only to file petitions for H-1B and H-1B1 nonimmigrants. All other nonimmigrant classification petitions for which Form I-129 was previously used must now be filed using the Forms for those specific classifications.

| Data collection Activity/Instrument | Program Change (hours currently on OMB Inventory) | Program Change (New) | Difference | Adjustment (hours currently on OMB Inventory) | Adjustment (New) | Difference |
|--|--|-----------------------------|-------------------|--|-------------------------|-------------------|
| Petition for Nonimmigrant Worker: H-1 Classifications (Form I-129H1) | | | | 1,072,810 | 1,434,808 | 361,998 |
| Total(s) | | | | 1,072,810 | 1,434,808 | 361,998 |

The annual estimated time burden for this information collection has increased as a result of an increase in the estimated number of respondents from 294,751 to 358,702.

| Data collection Activity/Instrument | Program Change (cost currently on OMB Inventory) | Program Change (New) | Difference | Adjustment (cost currently on OMB Inventory) | Adjustment (New) | Difference |
|--|---|-----------------------------|-------------------|---|-------------------------|----------------------|
| Petition for Nonimmigrant Worker: H-1 Classifications (Form I-129H1) | | | | \$70,681,290 | \$184,731,530 | \$114,050,240 |
| Total(s) | | | | \$70,681,290 | \$184,731,530 | \$114,050,240 |

The annual estimated cost burden for this information collection has increased. USCIS has revised the average estimated cost per respondent for filing this form, and the estimated number of respondents has increased.

- 16. For collections of information whose results will be published, outline plans for tabulation, and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.**

This information collection will not be published for statistical purposes.

- 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.**

USCIS will display the expiration date for OMB approval of this information collection.

- 18. Explain each exception to the certification statement identified in Item 19, “Certification for Paperwork Reduction Act Submission,” of OMB 83-I.**

USCIS does not request an exception to the certification of this information collection.

B. Collections of Information Employing Statistical Methods.

There is no statistical methodology involved with this collection.