## **U.S. IMPORTERS' QUESTIONNAIRE**

#### SILICOMANGANESE FROM CHINA AND UKRAINE

This questionnaire must be received by the Commission by July 27, 2018

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its reviews of the antidumping duty orders concerning silicomanganese from China and Ukraine (inv. Nos. 731-TA-672-673 (Fourth Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm \_

	StateZip Code
Website	
Has your firm ir since January 1,	ported silicomanganese (as defined on the next page) <i>from any country</i> at any time 1012?
NO (S	n the certification below and promptly return only this page of the questionnaire to the Commission)
YES (C	mplete all parts of the question naire, and return the entire question naire to the Commission)
-	naire via the Commission <i>Drop Box</i> by clicking on the following link: usitc.gov/oinv/. (PIN: SIMN)
	CERTIFICATION
_	on herein supplied in response to this questionnaire is complete and correct to the best of derstand that the information submitted is subject to audit and verification by the Commission
e and belief and of this certification on provided in this by the Commission dersigned, acknowing or other proceed (a) for developing and evaluations 3; or (ii) by U.S. opersonnel will sign	derstand that the information submitted is subject to audit and verification by the Commission also grant consent for the Commission, and its employees and contract personnel, to use questionnaire and throughout this proceeding in any other import-injury investigations or revolute on the same or similar merchandise.  In the same of similar merchandise in response to this request for information and throughout ings may be disclosed to and used: (i) by the Commission, its employees and Offices, and cont or maintaining the records of this or a related proceeding, or (b) in internal investigations, auditing to the programs, personnel, and operations of the Commission including under 5 Univernment employees and contract personnel, solely for cybersecurity purposes. I understand that appropriate nondisclosure agreements.
e and belief and of this certification on provided in the law of the Commission of t	derstand that the information submitted is subject to audit and verification by the Commission also grant consent for the Commission, and its employees and contract personnel, to use questionnaire and throughout this proceeding in any other import-injury investigations or revenue on the same or similar merchandise.  dge that information submitted in response to this request for information and throughout lings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract or maintaining the records of this or a related proceeding, or (b) in internal investigations, auditing to the programs, personnel, and operations of the Commission including under 5 Univernment employees and contract personnel, solely for cybersecurity purposes. I understand that

#### PART I.—GENERALINFORMATION

<u>Background</u>.-- On December 22, 1994, the Department of Commerce ("Commerce") issued an antidumping duty order on imports of silicomanganese from China, and on September 17, 2001, Commerce issued an antidumping duty order on imports of silicomanganese from Ukraine. On October 2, 2017, the Commission instituted reviews pursuant to section 751(c) of the Tariff Act of 1930 (19 U.S.C. § 1675(c)) (the Act) to determine whether revocation of the orders would be likely to lead to continuation or recurrence of material injury to the domestic industry within a reasonably foreseeable time. If both the Commission and Commerce make an affirmative determination, the orders will remain in place. If either the Commission or Commerce makes a negative determination, Commerce will revoke the orders. Questionnaires and other information pertinent to this proceeding are available at https://www.usitc.gov/investigations/701731/2017/silicomanganese\_china\_and\_ukraine\_fourth\_revie w/full\_review.htm.

<u>Silicomanganese</u>. -- Silicomanganese, which is sometimes called ferrosilicon manganese, is a ferroalloy composed principally of manganese, silicon and iron, and normally contains much smaller proportions of minor elements, such as carbon, phosphorus, and sulfur. Silicomanganese generally contains by weight not less than 4 percent iron, more than 30 percent manganese, more than 8 percent silicon, and not more than 3 percent phosphorus. All compositions, forms, and sizes of silicomanganese are included within the scope of the order, including silicomanganese slag, fines, and briquettes. Silicomanganese is used primarily in steel production as a source of both silicon and manganese.

Silicomanganese is currently classifiable under subheading 7202.30.00 of the Harmonized Tariff Schedule of the United States ("HTSUS"). Some silicomanganese may also currently be classifiable under HTSUS subheading 7202.99.80. The orders cover all silicomanganese, regardless of its tariff classification. Although the HTSUS subheadings are provided for convenience and customs purposes, the written description of the orders remain dispositive.

<u>Reporting of information</u>.-- If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, purchaser and/or foreign producer questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

<u>Release of information</u>.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and

other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

<u>Valid number error messages.</u>--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Julie Duffy (202-708-2579, julie.duffy@usitc.gov).

<u>D-GRIDS tool.</u>--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macroenabled MS Excel file available for download from the Commission's generic questionnaires webpage (<a href="https://www.usitc.gov/trade\_remedy/question.htm">https://www.usitc.gov/trade\_remedy/question.htm</a>) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

	Dollars		
issues of concern a and as limited as p 40 hours per resp completing and rev We welcome con	re adequately addressible. Public reportionse, including the viewing the question	we been reviewed with market particies sed and that data requests are sufficting burden for this questionnaire is eatime for reviewing instructions, anaire.  The accuracy of this burden estimations for improving this questionnaire.	cient, meaningfuestimated to average gathering data, and the suggestions for the circumstance of the circu
Washington, DC 20	0436.	I to the Office of Investigations, L name and address of establishment(s	
		raded, please specify the stock exchar	•
	facilities operated ir	involved in the <u>importation</u> of silicor conjunction with (whether or not ph	_
<b>Ownership</b> -Is you	ur firm owned, in wh	ole or in part, by any other firm?	
vnershipIs you	ur firm owned, in wh YesList the follow		

Importers' Questionnaire	e - Silicomanganese		Page
foreign, that are enga	<b>xporters.</b> Does your firm laged in importing silicomanganese to the Ur	nganese into the United S	
□ No □ Y	esList the following infor	mation.	
Firm name	Country		Affiliation
Firm name	Country		Affiliation
	sPlease indicate the nature than one answer may be		ng operations on
Importer of record	Takes title to the	Consignee of the	Customs broker o

I-7.	ConsigneesIf your firm is an importer of record of silicomanganese but is not the consignee,
	please list the consignees below (firm name, address, telephone number, and individual to
	contact).

Firm name	Address	Contact person and phone number

I-8. <u>FTZ, TIB, or bonded warehouses</u>.--Please indicate whether your firm enters silicomanganese into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports silicomanganese under the TIB (temporary importation under bond) program.

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

"Bonded warehouse" is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

"Temporary Importation under Bond ("TIB") program" is a procedure whereby, imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule (HTS).

Item	No	Yes
Foreign trade zones		
Bonded warehouses		
Temporary importation under bond		

I-9.	business plan.	In Parts II and III of this questionnaire we request a copy of your company's Does your company or any related firm have a business plan or any internal at describe, discuss, or analyze expected market conditions for silicomanganese?
	□ No	Yes—Please provide the requested documents. If you are not providing the requested documents, please explain why not.
I-10.		tionsTo your knowledge, have the products subject to this proceeding been the other import relief proceedings in the United States or in any other countries?
	□ No	Yes-Please specify.

### PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Julie Duffy (202-708-2579, julie.duffy@usitc.gov). **Supply all data requested on a <u>calendar-year</u> basis**.

II-1.		nation Please identify the responsible aff may contact that individual regardi	e individual and the manner by which ng the confidential information submitted
	Name		
	Title		
	Email		
	Telephone		

II-2a. <u>Changes in operations.</u>--Please indicate whether your firm has experienced any of the following changes in relation to the importation of silicomanganese since January 1, 2012.

Check	k as many as appropriate.	If checked, please describe; leave blank if not applicable.
	Office/warehouse openings	
	Office/warehouse closings	
	Relocations	
	Expansions	
	Acquisitions	
	Consolidations	
	Prolonged shutdowns or importation curtailments	
	Revised labor agreements	
	Other (e.g., technology)	

		such changes and	l provide underlyin	nature, and significa g assumptions, alon or other supporting	
No	Yes	documentations	that address this is	sue.	
for delivery  "Arranged	rafter <b>June</b> i <b>mports"</b> ar merchandi	30, 2018?	your firm has place	ne importation of sili ed an order with a fo scheduled to occur u	reign supplie
for delivery  "Arranged for subject	vafter <b>June</b> imports" al merchandi above.	re imports for which se, but delivery of the s–Fill out the table b	your firm has place nose imports is not s pelow.	ed an order with a fo scheduled to occur u	reign supplie
for delivery  "Arranged for subject date listed	after <b>June</b> imports" ar merchandi above.	30, 2018? re imports for which se, but delivery of the se–Fill out the table books.	your firm has place nose imports is not s	ed an order with a fo scheduled to occur u	reign supplie intil after the
for delivery  "Arranged for subject date listed:  No	after <b>June</b> imports" ar merchandi above.	re imports for which se, but delivery of the s–Fill out the table b	your firm has place nose imports is not s pelow. antity (in short ton	ed an order with a fo scheduled to occur u	reign supplie
for delivery  "Arranged of for subject date listed of the	after <b>June</b> imports" ar merchandi above.	30, 2018? re imports for which se, but delivery of the se–Fill out the table books.	your firm has place nose imports is not s pelow. antity (in short ton	ed an order with a fo scheduled to occur u	reign supplie intil after the

#### **Definitions**

"Imports" – Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

"Import quantities" – Quantities reported should be net of returns.

"Import values" — Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

**"U.S. commercial shipments"**— Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" — Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" – Shipments made to related domestic firms. Such transactions are valued at fair market value.

"Related firm" —A firm that your firm solely or jointly owns, manages, or otherwise controls.

**"Export shipments"**— Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" -- Finished goods inventory, not raw materials or work in progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-5a. <u>Imports from China</u>.--Report your firm's imports and your firm's shipments and inventories of silicomanganese imported from China during the specified periods.

# **CHINA**

	Quantity (in	short tons), valu	ue ( <i>in \$1,000</i> )		
		Calendar year		January	y-June
Item	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports: <sup>1</sup> Quantity (B)					
Value (C)					
U.S. shipments: Commercial shipments: Quantity (D)					
Value (E)					
Internal consumption: <sup>2</sup> Quantity (F)					
Value² (G)					
Transfers to related firms: <sup>2</sup> Quantity (H)					
Value² (I)					
Export shipments: <sup>3</sup> Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
Channels of distribution: U.S. shipments— to distributors (M)					
to steel producers (N)					
to other end users (O)					
<sup>1</sup> Please identify the foreign pro <sup>2</sup> Sales to related firms (includi uses a different basis for valuing the provide value data using that basis	ng internal consur lese sales within y s for each period ic	nption) must be va our company, plea	sespecify that ba		•

#### II-5a. Imports from China.—Continued

<u>RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES</u>.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

		Calendar year			y-June
Reconciliation	2015	2016	2017	2017	2018
A + B - D - F - H - J - L= should equal zero ("0") or					
provide an explanation. <sup>1</sup>	0	0	0	0	0

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:
\_\_\_\_\_.

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for commercial U.S. commercial shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar year January-June			y-June	
Item	2015	2016	2017	2017	2018
M + N + O - D = zero					
("0"), if not revise.	0	0	0	0	0

II-5b. <u>U.S. shipments by grade.</u> – Report the quantity and value of your firm's U.S. shipments by grade during the three year period and specified interim periods.

	Quantity (i	n short tons); v	alue ( <i>in \$1,000</i> )		
		Calendar Year	January-June		
Item	2015	2016	2017	2017	2018
ASTM A:  Quantity(P)					
Value(Q)					
ASTM B:  Quantity(R)					
Value(S)					
ASTM C: Quantity(T)					
Value(U)					
High phosphorus not meeting ASTM standard (more than 0.2% of phosphorus content):  Quantity(V)					
Value(W)					
Other¹:  Quantity(X)					
Value(Y)					
U.S. Shipments:  Quantity(Z)	0	0	0	0	0
Value(AA)	0	0	0	0	0
<sup>1</sup> Indicate the included pro	duct(s):				

<u>RECONCILIATION OF U.S. SHIPMENTS</u>.—Please ensure that the quantities and values reported for US shipments by product type here (i.e., lines P through AA) in each time period equal the quantities and values reported for U.S. shipments (i.e., lines D through I) in each time period. If the calculated fields below return values other than zero (i.e., "O"), the data reported must be revised prior to submission to the Commission.

	Calendar year			January-June		
Item	2015	2016	2017	2017	2018	
Quantity: P+R+T+V						
+ X - D - F - H = zero						
("0"), if not revise.	0	0	0	0	0	
<b>Value:</b> Q + S + U + W +						
Y - E - G - I = zero ("0"),						
if not revise.	0	0	0	0	0	

II-5c. <u>Historical U.S. imports.</u> --Report the quantity your firm's U.S. imports of silicomanganese from China during the specified periods.

Quantity (in short tons)					
Item 2012 2013 2014					
Silicomanganese Quantity					
Value					

II-6a. <u>Imports from Ukraine</u>.--Report your firm's imports and your firm's shipments and inventories of silicomanganese imported from Ukraine during the specified periods.

## **UKRAINE**

	Quantity ( <i>ir</i>	nshort tons), val	ue ( <i>in \$1,000</i> )			
	Calendar year			January-June		
Item	2015	2016	2017	2017	2018	
Beginning-of-period inventories (quantity) (A)						
Imports: <sup>1</sup> Quantity (B)						
Value (C)						
U.S. shipments: Commercial shipments: Quantity (D)						
Value (E)						
Internal consumption: <sup>2</sup> Quantity (F)						
Value² (G)						
Transfers to related firms: <sup>2</sup> Quantity (H)						
Value² (I)						
Export shipments: <sup>3</sup> Quantity (J)						
Value (K)						
End-of-period inventories (quantity) (L)						
Channels of distribution: U.S. shipments— to distributors (M)						
to steel producers (N)						
to other end users (O)						
<sup>1</sup> Please identify the foreign pro <sup>2</sup> Sales to related firms (includir uses a different basis for valuing the provide value data using that basis <sup>3</sup> Identify your firm's principale	ng internal consu ese sales within y for each period i	mption) must be va our company, plea	ase specify that bas			

#### II-6b. Imports from Ukraine.-Continued

<u>RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES</u>.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar year			January-June		
Reconciliation	2015	2016	2017	2017	2018	
A + B - D - F - H - J - L= should equal zero ("0") or						
provide an explanation. <sup>1</sup>	0	0	0	0	0	

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:
\_\_\_\_\_.

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for commercial U.S. commercial shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar year January-June				
Item	2015	2016	2017	2017	2018
M + N + O - D = zero					
("0"), if not revise.	0	0	0	0	0

II-6c. <u>U.S. shipments by grade.</u> – Report the quantity and value of your firm's U.S. shipments by grade during the specified periods.

	Quantity	(in short tons); v	alue ( <i>in \$1,000</i> )		
		Calendar Year		January-June	
Item	2015	2016	2017	2017	2018
ASTM A:  Quantity(P)					
Value(Q)					
ASTM B:  Quantity(R)					
Value(S)					
ASTM C:  Quantity(T)					
Value(U)					
High phosphorus not meeting ASTM standard (more than 0.2% of phosphorus content):  Quantity(V)					
Value(W)					
Other¹:  Quantity(X)					
Value(Y)					
U.S. Shipments:  Quantity(Z)	0	0	0	0	(
Value(AA)	0	0	0	0	(
<sup>1</sup> Indicate the included pro	duct(s):				

<u>RECONCILIATION OF U.S. SHIPMENTS</u>.—Please ensure that the quantities and values reported for US shipments by product type here (i.e., lines P through AA) in each time period equal the quantities and values reported for U.S. shipments (i.e., lines D through I) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar year			January-June		
Item	2015	2016	2017	2017	2018	
Quantity: P+R+T+V						
+ X - D - F - H = zero						
("0"), if not revise.	0	0	0	0	0	
<b>Value:</b> Q + S + U + W +						
Y - E - G - I = zero ("0"),						
if not revise.	0	0	0	0	0	

II-6d. <u>Historical U.S. imports.</u> --Report the quantity your firm's U.S. imports of silicomanganese from Ukraine during the specified periods.

Quantity (in short tons)					
Item 2012 2013 2014					
Silicomanganese Quantity					
Value					

II-7a. Imports from all other sources. -- Report your firm's imports and your firm's shipments and  $inventories\ of\ silicoman ganese\ imported\ from\ \textbf{all\ other\ sources\ combined\ } during\ the\ specified$ periods.

# **ALL OTHER SOURCES COMBINED**

(list sources:	)
•	

	Quantity (in	short tons), valu	ue ( <i>in \$1,000</i> )		
	Calendar year			January-June	
Item	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports: <sup>1</sup> Quantity (B)					
Value (C)					
U.S. shipments:  Commercial shipments:  Quantity (D)					
Value (E)					
Internal consumption: <sup>2</sup> Quantity (F)					
Value² (G)					
Transfers to related firms: <sup>2</sup> Quantity (H)					
Value² (I)					
Export shipments: <sup>3</sup> Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
Channels of distribution: U.S. shipments— to distributors (M)					
to steel producers (N)					
to other end users (O)					
<sup>1</sup> Please identify the foreign pro <sup>2</sup> Sales to related firms (includinuses a different basis for valuing the provide value data using that basis	ng internal consur ese sales within y	nption) must be va our company, plea	se specify that bas		•

<sup>&</sup>lt;sup>3</sup> Identify your firm's principal export markets: \_\_\_\_

#### II-7b. <u>Imports from all other sources</u>.—*Continued*

<u>RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES</u>.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

		Calendar year	January-June		
Reconciliation	2015	2016	2017	2017	2018
A + B - D - F - H - J - L=					
should equal zero ("0") or					
provide an explanation.1	0	0	0	0	0

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate:
\_\_\_\_\_.

<u>RECONCILIATION OF CHANNELS</u>.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for commercial U.S. commercial shipments (i.e., line D) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

		Calendar year	January-June		
Item	2015	2016	2017	2017	2018
M + N + O- D = zero ("0"), if not revise.	0	0	0	0	0

II-7c. <u>U.S. Shipments by grade.</u> – Report the quantity and value of your firm's U.S. shipments by grade during the three year period and specified interim periods.

	Quantity	(in short tons); v	alue ( <i>in \$1,000</i> )		
		Calendar Year	January-June		
Item	2015	2016	2017	2017	2018
ASTM A:  Quantity(P)					
Value(Q)					
ASTM B:  Quantity(R)					
Value(S)					
ASTM C:  Quantity(T)					
Value(U)					
High phosphorus not meeting ASTM standard (more than 0.2% of phosphorus content):  Quantity(V)					
Value(W)					
Other¹:  Quantity(X)					
Value(Y)					
U.S. Shipments:  Quantity(Z)	0	0	0	0	(
Value(AA)	0	0	0	0	(
<sup>1</sup> Indicate the included pro	duct(s):				

<u>RECONCILIATION OF U.S. SHIPMENTS</u>.—Please ensure that the quantities and values reported for US shipments by product type here (i.e., lines P through AA) in each time period equal the quantities and values reported for U.S. shipments (i.e., lines D through I) in each time period. If the calculated fields below return values other than zero (i.e., "O"), the data reported must be revised prior to submission to the Commission.

		Calendar year	January-June		
Item	2015	2016	2017	2017	2018
Quantity: P+R+T+V					
+ X - D - F - H = zero					
("0"), if not revise.	0	0	0	0	0
<b>Value:</b> Q + S + U + W +					
Y - E - G - I = zero ("0"),					
if not revise.	0	0	0	0	0

II-7d. <u>Historical U.S. imports</u>. --Report the quantity your firm's imports of silicomanganese imported from **all other sources combined** during the specified periods.

	Quantity (in short t	tons)			
ltem 2012 2013 2014					
Silicomanganese Quantity					
Value					

For questions II-8 and II-9, if your firm's response differs for particular orders, please indicate a	nd
explain the particular effect of imposition and/or revocation of specific orders.	

operation silicom	ons or organiza	ationWould your firm anticipate any changes in in the character of ition, including its imports, U.S. shipments of imports, or inventories of future if the antidumping duty orders on silicomanganese from Chine revoked?
No	Yes	If yes, supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentations that address this issue.
that did explana	not provide a tion in the spa	If your firm would like to further explain a response to a question in Panarrative response box, please note the question number and the ce provided below. Please also use this space to highlight any issues yhe data in this section, including but not limited to technical issues with an aire.

#### PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Emily Burke (202-205-3191, <a href="maily.Burke@usitc.gov"><u>Emily.Burke@usitc.gov</u></a>).

III-1.	<u>Contact information</u> Please identify the responsible individual and the manner by which
	Commission staff may contact that individual regarding the confidential information submitted
	in part III.

Name	
Title	
Email	
Telephone	

#### PRICE DATA

III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers of the following products your firm imported from China and/or Ukraine:

Product 1.--ASTM grade B bulk silicomanganese sold to steel producers under contracts.

<u>Product 2</u>.--ASTM grade B bulk silicomanganese sold to distributors under contracts.

**Product 3.**--ASTM grade B bulk silicomanganese sold to steel producers as spot sales.

Product 4.--ASTM grade B bulk silicomanganese sold to distributors as spot sales.

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

(a) During January 2015-June 2018, did your firm import from China and/or Ukraine and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

YesPlease complete the following pricing data tables as appropriate.
NoSkip to question III-3.

III-2(b). Price data (China).--Report below the quarterly price data for pricing products imported from China and sold by your firm.

## China

			in short tons,		iliars)		1	
	Product 1		Product 2		Product 3		Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
Ja nuary-March								
April-June								
<sup>1</sup> Net values (i.e., gross goods), f.o.b. your firm's U. <sup>2</sup> Pri ci ng product definit	S. point of ship	ment.			, prepaid freig	tht, and the	value of retu	rned

NoteIf your firm's product does not exactly meet the products pecifications but is competitive with the specified product,
provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data.

provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data.					
Product 1:					
Product 2:					
Product 3:					
Product 4:					

III-2(c). Price data (Ukraine).--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Ukraine and sold by your firm.

Ukraine
Report data in actual short tops and actual dollars (not 1,000s)

		(Quantity	in short tons,	value in do	llars)			
	Product 1		Product 2		Product 3		Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
Ja nuary-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
Ja nuary-March								
April-June								
July-September								
October-December								
2018:								
Ja nuary-March								
April-June								
<sup>1</sup> Net values (i.e., gross goods), f.o.b. your firm's U. <sup>2</sup> Pricing product definit	S. point of ship	ment.			prepaid fre ig	tht, and the	value of re tu	rned

<b>Note.</b> If your firm's product does not exactly meet the product specifications but is competitive with the specified product,
provide a description of the product. Also, please explain any anomalies in your firm's reported pricing data.

provide a description of the product. Also, please explain any a nomalles in your firm's reported pricing data.
Product 1:
Product 2:
Product 3:
Product 4:

U.S. Importers'	Ouestionnaire -	Silicomanganese

III-2 (d). <u>Price data checklist.</u>--Please check that the pricing data in question III-2(b) has been correctly reported.

Is the price data reported above:	√ if Yes
In actual dollars ( <i>not</i> \$1,000) and in actual short tons ( <i>not</i> 1,000 short tons)?	
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	
Net of all discounts and rebates?	
Have returns credited to the quarter in which the sale occurred?	
Less than reported commercial shipments in question II-5 in each year?	

III-2(e). Pricing data methodologyPlea	ase describe the method and the kinds of documents/records
that were used to compile your p	orice data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records
used in the preparation of the price data, as Commission staff may contact your firm regarding
questions on the price data. The Commission may also request that your company submit copies
of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these
data.

tran	by saction	Contracts	Set price lists	Other	If other, describe
					<u> </u>
Disco	unt polic	<b>y</b> Please in	dicate and o	describe yc	our firm's discount policies ( <i>check all the</i>
	antity ounts	Annual total volume discounts	No discount policy	Other	Describe
		are your firm r Ukraine?	n's typical sa		for silicomanganese imported from Ch
a)		Noten	2/10 Het		Other (specify)
a)	Net 30	Net 60 days	30 days	Other	Other (specify)
a)	Net 30		30 days	Other	Other (specify)
(a) (b)	Net 30 days  On wh Ukrain	at basis are y	yourfirm's	prices of im	nported silicomanganese from China a

III-6. <u>Contract versus spot.--</u> Approximately what share of your firm's sales of silicomanganese imported from China and/or Ukraine in 2017 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale					
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	Total (shoul sum to 100.0%	d o
Share of your 2017 sales	%	%	%	%	0.0	%

#### III-7. Contract provisions.—

(a) Please fill out the table regarding your firm's typical sales contracts for silicomanganese from China and/or Ukraine (or check "not applicable" if your firm does not sell on a long-term, short-term, and/or contract basis).

Typical sales contract provisions	ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
	Both			
Meet or release	Yes			
provision	No			
Not applicab	le			

(b) Are contracts indexed to raw material costs?

No	Yes	If yes, describe.

III-8. <u>Lead times.</u>--What is your firm's share of sales of silicomanganese imported from China and/or Ukraine from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of silicomanganese?

Source	Share of 2017 sales	Lead time (Average number of days)
From inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

III-9.	Shipping information
--------	----------------------

(a)	What is the approximate percentage of the cost of silicomanganese imported from China and/or Ukraine that is accounted for by U.S. inland transportation costs?%
(b)	Who generally arranges the transportation to your firm's customers' locations?  Your firm Purchaser (check one)
(c)	When your firm sells silicomanganese imported from China and/or Ukraine, from where is it shipped?  Description Storage facility (check one)
(d)	Indicate the approximate percentage of your sales of silicomanganese imported from China and/or Ukraine that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over1,000 miles	%
Total (should sum to 100.0%)	0.0 %

III-10.	<b>Geographical shipments</b> In which U.S. geographic market area(s) has y	your firm sold
	silicomanganese imported from subject countries since January 1, 2012	(check all that apply)?

Geographicarea	China	Ukraine
NortheastCT, ME, MA, NH, NJ, NY, PA, RI, and VT.		
MidwestIL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.		
Southeast.—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.		
Central Southwest.—AR, LA, OK, and TX.		
Mountains.—AZ, CO, ID, MT, NV, NM, UT, and WY.		
Pacific Coast.—CA, OR, and WA.		
<b>Other</b> .—All other markets in the United States not previously listed, including AK, HI, PR, and VI.		

#### III-11. End uses.--

(a) List the end uses of the silicomanganese that your firm imports. For each end-use product, what percentage of the <u>total cost</u> is accounted for by silicomanganese and other inputs?

	Share of total cost	Total	
			(should sum to
End use product	Silicomanganese	Other inputs	100.0% across)
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

(b) Do ASTM A, ASTM B, ASTM C, and high-phosphorus silicomanganese have different end uses?

No	Yes	If yes, please explain

(c) Have there been any changes in the end uses of silicomanganese since January 1, 2012? Do you anticipate any future changes?

Changes in end uses	No	Yes	Explain
Changes since January 1, 2012			
Anticipated changes			

## U.S. Importers' Questionnaire - Silicomanganese Page 32 III-12. Substitutes.--Can other products be substituted for silicomanganese? (a) No Yes--Please fill out the table. Have changes in the price of this substitute affected the price for silicomanganese? End use in which this Substitute substitute is used No Yes **Explanation** 2. 3.

(b) Have there been any changes in the number or types of products that can be substituted for silicomanganese since January 1, 2012? Do you anticipate any future changes?

Changes in substitutes	No	Yes	Explain
Changes since January 1, 2012			
Anticipated changes			

III-13. <u>Availability of supply</u>.--Has the availability of silicomanganese in the U.S. market changed since January 1, 2012? Do you anticipate any future changes?

Availability in the LLC			Diago avalois nating the countries and rescons for the	
Availability in the U.S.			Please explain, noting the countries and reasons for the	
market	No	Yes	changes.	
Changes since January 1, 2	2012:			
U.Sproduced product				
Imports from China and/or Ukraine				
Imports from all other countries				
Anticipated changes:				
U.Sproduced product				
Imports from China and/or Ukraine				
Imports from all other countries				

III-14. <u>Demand trends.</u>-- Indicate how demand within the United States and outside of the United States (if known) for silicomanganese has changed since January 1, 2012, and how you anticipate demand will change in the future. Explain any trends and describe the principal factors that have affected, and that you anticipate will affect, these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors	
	Demand since January 1, 2012					
Within the United States						
Outside the United States						
Anticipated future demand						
Within the United States						
Outside the United States						

115	Importars'	Ouestionnaire	- Silicomanganese
U.S.	importers	Questionnaire	- Silicomanganese

U.S. Im	porters' Qu	iestionnai	re - S	Silico	omanganes	se Page 34		
III-15.		<u><b>Product changes</b></u> Have there been any significant changes in the product range, product mix, or marketing of silicomanganese since January 1, 2012? Do you anticipate any future changes?						
	Chang product product marke	range, mix, or	No Y	Yes		Explain		
	Changes : January 1							
	Anticipat changes	ed [						
III-16.	Conditions of competition  (a) Is the silicomanganese market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to silicomanganese?							
	Check all	that appl	y.			Please describe.		
	□ No					Skip to question III-17.		
	Yes-Business cycles (e.g. seasonal business)			-				
		Yes-Otho conditio			tive npetition			
	(b) If yes, have there been any changes in the business cycles or conditions of competition for silicomanganese since January 1, 2012?							
	No	Yes	l	If ye	s, describe	· ·		
III-17.	Z. Supply constraintsHas your firm refused, declined, or been unable to supply silicomanganese since January 1, 2012 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?							
	No	Yes	If y	es, p	lease desc	cribe.		

Ш	l-18.	Raw	mate	rials
---	-------	-----	------	-------

(a)	Indicate how silicomanganese raw material prices have changed since January 1, 201
	and how you expect they will change in the future.

Raw materials prices	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for silicomanganese.
Changes since January 1, 2012					
Anticipated changes					

(b) Indicate how silicomanganese energy costs have changed since January 1, 2012, and how you expect they will change in the future.

Energy costs	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how energy cost changes have affected your firm's selling prices for silicomanganese.
Changes since January 1, 2012					
Anticipated changes					

III-19.	<u>Price comparisons</u> Please compare market prices of silicomanganese in U.S. and non-U.S.
	markets if known. Provide information as to time periods and regions for any price comparisons.

III-20. <u>Market studies.</u>--Please provide as a separate attachment to this request any studies, surveys, etc. that you are aware of that quantify and/or otherwise discuss silicomanganese supply (including production capacity and capacity utilization) and demand in (1) the United States, (2) each of the other major producing/consuming countries, including China and Ukraine, and (3) the world as a whole. Of particular interest is such data from 2012 to the present and forecasts for the future.

III-21. <u>Interchangeability.--</u>Is silicomanganese produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = no familiarity with products from a specified country-pair

Country-pair	China	Ukraine	Other countries
United States			
China			
Ukraine			

For any country-pair producing silicomanganese that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

III-22. Factors other than price.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, etc.) between silicomanganese produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = no familiarity with products from a specified country-pair

0 - no jum	marity with products from	пазро	zerrea	country pair	
Country-pair	China		Ukr	aine	Other countries
United States					
China					
Ukraine			>		
factor in your firm	air for which factors other 's sales of silicomangane advantages imparted by	ese, ide	ntify th		quently are a significant pair and report the
II-23. Effect of 232 investi	gation of steel on condit	ions o	fcomp	etition	
of the Trade Exp products and the	ansion Act of 1962 as am	nende	d (19 U.	S.C. § 1862)	ed States under section 232 on imports of certain steel or the subsequent imposition
☐ No (skip to II	l-24)	ease fil	outth	e table.	
subsequentissu	ement of the section 23 ance of proclamations or conditions of competiti	n certa	in impo	orted steel p	roducts beginning in March
		No	Yes	If yes, desc	ribe.
Announcement of t investigation in <b>Ap</b>					
Issuance of proclan imported steel pro- <b>March 2018</b>					

III-24.	Other explanationsIf your firm would like to further explain a response to a question in Part II that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

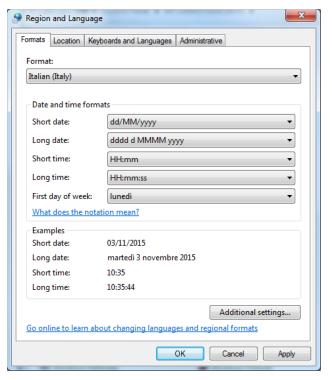
Correcting Valid number error messages.—If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The US International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

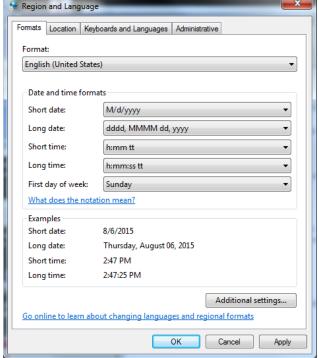
The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the questionnaire. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.





# **HOW TO FILE YOUR QUESTIONNAIRE RESPONSE**

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://www.usitc.gov/investigations/701731/2017/silicomanganese china and ukrain e\_fourth\_review/full\_review.htm

**Please do not attempt to modify the format or permissions of the questionnaire document.** Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box.</u>—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> Pin: SIMN

• E-mail.—E-mail the MS Word questionnaire to julie.duffy@usitc.gov; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

**If your firm** <u>does not</u> **import this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.