

U.S. IMPORTERS' QUESTIONNAIRE

COMMON ALLOY ALUMINUM SHEET FROM CHINA

This questionnaire must be received by the Commission by **August 31, 2018**

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping duty investigations concerning common alloy aluminum sheet from China (Inv. Nos. 701-TA-591 and 731-TA-1399 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Name of firm _____

Address _____

City _____ State _____ Zip Code _____

Website _____

Has your firm imported common alloy aluminum sheet ("CAAS") or aluminum can stock (as defined on next page) **from any country at any time** since January 1, 2015?

NO (Sign the certification below and promptly return **only** this page of the questionnaire to the Commission)

YES (Complete all parts of the questionnaire, and return the entire questionnaire to the Commission)

Return questionnaire via the U.S. International Trade Commission *Drop Box* by clicking on the following link: <https://dropbox.usitc.gov/oinv/>. (PIN: **CAAS**)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By means of this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.

I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this proceeding or other proceedings may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.

Name of Authorized Official

Title of Authorized Official

Date

Signature

Phone:

Email address

PART I.—GENERAL INFORMATION

Background.--This proceeding was instituted in response to a notification of investigations self-initiated by the U.S. Department of Commerce ("Commerce"). That notification was deemed by the Commission as having been filed on December 1, 2017. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if Commerce makes an affirmative determination of subsidization and dumping. Questionnaires and other information pertinent to this proceeding are available at:

https://www.usitc.gov/investigations/701731/2018/common_alloy_aluminum_sheet_china/final.htm

Common alloy aluminum sheet ("CAAS").-- The merchandise covered by these investigations is common alloy aluminum sheet ("CAAS"), which is a flat-rolled aluminum product having a thickness of 6.3 mm or less, but greater than 0.2 mm, in coils or cut-to-length, regardless of width. CAAS within the scope of this proceeding includes both not clad aluminum sheet, as well as multi-alloy, clad aluminum sheet. With respect to not clad aluminum sheet, CAAS is manufactured from a 1XXX-, 3XXX-, or 5XXX-series alloy as designated by the Aluminum Association. With respect to multi-alloy, clad aluminum sheet, CAAS is produced from a 3XXX-series core, to which cladding layers are applied to either one or both sides of the core.

CAAS may be made to ASTM specification B209-14, but can also be made to other specifications. Regardless of specification, however, all CAAS meeting the scope description is included in the scope. Subject merchandise includes CAAS that has been further processed in a third country, including but not limited to annealing, tempering, painting, varnishing, trimming, cutting, punching, and/or slitting, or any other processing that would not otherwise remove the merchandise from the scope of the investigations if performed in the country of manufacture of the CAAS.

Excluded from the scope of these investigations is aluminum can stock (as defined below).

Where the nominal and actual measurements vary, a product is within the scope if application of either the nominal or actual measurement would place it within the scope based on the definitions set for the above.

CAAS is currently classifiable under Harmonized Tariff Schedule of the United States ("HTSUS") statistical reporting numbers 7606.11.3060, 7606.11.6000, 7606.12.3090, 7606.12.6000, 7606.91.3090, 7606.91.6080, 7606.92.3090, and 7606.92.6080. Further, merchandise that falls within the scope of these investigations may also be entered into the United States under HTSUS statistical reporting numbers 7606.11.3030, 7606.12.3030, 7606.91.3060, 7606.91.6040, 7606.92.3060, 7606.92.6040, 7607.11.9090. Although the HTSUS statistical reporting numbers are provided for convenience and customs purposes, the written description of the scope of these investigations is dispositive.

Aluminum can stock.--Aluminum can stock is aluminum sheet or foil suitable for use in the manufacture of aluminum beverage cans bodies ("body stock"), lids of such cans, or tabs ("lid stock") used to open such cans. Aluminum can stock, for the purposes of data gathered in this questionnaire, is limited to gauges that range from 0.200 mm to 0.292 mm (i.e., thicknesses otherwise matching the in-scope thicknesses for CAAS). Most aluminum can stock is produced with an H-19, H-41, H-48, or H-391 temper. In addition, aluminum can stock may have a lubricant applied to its flat surfaces to facilitate its movement through machines used in the manufacture of beverage cans. Aluminum can stock is properly classified under HTSUS statistical reporting numbers 7606.12.3045 (body stock) and 7606.12.3055 (lid stock).

Aluminum foil.--Aluminum foil is defined as aluminum with a thickness of 0.2 mm or less.

Aluminum plate.--Aluminum plate is defined as aluminum with a thickness of greater than 6.3 mm.

Brazing aluminum tube stock ("brazing stock").--Aluminum brazing tube stock ("brazing stock") is defined as a composite material consisting of multiple sheets of aluminum alloy metallurgically bonded to one another, with the center or "core" alloy generally being much thicker than the outer "clad" (or "filler") layers. It consists of a high-end, often proprietary, core alloy and one or more layers of braze clad. The material is typically 0.05mm to 1.0mm in thickness, of which the cladding generally represents 10% ± 2%. Aluminum brazing tube stock is used in such applications as automotive heat exchangers (HEX) and heating, ventilation, and air conditioning (HVAC) equipment.

This definition of brazing stock covers both "in-scope brazing stock" and "out-of-scope brazing stock". In-scope brazing stock is defined as brazing stock meeting the scope thickness range (i.e. having a thickness of 6.3mm or less, but greater than 0.2mm), and data relating to in-scope brazing stock should be reported generally as a subset of data reported in parts II, III, and IV of this questionnaire, and on a stand-alone basis in part V of this questionnaire. Out-of-scope brazing stock is defined as brazing stock having a thickness of 0.2mm or less, and data relating to out-of-scope brazing stock should NOT be reported in most of this questionnaire, with the notable exception of question II-17 dedicated to this merchandise

Importer.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing CAAS (as defined above) into the United States from a foreign manufacturer or through its selling agent.

Reporting of information.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

Confidentiality.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

Verification.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

Release of information.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

Valid number error messages.--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Nathanael Comly (202-205-3174, nathanael.comly@usitc.gov).

D-GRIDS tool.--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macro-enabled MS Excel file available for download from the Commission's generic questionnaires webpage (https://www.usitc.gov/trade_remedy/question.htm) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is *optional*. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1. **OMB statistics.**--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2. **Establishments covered.**--Provide the name and address of establishment(s) covered by this questionnaire. If your firm is publicly traded, please specify the stock exchange and trading symbol.

"Establishment"--Each facility of a firm involved in the importation of CAAS, including auxiliary facilities operated in conjunction with (whether or not physically separate from) such facilities.

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I-3. **Ownership.**--Is your firm owned, in whole or in part, by any other firm?

No Yes--List the following information

Firm name	Address	Extent of ownership (percent)

I-4. **Related importers/exporters.**--Does your firm have any related firms, either domestic or foreign, that are engaged in importing CAAS from China into the United States or that are engaged in exporting CAAS from China to the United States?

No Yes--List the following information.

Firm name	Country	Affiliation

I-5. **Related producers.**--Does your firm have any related firms, either domestic or foreign, that are engaged in the production of CAAS?

No Yes--List the following information.

Firm name	Country	Affiliation

I-6. **Importing operations.**--Please indicate the nature of your firm's importing operations on CAAS. More than one answer may be applicable.

Importer of record	Takes title to the imported product(s)	Consignee of the imported products(s)	Customs broker or freight forwarder
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I-7. **Consignee.**--If your firm is an importer of record of CAAS but is not the consignee, please list the consignees below (firm name, address, telephone number, and individual to contact).

Firm name	Address	Contact person and phone number

I-8. **FTZ, TIB, or bonded warehouses.**--Please indicate whether your firm enters CAAS into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports CAAS under the TIB (temporary importation under bond) program.

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designed as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

"Bonded warehouse" is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

"Temporary Importation under Bond ("TIB") program" is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule of the United States (HTS).

Item	No	Yes
Foreign trade zones	<input type="checkbox"/>	<input type="checkbox"/>
Bonded warehouses	<input type="checkbox"/>	<input type="checkbox"/>
Temporary importation under bond	<input type="checkbox"/>	<input type="checkbox"/>

I-9. **Other trade actions.**--To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No	Yes	If yes, please specify.
<input type="checkbox"/>	<input type="checkbox"/>	

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Nathanael Comly (202-205-3174, nathanael.comly@usitc.gov). **Supply all data requested on a calendar-year basis.**

- II-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.

Name	
Title	
Email	
Telephone	

- II-2. **Changes in operations.**--Please indicate whether your firm has experienced any of the following changes in relation to the importation of CAAS since January 1, 2015.

<i>(check as many as appropriate)</i>		<i>(If checked, please describe; leave blank if not applicable)</i>
<input type="checkbox"/>	Office/warehouse openings	
<input type="checkbox"/>	Office/warehouse closings	
<input type="checkbox"/>	Relocations	
<input type="checkbox"/>	Expansions	
<input type="checkbox"/>	Acquisitions	
<input type="checkbox"/>	Consolidations	
<input type="checkbox"/>	Prolonged shutdowns or importation curtailments	
<input type="checkbox"/>	Revised labor agreements	
<input type="checkbox"/>	Other (e.g., technology)	

II-3a. **Arranged imports.**--Has your firm imported or arranged for the importation of CAAS for delivery after **June 30, 2018**?

"Arranged imports" are imports for which your firm has placed an order with a foreign supplier for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above.

No	Yes	If yes, fill out the table below.
<input type="checkbox"/>	<input type="checkbox"/>	

Quantity (in short tons)				
Period/Source	Jul-Sept 2018	Oct-Dec 2018	Jan-Mar 2019	Apr-Jun 2019
China				
Canada				
Other sources				

II-3b. **Imports in the 12 month period preceding the petition.**--Has your firm imported CAAS from any source between December 1, 2016 and November 30, 2017? (i.e., the last month in 2016 and first eleven months in 2017 combined)

No	Yes	If yes, report the quantity of such imports below by type and by source.
<input type="checkbox"/>	<input type="checkbox"/>	

Quantity (in short tons)		
Source	December 2016 through November 2017	
	In-scope brazing stock	All other forms of CAAS
China		
Canada		
Other sources		

II-4. **Reasons for importing if producer.**--If your firm also produces CAAS in the United States, please indicate the reasons for importing this product. If your firm's reasons differ by source, please elaborate.

Definitions

"Imports" –Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty) or consignee (i.e., to which the merchandise was first delivered).

"Import quantities" –Quantities reported should be net of returns.

"Import values" –Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

"U.S. commercial shipments" – Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" –Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" –Shipments made to related domestic firms. Such transactions are valued at fair market value.

"Related firm" –A firm that your firm solely or jointly owns, manages, or otherwise controls.

"Export shipments" – Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" –Finished goods inventory, not raw materials or work in progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-5a. **Imports from China.**—Report your firm’s imports and your firm’s shipments and inventories of CAAS imported from China by your firm during the specified periods.

CHINA

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports:¹					
Entering under Primary HTS statistical reporting numbers:² Quantity (B)					
Value (C)					
Entering under other HTS statistical reporting numbers:³ Quantity (D)					
Value (E)					
U.S. shipments:					
Commercial shipments: Quantity (F)					
Value (G)					
Internal consumption: Quantity (H)					
Value ² (I)					
Transfers to related firms:⁴ Quantity (J)					
Value ² (K)					
Export shipments:⁵ Quantity (L)					
Value (M)					
End-of-period inventories (quantity) (N)					

¹ Please identify the foreign producers, if known: _____.

² Primary HTS statistical report numbers include 7606.11.3060, 7606.11.6000, 7606.12.3090, 7606.12.6000, 7606.91.3090, 7606.91.6080, 7606.92.3090, or 7606.92.6080.

³ Please identify the specific other HTS statistical reporting numbers which your firm classifies its imports of CAAS: _____.

⁴ Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

⁵ Identify your firm’s principal export markets: _____.

II-5a. Imports from China.--Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., lines B and D), less total shipments (i.e., lines F, H, J and N). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B + D - F - H - J - L - N = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-5b. Channels of distribution: CHINA--Report your firms U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S. imports from China by channel of distribution during the specified periods.

CHINA

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (O)					
To converters (P)					
To end users (Q)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines O, P, and Q) in each time period equal the quantity reported for U.S. shipments (i.e., line F, H, and J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
O + P + Q - F - H - J = zero ("0"), if not revise.	0	0	0	0	0

II-5c. **U.S. shipments by product type: China.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports of CAAS from China.

CHINA

Quantity (in short tons), value (in \$1,000)					
Item	Calendar year			January-June	
	2015	2016	2017	2017	2018
U.S. shipments.--					
Non-clad 1XXX series:					
Quantity (R)					
Value (S)					
Non-clad 3XXX series:					
Quantity (T)					
Value (U)					
Non-clad 5XXX series:					
Quantity (V)					
Value (W)					
Clad or multi-alloy:					
Quantity (X)					
Value (Y)					
All other in-scope products: ¹					
Quantity (Z)					
Value (AA)					
U.S. shipments:					
Quantity	0	0	0	0	0
Value	0	0	0	0	0
¹ Describe these products:					

RECONCILIATION OF U.S. SHIPMENTS.--Please ensure that the quantities and values reported for US shipments by product type (i.e., lines R through AA) equal the quantity and value reported for U.S. shipments (i.e., lines F through K) in part "a" of this question. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity: R + T + V + X + Z – F – H – J = zero ("0"), if not revise.	0	0	0	0	0
Value: S + U + W + Y + AA– G – I – K = zero ("0"), if not revise.	0	0	0	0	0

II-5d. **Wider width CAAS: China.**--Has your firm sold imported CAAS from China that is 72 inches or wider at any time since January 1, 2015?

- No Yes--Report the following data related to your firm's U.S. shipments of imports of CAAS 72 inches or wider (such data should be reported using the same valuation basis as U.S. shipments in II-5a).

Quantity (in short tons) and value (in \$1,000)					
Item¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ Subset of data reported in question II-5a.					

II-5e. **CAAS between 0.200 mm to 0.292mm: China.**--Has your firm sold imported CAAS from China with gauges between 0.200 mm to 0.292 mm at any time since January 1, 2015?

- No Yes-- Please report the following data related to your firm's U.S. shipments of imports of CAAS with gauges between 0.200 mm to 0.292 mm.

Quantity (in short tons) and value (in \$1,000)					
Item¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ Subset of data reported in question II-5a.					

II-6a. **Imports from Canada.**—Report your firm's imports and your firm's shipments and inventories of CAAS imported from Canada by your firm during the specified periods.

CANADA

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories <i>(quantity)</i> (A)					
Imports:¹					
Entering under Primary HTS statistical reporting numbers:² <i>Quantity</i> (B)					
<i>Value</i> (C)					
Entering under other HTS statistical reporting numbers:³ <i>Quantity</i> (D)					
<i>Value</i> (E)					
U.S. shipments:					
Commercial shipments: <i>Quantity</i> (F)					
<i>Value</i> (G)					
Internal consumption: <i>Quantity</i> (H)					
<i>Value</i> ² (I)					
Transfers to related firms:⁴ <i>Quantity</i> (J)					
<i>Value</i> ² (K)					
Export shipments:⁵ <i>Quantity</i> (L)					
<i>Value</i> (M)					
End-of-period inventories <i>(quantity)</i> (N)					

¹ Please identify the foreign producers, if known: _____.

² Primary HTS statistical report numbers include 7606.11.3060, 7606.11.6000, 7606.12.3090, 7606.12.6000, 7606.91.3090, 7606.91.6080, 7606.92.3090, or 7606.92.6080.

³ Please identify the specific other HTS statistical reporting numbers which your firm classifies its imports of CAAS: _____.

⁴ Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

⁵ Identify your firm's principal export markets: _____.

II-6a. Imports from Canada.--Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., lines B and D), less total shipments (i.e., lines F, H, J and N). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B +D – F – H – J –L - N = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-6b. Channels of distribution: CANADA--Report your firms U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S. imports from Canada by channel of distribution during the specified periods.

CANADA

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (O)					
To converters (P)					
To end users (Q)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines O, P, and Q) in each time period equal the quantity reported for U.S. shipments (i.e., line F, H, and J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
O + P + Q – F – H – J = zero ("0"), if not revise.	0	0	0	0	0

II-6c. **U.S. shipments by product type: Canada.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports of CAAS from Canada.

CANADA

Quantity (in short tons), value (in \$1,000)					
Item	Calendar year			January-June	
	2015	2016	2017	2017	2018
U.S. shipments.--					
Non-clad 1XXX series: Quantity (R)					
Value (S)					
Non-clad 3XXX series: Quantity (T)					
Value (U)					
Non-clad 5XXX series: Quantity (V)					
Value (W)					
Clad or multi-alloy: Quantity (X)					
Value (Y)					
All other in-scope products: ¹ Quantity (Z)					
Value (AA)					
U.S. shipments: Quantity	0	0	0	0	0
Value	0	0	0	0	0
¹ Describe these products:					

RECONCILIATION OF U.S. SHIPMENTS.--Please ensure that the quantities and values reported for US shipments by product type (i.e., lines R through AA) equal the quantity and value reported for U.S. shipments (i.e., lines F through K) in part "a" of this question. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity: R + T + V + X + Z - F - H - J = zero ("0"), if not revise.	0	0	0	0	0
Value: S + U + W + Y + AA - G - I - K = zero ("0"), if not revise.	0	0	0	0	0

II-6d. **Wider width CAAS: Canada.**--Has your firm sold imported CAAS from Canada that is 72 inches or wider at any time since January 1, 2015?

- No Yes--Report the following data related to your firm's U.S. shipments of imports of CAAS 72 inches or wider (such data should be reported using the same valuation basis as U.S. shipments in II-6a).

Quantity (in short tons) and value (in \$1,000)					
Item¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ Subset of data reported in question II-6a.					

II-6e. **CAAS between 0.200 mm to 0.292mm: Canada.**--Has your firm sold imported CAAS from Canada with gauges between 0.200 mm to 0.292 mm at any time since January 1, 2015?

- No Yes-- Please report the following data related to your firm's U.S. shipments of imports of CAAS with gauges between 0.200 mm to 0.292 mm.

Quantity (in short tons) and value (in \$1,000)					
Item¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ Subset of data reported in question II-6a.					

II-7a. **Imports from all other sources.**—Report your firm’s imports and your firm’s shipments and inventories of CAAS imported from **all other sources (i.e. any source other than China or Canada)** by your firm during the specified periods.

ALL OTHER SOURCES

(list sources: _____)

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports:¹					
Entering under Primary HTS statistical reporting numbers:² Quantity (B)					
Value (C)					
Entering under other HTS statistical reporting numbers:³ Quantity (D)					
Value (E)					
U.S. shipments:					
Commercial shipments: Quantity (F)					
Value (G)					
Internal consumption: Quantity (H)					
Value ² (I)					
Transfers to related firms:⁴ Quantity (J)					
Value ² (K)					
Export shipments:⁵ Quantity (L)					
Value (M)					
End-of-period inventories (quantity) (N)					

¹ Please identify the foreign producers, if known: _____.

² Primary HTS statistical report numbers include 7606.11.3060, 7606.11.6000, 7606.12.3090, 7606.12.6000, 7606.91.3090, 7606.91.6080, 7606.92.3090, or 7606.92.6080.

³ Please identify the specific other HTS statistical reporting numbers which your firm classifies its imports of CAAS: _____.

⁴ Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

⁵ Identify your firm’s principal export markets: _____.

II-7a. Imports from all other sources.--Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., lines B and D), less total shipments (i.e., lines F, H, J and N). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B + D - F - H - J - L - N = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

II-7b. Channels of distribution: All other sources--Report your firms U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S. imports from all other sources by channel of distribution during the specified periods.

ALL OTHER SOURCES

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (O)					
To converters (P)					
To end users (Q)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines O, P, and Q) in each time period equal the quantity reported for U.S. shipments (i.e., line F, H, and J) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
O + P + Q - F - H - J = zero ("0"), if not revise.	0	0	0	0	0

II-7c. **U.S. shipments by product type: All other sources.**--Report your firm's U.S. shipments (i.e. inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of imports of CAAS from all other sources.

ALL OTHER SOURCES

Quantity (in short tons), value (in \$1,000)					
Item	Calendar year			January-June	
	2015	2016	2017	2017	2018
U.S. shipments.--					
Non-clad 1XXX series: Quantity (R)					
Value (S)					
Non-clad 3XXX series: Quantity (T)					
Value (U)					
Non-clad 5XXX series: Quantity (V)					
Value (W)					
Clad or multi-alloy: Quantity (X)					
Value (Y)					
All other in-scope products: ¹ Quantity (Z)					
Value (AA)					
U.S. shipments: Quantity	0	0	0	0	0
Value	0	0	0	0	0
¹ Describe these products:					

RECONCILIATION OF U.S. SHIPMENTS.--Please ensure that the quantities and values reported for US shipments by product type (i.e., lines R through AA) equal the quantity and value reported for U.S. shipments (i.e., lines F through K) in part "a" of this question. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity: R + T + V + X + Z – F – H – J = zero ("0"), if not revise.	0	0	0	0	0
Value: S + U + W + Y + AA– G – I – K = zero ("0"), if not revise.	0	0	0	0	0

II-7d. **Wider width CAAS: All other sources.**--Has your firm sold imported CAAS from all other sources that is 72 inches or wider at any time since January 1, 2015?

- No Yes--Report the following data related to your firm's U.S. shipments of imports of CAAS 72 inches or wider (such data should be reported using the same valuation basis as U.S. shipments in II-7a).

Quantity (in short tons) and value (in \$1,000)					
Item ¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ Subset of data reported in question II-7a.					

II-7e. **CAAS between 0.200 mm to 0.292mm: All other sources.**--Has your firm sold imported CAAS from all other sources with gauges between 0.200 mm to 0.292 mm at any time since January 1, 2015?

- No Yes-- Please report the following data related to your firm's U.S. shipments of imports of CAAS with gauges between 0.200 mm to 0.292 mm.

Quantity (in short tons) and value (in \$1,000)					
Item ¹	Calendar years			January-June	
	2015	2016	2017	2017	2018
U.S. shipments:					
<i>Quantity</i>					
<i>Value</i>					
¹ Subset of data reported in question II-7a.					

II-8. **Monthly U.S. imports.**--Report your firm's U.S. imports of CAAS from China by month and supplier.

China

Year / month	Chalco-SWA Cold Rolling Co., Ltd.	Chalco Ruimin Co., Ltd.	Yongjie Companies ¹	Zhejiang GKO Aluminum Stock Co., Ltd.	Mingtai Companies ²	All others
	Quantity (in short tons)					
2017:						
July						
August						
September						
October						
November						
December						
2018:						
January						
February						
March						
April						
May						
June						
¹ Consists of Nanjie Resources Co., Limited, Yong Jie New Material Co., Ltd., and Zhejiang Yongjie Aluminum Co., Ltd. ² Consists of Henan Mingtai Al Industrial Co., Ltd. and Zhengzhou Mingtai Industry Co., Ltd.						

II-9. **Import characteristics.**--Please indicate whether any of your firm's U.S. imports of in-scope CAAS and/or aluminum can stock (as defined on page 2) have any of the following characteristics (check all that apply).

Characteristic	Any in-scope CAAS	Any aluminum can stock
H-19 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-41 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-48 temper	<input type="checkbox"/>	<input type="checkbox"/>
H-391 temper	<input type="checkbox"/>	<input type="checkbox"/>
Lubricant applied to the flat surface to facilitate movement through machines used in manufacturing	<input type="checkbox"/>	<input type="checkbox"/>

II-10. **Other explanations.**--If your firm would like to further explain a response to a question in Part II that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Emily Burke (202-205-3191, emily.burke@usitc.gov).

III-1. **Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	

PRICE DATA

III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2015 of the following products your firm imported from China or Canada:

Product 1.--Alloy 5052, H-32 temper, non-clad, 0.063 inch thickness, 48 inches wide.

Product 2.--Alloy 5052, H-32 temper, non-clad, 0.080 inch thickness, 48 inches wide.

Product 3.--Alloy 5052, H-32 temper, non-clad, 0.125 inch thickness, 48 inches wide.

Product 4.--Alloy 5052, H-32 temper, non-clad, 0.125 inch thickness, 60 inches wide.

Product 5.--Alloy 3003, H-14 temper, non-clad, 0.090 inch thickness, 48 inches wide.

Product 6.--Alloy 3003, H-14 temper, non-clad, 0.125 inch thickness, 48 inches wide.

Product 7.--Alloy 3003, H-14 temper, non-clad, 0.125 inch thickness, 60 inches wide.

Product 8.--Alloy 3003 modified, 4343 5% one side clad, O temper, 0.063 inch thickness, 24 inches wide.

Please note that values should be f.o.b., U.S. point of shipment and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

III-2a. During January 2015-June 2018, did your firm import from China or Canada and sell to unrelated U.S. customers any of the above listed products (or any products that were competitive with these products)?

<input type="checkbox"/>	Yes. --Please complete the following pricing data tables as appropriate.
<input type="checkbox"/>	No. --Skip to question III-3.

III-2b. **Price data.**--Report below the quarterly price data¹ for pricing products² imported from China and sold by your firm.

China

Report data in pounds (not short tons) and actual dollars (not 1,000s).

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:
 Product 2:
 Product 3:
 Product 4:

III-2b. **Price data.--Continued**

China

Report data in pounds (not short tons) and actual dollars (not 1,000s).

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 5		Product 6		Product 7		Product 8	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:

Product 6:

Product 7:

Product 8:

III-2c. **Price data.**--Report below the quarterly price data¹ for pricing products² imported from Canada and sold by your firm.

Canada

Report data in pounds (not short tons) and actual dollars (not 1,000s).

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 1		Product 2		Product 3		Product 4	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 1:
 Product 2:
 Product 3:
 Product 4:

III-2c. **Price data.--Continued**

Canada

Report data in pounds (not short tons) and actual dollars (not 1,000s).

<i>(Quantity in pounds, value in dollars)</i>								
Period of shipment	Product 5		Product 6		Product 7		Product 8	
	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2015:								
January-March								
April-June								
July-September								
October-December								
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								

¹ Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

² Pricing product definitions are provided on the first page of Part III.

Note.--If your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide a description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.

Product 5:
 Product 6:
 Product 7:
 Product 8:

III-2d. **Price data checklist.**--Please check that the pricing data in question III-2b and III-2c has been correctly reported.

Is the price data reported above:	√ if Yes
In actual dollars (<i>not</i> \$1,000)	<input type="checkbox"/>
In pounds (<i>not</i> short tons)?	<input type="checkbox"/>
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	<input type="checkbox"/>
Net of all discounts and rebates?	<input type="checkbox"/>
Have returns credited to the quarter in which the sale occurred?	<input type="checkbox"/>
Less than reported commercial shipments in questions II-5a (compared to III-2b) and II-6a (compared to III-2c) in each year?	<input type="checkbox"/>

III-2e. **Pricing data methodology.**--Please describe the method and the kinds of documents/records that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

III-3. **Price setting.**--How does your firm determine the prices that it charges for sales of CAAS (*check all that apply*)? If your firm issues price lists, please submit sample pages of a recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-4. **Discount policy.**--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-5. **Pricing terms.**--

(a) What are your firm's typical sales terms for CAAS imported from China?

Net 30 days	Net 60 days	2/10 net 30 days	Other	Other (specify)
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) On what basis are your firm's prices of imported CAAS from China usually quoted (*check one*)?

Delivered	F.o.b.	If f.o.b., specify point
<input type="checkbox"/>	<input type="checkbox"/>	

III-6. **Contract versus spot.**--Approximately what share of your firm's sales of CAAS imported from China in 2017 was on a (1) long-term contract basis, (2) annual contract basis, (3) short-term contract basis, and (4) spot sales basis?

	Type of sale				Total (should sum to 100.0%)
	Long-term contracts (multiple deliveries for more than 12 months)	Annual contracts (multiple deliveries for 12 months)	Short-term contracts (multiple deliveries for less than 12 months)	Spot sales (for a single delivery)	
Share of 2017 sales	%	%	%	%	0.0 %

III-7. **Contract provisions.**--Please fill out the table regarding your firm's typical sales contracts for CAAS from China (or check "not applicable" if your firm does not sell on a long-term, short-term and/or annual contract basis).

Typical sales contract provisions	Item	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	<i>No. of days</i>		365	
Price renegotiation (during contract period)	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed quantity and/or price	<i>Quantity</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Price</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>Both</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Meet or release provision	<i>Yes</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<i>No</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not applicable		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

III-8. **Lead times.**--What share of your firms sales of CAAS imported from China is from inventory and produced to order, and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of CAAS?

Source	Share of 2017 sales	Lead time (Average number of days)
From your firm's U.S. inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

III-9. **Shipping information.**--

- (a) What is the approximate percentage of the cost of CAAS imported from China that is accounted for by U.S. inland transportation costs? percent.

- (b) Who generally arranges the transportation to your firm's customers' locations?
 Your firm Purchaser (*check one*)

- (c) When your firm sells CAAS imported from China, from where is it shipped?
 Point of importation Storage facility (*check one*)

- (d) Indicate the approximate percentage of your firm's sales of CAAS imported from China that are delivered the following distances from your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

III-10. **Geographical shipments.**--In which U.S. geographic market area(s) has your firm sold CAAS imported from subject countries since January 1, 2015 (check all that apply)?

Geographic area	China
Northeast. --CT, ME, MA, NH, NJ, NY, PA, RI, and VT.	<input type="checkbox"/>
Midwest. --IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.	<input type="checkbox"/>
Southeast. --AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.	<input type="checkbox"/>
Central Southwest. --AR, LA, OK, and TX.	<input type="checkbox"/>
Mountains. --AZ, CO, ID, MT, NV, NM, UT, and WY.	<input type="checkbox"/>
Pacific Coast. --CA, OR, and WA.	<input type="checkbox"/>
Other. --All other markets in the United States not previously listed, including AK, HI, PR, and VI.	<input type="checkbox"/>

III-11. **End uses.**--List the end uses of the CAAS that your firm imports. For each end-use product, what percentage of the total cost is accounted for by CAAS and other inputs?

End-use product	Share of total cost of end-use product accounted for by		Total (should sum to 100.0% across)
	CAAS	Other inputs	
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

III-12. **Substitutes.**--Can other products be substituted for CAAS?

No Yes--Please fill out the table.

Substitute	End use in which this substitute is used	Have changes in the price of this substitute affected the price for CAAS?		
		No	Yes	Explanation
1.		<input type="checkbox"/>	<input type="checkbox"/>	
2.		<input type="checkbox"/>	<input type="checkbox"/>	
3.		<input type="checkbox"/>	<input type="checkbox"/>	

III-13. **Demand trends.**--Indicate how demand within the United States and outside of the United States (if known) for CAAS has changed since January 1, 2015. Explain any trends and describe the principal factors that have affected these changes in demand.

Market	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explanation and factors
Within the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Outside the United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

III-14. **Product changes.**--Have there been any significant changes in the product range, product mix or marketing of CAAS since January 1, 2015?

No	Yes	If yes, please describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-15. **Conditions of competition.**—

(a) Is the CAAS market subject to business cycles (other than general economy-wide conditions) and/or other conditions of competition distinctive to CAAS?

Check all that apply.	Please describe.
<input type="checkbox"/> No	Skip to question III-16.
<input type="checkbox"/> Yes-Business cycles (e.g. seasonal business)	
<input type="checkbox"/> Yes-Other distinctive conditions of competition	

(b) If yes, have there been any changes in the business cycles or conditions of competition for CAAS since January 1, 2015?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-16. **Supply constraints.**--Has your firm refused, declined, or been unable to supply CAAS since January 1, 2015 (examples include placing customers on allocation or "controlled order entry," declining to accept new customers or renew existing customers, delivering less than the quantity promised, being unable to meet timely shipment commitments, etc.)?

No	Yes	If yes, describe.
<input type="checkbox"/>	<input type="checkbox"/>	

III-17. **Raw materials.**—

(a) How have CAAS raw material prices changed since January 1, 2015?

Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for CAAS.
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(b) Do changes in raw material costs affect your firm's price negotiations with your CAAS purchasers?

No	Yes	If yes, please explain.
<input type="checkbox"/>	<input type="checkbox"/>	

(c) Are your firm's sales of CAAS indexed to raw material costs or indices, including, but not limited to, indices such as the London Metals Exchange or the Midwest Premium?

No	Yes	If yes, please specify the indices, how they are indexed (e.g., to the last month's average value), and how those indices may have changed since January 1, 2015.
<input type="checkbox"/>	<input type="checkbox"/>	

III-18. Effect of 232 investigation of aluminum on conditions of competition.--

(a) Are you familiar with the 232 investigation conducted by the United States under section 232 of the Trade Expansion Act of 1962 as amended (19 U.S.C. § 1862) on imports of certain aluminum products and the related proclamations issued by the President or the subsequent imposition of tariffs on imported aluminum products?

No--Skip to III-19 Yes--Please fill out the table.

(b) Did the announcement of the section 232 investigation in April 2017 or the President's subsequent issuance of proclamations and imposition of tariffs on certain imported aluminum products beginning in March 2018 impact the conditions of competition for CAAS?

	No	Yes	If yes, describe.
Announcement of the section 232 investigation in April 2017	<input type="checkbox"/>	<input type="checkbox"/>	
Issuance of proclamations on certain imported aluminum products beginning in March 2018	<input type="checkbox"/>	<input type="checkbox"/>	

III-19. **Interchangeability.**--Is CAAS produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or O in the table below:

A = the products from a specified country-pair are *always* interchangeable

F = the products are *frequently* interchangeable

S = the products are *sometimes* interchangeable

N = the products are *never* interchangeable

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Canada	Other countries
United States			
China	X		
Canada	X	X	
For any country-pair producing CAAS that is <i>sometimes</i> or <i>never</i> interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:			

III-20. **Factors other than price.**--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between CAAS produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or O in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

O = *no familiarity* with products from a specified country-pair

Country-pair	China	Canada	Other countries
United States			
China			
Canada			
For any country-pair for which factors other than price <i>always</i> or <i>frequently</i> are a significant factor in your firm's sales of CAAS, identify the country-pair and report the advantages or disadvantages imparted by such factors:			

III-21. **Customer identification.**--List the names and contact information for your firm's 10 largest U.S. customers for CAAS since January 1, 2015. Indicate the share of the quantity of your firm's total shipments of CAAS that each of these customers accounted for in 2017.

	Customer's name	City	State	Share of 2017 sales (%)
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				

III-22. **Other explanations.**--If your firm would like to further explain a response to a question in Part III that did not provide a narrative response box, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

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PART IV.--ALTERNATIVE PRODUCT INFORMATION

Further information on this part of the questionnaire can be obtained from Nathanael Comly (202-205-3174, nathanael.comly@usitc.gov).

IV-1. **In-scope brazing stock U.S. imports from China.**—Report your firm’s imports and your firm’s shipments and inventories of In-scope brazing stock imported from China by your firm during the specified periods. In-scope brazing stock is in-scope and should be included in the data reported in part II of this questionnaire.

CHINA: IN-SCOPE BRAZING STOCK

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports: ¹					
Quantity (B)					
Value (C)					
U.S. shipments:					
Commercial shipments:					
Quantity (D)					
Value (E)					
Internal consumption: ²					
Quantity (F)					
Value ² (G)					
Transfers to related firms: ²					
Quantity (H)					
Value ² (I)					
Export shipments: ³					
Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					

¹ Please identify the foreign producers, if known: _____.

² Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

³ Identify your firm’s principal export markets: _____.

IV-1. In-scope brazing stock U.S. imports from China.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

IV-2. Channels of distribution: In-scope brazing stock U.S. imports from China--Report your firms U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S. imports of in-scope brazing stock from China by channel of distribution during the specified periods

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (M)					
To converters (N)					
To end users (O)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
M + N + O – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

IV-3. **In-scope brazing stock U.S. imports from all other sources.**—Report your firm's imports and your firm's shipments and inventories of In-scope brazing stock imported from all other sources (i.e., sources other than China) by your firm during the specified periods. In-scope brazing stock is in-scope and should be included in the data reported in part II of this questionnaire.

ALL OTHER SOURCES: IN-SCOPE BRAZING STOCK

(list sources: _____)

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports: ¹ Quantity (B)					
Value (C)					
U.S. shipments: Commercial shipments: Quantity (D)					
Value (E)					
Internal consumption: ² Quantity (F)					
Value ² (G)					
Transfers to related firms: ² Quantity (H)					
Value ² (I)					
Export shipments: ³ Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					

¹ Please identify the foreign producers, if known: _____.

² Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

³ Identify your firm's principal export markets: _____.

IV-3. In-scope brazing stock U.S. imports from all other sources.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

IV-4. Channels of distribution: In-scope brazing stock U.S. imports from all other sources--Report your firms U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S. imports of in-scope brazing stock from all other sources combined by channel of distribution during the specified period

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (M)					
To converters (N)					
To end users (O)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
M + N + O – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

IV-5. **Aluminum can stock U.S. imports from China.**—Report your firm's imports and your firm's shipments and inventories of Aluminum can stock imported from China by your firm during the specified periods. Aluminum can stock is out-of-scope and should not be included in the data reported in part II of this questionnaire.

CHINA: ALUMINUM CAN STOCK

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports: ¹ Quantity (B)					
Value (C)					
U.S. shipments: Commercial shipments: Quantity (D)					
Value (E)					
Internal consumption: ² Quantity (F)					
Value ² (G)					
Transfers to related firms: ² Quantity (H)					
Value ² (I)					
Export shipments: ³ Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					

¹ Please identify the foreign producers, if known: _____.

² Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

³ Identify your firm's principal export markets: _____.

IV-5. Aluminum can stock U.S. imports from China.--Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

IV-6. Channels of distribution: Aluminum can stock U.S. imports from China--Report your firms U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S. imports of aluminum can stock from China by channel of distribution during the specified period

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (M)					
To converters (N)					
To end users (O)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
M + N + O – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

IV-7. **Aluminum can stock U.S. imports from All Other Source.**—Report your firm's imports and your firm's shipments and inventories of Aluminum can stock imported from all other sources (i.e., sources other than China) by your firm during the specified periods. Aluminum can stock is out-of-scope and should not be included in the data reported in part II of this questionnaire.

ALL OTHER SOURCES: ALUMINUM CAN STOCK

(list sources: _____)

Quantity (in short tons), value (in \$1,000)					
Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Beginning-of-period inventories (quantity) (A)					
Imports: ¹					
Quantity (B)					
Value (C)					
U.S. shipments:					
Commercial shipments:					
Quantity (D)					
Value (E)					
Internal consumption: ²					
Quantity (F)					
Value ² (G)					
Transfers to related firms: ²					
Quantity (H)					
Value ² (I)					
Export shipments: ³					
Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					

¹ Please identify the foreign producers, if known: _____.

² Internal consumption and transfers to related firms must be valued at fair market value. If your firm uses a different basis for valuing these transactions in your records, please specify that basis (e.g., cost, cost plus, etc.): _____. However, the data provided above in this table should be based on fair market value.

³ Identify your firm's principal export markets: _____.

IV-7. Aluminum can stock U.S. imports from all other sources.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Reconciliation	Calendar years			January-June	
	2015	2016	2017	2017	2018
A + B – D – F – H – J – L = should equal zero ("0") or provide an explanation. ¹	0	0	0	0	0
¹ Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: _____.					

IV-8. Channels of distribution: Aluminum can stock U.S. imports from all other sources--Report your firms U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) of U.S. imports of aluminum can stock from all other sources by channel of distribution during the specified period

Item	Calendar years			January-June	
	2015	2016	2017	2017	2018
Quantity (in short tons)					
Channels of distribution:					
U.S. shipments:					
To distributors (M)					
To converters (N)					
To end users (O)					

RECONCILIATION OF CHANNELS.--Please ensure that the quantities reported for channels of distribution (i.e., lines K and L) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, and H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation item	Calendar years			January-June	
	2015	2016	2017	2017	2018
M + N + O – D – F – H = zero ("0"), if not revise.	0	0	0	0	0

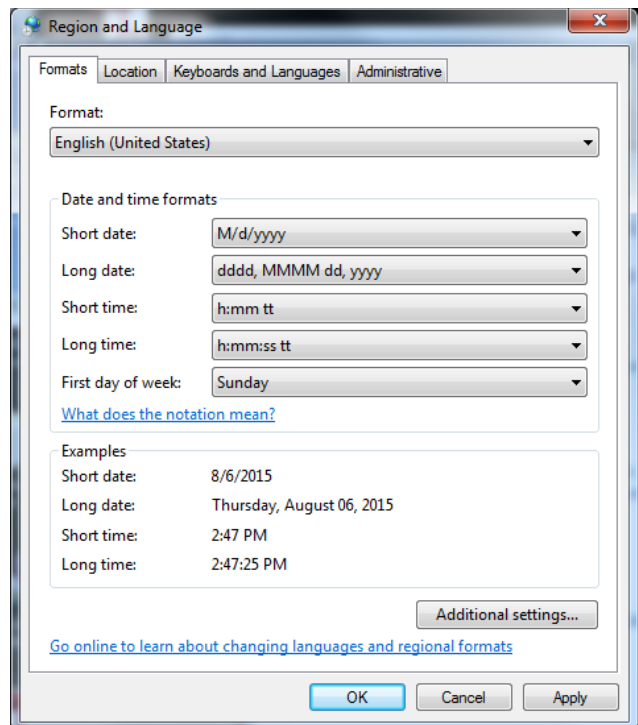
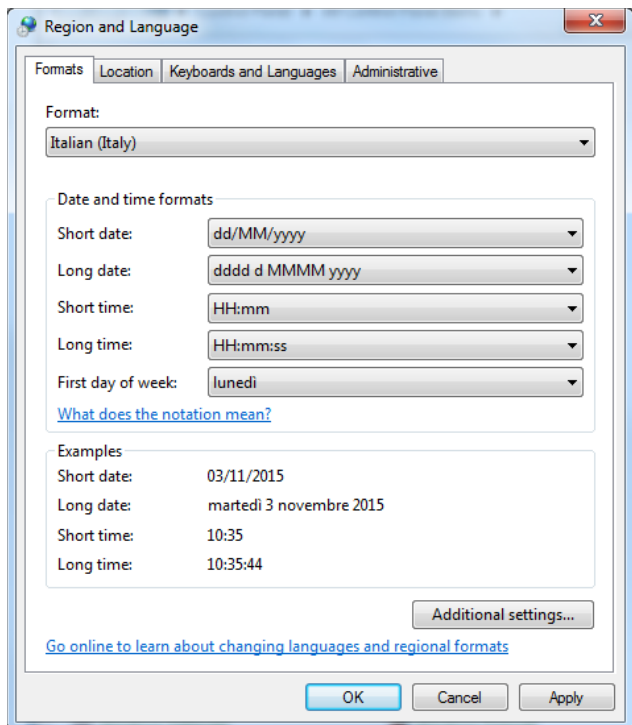
Correcting Valid number error messages.--If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The US International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the questionnaire. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.



HOW TO FILE YOUR QUESTIONNAIRE RESPONSE

This questionnaire is available as a “fillable” form in MS Word format on the Commission’s website at:

https://www.usitc.gov/investigations/701731/2018/common_alloy_aluminum_sheet_china/final.htm

Please do not attempt to modify the format or permissions of the questionnaire document. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

- **Upload via Secure Drop Box.**—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

Web address: <https://dropbox.usitc.gov/oinv/> **Pin:** CAAS

- **E-mail.**—E-mail the MS Word questionnaire to nathanael.comly@usitc.gov; include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

If your firm did not import this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

Parties to this proceeding.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission’s Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.