## **U.S. IMPORTERS' QUESTIONNAIRE**

### FLUID END BLOCKS FROM CHINA, GERMANY, INDIA, AND ITALY

This questionnaire must be received by the Commission by <u>January 2, 2020</u>

See last page for filing instructions.

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its countervailing duty and antidumping investigations concerning fluid end blocks from China, Germany, India, and Italy (Inv. Nos. 701-TA-632-635 and 731-TA-1466-1468 (Preliminary)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your firm's possession (19 U.S.C. § 1333(a)).

Address							
City			St	ate	Zip C	ode	
Website							
Has your firm 2016?	າ imported flu	uid end blocks (a	as defined on	next page)	from any	country at any tin	ne since January
□ NO	(Sign the ce	rtification below a	and promptly r	eturn <b>only</b> th	nis page of	the questionnaire to	o the Commissior
YES	(Complete a	III parts of the que	estionnaire, an	d return the	entire que	tionnaire to the Co	mmission)
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#### PART I.—GENERAL INFORMATION

<u>Background.</u>-- This proceeding was instituted in response to petitions filed on December 19, 2019, by Ellwood City Forge Company, Ellwood Quality Steels Company, and Ellwood National Steel Company (collectively the "Ellwood Group"), Ellwood City, Pennsylvania; A. Finkl & Sons ("Finkl Steel"), Chicago, Illinois; and FEB Fair Trade Coalition (an *ad hoc* coalition whose members include the Forging Industry Association, the Ellwood Group, and Finkl Steel), Cleveland, Ohio. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization or dumping. Questionnaires and other information pertinent to this proceeding are available at <a href="https://usitc.gov/investigations/701731/2019/fluid end blocks china germany india and italy/preliminary.htm">https://usitc.gov/investigations/701731/2019/fluid end blocks china germany india and italy/preliminary.htm</a>

<u>Fluid end blocks</u> covered by these investigations are forged steel fluid end blocks ("FEBs"), whether unfinished, semi-finished, or finished, and which are typically used in the manufacture or service of hydraulic pumps.

The term "forged" is an industry term used to describe the grain texture of steel resulting from the application of localized compressive force. Illustrative forging standards include, but are not limited to, American Society for Testing and Materials ("ASTM") specifications A668 and A788.

For purposes of these petitions, the term "steel" denotes metal containing the following chemical elements, by weight: (i) iron greater than or equal to 60 percent; (ii) nickel less than or equal to 8.5 percent; (iii) copper less than or equal to 6 percent; (iv) chromium greater than or equal to 0.4 percent, but less than or equal to 20 percent; and (v) molybdenum greater than or equal to 0.15 percent, but less than or equal to 3 percent. Illustrative steel standards include, but are not limited to, American Iron and Steel Institute ("AISI") or Society of Automotive Engineers ("SAE") grades 4130, 4135, 4140, 4320, 4330, 4340, 8630, 15-5, 17-4, F6NM, F22, F60, and XM25, as well as modified varieties of these grades.

The products covered by these petitions are either (1) cut-to-length FEBs with a height (measured from its highest point) of 8 inches (203.2 mm) to 40 inches (1,016.0 mm), a width (measured from its widest point) of 8 inches (203.2 mm) to 40 inches (1,016.0 mm), and a length (measured from its longest point) of 11 inches (279.4 mm) to 75 inches (1,905.0 mm), or (2) strings of FEBs with a height (measured from its highest point) of 8 inches (203.2 mm) to 40 inches (1,016.0 mm), a width (measured from its widest point) of 8 inches (203.2 mm) to 40 inches (1,016.0 mm), and a length (measured from its longest point) up to 360 inches (9,144.0 mm).

The products included in the scope of these petitions have a tensile strength of at least 70 KSI (measured in accordance with ASTM A370) and a hardness of at least 140 HBW (measured in accordance with ASTM E10).

FEBs may be unfinished or have undergone one or more of the following finishing operations: (1) milling one or more flat surfaces; (2) contour machining to custom shapes or dimensions; (3) drilling or boring holes; (4) heat treating; (5) painting, varnishing, or coating; (6) threading; and/or (7) the attachment of flanges, valves, seals, or connectors.

Fluid end blocks are currently imported under statistical reporting numbers 7218.91.0030, 7218.99.0030, 7224.90.0015, 7224.90.0045, 7326.19.0010, 7326.90.8688, or 8413.91.9055 of the

Harmonized Tariff Schedule of the United States (HTSUS). The HTSUS provisions are for convenience and customs purposes; the written description of the scope is dispositive.

<u>Importer</u>.--Any person or firm engaged, either directly or through a parent company or subsidiary, in importing fluid end blocks (as defined above) into the United States from a foreign manufacturer or through its selling agent.

<u>Reporting of information</u>.--If information is not readily available from your records, provide carefully prepared estimates. If your firm is completing more than one questionnaire (i.e., a producer, importer, and/or purchaser questionnaire), you need not respond to duplicated questions.

<u>Confidentiality</u>.--The commercial and financial data furnished in response to this questionnaire that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (see 19 U.S.C. § 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

<u>Verification</u>.--The information submitted in this questionnaire is subject to audit and verification by the Commission. To facilitate possible verification of data, please keep all files, worksheets, and supporting documents used in the preparation of the questionnaire response. Please also retain a copy of the final document that you submit.

**Release of information**.--The information provided by your firm in response to this questionnaire, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals.

<u>Valid number error messages.</u>--If you are completing this form in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 rather than \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete this form. Detailed instructions on how to resolve this issue is provided at the end of this questionnaire and is available upon request from Kristina Lara (202-205-3386, kristina.lara@usitc.gov).

<u>D-GRIDS tool.</u>--The Commission has a tool that firms can use to move data from their own MS Excel compilation files into self-contained data tables within this MS Word questionnaire, thereby reducing the amount of cell-by-cell data entry that would be required to complete this form. This tool is a macroenabled MS Excel file available for download from the Commission's generic questionnaires webpage (<a href="https://www.usitc.gov/trade\_remedy/question.htm">https://www.usitc.gov/trade\_remedy/question.htm</a>) called the "D-GRIDs tool." Use of this tool to help your firm complete this questionnaire is <u>optional</u>. Firms opting to use the D-GRIDs tool to populate their data into this questionnaire will need the D-GRIDs specification sheet PDF file specific to this proceeding (available on the case page which is linked under the "Background" above) which includes the necessary

references relating to this questionnaire, as well as the macro-enable MS Excel D-GRIDs tool itself from the generic questionnaires page. More detailed instructions on how to use the D-GRIDs tool are available within the D-GRIDs tool itself.

I-1. OMB statistics.--Please report below the actual number of hours required and the cost to your firm of completing this questionnaire.

Hours	Dollars

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 40 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the questionnaire.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this questionnaire. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

I-2a. <u>Establishments covered</u>.--Provide the name and address of establishment(s) covered by this questionnaire.

"Establishment" Each facility of a firm involved in the importation of fluid end blocks, including
auxiliary facilities operated in conjunction with (whether or not physically separate from) such
facilities.

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I-2b.	Stock symbol inform stock exchange and t	-	our firm or parent firm is publicly traded, bol:	please specify the	
I-2c.		-	or parent firm is represented by external or he name of the law firm and the lead attorned to		
	Law firm:				
	Lead attorney(s):				
I-3.			, in whole or in part, by any other firm? following information, relating to the ult	imate parent/owner.	
	Firm name		Country	Extent of ownership (percent)	
I-4.	4. Related importers/exportersDoes your firm have any related firms, either domestic or foreign, that are engaged in importing fluid end blocks from China, Germany, India, or Italy in the United States or that are engaged in exporting fluid end blocks from China, Germany, Ind or Italy to the United States?  No YesList the following information.				
	Firm name		Country	Affiliation	
	Timiname		Country	Amiation	

☐ No ☐ Ye	sList the following inforr	nation.	
Firm name	Country		Affiliation
	Please indicate the natu		g operations or
end blocks. More than	Takes title to the		g operations or  Customs bro
	one answer may be appl	cable.	
Importer of record  ConsigneeIf your fire	one answer may be appl	Consignee of the imported products(s)  of fluid end blocks but is	Customs by freight for

I-8. **FTZ, TIB, or bonded warehouses**.--Please indicate whether your firm enters fluid end blocks into, or withdraws such merchandise from, foreign trade zones or bonded warehouses. Also indicate whether your firm imports fluid end blocks under the TIB (temporary importation under bond) program.

"Foreign trade zone" is a designated location in the United States where firms utilize special procedures that allow delayed or reduced customs duty payments on foreign merchandise, as well as other savings. A foreign trade zone must be designated as such pursuant to the rules and procedures set forth in the Foreign-Trade Zones Act.

"Bonded warehouse" is a secured facility supervised by U.S. customs, where dutiable landed imports are stored pending their re-export, or release after payment of import duties, taxes, and other charges. A bonded warehouse must be designed as such pursuant to the rules and procedures set forth in 19 U.S.C. § 1555.

"Temporary Importation under Bond ("TIB") program" is a procedure whereby imported merchandise may be entered under certain conditions for a limited time into the United States free of duty. Under the program, an importer posts a bond for twice the amount of duty, taxes, etc. that would otherwise be owed on the importation and agrees to export or destroy the merchandise within a specified time or pay liquidated damages. This program is restricted to certain categories of merchandise listed in subheadings 9813.00.05 through 9813.00.75 of the Harmonized Tariff Schedule of the United States (HTS).

Item	No	Yes
Foreign trade zones		
Bonded warehouses		
Temporary importation under bond		

I-9. Other trade actions. -- To your knowledge, have the products subject to this proceeding been the subject of any other import relief proceedings in the United States or in any other countries?

No	Yes	If yes, Please specify.

I-10a.	Finishing of imported fluid end blocks.—Please check any of the finishing operations performed
	in the U.S. on the fluid end blocks that your firm imports:

Finishing operations	
Milling of one or more flat surfaces	
Contour machining to custom shapes or dimensions	
Drilling or boring holes	
Heat treating	
Painting, varnishing, or coating	
Threading	
Attachment of flanges, valves, seals, or connectors	
Other (please describe):	

I-10b. <u>Tolling of finishing operations:</u> Does your firm contract with a machine shop to provide finishing operations on the fluid end blocks your firm imports, prior to final delivery to your customers, or for your own end use?

No	Yes	
		If yes, please complete the table below.

Finishing firm's name	Finishing firm's contact name	Finishing firm's contact e-mail	Share (percent, by quantity), of your firm's U.S. shipments, that was sent to firm for further finishing, from January 2016 to September 2019
			%
			%
			%
			%
			%

### PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Kristina Lara (202-205-3386, <a href="mailto:kristina.lara@usitc.gov">kristina.lara@usitc.gov</a>). **Supply all data requested on a calendar-year** basis.

II-1.	<u>Contact information</u> Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part II.				
	Name				
	Title				
	Email				
	Telephone				

II-2. <u>Changes in operations.</u>—Please indicate whether your firm has experienced any of the following changes in relation to the importation of fluid end blocks since January 1, 2016.

(che	ck as many as appropriate)	(If checked, please describe; leave blank if not applicable)
	Office/warehouse openings	
	Office/warehouse closings	
	Relocations	
	Expansions	
	Acquisitions	
	Consolidations	
	Prolonged shutdowns or importation curtailments	
	Revised labor agreements	
	Other (e.g., technology)	

II-3a.	Arranged importsHas your firm imported or arranged for the importation of fluid end blocks
	for delivery after September 30, 2019?

"Arranged imports" are imports for which your firm has placed an order with a foreign supplier for subject merchandise, but delivery of those imports is not scheduled to occur until after the date listed above.

No	Yes	
		If yes, fill out the table below.

	Period							
Source	Oct-Dec 2019	Jan-Mar 2020	Apr-Jun 2020	Jul-Sept 2020				
		Quantity (in units)						
China								
Germany								
India								
Italy								
All other sources								

II-4.	<u>Reasons for importing if producer</u> If your firm also produces fluid end blocks in the United States, please indicate the reasons for importing this product. If your firm's reasons differ by source, please elaborate.

### **Definitions**

"Imports" – Those products identified for Customs purposes as imports for consumption for which your firm was the importer of record (i.e., was responsible for paying any import duty).

"Import quantities" -Quantities reported should be net of returns.

"Import values" — Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States).

"Commercial U.S. shipments"— Shipments made within the United States as a result of an arm's length commercial transaction in the ordinary course of business. Report net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods) in U.S. dollars, f.o.b. your point of shipment.

"Internal consumption" – Product consumed internally by your firm. Such transactions are valued at fair market value.

"Transfers to related firms" – Shipments made to related firms. Such transactions are valued at fair market value.

"Related firm" —A firm that your firm solely or jointly owned, managed, or otherwise controlled; a firm that solely or jointly owned, managed, or otherwise controlled your firm; and/or a firm that was solely or jointly owned, managed, or otherwise controlled by a firm that also solely or jointly owned, managed, or otherwise controlled your firm.

**"Export shipments"**— Shipments to destinations outside the United States, including shipments to related firms.

"Inventories" -- Finished goods inventory, not raw materials or work in progress.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the trade data, as Commission staff may contact your firm regarding questions on the trade data. The Commission may also request that your company submit copies of the supporting documents/records (such as production and sales schedules, inventory records, etc.) used to compile these data.

II-5a. <u>U.S. imports from China</u>.—Report your firm's imports and your firm's shipments and inventories of fluid end blocks imported from China by your firm during the specified periods.

# China

	Quantity (in	units), value (in	\$1,000)			
	Calendar years			January-September		
Item	2016	2017	2018	2018	2019	
Beginning-of-period inventories (quantity) (A)						
Imports: <sup>1</sup> Quantity (B)						
Value (C)						
U.S. shipments:  Commercial shipments:  Quantity (D)						
Value (E)						
Internal consumption: <sup>2</sup> Quantity (F)						
Value² (G)						
Transfers to related firms: <sup>2</sup> Quantity (H)						
Value² (I)						
Export shipments: <sup>3</sup> Quantity (J)						
Value (K)						
End-of-period inventories (quantity) (L)						
<sup>1</sup> Please identify the foreign producer <sup>2</sup> Internal consumption and transfers basis for valuing these transactions in you the data provided above in this table sh <sup>3</sup> Identify your firm's principal export	to related firms rour records, pleas ould be based on	must be valued at se specify that ba n fair market value	sis (e.g., cost, cost	•		

### II-5a. U.S. imports from China.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Calendar years			January-September		
2016	2017	2018	2018	2019	
0	0	0	0	0	
			•		

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-5b. <u>Channels of distribution: China</u>.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

## China

	Calendar years			January-September	
ltem	2016	2017	2018	2018	2019
	Quantity (in units)				
Channels of distribution: U.S. shipments: To distributors (M)					
To OEMs / end users (N)					

<u>RECONCILIATION OF CHANNELS.</u>--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation item	2016	2017	2018	2018	2019	
M + N – D - F - H = zero ("0"), if not						
revise.	0	0	0	0	0	

II-5c. <u>U.S. imports by level of processing: China.</u>—Report your firm's U.S. imports from China by level of processing during the specified periods.

"Unfinished" – A fluid end block that has not advanced beyond forging, heat treating, and rough machining.

"Semi-finished" – A fluid end block that has been subject to some machining operations (e.g., milling a surface to make it smooth, drilling, or countour machining).

"Finished" – A fluid end block that is ready for incorporation into a pump fluid end assembly without further manufacturing operations.

## China

	Quantity (in u	nits) and value	(in \$1,000)		
		;	January-September		
U.S. imports	2016	2017	2018	2018	2019
Unfinished:					
Quantity (O)					
Value (P)					
Semi-finished:					
Quantity (Q)					
Value (R)					
Finished:					
Quantity (S)					
Value (T)					

<sup>&</sup>lt;sup>1</sup> Please identify the physical characteristics, functions, and markets for unfinished, semi-finished, and finished fluid end blocks, respectively. \_\_\_\_\_.

<u>RECONCILATION OF IMPORTS BY LEVEL OF PROCESSING.</u>—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines O, Q, and S) in each time period equal the quantity reported for U.S. imports in previous question II-5a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

		Calendar years	January-September		
Reconciliation	2016	2017	2018	2018	2019
Quantity: O + Q + S - B = zero ("0"), if not revise	0	0	0	0	0
<b>Value</b> : P + R + T – C = zero, ("0"), if not revise.	0	0	0	0	0

II-5d. <u>U.S. imports by steel type: China</u>.--Report your firm's U.S. imports by steel type during the specified periods.

"Stainless steel" – Alloy steels containing, by weight 1.2 percent or less of carbon and 10.5 percent or more of chromium, with or without other elements.

## China

Quantity (in units) and value (in \$1,000)							
	Calendar years			January-September			
U.S. imports	2016	2017	2018	2018	2019		
Stainless steel:							
Quantity (U)							
Value (V)							
Non-stainless steel:							
Quantity (W)							
Value (X)							

<u>RECONCILATION OF IMPORTS BY STEEL TYPE</u>.—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines U and W) in each time period equal the quantity reported for U.S. imports in previous question II-5a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September	
Reconciliation	2016	2017	2018	2018	2019
Quantity: $U + W - B = zero ("0")$ , if not					
revise	0	0	0	0	0
Value: V + X -C = zero, ("0"), if not revise.	0	0	0	0	0

II-5e. Monthly U.S. imports: China.—Report your firm's monthly U.S. imports of fluid end blocks from China by month.

# China

Qu	antity (in units)
Month	All suppliers in China
2018	
January	
February	
March	
April	
May	
June	
July	
August	
September	
October	
November	
December	
2019	
January	
February	
March	
April	
May	
June	
July	
August	
September	
October	
November	

<u>RECONCILIATION OF MONTHLY IMPORTS</u>.-- Please ensure that the total imports reported for full year 2018, and for the partial periods (e.g., January to September 2018, and January to September 2019) reported in part "a" of this question matches the monthly data reported here. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Full year 2018	Jan-Sept 2018	Jan-Sept 2019
U.S. imports here (II-5e) minus			
U.S. imports above (II-5a), revise			
if not returning zero ("0")	0	0	0

II-6a. <u>U.S. imports from Germany</u>.—Report your firm's imports and your firm's shipments and inventories of fluid end blocks imported from Germany by your firm during the specified periods.

# **Germany**

	Quantity (in	units), value (in	\$1,000)		
		Calendar years	January-September		
Item	2016	2017	2018	2018	2019
Beginning-of-period inventories (quantity) (A)					
Imports: <sup>1</sup> Quantity (B)					
Value (C)					
U.S. shipments:  Commercial shipments:  Quantity (D)					
Value (E)					
Internal consumption: <sup>2</sup> Quantity (F)					
Value² (G)					
Transfers to related firms: <sup>2</sup> Quantity (H)					
Value² (I)					
Export shipments: <sup>3</sup> Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
<sup>1</sup> Please identify the foreign producers <sup>2</sup> Internal consumption and transfers t basis for valuing these transactions in yo the data provided above in this table sho <sup>3</sup> Identify your firm's principal export r	co related firms our records, plead	— must be valued at se specify that ba	sis (e.g., cost, cos	•	

### II-6a. U.S. imports from Germany.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

		Calendar years	January-September		
Reconciliation	2016	2017	2018	2018	2019
A + B - D - F - H - J - L = should equal					
zero ("0") or provide an explanation.1	0	0	0	0	0

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-6b. <u>Channels of distribution: Germany</u>.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

## Germany

	Calendar years			January-September		
Item	2016	2017	2018	2018	2019	
	Quantity (in units)					
Channels of distribution: U.S. shipments: To distributors (M)						
To OEMs / end users (N)						

<u>RECONCILIATION OF CHANNELS.</u>--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "O"), the data reported must be revised prior to submission to the Commission.

		Calendar years	January-September		
Reconciliation item	2016	2017	2018	2018	2019
M + N – D - F - H = zero ("0"), if not					
revise.	0	0	0	0	0

II-6c. <u>U.S. imports by level of processing: Germany</u>.--Report your firm's U.S. imports from Germany by level of processing during the specified periods.

"Unfinished" – A fluid end block that has not advanced beyond forging, heat treating, and rough machining.

"Semi-finished" – A fluid end block that has been subject to some machining operations (e.g., milling a surface to make it smooth, drilling, or countour machining).

"Finished" – A fluid end block that is ready for incorporation into a pump fluid end assembly without further manufacturing operations.

## Germany

	Quantity (in units) and value (in \$1,000)							
		Calendar years	5	January-Septem				
U.S. imports	2016	2017	2018	2018	2019			
Unfinished:								
Quantity (O)								
Value (P)								
Semi-finished:								
Quantity (Q)								
Value (R)								
Finished:								
Quantity (S)								
Value (T)								

<sup>&</sup>lt;sup>1</sup> Please identify the physical characteristics, functions, and markets for unfinished, semi-finished, and finished fluid end blocks, respectively. \_\_\_\_\_.

<u>RECONCILATION OF IMPORTS BY LEVEL OF PROCESSING.</u>—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines O, Q, and S) in each time period equal the quantity reported for U.S. imports in previous question II-6a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "O"), the data reported must be revised prior to submission to the Commission.

		Calendar years	January-September		
Reconciliation	2016	2017	2018	2018	2019
Quantity: O + Q + S - B = zero ("0"), if not revise	0	0	0	0	0
<b>Value</b> : P + R + T – C = zero, ("0"), if not revise.	0	0	0	0	0

II-6d. <u>U.S. imports by steel type: Germany.--</u>Report your firm's U.S. imports by steel type during the specified periods.

"Stainless steel" – Alloy steels containing, by weight 1.2 percent or less of carbon and 10.5 percent or more of chromium, with or without other elements.

## **Germany**

Quantity (in units) and value (in \$1,000)							
	Calendar years			January-September			
U.S. imports	2016	2017	2018	2018	2019		
Stainless steel:							
Quantity (U)							
Value (V)							
Non-stainless steel:							
Quantity (W)							
Value (X)							

<u>RECONCILATION OF IMPORTS BY STEEL TYPE.</u>—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines U and W) in each time period equal the quantity reported for U.S. imports in previous question II-6a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation	2016	2017	2018	2018	2019	
Quantity: $U + W - B = zero ("0")$ , if not						
revise	0	0	0	0	0	
Value: V + X -C = zero, ("0"), if not revise.	0	0	0	0	0	

II-6e. Monthly U.S. imports: Germany.—Report your firm's monthly U.S. imports of fluid end blocks from Germany by month.

# Germany

Quai	Quantity (in units)				
Month	All suppliers in Germany				
2018					
January					
February					
March					
April					
May					
June					
July					
August					
September					
October					
November					
December					
2019					
January					
February					
March					
April					
May					
June					
July					
August					
September					
October					
November					

<u>RECONCILIATION OF MONTHLY IMPORTS</u>.-- Please ensure that the total imports reported for full year 2018, and for the partial periods (e.g., January to September 2018, and January to September 2019) reported in part "a" of this question matches the monthly data reported here. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Full year 2018	Jan-Sept 2018	Jan-Sept 2019
U.S. imports here (II-6e) minus			
U.S. imports above (II-6a), revise			
if not returning zero ("0")	0	0	0

II-7a. <u>U.S. imports from India</u>.—Report your firm's imports and your firm's shipments and inventories of fluid end blocks imported from India by your firm during the specified periods.

# India

	Quantity (in	units), value (in	\$1,000)		
		Calendar years	5	January-September	
ltem	2016	2017	2018	2018	2019
Beginning-of-period inventories (quantity) (A)					
Imports: <sup>1</sup> Quantity (B)					
Value (C)					
U.S. shipments:  Commercial shipments:  Quantity (D)					
Value (E)					
Internal consumption: <sup>2</sup> Quantity (F)					
Value² (G)					
Transfers to related firms: <sup>2</sup> Quantity (H)					
Value² (I)					
Export shipments: <sup>3</sup> Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
<sup>1</sup> Please identify the foreign producer <sup>2</sup> Internal consumption and transfers basis for valuing these transactions in you the data provided above in this table sh <sup>3</sup> Identify your firm's principal export	to related firms rour records, pleas ould be based on	must be valued at se specify that ba n fair market value	sis (e.g., cost, cost	•	

### II-7a. U.S. imports from India.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years			January-September		
Reconciliation	2016	2017	2018	2018	2019	
A + B - D - F - H - J -L = should equal						
zero ("0") or provide an explanation.1	0	0	0	0	0	

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-7b. <u>Channels of distribution: India</u>.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

## India

	Calendar years			January-September	
Item	2016	2017	2018	2018	2019
	Quantity (in units)				
Channels of distribution: U.S. shipments: To distributors (M)					
To OEMs / end users (N)					

<u>RECONCILIATION OF CHANNELS.</u>--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "O"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation item	2016	2017	2018	2018	2019	
M + N – D - F - H = zero ("0"), if not						
revise.	0	0	0	0	0	

II-7c. <u>U.S. imports by level of processing: India.--</u>Report your firm's U.S. imports from India by level of processing during the specified periods.

"Unfinished" – A fluid end block that has not advanced beyond forging, heat treating, and rough machining.

"Semi-finished" – A fluid end block that has been subject to some machining operations (e.g., milling a surface to make it smooth, drilling, or countour machining).

"Finished" – A fluid end block that is ready for incorporation into a pump fluid end assembly without further manufacturing operations.

## India

Quantity (in units) and value (in \$1,000)					
		Calendar years	5	January-Se	ptember
U.S. imports	2016	2017	2018	2018	2019
Unfinished:					
Quantity (O)					
Value (P)					
Semi-finished:					
Quantity (Q)					
Value (R)					
Finished:					
Quantity (S)					
Value (T)					

<sup>&</sup>lt;sup>1</sup> Please identify the physical characteristics, functions, and markets for unfinished, semi-finished, and finished fluid end blocks, respectively. \_\_\_\_\_.

<u>RECONCILATION OF IMPORTS BY LEVEL OF PROCESSING.</u>—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines O, Q, and S) in each time period equal the quantity reported for U.S. imports in previous question II-7a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation	2016	2017	2018	2018	2019	
<b>Quantity</b> : O + Q + S - B = zero ("0"), if	0	0	0	0	0	
not revise	U	U	U	U	U	
<b>Value</b> : P + R + T – C = zero, ("0"), if not revise.	0	0	0	0	0	

II-7d. <u>U.S. imports by steel type: India.--</u>Report your firm's U.S. imports by steel type during the specified periods.

"Stainless steel" – Alloy steels containing, by weight 1.2 percent or less of carbon and 10.5 percent or more of chromium, with or without other elements.

## India

	Quantity (in units) and value (in \$1,000)				
		Calendar years	S	January-September	
U.S. imports	2016	2017	2018	2018	2019
Stainless steel:					
Quantity (U)					
Value (V)					
Non-stainless steel:					
Quantity (W)					
Value (X)					

<u>RECONCILATION OF IMPORTS BY STEEL TYPE</u>.—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines U and W) in each time period equal the quantity reported for U.S. imports in previous question II-7a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation	2016	2017	2018	2018	2019	
Quantity: $U + W - B = zero ("0")$ , if not						
revise	0	0	0	0	0	
Value: V + X -C = zero, ("0"), if not revise.	0	0	0	0	0	

II-7e. Monthly U.S. imports: India.—Report your firm's monthly U.S. imports of fluid end blocks from India by month.

# India

Quantity (in units)				
Month	All suppliers in India			
2018				
January				
February				
March				
April				
May				
June				
July				
August				
September				
October				
November				
December				
2019				
January				
February				
March				
April				
May				
June				
July				
August				
September				
October				
November				

<u>RECONCILIATION OF MONTHLY IMPORTS</u>.-- Please ensure that the total imports reported for full year 2018, and for the partial periods (e.g., January to September 2018, and January to September 2019) reported in part "a" of this question matches the monthly data reported here. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Full year 2018	Jan-Sept 2018	Jan-Sept 2019
U.S. imports here (II-7e) minus			
U.S. imports above (II-7a), revise			
if not returning zero ("0")	0	0	0

II-8a. <u>U.S. imports from Italy</u>.—Report your firm's imports and your firm's shipments and inventories of fluid end blocks imported from Italy by your firm during the specified periods.

# Italy

	Quantity ( <i>in ι</i>	units), value (in	\$1,000)		
		Calendar years	January-S	eptember	
Item	2016	2017	2018	2018	2019
Beginning-of-period inventories (quantity) (A)					
Imports: <sup>1</sup> Quantity (B)					
Value (C)					
U.S. shipments:  Commercial shipments:  Quantity (D)					
Value (E)					
Internal consumption: <sup>2</sup> Quantity (F)					
Value² (G)					
Transfers to related firms: <sup>2</sup> Quantity (H)					
Value² (I)					
Export shipments: <sup>3</sup> Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
<sup>1</sup> Please identify the foreign producer <sup>2</sup> Internal consumption and transfers basis for valuing these transactions in you the data provided above in this table sh <sup>3</sup> Identify your firm's principal export	to related firms nour records, pleas	nust be valued at e specify that bas	sis (e.g., cost, cost	•	

### II-8a. **U.S. imports from Italy.**—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

Calendar years			January-September	
2016	2017	2018	2018	2019
0	0	0	0	0
			•	

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-8b. <u>Channels of distribution: Italy</u>.--Report your firm's U.S. shipments (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms) by channel of distribution.

# Italy

	Calendar years			January-September	
Item	2016	2017	2018	2018	2019
	Quantity (in units)				
Channels of distribution: U.S. shipments: To distributors (M)					
To OEMs / end users (N)					

<u>RECONCILIATION OF CHANNELS.</u>--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-S	eptember
Reconciliation item	2016	2017	2018	2018	2019
M + N – D - F - H = zero ("0"), if not					
revise.	0	0	0	0	0

II-8c. <u>U.S. imports by level of processing: Italy.--</u>Report your firm's U.S. imports from Italy by level of processing during the specified periods.

"Unfinished" – A fluid end block that has not advanced beyond forging, heat treating, and rough machining.

"Semi-finished" – A fluid end block that has been subject to some machining operations (e.g., milling a surface to make it smooth, drilling, or countour machining).

"Finished" – A fluid end block that is ready for incorporation into a pump fluid end assembly without further manufacturing operations.

## Italy

		Calendar years	5	January-S	eptember
U.S. imports	2016	2017	2018	2018	2019
Unfinished:					
Quantity (O)					
Value (P)					
Semi-finished:					
Quantity (Q)					
Value (R)					
Finished:					
Quantity (S)					
Value (T)					

<sup>&</sup>lt;sup>1</sup> Please identify the physical characteristics, functions, and markets for unfinished, semi-finished, and finished fluid end blocks, respectively.

<u>RECONCILATION OF IMPORTS BY LEVEL OF PROCESSING.</u>—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines O, Q, and S) in each time period equal the quantity reported for U.S. imports in previous question II-8a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation	2016	2017	2018	2018	2019	
Quantity: $O + Q + S - B = zero ("0")$ , if not revise	0	0	0	0	0	
<b>Value</b> : P + R + T – C = zero, ("0"), if not revise.	0	0	0	0	0	

II-8d. <u>U.S. imports by steel type: Italy.--</u>Report your firm's U.S. imports by steel type during the specified periods.

"Stainless steel" – Alloy steels containing, by weight 1.2 percent or less of carbon and 10.5 percent or more of chromium, with or without other elements.

# Italy

	Quantity (in units) and value (in \$1,000)						
		Calendar years			eptember		
U.S. imports	2016	2017	2018	2018	2019		
Stainless steel:							
Quantity (U)							
Value (V)							
Non-stainless steel:							
Quantity (W)							
Value (X)							

<u>RECONCILATION OF IMPORTS BY STEEL TYPE</u>.—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines U and W) in each time period equal the quantity reported for U.S. imports in previous question II-8a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation	2016	2017	2018	2018	2019	
Quantity: $U + W - B = zero ("0")$ , if not						
revise	0	0	0	0	0	
Value: V + X -C = zero, ("0"), if not revise.	0	0	0	0	0	

II-8e. Monthly U.S. imports: Italy.—Report your firm's monthly U.S. imports of fluid end blocks from Italy by month.

# Italy

Quantity (in units)				
Month	All suppliers in Italy			
2018				
January				
February				
March				
April				
May				
June				
July				
August				
September				
October				
November				
December				
2019				
January				
February				
March				
April				
May				
June				
July				
August				
September				
October				
November				

<u>RECONCILIATION OF MONTHLY IMPORTS</u>.-- Please ensure that the total imports reported for full year 2018, and for the partial periods (e.g., January to September 2018, and January to September 2019) reported in part "a" of this question matches the monthly data reported here. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Full year 2018	Jan-Sept 2018	Jan-Sept 2019
U.S. imports here (II-8e) minus			
U.S. imports above (II-8a), revise			
if not returning zero ("0")	0	0	0

II-9a. <u>U.S. imports from all other sources</u>.—Report your firm's imports and your firm's shipments and inventories of fluid end blocks imported from all other sources by your firm during the specified periods.

## All other sources

	Quantity (in t	units), value (in	\$1,000)		
		Calendar years	January-S	eptember	
Item	2016	2017	2018	2018	2019
Beginning-of-period inventories (quantity) (A)					
Imports: <sup>1</sup> Quantity (B)					
Value (C)					
U.S. shipments: Commercial shipments: Quantity (D)					
Value (E)					
Internal consumption: <sup>2</sup> Quantity (F)					
Value² (G)					
Transfers to related firms: <sup>2</sup> Quantity (H)					
Value² (I)					
Export shipments: <sup>3</sup> Quantity (J)					
Value (K)					
End-of-period inventories (quantity) (L)					
<sup>1</sup> Please identify the foreign produce <sup>2</sup> Internal consumption and transfers basis for valuing these transactions in y the data provided above in this table sh <sup>3</sup> Identify your firm's principal export	to related firms nour records, pleas ould be based on	nust be valued at e specify that ba	sis (e.g., cost, cost	•	

#### II-9a. U.S. imports from all other sources.—Continued

RECONCILIATION OF SHIPMENTS, IMPORTS, AND INVENTORIES.--Generally, the data reported for the end-of-period inventories (i.e., line L) should be equal to the beginning-of-period inventories (i.e., line A), plus imports (i.e., line B), less total shipments (i.e., lines D, F, H, and J). Please ensure that any differences are not due to data entry errors in completing this form, but rather actually reflect your firm's records; and also provide any likely explanations for any differences (e.g., theft, loss, damage, record systems issues, etc.) if they exist.

	Calendar years			January-September	
Reconciliation	2016	2017	2018	2018	2019
A + B - D - F - H - J - L = should equal					
zero ("0") or provide an explanation.1	0	0	0	0	0

<sup>&</sup>lt;sup>1</sup> Explanation if the calculated fields above are returning values other than zero (i.e., "0") but are nonetheless accurate: \_\_\_\_\_.

II-9b. <u>Channels of distribution: All other sources</u>.--Report your firm's U.S. shipments by channel of distribution (i.e., inclusive of commercial U.S. shipments, internal consumption, and transfers to related firms).

### All other sources

	Calendar years			January-September	
Item	2016	2017	2018	2018	2019
	Quantity (in units)				
Channels of distribution: U.S. shipments: To distributors (M)					
To OEMs / end users (N)					

<u>RECONCILIATION OF CHANNELS.</u>--Please ensure that the quantities reported for channels of distribution (i.e., lines M and N) in each time period equal the quantity reported for U.S. shipments (i.e., line D, F, H) in each time period. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-S	eptember
Reconciliation item	2016 2017 2018			2018	2019
M + N – D - F - H = zero ("0"), if not					
revise.	0	0	0	0	0

- II-9c. <u>U.S. imports by level of processing: All other sources.</u>—Report your firm's U.S. imports from all other sources by level of processing during the specified periods.
  - "Unfinished" A fluid end block that has not advanced beyond forging, heat treating, and rough machining.
  - "Semi-finished" A fluid end block that has been subject to some machining operations (e.g., milling a surface to make it smooth, drilling, or countour machining).
  - "Finished" A fluid end block that is ready for incorporation into a pump fluid end assembly without further manufacturing operations.

### All other sources

		Calendar years	5	January-September		
U.S. imports	2016	2017	2018	2018	2019	
Unfinished:						
Quantity (O)						
Value (P)						
Semi-finished:						
Quantity (Q)						
Value (R)						
Finished:						
Quantity (S)						
Value (T)						

<sup>&</sup>lt;sup>1</sup> Please identify the physical characteristics, functions, and markets for unfinished, semi-finished, and finished fluid end blocks, respectively. \_\_\_\_\_.

<u>RECONCILATION OF IMPORTS BY LEVEL OF PROCESSING.</u>—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines O, Q, and S) in each time period equal the quantity reported for U.S. imports in previous question II-9a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation	2016	2017	2018	2018	2019	
Quantity: O + Q + S - B = zero ("0"), if not revise	0	0	0	0	0	
<b>Value</b> : P + R + T – C = zero, ("0"), if not revise.	0	0	0	0	0	

II-9d. <u>U.S. imports by steel type: All other sources.--</u>Report your firm's U.S. imports by steel type during the specified periods.

"Stainless steel" – Alloy steels containing, by weight 1.2 percent or less of carbon and 10.5 percent or more of chromium, with or without other elements.

### All other sources

Quantity (in units) and value (in \$1,000)					
		Calendar years	S	January-S	eptember
U.S. imports	2016	2017	2018	2018	2019
Stainless steel:					
Quantity (U)					
Value (V)					
Non-stainless steel:					
Quantity (W)					
Value (X)					

<u>RECONCILATION OF IMPORTS BY STEEL TYPE.</u>—Please ensure that the quantities reported for U.S. imports in this question (i.e., lines U and W) in each time period equal the quantity reported for U.S. imports in previous question II-9a (i.e., line B) in each time period. IF the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

	Calendar years			January-September		
Reconciliation	2016	2017	2018	2018	2019	
Quantity: $U + W - B = zero ("0")$ , if not						
revise	0	0	0	0	0	
<b>Value</b> : V + X - C = zero, ("0"), if not						
revise.	0	0	0	0	0	

II-9e. Monthly U.S. imports: All other sources.—Report your firm's monthly U.S. imports of fluid end blocks from all other sources by month.

## All other sources

Quantity (in units)				
Month	All suppliers in all other sources			
2018				
January				
February				
March				
April				
May				
June				
July				
August				
September				
October				
November				
December				
2019				
January				
February				
March				
April				
May				
June				
July				
August				
September				
October				
November				

<u>RECONCILIATION OF MONTHLY IMPORTS</u>.-- Please ensure that the total imports reported for full year 2018, and for the partial periods (e.g., January to September 2018, and January to September 2019) reported in part "a" of this question matches the monthly data reported here. If the calculated fields below return values other than zero (i.e., "0"), the data reported must be revised prior to submission to the Commission.

Reconciliation	Full year 2018	Jan-Sept 2018	Jan-Sept 2019
U.S. imports here (II-9e) minus U.S. imports above (II-9a), revise			
if not returning zero ("0")	0	0	0

-7.	<u>Transfers to related firms</u> If your firm reported transfers to related firms in any of the data tables in part II, please identify the firm(s) and indicate the nature of the relationship between your firm and the related firms (e.g., joint venture, wholly owned subsidiary) and whether the transfers were priced at market value or by a non-market formula.
-8.	Other explanationsIf your firm would like to further explain a response to a question in Part II for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

#### PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Pamela Davis (202-205-2218, <a href="mailto:Pamela.Davis@usitc.gov">Pamela.Davis@usitc.gov</a>).

III-1. <u>Contact information.</u>--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in part III.

Name	
Title	
Email	
Telephone	

#### PRICE DATA

- III-2. This question requests quarterly quantity and value data for your firm's commercial shipments to unrelated U.S. customers since January 1, 2016 of the following products your firm imported from China, Germany, India, and/or Italy:
  - **Product 1.**--4330mod Drilled Quint. Quintuplex fluid end block that has been drilled and rough machined, made of forged alloy steel, with a chromium content between 1.30% and 1.65%, a nickel content between 2.90% and 3.60%, and a net weight between 7,300 and 7,550 lbs.
  - **Product 2.**--17-4 Solid Quint. Quintuplex fluid end block that has been rough machined but not drilled, made of forged stainless steel, with a chromium content between 14.50% and 18.00%, a nickel content between 2.90% and 5.10%, and a net weight between 8,900 and 9,300 lbs.
  - **Product 3.**--15-5 Drilled Quint. Quintuplex fluid end block that has been drilled and rough machined, made of forged stainless steel, with a chromium content between 13.50% and 16.50%, a nickel content between 3.90% and 6.10%, and a net weight between 6,950 and 7,250 lbs.
  - **Product 4.**--4330mod Drilled Mud Pump Block. Fluid end block that has been drilled with no more than one hole per face, rough machined, made of forged alloy steel, with a chromium content between 0.65% and 1.00%, a nickel content between 1.60% and 2.05%, and a net weight between 3,100 and 3,300 lbs.

Please note that values should be <u>f.o.b.</u>, <u>U.S.</u> <u>point of shipment</u> and should not include U.S.-inland transportation costs. Values should reflect the *final net* amount paid to your firm (i.e., should be net of all deductions for discounts or rebates).

During January 2016-September 2019, did your firm import from China, Germany, India, and/or
Italy and sell to unrelated U.S. customers any of the above listed products (or any products that
were competitive with these products)?

YesPlease complete the following pricing data tables as appropriate.
NoSkip to question III-3.

III-2a. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from China and sold by your firm.

## China

	Product 1 Product 2 Product 3					Produ	uct 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								
July-September								
October-December								
019:								
January-March								
April-June								
July-September								

<sup>&</sup>lt;sup>1</sup> Net values (i.e., gross sales values less all discounts, allowances, rebates, prepaid freight, and the value of returned goods), f.o.b. your firm's U.S. point of shipment.

NoteIf your firm's product does not exactly meet the product specifications but is competitive with the specified product, provide	de a
description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.	

, , , , , , , , , , ,	 /	, , , , , , , , , , , , , , , , , , , ,	 0	
Product 1:				
Product 2:				
Product 3:				
Product 4:				

 $<sup>^{\</sup>rm 2}$  Pricing product definitions are provided on the first page of Part III.

Product 3: Product 4:

III-2b. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Germany and sold by your firm.

## Germany

		(Q	uantity in units	, value in dol	lars)			
	Produ	uct 1	Produ	uct 2	Product 3		Product 4	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								
July-September								
October-December								
2019:								
January-March								
April-June								
July-September								
<sup>1</sup> Net values (i.e., gross firm's U.S. point of shipmer <sup>2</sup> Pricing product defin	nt.				aid freight, and	the value of r	eturned goods),	f.o.b. your
<b>Note</b> -If your firm's product description of your firm's p		•			•	•	d product, provi	ide a
Product 1:								
Product 2:								

Product 2: Product 3: Product 4:

III-2c. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from India and sold by your firm.

# India

<u>L</u>	Product 1				Product 3		Product 4	
Period of shipment	Quantity	Value	Produ Quantity	Value	Quantity	Value	Quantity	Value
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								
July-September								
October-December								
2019:								
January-March								
April-June								
July-September								

Product 2: Product 3: Product 4:

III-2d. <u>Price data</u>.--Report below the quarterly price data<sup>1</sup> for pricing products<sup>2</sup> imported from Italy and sold by your firm.

# Italy

	Produ	uct 1	Produ	ıct 2	Prod	uct 3	Prod	uct 4
Period of shipment	Quantity	Value	Value Quantity		Quantity	Value	Quantity	Value
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								
July-September								
October-December								
2019:								
January-March								
April-June								
July-September								

III-2e.	Price data checklistPlease check that the pricing data in question III-2(a) has been correctly
	reported.

Are the price data reported above:	√ if Yes
In actual dollars ( <i>not</i> \$1,000) and units?	
F.o.b. U.S. point of shipment (i.e., does not include U.S. transport costs)?	
Net of all discounts and rebates?	
Have returns credited to the quarter in which the sale occurred?	
Less than reported commercial shipments in part II in each year?	

III-2†.	<b>Pricing data methodology</b> -Please describe the method and the kinds of documents/records
	that were used to compile your price data.

Note: As requested in Part I of this questionnaire, please keep all supporting documents/records used in the preparation of the price data, as Commission staff may contact your firm regarding questions on the price data. The Commission may also request that your company submit copies of the supporting documents/records (such as sales journal, invoices, etc.) used to compile these data.

III-3a.	Imports for internal use Did your firm import fluid end blocks for internal consumption since January 1, 2016?							
	YesPlease complete the following table as appropriate.							
	NoSkip to question III-4.							

Report below the import data<sup>1</sup> for pricing product 3 imported from China, Germany, India, and/or Italy and used by your own firm. These are imports that your firm does not resell as fluid end blocks.

Please note that values should be landed, duty-paid and should not include U.S.-inland transportation costs. Values should reflect the final net amount paid by your firm (i.e., should be net of all returns, discounts, allowances, and rebates).

### **Product 3**

		(0	Quantity in units,	, value <i>in dol</i>	lars)			
	China Germany India					lia	Italy	
Period of shipment	Quantity	Value	Quantity	Value	Quantity	Value	Quantity	Value
2016:								
January-March								
April-June								
July-September								
October-December								
2017:								
January-March								
April-June								
July-September								
October-December								
2018:								
January-March								
April-June								
July-September								
October-December								
2019:								
January-March								
April-June								
July-September								

<sup>&</sup>lt;sup>1</sup> LDP value (i.e., landed duty-paid values): Values reported should be landed, duty-paid values at the U.S. port of entry, including ocean freight and insurance costs, brokerage charges, and import duties (i.e., all charges except inland freight in the United States). See "Import values" definition in Part II (Trade and Related Information – Definitions).

<b>Note</b> If your firm's product does r	not exactly meet the product specifications but is competitive w	$^{\prime}$ ith the specified product, provide $a$
description of your firm's product.	Also, please explain any anomalies in your firm's reported prici	ng data.

description of your firm's product. Also, please explain any anomalies in your firm's reported pricing data.
China:
Germany:
India:
Italy:

<sup>&</sup>lt;sup>2</sup> Pricing product definitions are provided on the first page of Part III.

III-3b. <u>Inland transportation costs for your firm's direct imports of fluid end blocks for internal use.</u>

If your firm reported import purchases costs above (question III-3a), what is the approximate percentage of the total cost of fluid end blocks that you directly imported from China, Germany, India, and/or Italy that is accounted for by U.S. inland transportation costs from the port of importation to your distribution network, retail store(s), or manufacturing plant(s)?

Country	Percent	
China		%
Germany		%
India		%
Italy		%

### III-3c. Additional costs for your firm's imports of fluid end blocks for your firm's internal use.

(i) If your firm reported import purchase costs above (question III-3a), please identify the factors (**other than** U.S. inland transportation costs or costs already included in landed duty paid values) that add to your cost of importing directly since January 1, 2016. Estimate the share of the cost of the landed duty-paid value, and explain the specific costs associated with each category.

Factors	Estimated share of landed duty- paid value (percent)	Explanation
Logistical or supply chain management		
costs (not already included in LDP		
value)		
Warehousing/inventory carrying costs		
(not already included in LDP value)		
Insurance costs (not already included in		
LDP value)		
Other1, please identify ( )		
Other2, please identify ( )		
Other3, please identify ( )	-	

(ii) To which source(s) does your firm compare costs in determining your additional transaction costs to directly import?

U.S. importers U.S. producers		Both	Neither	

(a) Briefly identify the benefits of directly importing fluid end blocks instead of purchasing fluid end blocks from a U.S. importer or from a U.S. producer.				
Γ				
b	o) Please provide the estimated margin saved by having directly imported fluid end ocks instead of purchasing from a U.S. importer percent of landed duty-parters.			
٧	alue.			

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U.S.	imponers	Questionnaire -	– Fluia Elia	DIUCKS	terenninary

III-4.	Price settingHow does your firm determine the prices that it charges for sales of fluid end
	blocks (check all that apply)? If your firm issues price lists, please submit sample pages of a
	recent list.

Transaction by transaction	Contracts	Set price lists	Other	If other, describe

III-5. <u>Discount policy</u>.--Please indicate and describe your firm's discount policies (*check all that apply*).

Quantity discounts	Annual total volume discounts	No discount policy	Other	Describe

III-6. **Pricing terms.**--On what basis are your firm's prices of imported fluid end blocks from China, Germany, India, and/or Italy usually quoted *(check one)*?

Delivered	F.o.b.	If f.o.b., specify point

III-7. <u>Contract versus spot</u>.--Approximately what share of your firm's sales of fluid end blocks imported from China, Germany, India, and/or Italy in 2018 was on a (1) short-term contract basis, (2) annual contract basis, (3) long-term contract basis, and (4) spot sales basis?

		Type of sale						
ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)	<b>Spot sales</b> (for a single delivery)	Total (shoul sum to 100.0%	d o		
Share of 2018 sales	%	%	%	%	0.0	%		

III-8. <u>Contract provisions.</u>--Please fill out the table regarding your firm's typical sales contracts for fluid end blocks imported from China, Germany, India, and/or Italy (or check "not applicable" if your firm does not sell on a short-term, annual and/or long-term contract basis).

Typical sales contract provisions	ltem	Short-term contracts (multiple deliveries for less than 12 months)	Annual contracts (multiple deliveries for 12 months)	Long-term contracts (multiple deliveries for more than 12 months)
Average contract duration	No. of days		365	
Price renegotiation	Yes			
(during contract period)	No			
	Quantity			
Fixed quantity and/or price	Price			
3.1.5/ 5.1 p.1.55	Both			
Indexed to raw	Yes			
material costs <sup>1</sup>	No			
Not applicab	le			
<sup>1</sup> Please identify the in	dexes used:			

III-9. <u>Lead times.</u>--What is your firm's share of sales of fluid end blocks imported from China, Germany, India, and/or Italy from inventory and produced to order and what is the typical lead time between a customer's order and the date of delivery for your firm's sales of fluid end blocks?

Source	Share of 2018 sales	Lead time (Average number of days)
From your firm's U.S. inventory	%	
From foreign manufacturers' inventory	%	
Produced to order	%	
Total (should sum to 100.0%)	0.0 %	

costs? \_\_\_\_\_ percent.

III-10. Shipping information.—

	<del></del>
(a)	What is the approximate percentage of the cost of fluid end blocks imported from
	China, Germany, India, and/or Italy that is accounted for by U.S. inland transportation

(b)	Who generally arranges the transportation to your firm's customers' locations?
	Your firm Purchaser (check one)

(c) When your firm sells fluid end blocks imported from China, Germany, India, and/or Italy, from where is it shipped?
 Point of importation Storage facility (check one)

(d) Indicate the approximate percentage of your firm's sales of fluid end blocks imported from China, Germany, India, and/or Italy that are delivered the following distances from

your firm's U.S. point of shipment.

Distance from your firm's U.S. point of shipment	Share
Within 100 miles	%
101 to 1,000 miles	%
Over 1,000 miles	%
Total (should sum to 100.0%)	0.0 %

III-11. <u>Geographical shipments.</u>--In which U.S. geographic market area(s) has your firm sold fluid end blocks imported from subject countries since January 1, 2016 (check all that apply)?

Geographic area	China	Germany	India	Italy
Northeast.–CT, ME, MA, NH, NJ, NY, PA, RI, and VT.				
<b>Midwest</b> .—IL, IN, IA, KS, MI, MN, MO, NE, ND, OH, SD, and WI.				
<b>Southeast</b> .—AL, DE, DC, FL, GA, KY, MD, MS, NC, SC, TN, VA, and WV.				
Central Southwest.—AR, LA, OK, and TX.				
Mountains.—AZ, CO, ID, MT, NV, NM, UT, and WY.				
Pacific Coast.–CA, OR, and WA.				
<b>Other</b> .—All other markets in the United States not previously listed, including AK, HI, PR, and VI.				

III-12. <u>End uses.--</u>List the end uses of the fluid end blocks that your firm imports. For each end-use product, what percentage of the <u>total cost</u> is accounted for by fluid end blocks and other inputs?

	Share of total cost	Total	
			(should sum to
End-use product	Fluid end blocks Other inputs		100.0% across)
	%	%	0.0 %
	%	%	0.0 %
	%	%	0.0 %

	N	lo	YesP	lease fill ou	t the tab	ole.		
		E.	nd uso in v	which this				e of this substitute luid end blocks?
	Substitute	_	substitute		No	Yes	Expl	anation
1.								
2.								
3.								
III-14	States (if kno	wn) for flui	d end bloo	cks has char	ed these	ce Janua	es in demand.	e of the United plain any trends and and any trends and and factors
in the United States								
n the					_	_		

	No	Yes	If yes, please des	cribe.
6.		fluid end l	olocks market subj	ect to business cycles (other than general economy-widens of competition distinctive to fluid end blocks?
	Check all	that apply	<b>/</b> .	Please describe.
		No		Skip to question III-16.
			ness cycles (e.g. business)	
			r distinctive as of competition	
			e been any change since January 1, 2	es in the business cycles or conditions of competition for 016?
	No	Yes	If yes, describe	е.
	NO			
7.	Supply co since Janu entry," de	ary 1, 201 clining to	6 (examples includance) accept new custor	used, declined, or been unable to supply fluid end blocks de placing customers on allocation or "controlled order ners or renew existing customers, delivering less than th eet timely shipment commitments, etc.)?
7.	Supply co since Janu entry," de	ary 1, 201 clining to	6 (examples includance) accept new custor	de placing customers on allocation or "controlled order mers or renew existing customers, delivering less than the eet timely shipment commitments, etc.)?

U.S. Importers' Questionnaire	- Fluid End Blocks	(Preliminary
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III-18.	Raw	materials.—
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(a)	How have fluid	end blocks ra	aw material	costs changed	since January 1	l, 2016?
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Factor	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how raw material price changes have affected your firm's selling prices for fluid end blocks.
Cost of raw materials					

(b) How did the imposition of tariffs under section 232 on imported steel/aluminum products impact raw material costs for fluid end blocks?

Factor	Overall increase	No change	Overall decrease	Fluctuate with no clear trend
Cost of raw materials after section 232 tariffs imposed				

(c) How did the imposition of tariffs under section 232 on imported steel/aluminum products impact your firm's sales price for fluid end blocks?

Factor	No change	Overall Increase	Overall Decrease	Fluctuate with no clear trend	Explanation
Prices for fluid end blocks					

III-19.	Impact of section 301 tariffs Did the imposition of tariffs on Chinese-origin products under
	section 301 have an impact on the fluid end blocks market in the United States?

Yes— Please indicate the impact in the table below.	No	Don't know

Factor	Overall increase	No change	Overall decrease	Fluctuate with no clear trend	Explain, noting how the imposition of tariffs under section 301 affected each factor of the fluid end blocks market in the United States.
Supply of U.S produced fluid end blocks					
Supply of fluid end blocks imported from China					
Supply of fluid end blocks imported from other countries					
Prices for fluid end blocks					
Overall U.S. demand for fluid end blocks					
Raw material costs for fluid end blocks					

III-20. <u>Interchangeability</u>.--Are fluid end blocks produced in the United States and in other countries interchangeable (i.e., can they physically be used in the same applications)?

Please indicate A, F, S, N, or 0 in the table below:

- A = the products from a specified country-pair are *always* interchangeable
- F = the products are *frequently* interchangeable
- S = the products are *sometimes* interchangeable
- N = the products are *never* interchangeable
- 0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Germany	India	Italy	Other countries
United States					
China					
Germany					
India					
Italy					
For any country-pair producing fluid end blocks that is sometimes or never interchangeable,					

For any country-pair producing fluid end blocks that is *sometimes* or *never* interchangeable, identify the country-pair and explain the factors that limit or preclude interchangeable use:

III-21. <u>Factors other than price</u>.--Are differences other than price (e.g., quality, availability, transportation network, product range, technical support, *etc.*) between fluid end blocks produced in the United States and in other countries a significant factor in your firm's sales of the products?

Please indicate A, F, S, N, or 0 in the table below:

A = such differences are *always* significant

F = such differences are *frequently* significant

S = such differences are *sometimes* significant

N = such differences are *never* significant

0 = *no familiarity* with products from a specified country-pair

Country-pair	China	Germany	India	Italy	Other countries
United States					
China					
Germany					
India					
Italy					

For any country-pair for which factors other than price *always* or *frequently* are a significant factor in your firm's sales of fluid end blocks, identify the country-pair and report the advantages or disadvantages imparted by such factors:

U.S. Importers' Questionnaire -	<b>Fluid End Blocks</b>	(Preliminary
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III-22. <u>Customer identification</u>.--List the names and contact information for your firm's 10 largest U.S. customers for fluid end blocks since January 1, 2016. Indicate the share of the quantity of your firm's total shipments of fluid end blocks that each of these customers accounted for in 2018.

	Customer's name	Contact person	Email	Telephone	City	State	Share of 2018 sales (%)
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

III-23.	Other explanationsIf your firm would like to further explain a response to a question in Part III for which a narrative response box was not provided, please note the question number and the explanation in the space provided below. Please also use this space to highlight any issues your firm had in providing the data in this section, including but not limited to technical issues with the MS Word questionnaire.

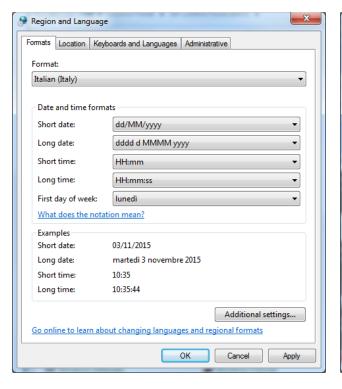
Correcting Valid number error messages.—If you are completing a Commission questionnaire in a country that uses periods (".") to delineate multiples of 1000 (e.g., one million would appear as \$1.000.000 instead of as \$1,000,000), you may be unable to enter in numbers greater than 999 in numeric form fields. This issues stem from your computer number formatting setting (e.g., not the MS Word document itself, but the computer from which you are opening up the document). In the United States commas (,) delineate multiples of 1000 and periods (.) delineate fractions less than one. Many EU countries use the reverse where multiples of 1000 are delineated with periods (.) and fractions less than one are delineated with commas (,). The U.S. International Trade Commission's questionnaires are set-up in the United States with the U.S. number formatting. When this formatting interacts with a computer set to EU number formatting, we believe this may cause this issue.

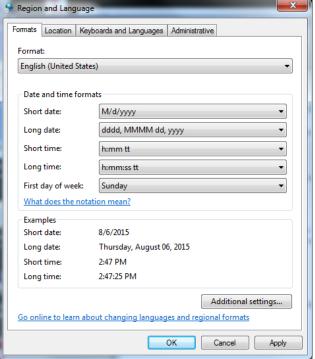
The solution to this data entry issue is to temporarily change your operating system's number formatting to be consistent with the U.S. number formatting system while you complete the questionnaire.

To temporarily change your computer's number settings to U.S. settings, please do the following (for Microsoft Windows Operating system):

- START
- Control Panel
- Region and Language (under Clock, Language, and Region category)
- Format tab
- Change the Format from your existing one (e.g. "Italian (Italy)") to "English (United States)" (see screen shots below)

When you do this the number "twelve million dollars and thirty five cents" would change from \$12.000.000,35 (Italy format) to \$12,000,000.35 (U.S. format), and then there will be no conflict with the questionnaire. When you finish reporting the data then you can close the questionnaire and switch back to Italy settings.





## **HOW TO FILE YOUR QUESTIONNAIRE RESPONSE**

This questionnaire is available as a "fillable" form in MS Word format on the Commission's website at:

https://usitc.gov/investigations/701731/2019/fluid\_end\_blocks\_china\_germany\_in dia and italy/preliminary.htm

**Please do not attempt to modify the format or permissions of the questionnaire document**. Please submit the completed questionnaire using one of the methods noted below. If your firm is unable to complete the MS Word questionnaire or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• <u>Upload via Secure Drop Box</u>.—Upload the MS Word questionnaire along with a scanned copy of the signed certification page (page 1) through the Commission's secure upload facility:

Web address: <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> Pin: FEBS

• E-mail.—E-mail the MS Word questionnaire to <a href="kristina.lara@usitc.gov">kristina.lara@usitc.gov</a>; include a scanned copy of the signed certification page (page 1). Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm's nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.

**If your firm** did not import this product, please fill out page 1, print, sign, and submit a scanned copy to the Commission.

<u>Parties to this proceeding</u>.—If your firm is a party to this proceeding, it is required to serve a copy of the completed questionnaire on parties to the proceeding that are subject to administrative protective order (see 19 CFR § 207.7). A list of such parties may be obtained from the Commission's Secretary (202-205-1803). A certificate of service must accompany the completed questionnaire you submit (see 19 CFR § 207.7). Service of the questionnaire must be made in paper form.