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## LOST SALES AND LOST REVENUE SURVEY

### GLYCINE FROM CHINA, INDIA, JAPAN AND THAILAND

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This survey must be received by the Commission by **April 11, 2018**

*See last page for filing instructions.*

The information called for in this survey is for use by the United States International Trade Commission in connection with countervailing duty investigations concerning glycine and certain precursor products from China, India, and Thailand and in connection with antidumping investigations concerning glycine and certain precursor products from India, Japan and Thailand (Inv. No. 701-TA-603-605 and 731-TA-1413-1415 (Preliminary)). The information requested in the survey is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)). Further information on this survey can be obtained from Lauren Gamache ([lauren.gamache@usitc.gov](mailto:lauren.gamache@usitc.gov), 202-205-3489).

<b>Name of firm</b> _____		
<b>Address</b> _____		
<b>City</b> _____	<b>State</b> _____	<b>Zip Code</b> _____
<b>Website</b> _____		
Has your firm purchased glycine (as defined on next page) at any time since January 1, 2015?		
<input type="checkbox"/> <b>NO</b>	(Sign the certification below and promptly return <b>only</b> this page of the survey to the Commission)	
<input type="checkbox"/> <b>YES</b>	(Complete all parts of the survey, and return the entire survey to the Commission)	
<b>Return questionnaire via the U.S. International Trade Commission Drop Box by clicking on the following link:</b> <a href="https://dropbox.usitc.gov/oinv/">https://dropbox.usitc.gov/oinv/</a> . (PIN: <b>GLYC</b> )		

#### CERTIFICATION

*I certify that the information herein supplied in this survey is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission. By submitting this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this survey and throughout this proceeding in any other import-injury proceedings conducted by the Commission on the same or similar merchandise.*

*I, the undersigned, acknowledge that information submitted in response to this request for information and throughout this investigation or other proceeding may be disclosed to and used: (i) by the Commission, its employees and Offices, and contract personnel (a) for developing or maintaining the records of this or a related proceeding, or (b) in internal investigations, audits, reviews, and evaluations relating to the programs, personnel, and operations of the Commission including under 5 U.S.C. Appendix 3; or (ii) by U.S. government employees and contract personnel, solely for cybersecurity purposes. I understand that all contract personnel will sign appropriate nondisclosure agreements.*

\_\_\_\_\_  
*Name of Authorized Official*

\_\_\_\_\_  
*Title of Authorized Official*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Phone*

\_\_\_\_\_  
*Email address*

**GENERAL INFORMATION**

**Background.**-- This proceeding was instituted in response to a petition filed on March 28, 2018, by GEO Specialty Chemical, Lafayette, Indiana and Chattem Chemicals, Inc., Chattanooga, Tennessee. Countervailing and antidumping duties may be assessed on the subject imports as a result of these proceedings if the Commission makes an affirmative determination of injury, threat, or material retardation, and if the U.S. Department of Commerce ("Commerce") makes an affirmative determination of subsidization and/or dumping. U.S. producers of glycine have provided the USITC with allegations about sales or revenue that they have lost due to competition from imports of glycine from #countries. One or more domestic producer(s) have named your firm in such an allegation.

**Glycine** covered by this proceeding is glycine, which in its solid (i.e., crystallized) form is a free-flowing crystalline material, like salt or sugar. Glycine is produced at varying levels of purity or grades. It is used as a sweetener/taste enhancer, buffering agent, cleaning and polishing agent, reabsorbable amino acid, chemical intermediate, metal complexing agent, and dietary supplement. It also has other pharmaceutical, industrial, medical and cosmetic applications. The scope of this proceeding covers glycine in any form and at any purity level or grade, regardless of additives. Glycine's chemical composition is C<sub>2</sub>H<sub>5</sub>NO<sub>2</sub> and is classified, since July 1, 2017, under subheading 2922.49.43.00 of the Harmonized Tariff Schedule of the United States ("HTSUS"); before that date, it was classified under subheading 2922.49.40.20 of the HTSUS.

The scope of this proceeding also covers precursors of dried crystalline glycine, including, but not limited to, glycine slurry (i.e., glycine in a non-crystallized form), sodium glycinate and a non-reacted ammonia-monochloroacetic or chloroacetic acid mix. Glycine slurry is classified under the same HTSUS as crystallized glycine (2922.49.43.00 as of July 1, 2017, and 2922.49.40.20 before that date), sodium glycinate is classified under HTSUS 2922.49.80.00, and the non-reacted ammonia-monochloroacetic or chloroacetic acid mix has been classified under a number of HTS US 2922.49 subheadings.

**Reporting of information.**--If information is not readily available from your records, provide carefully prepared estimates.

**Confidentiality.**--The data furnished in response to this survey that reveal the individual operations of your firm will be treated as confidential by the Commission to the extent that such data are not otherwise available to the public and will not be disclosed except as may be required by law (*see* 19 U.S.C. 1677f). Such confidential information will not be published in a manner that will reveal the individual operations of your firm; however, general characterizations of numerical business proprietary information (such as discussion of trends) will be treated as confidential business information only at the request of the submitter for good cause shown.

**Release of information.**--The information provided by your firm in response to this survey, as well as any other business proprietary information submitted by your firm to the Commission in connection with this proceeding, may become subject to, and released under, the administrative protective order provisions of the Tariff Act of 1930 (19 U.S.C. § 1677f) and section 207.7 of the Commission's Rules of Practice and Procedure (19 CFR § 207.7). This means that certain lawyers and other authorized individuals may temporarily be given access to the information for use in connection with this proceeding or other import-injury proceedings conducted by the Commission on the same or similar merchandise; those individuals would be subject to severe penalties if the information were divulged to unauthorized individuals. Please also retain a copy of the final document that you submit.

**Contact information.**--Please identify the responsible individual and the manner by which Commission staff may contact that individual regarding the confidential information submitted in this survey. This may or may not be the person whose signature is at the bottom of page 1.

Name	
Title	
Email	
Telephone	

**Firms operating more than one establishment should combine the data for all establishments into a single response.**

**PURCHASE INFORMATION**

II-1. **Purchases and imports.**--Report separately your firm’s domestic purchases and imports of glycine.

**“Purchase”** – Purchase from a U.S. entity such as a U.S. producer, a U.S. importer, or other U.S. firm.

**“Import”** – Purchase directly from a foreign supplier and your firm is the importer of record.

Item	2015	2016	2017
	Quantity (in 1,000 pounds)		
<b>Purchases of glycine produced in—</b>			
United States			
China			
India			
Japan			
Thailand			
All other countries <sup>1</sup>			
Sources unknown			
Total purchases	0	0	0
<b>Imports of glycine from—</b>			
China			
India			
Japan			
Thailand			
All other countries <sup>1</sup>			
Total imports	0	0	0
<sup>1</sup> Please identify these countries:			

**II-2. Changes in purchasing patterns.**--Please indicate how the shares of your firm's purchases of glycine from different sources have changed since January 1, 2015.

<b>Source of purchases</b>	<b>Did not purchase</b>	<b>Decreased</b>	<b>Increased</b>	<b>Constant</b>	<b>Fluctuated</b>	<b>Explanation for trend</b>
United States	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
China	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
India	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Japan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Thailand	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
All other countries	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Sources unknown	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

**II-3. Major purchasing factors.**--Please list, in order of their importance, the main factors your firm considers in deciding from whom to purchase glycine.

1.	
2.	
3.	
Please list any other factors that are very important in your purchase decisions:	

II-4. **Purchasing subject imports rather than domestic products.**—

(a) Since January 2015, did your firm purchase imports of glycine from China, India, Japan, and/or Thailand instead of U.S.-produced glycine? Respond for each subject country.

<b>Source</b>	<b>Yes (also respond to parts (b) and (c))</b>	<b>No (If “No” for all countries, skip to next question)</b>
China	<input type="checkbox"/>	<input type="checkbox"/>
India	<input type="checkbox"/>	<input type="checkbox"/>
Japan	<input type="checkbox"/>	<input type="checkbox"/>
Thailand	<input type="checkbox"/>	<input type="checkbox"/>

(b) If you responded “Yes” to part (a), was the imported product priced lower than the domestic product?

<b>Source</b>	<b>Yes</b>	<b>No</b>
China	<input type="checkbox"/>	<input type="checkbox"/>
India	<input type="checkbox"/>	<input type="checkbox"/>
Japan	<input type="checkbox"/>	<input type="checkbox"/>
Thailand	<input type="checkbox"/>	<input type="checkbox"/>

(c) If you responded “Yes” to part (a), was price a primary reason for purchasing subject imports rather than domestic product?

<b>Source</b>	<b>Yes</b>	<b>If Yes, estimate the quantity of imports purchased instead of domestic product since January 2015 (in 1,000 pounds)</b>	<b>No</b>	<b>If No, please indicate the reason your firm purchased imports instead of domestic product</b>
China	<input type="checkbox"/>		<input type="checkbox"/>	
India	<input type="checkbox"/>		<input type="checkbox"/>	
Japan	<input type="checkbox"/>		<input type="checkbox"/>	
Thailand	<input type="checkbox"/>		<input type="checkbox"/>	

**II-5. U.S. producers and import competition.**—

(a) Since January 1, 2015, in connection with a sale or offer to sell glycine to your firm, did U.S. producers reduce their prices of domestically produced glycine in order to compete with lower-priced imports of glycine from the subject countries? Respond for each subject country.

<b>Source</b>	<b>Yes (also respond to question part (b))</b>	<b>No (If “No” for all countries, skip to next question)</b>	<b>Don’t know</b>
China	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
India	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Japan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Thailand	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(b) If your firm responded “yes” to any of the above countries, please provide an estimate of the reduction in U.S. producers’ prices, and any additional explanations, such as timing (e.g., months/years), frequency of price reductions, or other market/competitive factors.

<b>Source</b>	<b>Estimated reduction in U.S. prices (percent)</b>	<b>Additional explanation, including such information as timing (e.g., months/years), frequency of price reductions, or other market/competitive factors</b>
China	%	
India	%	
Japan	%	
Thailand	%	

**II-6. Method of purchase.**--Please provide a general description of your firm’s method(s) of purchase (e.g., individual purchase, contract, bids, Internet purchases, etc.) for glycine.

II-7. **Price ranges by grade.**--Please roughly estimate the range of prices paid by your firm for glycine since 2015.

<b>Grade</b>	<b>Minimum price per pound (dollars)</b>	<b>Maximum price per pound (dollars)</b>
Pharmaceutical		
USP		
Technical		

II-8. **Other explanations.**--Please provide any additional comments in this box.

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**OMB INFORMATION**

II-9. **OMB statistics.**--Please report the actual number of hours required and the cost to your firm of completing this survey.

Hours	Dollars

The questions in this survey have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this survey is estimated to average 4 hours per response, including the time for reviewing instructions, gathering data, and completing and reviewing the survey.

We welcome comments regarding the accuracy of this burden estimate, suggestions for reducing the burden, and any suggestions for improving this survey. Please attach such comments to your response or send to the Office of Investigations, USITC, 500 E St. SW, Washington, DC 20436.

## **HOW TO FILE YOUR SURVEY RESPONSE**

***Please do not attempt to modify the format or permissions of the survey document.***

Please submit the completed survey using one of the methods noted below. If your firm is unable to complete the MS Word survey or cannot use one of the electronic methods of submission, please contact the Commission for further instructions.

• **Upload via Secure Drop Box.**—Upload the MS Word survey along with a scanned copy of the signed certification page (page 1) through the Commission’s secure upload facility:

- **Web address:** <https://dropbox.usitc.gov/oinv/>
- **Enter Investigation:** Select “**INVESTIGATION-SPECIFIC MENU ITEM**” in the drop down menu
- **Pin:** **GLYC**

• **E-mail.**—E-mail the MS Word survey to [lauren.gamache@usitc.gov](mailto:lauren.gamache@usitc.gov); include a scanned copy of the signed certification page (page 1). *Submitters are strongly encouraged to encrypt nonpublic documents that are electronically transmitted to the Commission to protect your sensitive information from unauthorized disclosure. The USITC secure drop-box system and the Electronic Document Information System (EDIS) use Federal Information Processing Standards (FIPS) 140-2 cryptographic algorithms to encrypt data in transit. Submitting your nonpublic documents by a means that does not use these encryption algorithms (such as by email) may subject your firm’s nonpublic information to unauthorized disclosure during transmission. If you choose a non-encrypted method of electronic transmission, the Commission warns you that the risk of such possible unauthorized disclosure is assumed by you and not by the Commission.*

**If your firm did not purchase this product**, please fill out page 1, print, sign, and submit a scanned copy to the Commission.